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ASSESSMENT AND TREATMENT OF
BIOMECHANICAL PROPERTIES OF FOOT
AND MOBILITY DISORDER IN ELDERLY
WITH TYPE 2 DIABETES

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Assessment and treatment of biomechanical
properties of foot and mobility disorder in elderly
with type 2 diabetes

THOMAS KA WAI NG

A thesis submitted in partial fulfillment for the
requirements for the degree of Master of
Philosophy

April 2014

CERTIFICATE OF ORIGINALITY

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Abstract of thesis entitled “Assessment of biomechanical properties of foot and management of mobility disorder in elderly with type 2 diabetes” submitted by Thomas Ka Wai Ng for the degree of Master of Philosophy at the Hong Kong Polytechnic University in 2014.

ABSTRACT

The prevalence of people suffering from diabetes mellitus is rising. This disease causes impairment in the blood glucose regulation and peripheral circulation, which may lead to complications that begin at the most distal part of the lower limbs. Subsequently, the disease may end up in diabetic peripheral neuropathy or foot ulceration. Concerning diabetic foot ulceration, there are various predisposing factors. Apart from the decrease in the sensation over the sole of foot, an increase in plantar pressure is one of the factors contributing to the development of foot ulcer. People with diabetes are prone to have increased plantar pressure due to the non-enzymatic glycosylation of collagen which increases the stiffness of the soft tissue of the sole. With such an increase in stiffness, the shock absorption ability is reduced during weight bearing activities and wear and tear of the soft tissue due to microtrauma might occur in this group of people. Besides, dehydration of soft tissue due to aging would reduce the thickness of plantar soft tissue and increases the likelihood of skin

breakdown. This explains why foot ulceration is more commonly observed in elderly with diabetes.

As the thickness and stiffness of plantar soft tissue are found to be related to plantar pressure, various devices have been recently developed to assess the thickness and stiffness of the plantar soft tissue. Such device can be useful in predicting the risk of foot ulcer. However, there is a lack of a reliable and standardized assessment on this aspect.

Apart from the change in biomechanical properties of foot, elderly with diabetes were found to have higher chance to fall and present in deteriorated mobility performance as compared with healthy age-matched control. Some studies suggested that the higher risk of fall might be contributed by the impairment over the ankles, including a decrease in ankle joint proprioception and muscles strength, and an increase in the stiffness in ankle dorsiflexion. However, no previous study has examined the influence of the abovementioned ankle characteristics on the risk of fall and mobility deterioration in diabetic population. Besides, no previous studies have examined the effects of targeted exercise training to improve the balance performance specifically to people with diabetes.

In order to fill up the above research gaps, three inter-related studies with specific objectives for each study were carried out. The objectives of the three studies are: (I) To investigate the test-retest reliability of the innovative Ultrasound Foot Scanner System in measuring the thickness and stiffness of plantar soft tissue of healthy subjects in sitting and standing positions; (II) To investigate the correlation between the ankle muscle strength, range of motion of ankle dorsiflexion, ankle joint proprioception and the mobility performance as measured by Timed Up and Go test in elderly with type 2 diabetes; (III) To investigate the effectiveness of a 10-week exercise program with specific regimen designed, based on findings obtained in study II, that aims at improving the balance and mobility performance in elderly with type 2 diabetes. The summary of the methodology and the results of the three studies are shown as below.

Study I:

Method and results: Fifteen healthy subjects were recruited in this study. Thickness and stiffness of the plantar soft tissue over the pulp of big toes, 1st metatarsal head, 2nd metatarsal heads, and 5th metatarsal heads and heel pads were measured by an innovative Ultrasound Foot Scanner System. The measurements were performed in both sitting and standing positions respectively. Same procedures were repeated again

after one week-time for the retest assessment. The results obtained in sitting and standing in the first assessment day was compared. Our results showed a significant and excellent test-retest reliability (all Intraclass Correlation Coefficient (3,2) >0.90) (all $p < 0.001$); paired t-test showed that the plantar soft tissue became significantly thinner (with percentage decrease ranged from 10% to 14% at various sites) and stiffer (with percentage increase ranged from 123% to 164% at various sites) when changed from a sitting to standing position (all $p < 0.05$).

Study II:

Method and results: Eighty-five community dwelling elderly who had been diagnosed with type 2 diabetes were recruited. Timed Up and Go test was used to assess their mobility performance; active ankle joint repositioning test was used to assess for ankle joint proprioception sense; weight-bearing lunge test was used for assessing the stiffness of ankle dorsiflexion and Cybex Norm dynamometer was used to assess the ankle muscle strength (peak dorsiflexors and plantar flexors torque). Pearson correlation coefficient was used to analyze the relationship between Timed Up and Go test and the following independent variables: gender, age, history of diabetes, body mass index (BMI), glycated hemoglobin (HbA1c), normalized peak torque of ankle dorsiflexors, normalized peak torque of ankle plantar flexors,

weight-bearing lunge test distance and active ankle joint repositioning error. Multiple regressions were used to examine the degree of association between the aforementioned independent variables and Timed Up and Go test. Our results showed that age, body mass index, normalized peak torque of plantar flexors and dorsiflexors, active ankle joint repositioning test errors and the weight-bearing lunge test distance were significantly associated with the Timed Up and Go test (all $p < 0.05$). These physical characteristics, together with the demographic data of the subjects contributed to 59.9% of the variance in the findings of the Timed Up and Go test.

Study III:

Method and results: Ninety-eight community dwelling elderly diagnosed with type 2 diabetes were recruited in community centers and divided into exercise group and control group. A 10-week exercise program was implemented in order to improve the mobility performance, postural control and reduction in the stiffness of plantar soft tissue. Based on the findings obtained in study II, a specially designed exercise program was adopted targeting on the ankle characteristics that we identified in this group of client. In addition, home exercise was emphasized in the training period. Subjects in the control group did not receive any exercise training during the study period. Outcome measures included Timed Up and Go test for mobility performance;

Sensory Organization Test for postural stability; single leg stance test for unipedal balance. Two-way repeated measures ANCOVA with HbA1c entered as co-variate was used for interaction analysis. Our results showed that there were time x group interaction in the Sensory Organization Test composite score, visual ratio and vestibular ratio. Pair t-test showed significant within-group differences in the abovementioned variables in the exercise group. Trends of improvement were found in Timed Up and Go test and single leg stance test in exercise group.

PUBLICATION ARISING FROM THE THESIS***(A) Peer Review Journal***

Thomas KW Ng, YP Zheng, Rachel LC Kwan, Gladys LY Cheing. An Innovative Ultrasound Foot Scanner System for Measuring the Change in Biomechanical Properties of Plantar Tissue from Sitting to Standing. *Diabetic Technology and Therapeutics*. Under Review.

Thomas KW Ng, SK Lo, Gladys LY Cheing. (2014) The Association Between Physical Characteristics of the Ankle Joint and the Mobility Performance in Elderly with Type 2 Diabetes Mellitus. *Archives of Gerontology and Geriatrics*. 59: 346-352 DOI information: 10.1016/j.archger.2014.07.001

Thomas KW Ng, Rachel LC Kwan, SK Lo, Gladys, LY Cheing. Effectiveness of Exercise Program with Specific Regimen on Improving Postural Control and Mobility Performance in Elderly with Type 2 Diabetes. *Journal of Geriatric Physical Therapy*. (submitted).

(B) Conference Papers

Thomas KW Ng, Yong-Ping Zheng, Rachel Lai Chu Kwan, Gladys LY Cheing. The Change of Plantar Soft Tissue Biomechanical Properties in Different Weight Bearing Positions. *13th Hong Kong Diabetes and Cardiovascular Risk Factors- East Meets West Symposium*; Hong Kong. 1st-2nd October 2011.

Thomas KW Ng, Gladys LY Cheing. Physical Characteristics Over the Ankle Joint Predict the Mobility Performance for Elderly with Type 2 Diabetes. *13th Hong Kong Diabetes and Cardiovascular Risk Factors- East Meets West Symposium*; Hong Kong, 1st-2nd October 2011.

Thomas KW Ng, Gladys LY Cheing. Effectiveness of exercise on balance and mobility performance in people with type 2 diabetes. *Diabetic Foot Global Conference*; Los Angeles, USA, 15th-17th March, 2012.

Ng KW, Cheing GLY, Lo SK. Association between ankle muscle strength, joint proprioception, dorsiflexion stiffness and the mobility performance in elderly with type 2 diabetes. *9th Pan-Pacific Conference on Rehabilitation cum 21st Annual Congress of Gerontology.*, Hong Kong, China, 29th-30th November 2014.

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LIST OF ABBREVIATIONS

6MWT	6-minute Walk Test
DM	Diabetes Mellitus
DPN	Diabetic peripheral neuropathy
HbA1c	Glycated Hemoglobin
MRI	Magnetic Resonance Imaging
SOT	Sensory Organization Test
TUPS	Tissue Ultrasound Palpation System
WBLT	Weight Bearing Lunge Test
WHO	World Health Organization

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CHAPTER 1
INTRODUCTION

1 **1.1 BACKGROUND**

2 According to the report from World Health Organization (WHO) in year 2011, there were
3 more than 300 million people suffered from diabetes mellitus (DM). The mortality rate
4 was also alarming with 3.4 million people worldwide died due to diabetes associated
5 complications in 2004 and majority of them were from countries with low and middle-
6 income. It was estimated that the number of people suffer from DM would keep
7 increasing and become the 7th leading cause of death by the year 2030. Therefore, the
8 necessary of implementing preventive measures on various complications brought by this
9 disease should never be underestimated (*Diabetes Mellitus. Fact Sheet number 312,*
10 *2011*).

11

12 Foot ulceration is one of the various complications brought by DM. Around 15% of all
13 people with diabetes had experienced foot ulceration during their life time and 25% of
14 the hospital costs of diabetic care had been used to treat foot ulcer (Abouaasha, van Schie,
15 Griffiths, Young, & Boulton, 2001). If foot ulcer is not handled properly the wound would
16 further deteriorate and might result in lower limb amputation. It was reported that 85% of
17 amputation of lower limbs was preceded by foot ulcers (Reiber et al., 1999) and history
18 of foot ulceration would increase the mortality rate in people with diabetes (Iversen et al.,
19 2009).

20

21 Foot ulcerations are common in people with diabetes because the disease affects the
22 peripheral sensation over the sole, which makes people unaware on the minor injury. On
23 the other hand, hyperglycemia would cause delay of wound healing. However, beside

1 these two well-known factors, the increase in plantar pressure was found to be another
2 contributing factor that caused foot ulceration in people with diabetes (Murray, Young,
3 Hollis, & Boulton, 1996). The increase in the plantar pressure can be caused by visible
4 foot deformities such as hallus valgus. But for people with diabetes, the non-enzymatic
5 glycosylation of collagen increases the stiffness of plantar soft tissue (Merza & Tesfaye,
6 2003), while dehydration of skin due to aging would decrease the thickness of the sole of
7 foot. These two factors together explain why people with diabetes are prone to develop
8 foot ulceration, especially in elderly population.

9

10 In order to reduce the risk of developing diabetic foot ulcers, a reliable and valid
11 assessment tool is needed to assess the stiffness and thickness of the plantar soft tissue for
12 early detection. However, there is lack of a reliable device for predicting the risk of
13 having foot ulcers by measuring the thickness and stiffness of plantar soft tissue. A
14 reliable assessment tool might be able to reduce the occurrence of foot ulceration in high
15 risk population, including people with diabetes.

16

17 Apart from foot ulcerations, increase in risk of fall is another great concern among people
18 with diabetes, especially for the elderly population. It was estimated that more than one-
19 third of elderly at age 65 or above would experience fall every year, which makes it the
20 leading cause of injury deaths among elderly (Lehtola, Koistinen, & Luukinen, 2006;
21 Sleet, Moffett, & Stevens, 2008; Tinetti, Speechley, & Ginter, 1988). But it is worth to
22 note that the risk of fall among elderly who have diabetes was found to be higher as
23 compared with healthy elderly (Miller, Lui, Perry, Kaiser, & Morley, 1999; Schwartz et

1 al., 2002). Since diabetes affects the peripheral circulation, it is logical to deduce that the
2 physical changes associated with this disease usually begin from the most distal part of
3 the limbs. Indeed, previous studies did found various factors which might contribute to
4 the increase in risk of fall, including a decrease in ankle muscle strength (Andersen,
5 Nielsen, Mogensen, & Jakobsen, 2004); an increase in stiffness of ankle joint (Mueller,
6 Diamond, Delitto, & Sinacore, 1989) and a decrease in the ankle proprioceptive sense
7 (van Deursen & Simoneau, 1999). However, previous studies did not examine the
8 relationship between the aforementioned physical characteristics over the ankles and
9 postural control or mobility performance for this group of client. If there is such
10 relationship, then, we shall design an exercise training program with specific regimen
11 targeting at the ankle impairments in order to improve their mobility performance.

12

13 **1.2 OBJECTIVES**

14 In order to answer those research questions, three inter-related studies were carried out in
15 this thesis with the following objectives:

- 16 i) To investigate the test-retest reliability of the innovated Ultrasound Foot
17 Scanner System in assessing the thickness and stiffness of plantar soft tissue
18 in sitting and standing respectively in young healthy subjects. The thickness
19 and stiffness of plantar soft tissue measured in sitting and standing were
20 compared to investigate the effect of postural change to these biomechanical
21 properties of plantar soft tissue.

22

1 ii) To investigate the relationship between the ankle stiffness in dorsiflexion,
2 ankle muscle strength, ankle proprioception and the mobility performance
3 assessed by Timed Up and Go test. Multiple regression analysis was used to
4 investigate the contribution by each component to the performance in mobility
5 among elderly people with diabetes.

6
7 iii) To investigate the effectiveness of a 10-week exercise program with specific
8 regimen based on the findings obtained in study II in the improvement on
9 postural control and mobility performance in elderly with type 2 diabetes.

10

11 In the following chapters, diabetes mellitus and its diagnostic criteria will be introduced
12 (Chapter 2). In the same chapter, we will also discuss the biomechanical changes on
13 plantar soft tissue and its contribution to the development of foot ulcers. Chapter 3 will be
14 focused on the assessments that we had used for data collection, including the use of the
15 innovative Ultrasound Foot Scanner System in measuring the thickness and stiffness of
16 the plantar soft tissue and the investigation on the reliability of this device will be
17 discussed in chapter 4. As mentioned, the risk of mobility deterioration is one of the
18 complications found in elderly with diabetes. The study on the relationship between the
19 ankle characteristics and mobility performance in elderly with type 2 DM will be
20 discussed in chapter 5. Based on the result we obtained in chapter 5, an exercise program
21 was designed aiming at improving the mobility performance. We also investigated if the
22 balance and postural control performance would be improved after the program. But
23 before discussing the exercise program, a systematic review on the effectiveness of

1 exercise on mobility and balance performance in people with type 2 DM was conducted
2 to review current clinical trials on this aspect (chapter 6) and the result of the exercise
3 program designed by our team will be discussed in chapter 7.

4

5 **1.3 ETHICAL CONSIDERATION**

6 Ethical approval was obtained from The Hong Kong Polytechnic University for all the
7 studies in the thesis during subject recruitment. Informed consent was also obtained from
8 each subject before the start of each study. Project ID was the ethical approval
9 was: HSEARS20080328001.

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CHAPTER 2
LITERATURE REVIEW

1 In this chapter, I will introduce the diabetes mellitus, including the diagnostic criteria and
2 complications brought by the disease. Some complications brought by this disease will be
3 discussed. Since there are many complications caused by DM, the focus of this chapter is
4 on the musculoskeletal complications that are related to the present thesis, including the
5 stiffness and thickness of plantar soft tissue, decline in ankle muscle strength, ankle joint
6 proprioception sense and increase in stiffness in ankle dorsiflexion range.

8 **2.1 DIABETES MELLITUS**

9 Diabetes mellitus (DM) is a chronic metabolic disease characterized by the defect in the
10 insulin production by the pancreas. Insulin is a kind of peptide hormone produced by
11 pancreatic beta cells which is responsible for regulating the carbohydrate and fat
12 metabolism. Due to the deficit in insulin production, people with diabetes suffer from an
13 increase in blood sugar level which may lead to various complications. DM can be sub-
14 divided into different types, namely type 1, type 2, gestational diabetes and other types
15 (*2011 National Diabetes Fact Sheet*, 2011). Type 1 diabetes are usually diagnosed at
16 young age in which the pancreatic beta cells were found to be destroyed by the patients'
17 own immune system and the pancreas of people with this type of DM can not produce
18 insulin. In order to maintain the blood glucose level within normal range, this type of
19 patients need to receive injection of insulin regularly; people with type 2 diabetes are
20 usually diagnosed in adult age and it accounts for up to 90% of all diagnosed cases of
21 diabetes (*Definition, diagnosis and classification of diabetes mellitus*, 1999). Unlike type
22 1 DM, people suffering from type 2 diabetes usually have problem in effective
23 production of insulin by the pancreas. This group of people usually has milder symptoms

1 as compared with those who have type 1 DM. Therefore, most people with type 2
2 diabetes are under diagnosed until complications appear. Gestational diabetes occurs in
3 pregnant women, it is a kind of glucose intolerance that happens during pregnancy. If this
4 is not treated properly, complications may happen to the infants. On top of these three
5 types of diabetes, DM can be caused by other reasons such as medications or infections, it
6 account for up to 5% of all diagnosed cases (*2011 National Diabetes Fact Sheet, 2011*)

8 **2.1.1 DIAGNOISTIC CRITERIA**

9 As diabetes is a chronic metabolic disorder characterized by an increase in the blood
10 sugar level, the measurement of blood sugar level is the standardized method to confirm
11 the diagnose. WHO has adopted the guidelines for diagnosing diabetes since 1965
12 (*Diabetes mellitus. Report of a WHO Expert Committee, 1965*). In 1986, WHO started to
13 explore the applicability of glycated hemoglobin (HbA1c) as one of the diagnostic
14 criteria (*Diabetes Mellitus: Report of a WHO Study Group. Technical Report Series 727,*
15 *1985*). HbA1c is formed in a non-enzymatic glycation pathway due to the exposure of
16 hemoglobin in blood plasma glucose (Gouille, Larcorix, & Bouige, 2002). The level of
17 HbA1c increases as the level of plasma glucose increases. The advantages of using
18 HbA1c is it can reflect the average control of blood glucose level in the past eight to
19 twelve weeks and no fasting is required before the blood sample taking. According to the
20 latest publication of WHO on the diagnosis of diabetes, it is recommended that HbA1c of
21 6.5% as the cut off point for diagnosis diabetes (*Use of Glycated Haemoglobin (HbA1c)*
22 *in the Diagnosis of Diabetes Mellitus, 2011*).

23

1 **2.1.2 PERIPHERAL NEUROPATHY**

2 Diabetic neuropathy is one of the common complications brought by the disease which
3 may result in foot ulcer. Studies showed that 15% of all diabetic patients would develop
4 foot ulcer (Gordois, Scuffham, Shearer, Oglesby, & Tobian, 2003) and diabetic
5 neuropathy is the leading cause of non-traumatic related lower limb amputation (Thomas,
6 1999). The primary cause of peripheral neuropathy in people with diabetes is
7 hyperglycemia which causes damage to the nerve cells and decreases the blood flow to
8 the nerve causing neuronal ischemia (Edwards, Vincent, Cheng, & Feldman, 2008).

9

10 There are different manifestations of diabetic neuropathy depending on what kind of
11 nerve is being affected and many of them are related to foot ulceration: when the motor
12 nerve is affected, it would lead to muscle atrophy in intrinsic muscle on the foot (Bus et
13 al., 2002), which might deform the sole of foot and increase the likelihood of developing
14 pressure point during weight bearing activities and increase the risk of causing foot ulcer;
15 when autonomic nervous system (especially the sudomotor nerve) is affected, there might
16 be drying of skin which might make the skin more brittle and shear and tear of the skin
17 over the sole would again increase the risk of developing foot ulcer (Edwards et al., 2008).
18 As the onset of diabetic peripheral neuropathy (DPN) is gradual, the symptoms are sub-
19 clinical in the early stage which makes the patients unaware of the symptoms (American
20 Diabetes Association, 1996).

21

22 The common diagnostic criteria of DPN include subjective symptoms reported by
23 patients, ankle reflexes, and vibration perception thresholds of the lower limbs (Japanese

1 Study Group on Diabetic Neuropathy, 2001). In the present thesis, the target population
2 was diabetic elderly without peripheral neuropathy. Therefore, the assessments of
3 peripheral neuropathy mentioned above were used to exclude those who have neuropathy
4 (Chapter 5 and Chapter 7).

5

6 **2.2 BIOMECHANICAL CHANGES IN PLANTAR SOFT TISSUES**

7 **2.2.1 BIOMECHANICAL CHANGES IN PLANTAR SOFT TISSUES IN**

8 **ELDERLY**

9 Aging is a degenerating process involving multiple systems including the
10 musculoskeletal system. In human foot, aging would cause the collagen and elastic fibers
11 in the cell matrix become less soluble and the skin can break down more easily (Edelstein,
12 1992). This problem would bring negative influence on the shock absorbing properties of
13 the foot at the weight bearing sites, ie metatarsals head and heel pads (Cavanagh, 1999)
14 and these points would become more prone to develop ulcers after repetitive wearing and
15 tearing by ground reaction force during walking or other weight bearing activities. Our
16 research team found a positive linear relationship between age and stiffness over
17 metatarsal heads and heel pads in human being (Kwan, Zheng, & Cheing, 2010). Besides,
18 dehydration of the skins due to aging would make the skin more brittle. These factors are
19 account for the increase in pressure over the sole of foot during weight bearing activities.

20

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1 **2.2.2 BIOMECHANICAL CHANGES IN PLANTAR SOFT TISSUES IN**
2 **PEOPLE WITH DIABETES MELLITUS**

3 Elevated plantar pressure is another common predisposing factors of foot ulcers (Mueller,
4 Zou, & Lott, 2005). An increase in plantar soft tissue pressure can be caused by visible
5 deformity such as hammer toes or hallus valgus, which may cause an increase in pressure
6 over particular sites on the sole during weight bearing activities. Besides, the increase in
7 stiffness over the plantar soft tissue is another cause of the increase in plantar soft tissue
8 pressure. It was found that the plantar soft tissue stiffness in people with diabetes was
9 higher than that found in healthy control subjects and it is supported by a positive
10 relationship between the plantar soft tissue stiffness and plantar tissue pressures reported
11 by a previous study (Chao, Zheng, & Cheing, 2011; Cheung, Zhang, Leung, & Fan,
12 2005). The increase in the stiffness of plantar soft tissue might subsequently increase the
13 stress concentration and impair the pressure distribution during loading (Merza &
14 Tesfaye, 2003).

15
16 Beside stiffness, thickness of plantar soft tissue would increase in likelihood of skin
17 breakdown over the sole. Chao et al. (2011) found that the plantar soft tissue in people
18 with diabetic neuropathy was thinner than that found in healthy control, and another
19 study reported that there was an inverse relationship between the thickness of the plantar
20 soft tissue and the plantar pressure (Abouaesha et al., 2001). Both thinning and stiffening
21 of plantar soft tissue decreased the shock absorption ability of the weight bearing points
22 of the sole (ie. metatarsal heads and heels) during weight bearing activities, which
23 increased the likelihood of skin break down by repetitive micro-trauma, together with the

1 impaired distal circulation it would eventually develop into ulcers. These factors explain
2 why elderly with diabetes are prone to have foot ulcer.

3

4 **2.2.3 MEASUREMENT TOOLS IN ASSESSING THE BIOMECHANICAL**

5 **PROPERTIES OF THE PLANTAR SOFT TISSUE**

6 As the biomechanical properties of plantar soft tissue (thickness and stiffness) are
7 correlated to the plantar pressure (Abouaasha et al., 2001; Cheung et al., 2005), clinicians
8 have been working hard to develop a reliable and accurate assessment tools to assess
9 biomechanical properties of plantar soft tissue but there are various drawbacks for using
10 these devices. Back to year 1987, Krouskop and colleagues developed an ultrasound
11 doppler system to measure the stiffness of soft tissue based on the comparison of signals
12 acquired before and after the displacement of the soft tissue (Krouskop, Dougherty, &
13 Vinson, 1987). Later on, this technique was modified and commonly used for diagnosis
14 of breast and prostate lesions. But this system was suggested to have limitation due to the
15 difficulty in finding the magnitude and direction of the force during measurement of
16 stiffness of soft tissue (Garra et al., 1997; Ginat et al., 2009).

17

18 A pipette aspiration system was developed in order to measure the stiffness of the plantar
19 soft tissue (Aoki, Ohashi, Matsumoto, & Sato, 1997). It was done by applying a negative
20 pressure over the soft tissue so that the tissue was aspirated into the pipette and the
21 stiffness of the tissue over the particular site was calculated by measuring the
22 displacement of the soft tissue at the center of the pipette and the pressure required.
23 However, this system might not be applicable for measurement in plantar soft tissue due

1 to the uneven surface of the foot which would cause loosening of the pipette during
2 aspiration and the plantar soft tissue might not be soft enough to be aspirated by the
3 pipette. Most importantly, this system could not simulate the pressure exerted on the
4 plantar tissue during weight bearing activities, which is a positive pressure instead of
5 negative pressure.

6

7 Different kinds of indentation systems have been developed to measure the plantar soft
8 tissue. The use of indentation systems can simulate the positive pressure exerted on the
9 tissue as what the subjects encounter during daily activities. Magnetic Resonance
10 Imaging (MRI) developed by Gefen and colleagues consisted of an indentation system to
11 deform the plantar soft tissue and a 0.5T MRI scanner was used to scan the image of the
12 soft tissue. The stiffness of the plantar soft tissue was calculated by measuring the loading
13 force and the displacement of the plantar tissue (Gefen, Megido-Ravid, Azariah, Itzhak,
14 & Arcan, 2001). This system gave a clear image of the soft tissue for accurate
15 measurement. But its feasibility to be put in clinical settings is questionable as MRI
16 imaging is expensive. Another indentation system developed by Klaesner and colleagues
17 used a load cell connected to a stylus to measure the stiffness of the plantar soft tissue.
18 This was done by measuring the distance that the probe moved in reference to the first
19 contact point of the skin, together with the force required to move the tissue collected by
20 the load cell. However, the movement of the plantar soft tissue or the metatarsal head
21 during the measurement would overestimated the thickness of the tissue (Klaesner,
22 Commean, Hastings, Zou, & Mueller, 2001). The portable hand-held indentation system
23 developed by Rome and colleagues for measuring the stiffness of heel pad in runners

1 with plantar heel pain consisted of an indenter connected to a load cell for measuring the
2 force to deform the soft tissue. The indenter passed through a hole in the testing surface
3 made from Perspex, which was displaced during the indentation (Rome, Webb, Unsworth,
4 & Haslock, 2001). This measurement method is considered to be indirect and the
5 measurement is considered to be inaccurate as the movement of the plate depends on the
6 force applied by the investigator. Besides, the reliability was not mentioned in the
7 previous study.

8

9 **2.2.4 TISSUE ULTRASOUND PALPATION SYSTEM**

10 Among various imaging technologies, ultrasound is considered to be accurate and
11 relatively inexpensive. Tissue Ultrasound Palpation System (TUPS) developed by Zheng
12 and Mak (1996) comprised of a pen-sized hand held probe which contained an ultrasound
13 transducer and a load cell (Zheng & Mak, 1996). The thickness of the plantar tissue was
14 calculated by measuring the echo reflected by the soft tissue-bone interface and the skin.
15 During the assessment of the stiffness, the subjects were required to lie in supine or prone
16 position, or in long sitting position. The measurement was done when the assessor
17 applied a manual force perpendicular to the tested body part and deformed the soft tissue.
18 Stiffness of the soft tissue was expressed as Young's modulus that was calculated by an
19 in-house software with specific equation installed in the personal computer.
20 (Mak, Lie, & Lee, 1994, Zheng, Choi, Wong, Chan, & Mak, 2000). TUPS was found to
21 be a valid tool in assessing biomechanical properties of soft tissue (Zheng & Mak, 1996)
22 and it had been widely used in assessing soft tissue, including plantar soft tissue (Lau, Li-

1 Tsang, & Zheng, 2005; Zheng et al., 2000; Zheng, Li, Choi, Lu, & Huang, 2006; Zheng,
2 Mak, & Lue, 1999).

3

4 It is no doubt that weight bearing positions are more relevant in predicting the risk of
5 developing foot ulcers since foot ulcers are caused during weight bearing activities.
6 However, TUPS measured the thickness and stiffness of plantar soft tissue only in non-
7 weight bearing position which is considered to be a limitation to investigate the risk of
8 developing foot ulcerations. Human foot is composed of various soft tissues including
9 ligaments and muscles which make the whole structure flexible that is ideal for shock
10 absorption and maintaining balance during weight bearing activities. There is change in
11 the shape of the foot and also the alteration of pressure points when a subject changes
12 from a non-weight bearing position to weight bearing position. On the other hand, TUPS
13 is a hand-held device in which a skillful and experienced assessor is required to provide
14 reliable results. These factors limit TUPS's applicability in clinical usage. In order to
15 tackle its drawbacks, an innovative Ultrasound Foot Scanner System was developed as
16 advancement from TUPS.

17

18 The development of the Ultrasound Foot Scanner System was based on the technology
19 used in TUPS with specific design and adjustments in both software and hardware so as
20 to increase the reliability in assessing the thickness and stiffness of plantar soft tissue in
21 weight bearing positions. The details of the device and the assessment on its reliability
22 will be discussed in chapter 3.

23

1 **2.3 DETERIORATION OF ANKLE MUSCLE STRENGTH**

2 Muscle strength decline is a common problem of aging through sarcopenia. It was found
3 that the muscle strength of lower extremities declined more rapidly in elderly with
4 diabetes as compared with healthy control. In particular, studies showed that the ankle
5 dorsiflexion and plantarflexion muscles strength of people with type 2 diabetes were
6 significantly lower as compared with age-matched healthy elderly (Andersen et al., 2004;
7 Park et al., 2006; Park et al., 2007). Andreassen et al. (2006) found that the annual
8 decline percentage of ankle muscle strength in elderly with diabetes with neuropathy is
9 higher than those who have asymptomatic neuropathy, followed by normal control
10 (Andreassen, Jakobsen, & Andersen, 2006). In fact, the decline of muscle power is not
11 solely caused by the reduction of muscle mass, but also a decline in muscle quality as
12 reflected by the maximal muscle strength per unit mass (Park et al., 2007). One of the
13 possible mechanisms behind the muscle decline is the metabolic consequence of poor
14 glycemic control which causes increase in inflammatory cytokines level in the blood such
15 as interleukin 6. Increase in inflammatory cytokines further breakdown the muscle
16 protein and reduce the muscle strength (Cesari et al., 2004; Gokulakrishnan,
17 Monhanavalli, Monickaraj, Mohan, & Balasubramanyam, 2009; Visser et al., 2002).
18 Apart from that, it was found that there was more than 2 fold of excessive adipose tissue
19 infiltration inside the ankle muscle in people with diabetes as compared with control
20 group even though the leg muscles and volumes were comparable between the two
21 groups (Hilton, Tuttle, Bohnert, Mueller, & Sinacore, 2008). However, the reason behind
22 the excessive adipose infiltration was not addressed in that study and need to be further
23 investigated.

2.4 DETERIORATION OF ANKLE JOINT PROPRIOCEPTION

Patients with diabetic peripheral neuropathy were shown to have impaired tactile and proprioception over the foot and ankle which affect the balance (Menz, Lord, & Fotzpatrick, 2004; van Deursen & Simoneau, 1999). In fact, diabetic patients without neuropathy were found to have certain degree of proprioception deficit in lower limbs, particularly over the ankles, just that the patients do not notice during their daily activity. One previous study found that diabetic patients with mild neuropathy showed significant deficit in ankle joint proprioceptive sense as they showed a significant decrease in the ability to recover by ankle inversion from a lateral lean and also the time to generate a inversion torque for recovery was slower as compared with normal subjects, even though the ankle muscular strength was comparable (Gutierrez, Helber, Dealva, Ashton-Miller, & Richardson, 2001). The authors suggest that this deficit was probably caused by the impairment of proprioceptive threshold as the muscle power was comparable between the two groups. This result was supported by another study in which the authors found significant somatosensory deficit over the ankles in diabetic patients without peripheral neuropathy (Deshpande, Metter, & Ferrucci, 2010). Diabetic patients without neuropathy were found to have altered walking gait pattern when crossing an obstacle as compared with normal subjects. Gait analysis showed that subjects presented with a wrong estimation of ankle joint movement when they walked across the obstacles (Liu, Hsu, Lu, Chen, & Liu, 2010). This result implied that ankle proprioception deficit had already occurred before the typical signs of peripheral neuropathy are shown which might affect the mobility performance.

1 **2.5 INCREASES IN ANKLE JOINT STIFFNESS**

2 A decrease in ankle joint mobility in dorsiflexion range is another impairment found in
3 elderly with diabetes (Giacomozzi, D' Ambrogi, Cesinaro, Macellari, & Uccioli, 2008;
4 Hajrasouliha, Tavakoli, Esteki, Nafisi, & Noorolahi-Moghaddam, 2005; Salsich, Mueller,
5 & Sahrman, 2000; Simmons, Richardson, & Deutsch, 1997). The decrease in ankle joint
6 mobility was firstly hypothesized to be caused by the thickening of periarticular
7 connective tissue due to repeated unnoticed microtrauma of the foot in the late 80s
8 (Mueller et al., 1989). Until late 90s, electronic microscope was used to investigate the
9 Achilles tendon of people with diabetes and found that there is an increase in collagen
10 fiber density and formation of abnormal fiber morphology as compared with control
11 subjects (Grant et al., 1997). They also noted there was disorganization of collagen fiber
12 and adhesion of collagen fibrils in the Achilles tendon. These findings explain why the
13 ankle gets stiffer upon passive dorsiflexion. The reason behind these interesting
14 phenomenon was due to hyperglycaemia which promotes nonenzymatic glycosylation of
15 collagen, resulting in glycation end-products formation causing the increase of collagen
16 cross links and stiffening of the soft tissue, including tendons, ligaments and joint
17 capsules(Abate, Schiavone, Pelotti, & Salini, 2011). It was found that the biomechanical
18 change of ankle soft tissue would alter the stretch distribution of Achilles tendon in
19 diabetic subjects during normal walking (Cronin et al., 2010). Therefore, walking
20 efficiency is reduced and abnormal gait is developed.

21

22 To summarize, diabetes mellitus does not only cause impairment in blood glucose
23 regulation. The increase in blood glucose level itself also causes much physical

1 impairment around the ankle. It is essential to have assessment in each of the impairment
2 in order to quantify the changes for monitoring purpose. In the next chapter, the details of
3 assessment tools and the assessment procedures adopted in the present thesis will be
4 discussed.

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CHAPTER 3
INSTUMENTATION

1 In this chapter, different assessment instruments that have been used in the studies will be
2 discussed. Ultrasound Foot Scanner System was used to investigate the thickness and
3 stiffness of the plantar soft tissue; the muscle strength of the ankle dorsiflexors and
4 plantarflexors were measured by a dynamometer; ankle proprioceptive sense was
5 measured by active ankle joint repositioning test by the same dynamometer; the stiffness
6 of ankle joint in dorsiflexion was measured by the weight bearing lunge test (WBLT). For
7 balance and mobility performance, Sensory Organization Test (SOT) was used to
8 measure the postural control; Timed Up and Go (TUG) test was used for assessing the
9 mobility performance and lastly, single leg stance test (SLST) was used to measure the
10 single leg balance.

11

12 **3.1 ASSESSMENT OF THICKNESS AND STIFFNESS OF PLANTAR SOFT** 13 **TISSUE**

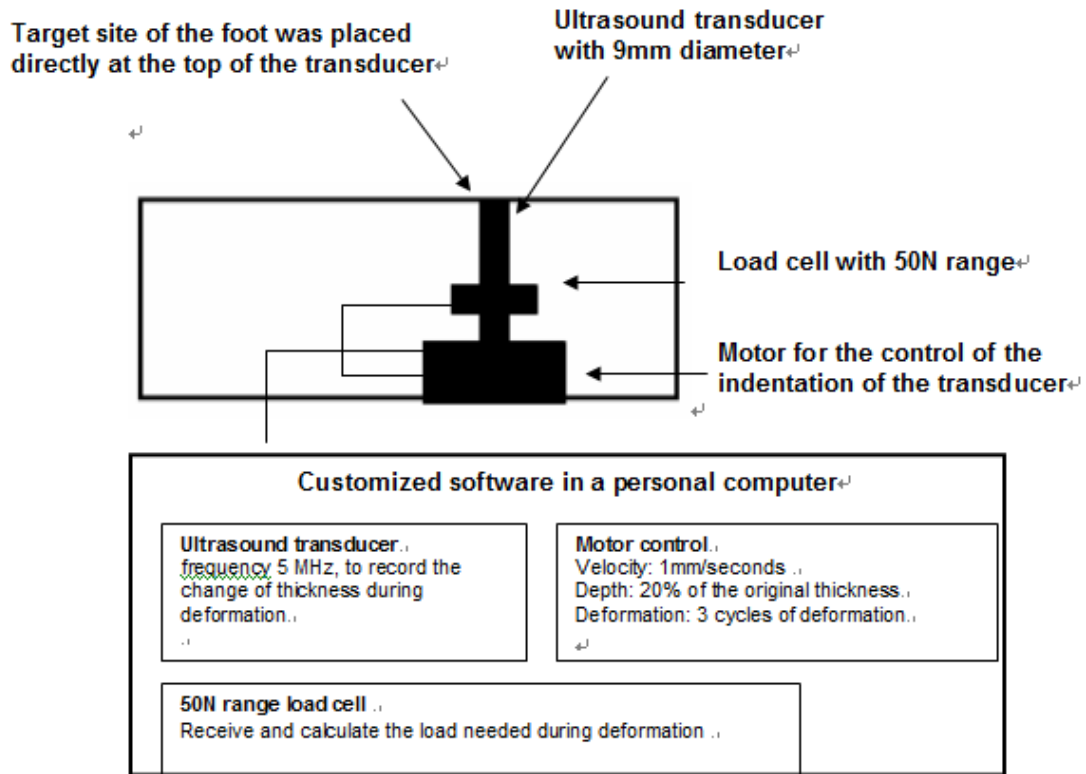
14 The Ultrasound Foot Scanner System was developed by the Department of Health
15 Technology and Informatics at The Hong Kong Polytechnic University. The entire
16 system consists of a platform which was made of polyvinylchloride (PVC) collected to a
17 personal computer (PC) (Figure 3.1). Inside the platform, there was an ultrasound
18 transducer with a frequency of 3MHz and a diameter of 9mm which was connected in
19 series to a motor and a load cell with 50N range (Figure 3.2). The levels of the platform
20 and the transducer were the same (Figure 3.3). Thickness of the plantar soft tissue was
21 measured by calculating the two echoes reflected by the skin and the soft tissue-bone
22 interface. According to Zheng and Mak (1999) the speed of the ultrasound in the soft
23 tissue is 1540m/sec (Zheng & Mak, 1999). With reference to Figure 3.3, there were two

1 echoes on the screen. The first echo on the left side was reflected by the skin and the
2 second echo was reflected by the soft tissue-bone interface. Thickness of the soft tissue
3 was calculated by measuring the distance between the first peak of the first echo and the
4 first peak of the second echo on the panel (Figure 3.4).

5
6

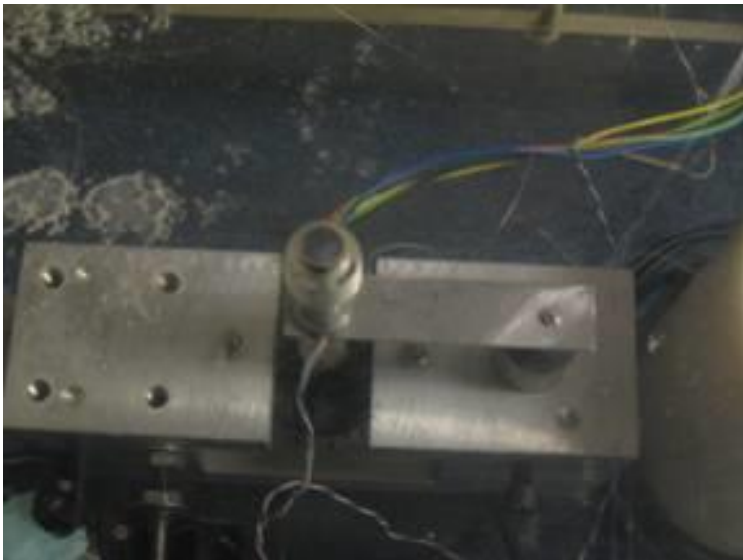


7
8 Figure 3.1 The Ultrasound Foot Scanner System



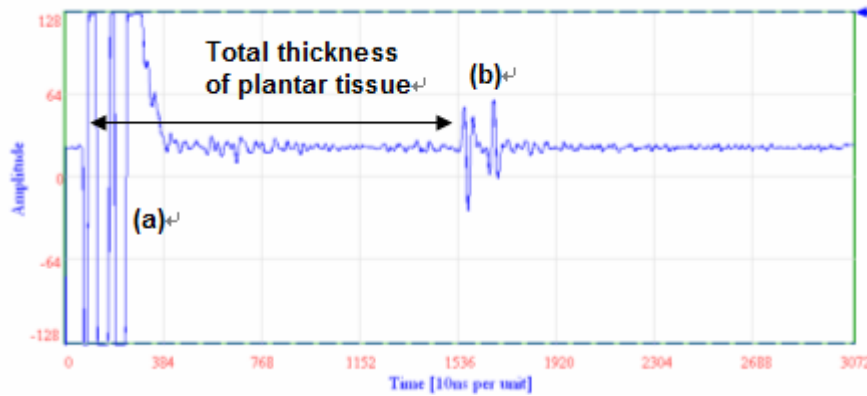
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Figure 3.2 Schematic diagram of the Ultrasound Foot Scanner System



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Figure 3.3 The platform and the ultrasound transducer embedded in the Ultrasound Foot Scanner System



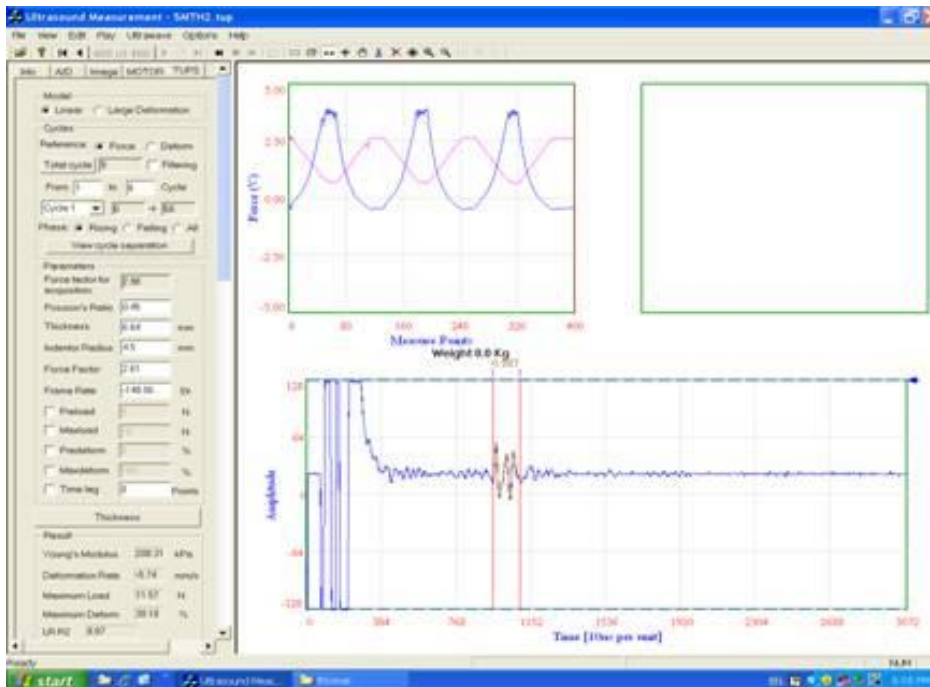
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 2 Figure 3.4 Measurement of the thickness of the plantar soft tissue Measurement of the
 3 thickness of plantar soft tissue at the first metatarsal head was conducted in standing by
 4 the Ultrasound Foot Scanner System. Wave (a) is the ultrasound echo reflected by the
 5 skin of the first metatarsal head while wave (b) is the echo reflected by the soft tissue-
 6 bone interface. The thickness of the plantar soft tissue was calculated by the distance
 7 measured between the two ultrasound echoes.

8
 9 Inside the platform, the motor and the load cell connected in series with the ultrasound
 10 transducer were used to measure the stiffness of the soft tissue. The motor was used to
 11 drive the transducer to deform the soft tissue while the force added on the plantar soft
 12 tissue during the indentation was calculated by the load cell. With reference to Figure 3.5,
 13 the displacement of the soft tissue and the force required for the deformation were
 14 calculated and the correlation was plotted as the graph shown in Figure 3.6. The
 15 force/displacement value was obtained by finding the slope of the line of best fit and the
 16 value was considered to be significant with coefficient of determination of linear
 17 regression $R^2 \geq 0.9$. Stiffness of the soft tissue was expressed as Young's modulus and it
 18 was calculated by an in-house software in the personal computer with the following
 19 equation.

1 $E = P(1 - \nu^2) / 2awk(a/h, \nu)$ -----(1)

2 In this equation, E is the Young's modulus, P is the applied force on the load cell, w is
3 the indentation depth, a is the radius of the indenter, ν is the Poisson's ratio, h is the tissue
4 thickness and k is a scaling factor which depends on both a/h and Poisson's ratio ν (Mak
5 et al., 1994). In the present study, the Poisson's ratio was set at 0.45 which assumed that
6 the soft tissue was nearly incompressible (Zheng et al., 2000). The equation (1) was
7 firstly used in the study of articular cartilage and was later used to measure the Young's
8 modulus of soft tissue (Zheng et al., 2000). In this measurement of the stiffness of plantar
9 soft tissue in present study, three cycles of deformation were carried out with 400 frames
10 of measurement for measuring the change in thickness. The driving velocity was set at 1
11 mm/ sec and the indentation depth was 20% of the original thickness of the tissue before
12 indentation (Zheng et al., 1999).

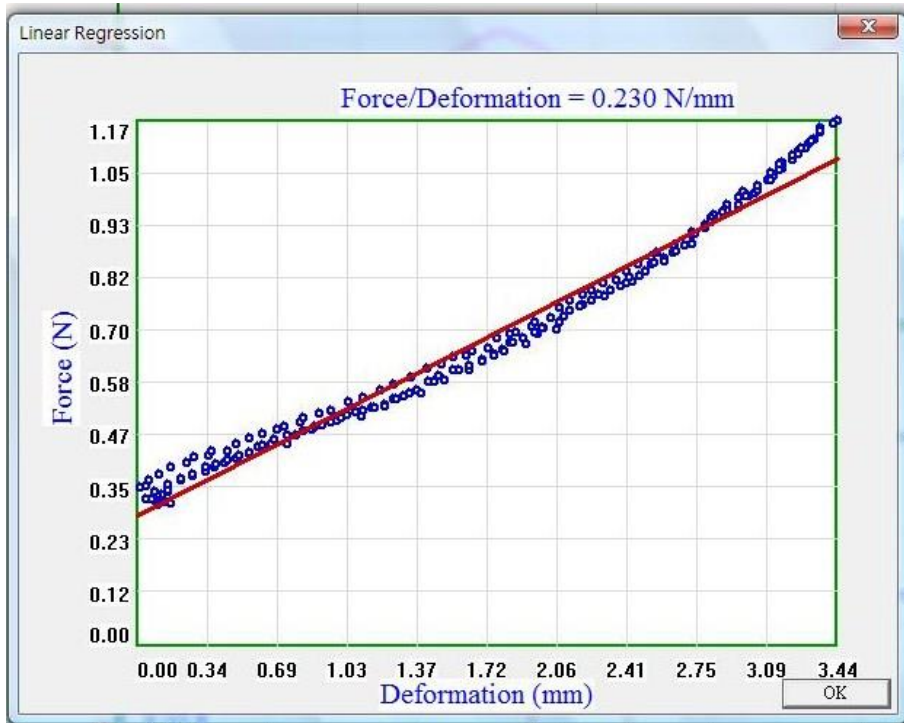
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Figure 3.5 Measurement of the stiffness of the plantar soft tissue

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4 Figure 3.6 Correlation between force and the deformation of the plantar soft tissue

5

6 3.2 ASSESSMENT OF ANKLE MUSCLE STRENGTH

7 The strength of ankle plantarflexors and dorsiflexors was tested by the Cybex Norm

8 dynamometer (Cybex International Inc, Ronkonkomam NY) using the protocol of

9 assessing isokinetic strength of ankle with the angular velocity set at 60°/seconds (Figure

10 3.7). Subjects were required to lie in prone position. The foot was strapped on the foot

11 plate of the dynamometer while the knee was kept in an extended position. During the

12 test, the subjects were instructed to push the ankles away from themselves and then pull

13 the ankles towards themselves as hard as they could. A total of three trials with three

14 repetitions in each trial were done for each leg and the average peak torque normalized by

15 their weight in newton meter per kilogram was calculated for analysis (Li, Xu, & Hong,

16 2009).



1

2 Figure 3.7 Measurement of muscle strength by Cybex Norm dynamometer (Cybex
3 International Inc, Ronkonkomam NY)

4

5 **3.3 ASSESSMENT OF ANKLE PROPRIOCEPTIVE SENSE**

6 The proprioception of ankle joint was measured by the active joint repositioning test.
7 Joint repositioning test is a test on joint proprioception sense by asking the subjects to
8 actively or passively locate the target joint position after memorizing the same location
9 within a given time. This test is commonly used for testing the joint proprioception sense
10 in lower limbs (Deshpande, Connelly, Culham, & Costigan, 2003; Fu & Hui-Chan, 2007;
11 Sekir, Yildiz, Hazneci, Ors, & Aydin, 2007; Westlake, Wu, & Culham, 2007). In the
12 present study, the Cybex Norm dynamometer (Cybex International Inc, Ronkonkomam
13 NY) was used with the subjects lied in prone position to avoid any visual feedback from
14 the subjects. The foot was strapped on the foot plate of the dynamometer while the knee
15 was kept in an extended position. The testing ankle of the subjects was then positioned to
16 10 degrees plantarflexion and 5-second time was given for memorizing the position.
17 After 5 seconds of memorization, the ankle was then passively moved back to a neutral
18 position and subjects were instructed to actively move the ankle back to the target

1 position. A total of 3 trials were performed and in each trial the absolute error between
2 the target angle and the reproduced angle was measured in degree, the mean values of 3
3 trials were calculated for analysis (Sefton et al., 2009). In a previous study, this test was
4 done passively in which the ankles of the subjects (basketball players) were moved
5 passively by the dynamometer and subjects indicated the target location by pressing a
6 button with their thumbs making the audio signal (Fu and Hui-Chan 2005). However, the
7 present study adopted the active repositioning test instead because our target subjects
8 were elder people with diabetes. Elderly were known to have an increase in reaction time
9 and they were likely to have delay in pressing the button when the ankle passed through
10 the target location might produce random error that affected the accuracy of the test
11 (Makishita & Matsunaga, 2008).

12

13 **3.4 ASSESSMENT OF STIFFNESS IN ANKLE DORSIFLEXION**

14 The stiffness of ankle joint in dorsiflexion was assessed by the weight bearing lunge test
15 (WBLT) based on the knee-to-wall principle (Hoch, Staton, & McKeon, 2011). Subjects
16 were instructed to stand in front of a wall with the toes of the tested leg pointing towards
17 the wall and the heel touching the ground so that the second toe, the center of heel and the
18 knee were kept in a plane which was perpendicular to the wall (Vicenzino, Branjerdporn,
19 Teys, & Jordan, 2006). In order to prevent falling, another leg was placed at about 1 foot
20 behind the tested leg. Subjects were allowed to have six trials to lunge forward into
21 talocrural dorsiflexion with the knee touching the wall. To increase the talocrural
22 dorsiflexion, subjects were allowed to position the tested feet backward within these six
23 trials until the maximal distance between the big toe and the wall was reached with the

1 knee touching the wall while the heel was kept in contact with the ground (Collins, Teys,
2 & Vicenzino, 2004). The distance between the big toe and the wall was measured to the
3 nearest 0.1 centimeter by tape measure secured on the floor for analysis. Excellence inter-
4 tester and intra-tester reliability of WBLT was reported by Bennell and colleagues
5 (Bennell, Talbot, Wajswelner, & Kelly, 1998).

6

7 **3.5 ASSESSMENT OF POSTURAL CONTORL**

8 In order to assess the ability of subjects in using visual, vestibular and somatosensory
9 information to maintain postural stability, Sensory Organization Test (SOT) by using a
10 computerized posturography machine (Smart EquiTest[®], NeuroCom International, Inc)
11 was used (Figure 3.8). This device contains a platform and a visual surround that can be
12 sway-referenced. SOT was shown to have good test-retest reliability among community
13 dwelling elderly (Ford-Smith, Wyman, Jr, Fernandex, & Newton, 1995).



14

15 Figure 3.8 Sensory Organization Test by computerized posturography machine (Smart
16 EquiTest[®], NeuroCom International, Inc)

17

1 During the test, subjects were required to stand on the platform with arms by the sides
2 and look forward. To prevent falling, subjects wore a harness before the start of the test.
3 Subjects were exposed to 6 testing conditions on the platform: (1) normal vision, fixed
4 support; (2) eyes closed, fixed support; (3) vision sway-referenced, fixed support; (4)
5 normal vision, support surface sway-referenced; (5) eyes closed, support surface sway-
6 referenced and (6) vision and support surface sway-referenced. These six conditions
7 assess the ability of the subjects in utilizing the three sensory systems in maintaining
8 upright posture; they are visual, vestibular and somatosensory systems. In each condition,
9 three 20-second trials were provided. During the assessment in each condition, the real-
10 time center of pressure of the subject was captured by the machine and the machine
11 recorded and calculated the sway of the center of pressure of the individual and compare
12 it with his/her limit of stability (maximum peak-to-peak 12.5°), which depends on the
13 body build of the subject (Fong, Tsang, & Ng, 2012).

14

15 In each of the 6 conditions, an equilibrium quotient out of 100 was generated. The score
16 '100' represents 'no sway' during the assessment and the score '0' represents the center of
17 pressure of the subject fall out of his/her limit of stability. The equilibrium quotient in
18 each condition was examined to compare the performance in each specific condition; the
19 composite score which indicates the overall performance of the individual. The findings
20 obtained in the sensory score of visual, somatosensory and vestibular systems were
21 recorded. Somatosensory ratio compares the results obtained in condition 2 to that of
22 condition 1 that represents the ability of the subject to use somatosensory information to
23 maintain balance when the visual information was blocked by closing their eyes. Visual

1 ratio was calculated by comparing the results obtained in condition 4 to that in condition
2 1 to assess the ability of an individual to maintain balance with the somatosensory
3 information altered by sway-referenced platform; vestibular ratio was calculated by
4 comparing the results obtained in condition 5 to that of condition 1 to assess the ability of
5 an individual to maintain balance with the somatosensory information altered and the
6 visual information removed (Rosengren et al., 2007; Tsang, Wong, Fu, & Hui-Chan,
7 2004).

8

9 **3.6 ASSESSMENT OF MOBILITY PERFORMANCE**

10 Mobility performance of our subjects was assessed by Timed Up and Go test (TUG).
11 TUG was done by requesting the subject to sit on a chair resting at the back rest and arms
12 relaxed on arm rest. Subjects were then instructed to stand up, walk as quickly and safely
13 as possible for 3 meters, turn around the mark on the ground then walk back to the chair
14 again then sit down with their back touching the back rest of the chair at the end. Time to
15 complete the task was measured by a stop watch. The stop watch was started once the
16 back of the subject leave the back rest until the back of the subject touch the back rest
17 again after walking (Shumway-Cook, Brauer, & Woollacott, 2000). Three trials were
18 performed on each subject and the average time measured in the nearest second was
19 calculated for analysis. This test was firstly described as a clinical test for elderly with
20 balance impairment with a score from 1 to 5 based on the observers' perception (Mathias,
21 Nayak, & Isaacs, 1986) and later this test was modified to assess the mobility status of
22 elderly (Podsiadlo & Richardson, 1991). This test is commonly used in assessing the

1 general mobility status of elderly and high test retest reliability has been shown (Steffen,
2 Hacker, & Mollinger, 2002).

3

4 **3.7 ASSESSMENT OF SINGLE LEG BALANCE**

5 The assessment of single leg balance was done by single leg stance test (SLST). It was
6 performed by asking the subjects to stand with one leg in an upright position with arms
7 by the sides. The timer was started once the non-testing leg lifted up until either one of
8 the following conditions occur: (1) the foot of the lifted leg touched the floor; (2) the
9 stance foot displaced or (2) the subject used the lifted foot to support the stance leg
10 (Hurvitz , Richardson, Werner, Ruhl, & Dixon, 2000). Subjects were considered to reach
11 the maximum time if they manage to stand for 45 seconds. 3 trials were taken in each leg
12 and the time to the nearest second was recorded. The mean was calculated for subsequent
13 analysis. Although it has been suggested that 30 seconds in SLST should be considered as
14 the maximal performance (Jonsson, Seiger, & Hirschfeld, 2004), Briggs et al.
15 recommended to use 45 seconds as the cut off in order to reduce the ceiling effect (Briggs,
16 Gossman, Birch, Drews, & Shaddeau, 1989). SLST is considered to be a functional
17 balance assessment in elderly since many activities required human to stand with one leg
18 such as climbing upstairs, turning or putting on trousers in a standing position.

19

20 In this chapter, the Ultrasound Foot Scanner System was an innovative device for
21 measuring the stiffness and thickness of plantar soft tissue. The investigation of its
22 reliability will be discussed in the next chapter.

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CHAPTER 4

**RELIABILITY OF AN INNOVATIVE ULTRASOUND FOOT
SCANNER SYSTEM IN MEASURING THE BIOMECHANICAL
PROPERTIES OF PLANTAR SOFT TISSUE**

1 **4.1 INTRODUCTION**

2 Human foot is composed of a complex structure that contributes to shock absorption
3 during weight bearing activities. The prevalence of foot complication in people with
4 diabetes has been increasing that causes a huge burden to the society (*Diabetes Mellitus*,
5 *Fact Sheet number 312*, 2011; Frykberg, 1998; Wild, Roglic, Greenm, Sicree, & King,
6 2004). The decrease in distal sensation and an increase in plantar pressure may lead to
7 diabetic foot ulcer (Edwards et al., 2008), particularly for people with visible structural
8 changes of the foot such as hammer toes or hallus valgus (Murray et al., 1996). However,
9 for people with diabetes, hyperglycemia may lead to non-enzymatic glycosylation of
10 collagen in plantar soft tissue that subsequently increases the collagen cross link and
11 stiffen the plantar tissue (Abate et al., 2011; Reihnsner, Melling, Pfeiler, & Menzel, 2000).
12 The increase in stiffness of the plantar soft tissue may further decrease the shock
13 absorption properties of the foot during weight bearing activities (Crawford, Inkster,
14 Kleijnen, & Fahey, 2007).

15

16 Since previous studies found that plantar pressure is associated with the thickness and
17 stiffness of the plantar foot (Abouaesha et al., 2001; Cheung et al., 2005). Therefore,
18 clinicians are keen to develop a device to assess the biomechanical properties (i.e.,
19 thickness and stiffness) of plantar soft tissue so as to predict the risk of developing foot
20 ulcers in people with diabetes. Several systems have been adopted to assess plantar
21 pressure using different imaging technologies such as ultrasound (Gooding et al., 1986);
22 computer tomography (Smith et al., 2000) and magnetic resonance imaging (Petre,
23 Erdemir, & Cavanagh, 2008). Tissue Ultrasound Palpation System (TUPS) is a hand-held

1 system with an ultrasound transducer and load cell installed at the head of the probe for
2 measurement of the real time thickness of the tissue and the force needed to deform the
3 tissue. The TUPS was shown to have high reliability and validity in measuring the
4 biomechanical properties of soft tissues in humans in terms of thickness and stiffness
5 (Zheng & Mak, 1996; Zheng et al., 1999). The details of TUPS can be referred to chapter
6 2 (section 2.2.4). Previous studies adopted TUPS in assessing the biomechanical
7 properties of various type of soft tissue including plantar tissue (Kwan et al., 2010;
8 Zheng et al., 2000). However, TUPS can be used in measuring the thickness and stiffness
9 of the plantar tissue only in non-weight bearing positions including lying or half sitting
10 which only provides limited information about the risk of developing foot ulcer because
11 the most common functional activities that lead to foot ulcers are usually performed in
12 weight-bearing positions such as walking with barefeet (Boulton, 2000).

13

14 An innovative Ultrasound Foot Scanner System was developed to overcome the
15 shortcomings of TUPS. Measurements can be taken when the subject is in weight bearing
16 position. Unlike TUPS that is a hand held device, the operator of the Ultrasound Foot
17 Scanner System can pre-set the speed and depth of the indentation, and then the
18 automatic indentation process can be controlled by a computer program. A pilot study
19 showed that this device was feasible to assess the thickness and stiffness of plantar soft
20 tissue in human subject but its reliability was left unknown and the change of
21 biomechanical properties of the plantar soft tissue in different weight-bearing positions
22 was not investigated by using the present device (Zheng et al., 2012). Therefore, the aims
23 of the present study were to determine the reliability of the use of Ultrasound Foot

1 Scanner System in measuring the thickness and stiffness of the plantar soft tissue, and the
2 measurement made in sitting and standing positions were compared.

3

4 **4.2 METHOD**

5 **4.2.1 MATERIALS**

6 The Ultrasound Foot Scanner System consists of a platform made of polyvinylchloride.
7 Installed under the platform is an ultrasound transducer. The thickness of the plantar soft
8 tissue was measured by calculating the distance between the echoes reflected by the skin
9 and that reflected by the soft tissue-bone interface. The thickness of the soft tissue was
10 calculated by measuring the distance between the first peak of the first echo and the first
11 peak of the second echo. They represent the ultrasound echo reflected from the skin and
12 the soft tissue-bone interface respectively. The detailed description of the scanner can be
13 referred to chapter 3 (section 3.1).

14

15 In order to measure the stiffness of the plantar soft tissue, the ultrasound transducer was
16 connected in series to a motor and a load cell. The motor was used to drive the transducer
17 to deform the soft tissue perpendicularly to the surface of the skin, while the force used to
18 deform the plantar soft tissue during the indentation process was recorded by the load cell.
19 The stiffness of the soft tissue was expressed as an effective Young's modulus and the
20 calculation was performed by the in-house software installed in the personal computer,
21 using the following equation:

22

$$23 \quad E = P(1-\nu^2)/2aw\kappa(a/h, \nu) \quad \text{-----}(1)$$

1 In this equation, E is the Young's modulus, P is the force applied by the load cell, w is
2 the indentation depth, a is the radius of the indenter, ν is the Poisson's ratio of the tissue,
3 h is the tissue thickness, and κ is a scaling factor that depends on both a/h and Poisson's
4 ratio ν (Mak et al., 1994). The Poisson's ratio was set at 0.45, based on the assumption
5 that the soft tissue was nearly incompressible and this value had been widely adopted in
6 previous studies using equation (1) for calculation (Kwan et al., 2010; Zheng et al., 2000)
7 made for human soft tissue. The driving velocity of the motor for the deformation of the
8 plantar tissue was set at 1 mm/sec and the indentation depth was 20% of the original
9 thickness of the tissue before the indentation (Zheng & Mak, 1999). In each measurement,
10 the probe was moved vertically up and down for 3 cycles to deform the plantar soft
11 tissues for three times and 400 frames of measurement were made for measuring the
12 change in thickness.

13

14 **4.2.2 SUBJECTS**

15 Fifteen healthy young subjects were recruited (7 males and 8 females, age ranged from 20
16 to 28). Exclusion criteria were people with a recent foot lesion, foot deformity, peripheral
17 neuropathy, or a history of foot surgery. Any presence of callus was recorded. Ethical
18 approval was obtained from a local university and written informed consent was obtained
19 from each subject prior to the study. The demographic characteristics of the subjects are
20 shown in Table 4.1.

21

22

23

1 **Table 4.1**

2 Demographic characteristics of the subjects (mean \pm standard deviation)

Demographics	All subjects (<i>n</i> = 15)
Age (year)	22.5 \pm 2.2
Male (%)	46.7
Height (cm)	164.5 \pm 8.9
Weight (kg)	56.8 \pm 14.0

3

4 **4.2.3 EXPERIMENTAL SETUP**

5 The humidity and the temperature of the assessment room were controlled during the
6 whole study period. The test-retest reliability of the Ultrasound Foot Scanner System was
7 performed by the same assessor at all measuring sites at the same time of two assessment
8 sessions with one week apart. Five assessment sites on the foot were chosen, namely the
9 pulp of the big toe, the first metatarsal head, second metatarsal head, fifth metatarsal head,
10 and heel. These sites were selected because they are the weight-bearing points on the sole
11 during walking (Menz & Morrison, 2006). The details on how to locate the measuring
12 site on the scanner was described in a previous study (Zheng et al., 2012). After finishing
13 the assessment in a sitting position, the subjects were asked to stand up and perform the
14 assessment in a standing position. To avoid slipping while transferring from a sitting to
15 standing position, a non-slip mattress was placed on the surface of the foot scanner and
16 there was a wall bar for the subject to grasp for safety. An electronic balance scale was
17 installed on the platform to ensure that the weight distribution on both legs was equal for
18 the subject. Two trials of measurements were done on each testing foot site in sitting and

1 standing positions. The mean scores of the thickness and stiffness of the plantar soft
2 tissue were calculated for subsequent analysis. A 5-minute rest was provided between the
3 measurements of each site. The data obtained on the first assessment session was used for
4 comparing the thickness and stiffness of the tissue in sitting and standing.

5

6 **4.2.4 STATISTICAL ANALYSIS**

7 SPSS 17.0 was used to analyze the data. Intraclass correlation coefficient (ICC(3,2)) was
8 used to analyze the test-retest reliability of the Ultrasound Foot Scanner System. In the
9 ICC analysis, since only one rater participated in all data collection, model 3 was used;
10 and as the mean value of each measurement was obtained by averaging the two scores,
11 therefore form 2 was chosen. Paired t-test was used to compare the result obtained in the
12 sitting and standing positions made by the Ultrasound Foot Scanner System. The level of
13 significance was set at $p < 0.05$. Because no significant difference was found in the data
14 collected from the two legs, the data obtained from the two legs were averaged for
15 subsequent analyses.

16

17 **4.3 RESULTS**

18 **4.3.1 TEST-RETEST RELIABILITY OF THE ULTRASOUND FOOT SCANNER** 19 **SYSTEM**

20 The thickness and stiffness over big toes, first metatarsal heads, second metatarsal heads,
21 fifth metatarsal heads and heels in day 1 and day 2 are listed in Table 4.2. Intraclass
22 correlation coefficient reported excellent test-retest reliability of the Ultrasound Foot

1 Scanner System with all ICC (3,2) >0.9 (all $p < 0.001$) at all five measurement sites taken
 2 one week apart.

3

4 **Table 4.2**

5 Test-retest reliability of the Ultrasound Foot Scanner System in measuring thickness and
 6 stiffness of plantar soft tissue

7 All data were the average data obtained from the left and right legs

Position	Site	Day 1	Day 2	ICC (3,2)	p -Value
Thickness (mm)					
Sitting	Big toe	9.03 ± 1.34	8.94 ± 1.30	0.988	<0.001**
	First metatarsal head	11.19 ± 1.05	11.02 ± 1.12	0.973	<0.001**
	Second metatarsal head	10.64 ± 1.53	10.67 ± 1.50	0.989	<0.001**
	Fifth metatarsal head	8.43 ± 1.44	8.29 ± 1.46	0.993	<0.001**
	Heel	15.27 ± 3.99	15.23 ± 3.92	0.997	<0.001**
Standing	Big toe	8.11 ± 1.20	8.16 ± 1.13	0.988	<0.001**
	First metatarsal head	9.79 ± 1.24	9.70 ± 1.29	0.989	<0.001**
	Second metatarsal head	9.18 ± 1.33	9.15 ± 1.22	0.986	<0.001**
	Fifth metatarsal head	7.47 ± 1.27	7.44 ± 1.31	0.991	<0.001**
	Heel	13.30 ± 3.65	13.14 ± 3.57	0.997	<0.001**
Stiffness (kPa)					
Sitting	Big toe	66.50 ± 33.35	64.83 ± 32.35	0.997	<0.001**
	First metatarsal head	73.59 ± 60.44	75.83 ± 62.13	0.995	<0.001**
	Second metatarsal head	57.15 ± 28.81	56.24 ± 27.33	0.997	<0.001**

	Fifth metatarsal head	108.38 ± 99.90	111.86 ± 112.51	0.996	<0.001**
	Heel	143.59 ± 46.29	144.07 ± 47.32	0.994	<0.001**
Standing	Big toe	150.69 ± 75.03	150.33 ± 76.60	0.998	<0.001**
	First metatarsal head	238.25 ± 278.34	239.75 ± 280.24	1.000	<0.001**
	Second metatarsal head	149.48 ± 108.75	146.00 ± 103.07	0.998	<0.001**
	Fifth metatarsal head	351.87 ± 440.69	346.77 ± 425.69	0.998	<0.001**
	Heel	379.63 ± 87.72	384.07 ± 87.93	0.993	<0.001**

1 ** $p \leq 0.001$

2

3 **4.3.2 COMPARISONS OF THE THICKNESS AND STIFFNESS OF THE**

4 **PLANTAR SOFT TISSUE MEASURED IN SITTING AND STANDING**

5 **POSITIONS**

6 The thickness and stiffness of the five measuring sites over the soles in sitting and
7 standing are listed in Table 4.3. Paired t-test showed that the plantar soft tissue was
8 significantly thinner, with a range from 0.92 mm to 1.97 mm decrease in thickness, at
9 different measurement sites when changing from sitting to standing position (all $p < 0.001$).
10 Also, the stiffness of plantar soft tissue was significantly increased in a standing position
11 at different measurement sites (with an increase ranged from 84.19 kPa to 243.49 kPa) as
12 compared with the measurements recorded in a sitting position (between-group difference:
13 all $p < 0.05$).

14

15

16

17

1 **Table 4.3**

2 The thickness (mm) and stiffness (kPa) recorded in sitting and standing recorded by the
3 use of Ultrasound Foot Scanner System[#].

Site	Sitting	Standing	<i>p</i> -value
Thickness (mm)			
Big toe	9.03 ± 1.34	8.11 ± 1.20	<0.001**
First metatarsal head	11.19 ± 1.05	9.79 ± 1.24	<0.001**
Second metatarsal head	10.64 ± 1.53	9.18 ± 1.33	<0.001**
Fifth metatarsal head	8.43 ± 1.44	7.47 ± 1.27	<0.001**
Heel	15.27 ± 3.99	13.30 ± 3.65	<0.001**
Stiffness (kPa)			
Big toe	66.50 ± 33.35	150.69 ± 75.03	<0.001**
First metatarsal head	73.59 ± 60.44	238.25 ± 278.34	0.013*
Second metatarsal head	57.15 ± 28.81	149.48 ± 108.75	0.001**
Fifth metatarsal head	108.38 ± 99.9	351.87 ± 440.69	0.018*
Heel	143.59 ± 46.29	379.63 ± 87.72	<0.001**

4 **p*<0.05

5 ***p*≤0.001

6 # Values are Mean ± standard deviation. All the shown data were the mean obtained from
7 the left and right legs.

8

9 **4.3.3 POWER ANALYSIS**

10 The *post hoc* power analysis was performed by using G*Power with the data obtained in
11 1st metatarsal head with sample size of 15 subjects in 2 groups and alpha set at 0.05, it
12 yielded an effect size ranging from 0.734 to 2.040 and power from 0.753 to 1.000.

13

1 **4.4 DISCUSSION**

2 Foot ulceration is one of the common complications reported by people with diabetes.
3 One of the risk factors is an increase in stiffness of plantar soft tissue (Reihnsner et al.,
4 2000). The morbidity, mortality and additional medical cost are substantially increased
5 for patients with diabetes who developed foot ulcer (Ramsey et al., 1999). Therefore, an
6 accurate and convenient assessment tool is essential to monitor the mechanical changes
7 over the sole of foot for early detection among people with diabetes who are at risk of
8 developing foot ulceration. Several devices or imaging systems have been adopted to
9 assess the stiffness and thickness of plantar soft tissue (Gefen et al., 2001; Rome et al.,
10 2001). But they are either expensive imaging system such as magnetic resonance imaging
11 (Gefen et al., 2001) or system that only provides indirect measurement of the plantar soft
12 tissue during the indentation (Rome et al., 2001). These factors limit their feasibility to
13 be applied in clinical settings.

14

15 Ultrasound is a relatively inexpensive and safe imaging technology. The foot scanner
16 system adopted in the present study is an advanced model based on a previously-designed
17 Tissue Ultrasound Palpation System (TUPS). The foot scanner can reflect the plantar
18 pressure that the foot received during weight-bearing. Since plantar pressure changes
19 when transfer from non-weight bearing positions to weight bearing positions (Kwan et al.,
20 2010; Zheng et al., 2000), therefore assessing the plantar soft tissue in weight-bearing
21 positions is clinically relevant especially for people with diabetes because they are prone
22 to develop foot deformities in weight bearing activities The redistribution of plantar
23 pressure occurs when the subjects change from a non-weight bearing position to a weight

1 bearing position (Cowley, Boyko, Shofer, Ahroni, & Ledoux, 2008). Moreover, TUPS
2 requires the assessor to perform a manual indentation of the ultrasound probe by hand
3 that needs a great deal of practice. Measuring errors may occur if the alignment of the
4 probe is not perpendicular to the skin. One earlier study reported that the Ultrasound Foot
5 Scanner System used in the present study was able to measure stiffness and thickness of
6 plantar soft tissue at different bodyweight loading (Zheng et al., 2012). The present study
7 further demonstrated that this system is a potential clinical device to monitor people's
8 plantar soft tissue conditions over time.

9

10 Our findings demonstrated a high test-retest reliability of using the Ultrasound Foot
11 Scanner System in assessing the thickness and stiffness of plantar tissue. The ultrasound
12 probe was fixated in the system to ensure its perpendicular alignment to the surface of the
13 skin during each measurement. Also, an electronic scale was built in the foot platform
14 that ensures the subject distributing equal body weight on both feet during the
15 measurement; software was implemented to standardize the speed and depth of
16 penetration, which contributed to the consistency of the measurements of stiffness at
17 different time points.

18

19 The present study was the first one to compare the change in thickness and stiffness of
20 the plantar soft tissue over the pulp of big toe, first metatarsal head, second metatarsal
21 head, fifth metatarsal head, and heel when subjects transferred from sitting to standing.
22 There was a significant thinning and stiffening of plantar tissue at these points when
23 subjects get up from sitting to standing position. Specifically, there was a 10% to 14%

1 decrease in thickness of the plantar soft tissue at the five measuring sites; and there was a
2 range of 123% to 164% increase in stiffness of the plantar soft tissue among those
3 measurement sites. When a subject gets up from a sitting to standing position, the body
4 weight exerts a higher force over the skin of the foot, the vertical compression force
5 results in horizontal squeezing of the tissue in standing, which is commonly observed in
6 biological soft tissue for protection from tissue breakdown (Zheng et al., 2012). Our
7 results also showed that the stiffness of the plantar soft tissue was highest at the heel,
8 followed by the fifth metatarsal head, first metatarsal head, pulp of big toe and then the
9 second metatarsal head. This trend was consistent in both sitting and standing. This is the
10 first study that reports this trend; future study can verify if this trend is altered in an aging
11 population or a disease group such as diabetes.

12

13 Our findings demonstrated that the weight bearing status alters the stiffness of plantar
14 tissue. More importantly, the change in stiffness of plantar tissue would increase the
15 plantar soft tissue pressure. Cheung et al. reported that the stiffness of plantar soft tissue
16 increase by five-fold that can lead to an increase in the pressure on the plantar soft tissue
17 for more than 30 percent (Cheung et al., 2005); Flynn et al. further showed that the
18 plantar pressure was reduced during weight supported walking as compared with normal
19 walking (Flynn, Canavan, Cavcanagh, & Chiang, 1997). Vela et al. also found that an
20 increase in body weight would significantly increase the plantar pressure (Vela, Lavery,
21 Armstrong, & Anaim, 1998). These findings further support the use of the present foot
22 scanner system in assessing the plantar soft tissue in weight bearing positions; which is
23 an important functional position to estimate the risk of developing foot ulcers. Further

1 investigation is required to explore how exactly the change of plantar soft tissue stiffness
2 would affect the plantar pressure using the present foot scanner system. The present
3 device can potentially be used in assessing plantar pressure in clinical settings,

4

5 The present study performed the foot assessment in static postures and we found that the
6 percentage decrease in tissue thickness over the heel from sit to stand was around 13%.

7 This figure was lower than the results reported by Gefen et al. who found that there was a
8 deformation heel tissue thickness by about 40% during heel strike using an X ray-based
9 system (Gefen, Megido-Ravid, & Itzchak, 2011). This discrepancy was probably caused
10 by the ground reaction force exerted on the heel during walking. The device used by
11 Gefen et al. (2001) only allowed a one-dimensional lateral view of the foot, which is not
12 feasible to provide accurate measurement of the deformation of one particular point on
13 the heel. Besides, the exposure to radiation and also the expenses would be a concern if
14 regular assessments need to be conducted over time in clinical setting.

15

16 Plantar soft tissues over the metatarsal heads are common sites of developing foot
17 ulceration. A previous study showed that toe extension produced a significant increase in
18 stiffness on the plantar soft tissue over metatarsal heads, which would cause an increase
19 in plantar soft tissue pressure during push off phase in walking (Garcia, Hoffman,
20 Hastings, Klaesner, & Mueller, 2008). Modification of the current system such as putting
21 a tilting system to fixate the toes in extension position would be technically feasible to
22 measure how would toes extension change the soft tissue stiffness and thickness over the
23 metatarsal heads, but further investigation is required to prove this hypothesis. According

1 to Table 4.3, the 1st and 5th metatarsal heads showed a relatively higher standard deviation.
2 This might be due to the reason that some of the subjects were relatively active in sport
3 activities and callus might have formed over these two spots.

4

5 The Ultrasound Foot Scanner System was designed to minimize the possible human error
6 made during measurement. Assessors were only required to ensure that the subjects were
7 able to keep an erect proper during the measurement; and the same posture can be
8 maintained during the repeated measurements made at each site in either sitting or
9 standing. Therefore, the present system can potentially be used in clinical settings to
10 allow accurate and efficient assessment with good test-retest reliability. It is important to
11 assess the change in the biomechanical properties of plantar tissues in various weight
12 bearing positions because it allows early detection of people who are prone to develop
13 foot complication such as diabetic ulcers.

14

15 **4.5 LIMITATION**

16 The present study was conducted in healthy subjects. Therefore future study should be
17 conducted to use the present device to measure thickness and stiffness of plantar soft
18 tissue in a specific client group such as elderly or people with diabetes.

19

20 **4.6 CONCLUSION**

21 The Ultrasound Foot Scanner System is a reliable tool for measuring the thickness and
22 stiffness of the plantar soft tissue in either a sitting or standing position. The plantar soft
23 tissues become significantly thinner and stiffer in standing when compared to sitting,

1 which implies that weight-bearing status can change the plantar pressure. The system can
2 potentially be used as a clinical device to identify the risk of developing foot ulcers in
3 various disease groups such as people with diabetes.

4

5 Foot ulceration is not the only complication found in people with diabetes. In the next
6 chapter, I will introduce another common complication, a decrease in mobility
7 performance found in people with DM. I will also explain the findings on the relationship
8 between the ankle characteristics found in elderly with type 2 DM and their mobility
9 performance.

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CHAPTER 5
RELATIONSHIP BETWEEN THE PHYSICAL CHARACTERISTICS
OF THE ANKLE AND THE POSTURAL CONTROL IN ELDERLY
WITH TYPE 2 DIABETES

1 **5.1 INTRODUCTION**

2 Decrease in mobility performance is one of the major concerns in people with diabetes
3 (Gregg et al., 2000; Orr, Tsang, Lam, Comino, & Singh, 2006). The decline in quality of
4 life and balance performance were associated with the decline in mobility performance
5 (Bootsma-van der Wiel et al., 2002; Ijzerman et al., 2012). Diabetes affects the distal
6 circulation and may lead to various complications on the ankle joint, including a decrease
7 in ankle muscle strength, increase in stiffness of the ankle joint in dorsiflexion, and
8 decrease in ankle proprioception sense (Andersen et al., 2004; Giacomozzi et al., 2008;
9 van Deursen & Simoneau, 1999). These physical characteristics are potential factors
10 affecting the mobility performance in this client group. However, no previous study has
11 examined these relationships.

12
13 A decrease in lower limb muscle strength due to sarcopenia is commonly found among
14 elderly people, but the rate of decline in the distal muscle strength was found to be faster
15 among elderly with diabetes than age-matched control (Andersen et al., 2004; Andreassen
16 et al., 2006). This accelerated decline in muscle strength is caused by hyperglycemia
17 which speed up the muscles break down, thus reducing the mass and quality of the
18 muscles as reflected by muscle force per unit of mass (Cesari et al., 2004; Park et al.,
19 2006; Visser et al., 2002). A reduced range of motion in dorsiflexion is another
20 complication caused by hyperglycemia that trigger a chain of reaction and ended up with
21 an increase in the density of disorganized collagen fiber and formed abnormal fiber
22 morphology with adhesive fiber in the Achilles tendon (Giacomozzi et al., 2008; Grant et
23 al., 1997). These changes were found to alter the stretch distribution of the Achilles

1 tendon during walking (Cronin et al., 2010), which may in turn influence the walking
2 efficiency in diabetic patients. Besides, a decrease in proprioception of the ankles may
3 occur even before any sign of diabetic neuropathy is reported; this sensory deficits may
4 affect their stability of walking and increase their risk of fall during walking (Liu et al.,
5 2010; van Deursen & Simoneau, 1999).

6

7 The abovementioned ankle characteristics are believed to contribute to the decline in
8 mobility performance among elderly with diabetes. However, no study has investigated
9 how much each of these physical characteristics contributes to the decline in mobility
10 performance among this client population. With a better understanding of the
11 contribution of each ankle characteristics to mobility performance of people with diabetes,
12 a specific training program can be designed to enhance the mobility status for this client
13 group. Therefore, the objective of present study was to examine the relationship between
14 physical characteristics of the ankle (muscle strength, ankle stiffness, and proprioception)
15 and the mobility performance of people with type 2 diabetes. Since demographic
16 characteristics are factors affecting the mobility performance, multiple regression
17 analysis was used to assess the degrees of association between demographic
18 characteristics, ankle joint characteristics, and mobility performance. The mobility
19 performance was measured by the Timed Up and Go test (TUG). TUG is a clinical test
20 which has been widely used to assess mobility performance in elderly population
21 including people with diabetes (Beauchet et al., 2011; Brandon, Gaasch, Boyette, &
22 Lloyd, 2003).

23

1 **5.2 METHOD**

2 **5.2.1 SUBJECTS**

3 Subjects with type 2 diabetes and age 65 or above were recruited in local community
4 elderly centers. Inclusion criteria were a diagnosis of type 2 diabetes with the ability to
5 walk without walking aids and able to understand instructions. Subjects were excluded if
6 they had peripheral neuropathy screened by 10g monofilament (Nather et al., 2008),
7 retinopathy, or other visual impairments assessed by visual acuity test using the Snellen
8 chart (Falkenstein et al., 2008), recent foot lesions or surgery, a history of neurological
9 disorders, and unstable hypertension as reported by the patients. Ethical approval for
10 conducting the study was obtained from a local university; written informed consent was
11 obtained from all the participants.

12

13 **5.2.2 MATERIALS AND EXPERIMENTAL SETUP**

14 **5.2.2.1 TIMED UP AND GO TEST**

15 The Timed Up and Go test (TUG) was conducted by first asking the subjects to sit on a
16 chair with backrest and their arms relaxed on the armrests. Subjects were then instructed
17 to stand up, walk for 3 meters, turn around at a mark on the ground, then walk back to the
18 chair and sit down with their back touching the backrest (Shumway-Cook et al., 2000).
19 The details of timing can be referred to chapter 3 (section 3.6). Three trials were
20 conducted on each subject and the average time measured to the nearest 0.01 second was
21 calculated for analysis. This test is commonly used in assessing the general mobility
22 status of elderly people, and high test-retest reliability has been demonstrated (Steffen et
23 al., 2002).

1 **5.2.2.2 MUSCLE STRENGTH OF ANKLE PLANTAR FLEXORS AND**
2 **DORSIFLEXORS**

3 The isokinetic muscle strength of ankle plantar flexors and dorsiflexors was tested using
4 the Cybex Norm dynamometer (Computer Sports Medicine Inc, Stoughton, MA, USA)
5 with the angular velocity set at 60°/seconds. Details of the test can be referred to chapter
6 3 (section 3.2). A total of three trials with three repetitions in each trial were performed
7 for each leg, and the average peak torque normalized by each subject's weight in Newton
8 meters per kilogram (Nm/kg) was calculated for analysis (Li et al., 2009).

9

10 **5.2.2.3 ACTIVE ANKLE JOINT REPOSITIONING TEST**

11 The proprioception of the ankle joint was measured by an active ankle joint repositioning
12 test using the built-in goniometer of the Cybex Norm dynamometer (Computer Sports
13 Medicine Inc, Stoughton, MA, USA). The inter-tester reliability of the test was
14 previously reported to be excellent (Deshpande et al., 2003). The details of the
15 assessment can be referred to chapter 3 (section 3.3). A total of three trials were
16 performed and the mean value of the three trials was calculated for subsequent analysis
17 (Sefton et al., 2009).

18

19 **5.2.2.4 WEIGHT-BEARING LUNGE TEST**

20 The ankle joint mobility in terms of dorsiflexion was assessed by the weight-bearing
21 lunge test (WBLT), based on the knee-to-wall principle (Hoch et al., 2011). The detail of
22 assessment can be found in chapter 3 (section 3.4). Excellent inter-tester and intra-tester
23 reliability for the WBLT had been reported in a previous study (Bennell et al., 1998).

1 **5.2.3. STATISTICAL ANALYSIS**

2 All analyses were performed with the IBM® SPSS® Statistics 19.0 (IBM Corporation,
3 Chicago, IL, USA). Pearson correlation coefficient was used to analyze the pairwise
4 relationship between gender (coded 0/1), age, duration of diabetes, body mass index
5 (BMI), glycated hemoglobin (HbA1c), normalized peak torque of ankle dorsiflexors,
6 normalized peak torque of ankle plantar flexors, WBLT, and active ankle joint
7 repositioning error. Pearson correlation coefficient was also used to assess the association
8 between TUG and each independent variable as abovementioned. Multiple regression
9 analysis (Enter method) was used to examine the degree of association between those
10 independent variables and TUG when examined simultaneously. Three separate
11 regression models adopted in the present analysis were: (i) only demographic variables
12 were entered into the model; (ii) only ankle characteristics were entered; (iii) all variables,
13 including demographic and ankle characteristics were entered. Comparing these three
14 nested models would enable us to examine the partial correlation between TUG and ankle
15 characteristics after partialling out the effect of demographic characteristics, and vice
16 versa. Since there was no significant difference between the left and right leg in the
17 results obtained on the normalized peak torque of ankle muscles, WBLT, and active joint
18 repositioning error, the average of the data obtained for the two legs was used for
19 subsequent data analyses. The level of significance was set at $p < 0.05$.

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1 **5.3 RESULTS**

2 **5.3.1 DESCRIPTIVE STATISTIC**

3 85 elderly subjects with type 2 diabetes were recruited from four community elderly
4 centers. The demographic characteristics are shown in Table 5.1. In general, the mean
5 duration of diabetes among our subjects was more than 10 years. The glycemic control in
6 our subjects was not satisfactory ($7.7 \pm 1.4\%$) as American Diabetic Association
7 recommended people with diabetes should keep the HbA1c level below 7% in order to
8 reduce the risk of developing microvascular complications ("Executive summary:
9 standards of medical care in diabetes-2009," 2009).

10

11 **Table 5.1**

12 Demographic characteristics of the subjects (mean \pm SD)

Characteristic	n=85
Age (year)	72.5 ± 7.2
Female (%)	70.6
History of diabetes (year)	10.6 ± 8.3
Glycated haemoglobin - HbA1c (%)	7.7 ± 1.4
Height (cm)	153 ± 7.3
Weight (kg)	63.4 ± 14.4
Body Mass Index (kg/m^2)	26.9 ± 6.6

13

14 The performance of TUG, normalized peak torque of ankle plantar flexors and
15 dorsiflexors, weight-bearing lunge test distance and active ankle joint repositioning errors
16 are shown in Table 5.2. A linear relationship between TUG and each independent

1 variable was observed. Table 5.3 shows the pairwise relationship of the independent
2 variables (demographics and ankle characteristics). The correlations between several
3 pairs of the independent variables were statistically significant; however the level of
4 correlations were only low to moderate. Therefore, each variable provides independent
5 information in the regression model.

6

7 **Table 5.2**

8 Descriptive statistic of the performance (mean \pm SD)

Variables	Descriptive statistic
TUG (second)	10.1 \pm 3.1
Normalized peak torque of dorsiflexors (Nm/kg)	0.2 \pm 0.1
Normalized peak torque of plantarflexors (Nm/kg)	0.3 \pm 0.1
Lunge test distance (cm)	8.6 \pm 4.1
Active ankle joint repositioning error (degree)	5.4 \pm 3.1

9 Data on the active ankle joint repositioning error, lunge distance and normalized

10 peak torques represent the mean obtained in the left and right legs

11

Table 5.3

Pearson correlation coefficient of the independent variables

Independent variables	TUG	Age	History of diabetes	Gender	BMI	HbA1c	Normalized peak torque of dorsiflexors	Normalized peak torque of plantarflexors	Lunge test distance	Active ankle joint repositioning error
Age (year)										
<i>r</i>	0.44		0.00	-0.01	0.19	-0.08	-0.31	-0.20	-0.28	0.30
<i>p</i>	(<0.001)‡	-----	(0.985)	(0.920)	(0.082)	(0.494)	(0.005†)	(0.071)	(0.009†)	(0.006†)
History of diabetes (year)										
<i>r</i>	0.04		-----	0.16	-0.04	-0.22	0.02	0.07	-0.06	-0.12
<i>p</i>	(0.747)			(0.142)	(0.732)	(0.052)	(0.890)	(0.518)	(0.611)	(0.291)
Gender										
<i>r</i>	0.16				0.07	0.20	-0.39	-0.13	-0.21	0.19
<i>p</i>	(0.134)			----	(0.539)	(0.071)	(<0.001)‡	(0.240)	(0.059)	(0.094)
Body mass index (kg/m ²)										
<i>r</i>	0.42					0.03	-0.36	-0.36	-0.32	0.02
<i>p</i>	(<0.001)‡				-----	(0.783)	(0.001†)	(0.001†)	(0.003†)	(0.838)
Glycated haemoglobin - HbA1c (%)										
<i>r</i>	-0.15						0.05	0.17	0.06	0.06
<i>p</i>	(0.194)					-----	(0.647)	(0.133)	(0.591)	(0.579)
Normalized peak torque of dorsiflexors (Nm/kg)										
<i>r</i>	-0.63						-----	0.74	0.53	-0.18
<i>p</i>	(<0.001)‡							(<0.001)‡	(<0.001†)	(0.111)

Normalized peak torque of plantarflexors (Nm/kg)		-----		
<i>r</i>	-0.61		0.48	-0.10
<i>p</i>	(<0.001)‡		(<0.001‡)	(0.374)
Lunge test distance (cm)				
<i>r</i>	-0.56			-0.37
<i>p</i>	(<0.001)‡		-----	(0.001†)
Active ankle joint repositioning error (degree)				
<i>r</i>	0.43			-----
<i>p</i>	(<0.001)‡			

†*p*<.01; ‡*p*<.001

1 **5.3.2 CORRELATION BETWEEN THE INDEPENDENT VARIABLES AND THE**
2 **TIMED UP AND GO TEST**

3 Pearson correlation coefficient showed that age ($r=0.44$), body mass index ($r=0.42$) and
4 active ankle joint repositioning error ($r=0.43$) were positively correlated with TUG while
5 normalized peak torque of ankle dorsiflexors ($r=-0.63$), plantar flexors ($r=-0.61$) and
6 weight-bearing lunge test distance ($r=-0.56$) were negatively correlated to the Timed Up
7 and Go test (with all $p<0.05$) (Table 5.3).

8

9 **5.3.3 MULTIPLE REGRESSION ANALYSES**

10 When only demographic variables were included in the model, the R^2 was 0.375. When
11 both demographic and ankle characteristics were included, the R^2 increased to 0.599.
12 Hence, by adding ankle characteristics, there was a 22.4% increase in variation explained.
13 However, when only ankle characteristics were included in the model, the R^2 was 0.546;
14 implying that the additional variance explained by demographic variables was only 5.3%.
15 The independent contribution made by ankle characteristics was therefore a lot bigger
16 than demographic characteristics. Table 5.4 presents results of the full regression model;
17 the multiple R (0.774) was statistically significant ($p<0.001$). The strength of the ankle
18 dorsiflexors and ankle plantar flexors, stiffness in dorsiflexion and proprioception,
19 together with the demographic data, accounted for 59.9% of the variance of TUG.
20 Significant contributors included BMI ($\beta= 0.235$, $p=0.009$; partial correlation= 0.314),
21 active ankle joint repositioning error ($\beta= 0.252$, $p=0.005$; partial correlation= 0.338), and
22 normalized peak torque of plantar flexors ($\beta= -0.296$, $p=0.027$; partial correlation= -
23 0.268).

1 **5.3.4 POWER ANALYSIS**

2 The *post hoc* power analysis was performed by G*Power with the result obtained from
3 the multiple regression analysis. It yielded an effect size of 0.270 and power 0.62.

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1 **Table 5.4**

2 Multiple Regression Analysis of the Timed Up and Go test with Demographic Data and

3 Ankle Characteristics

Independent variables	Standardized coefficient (β)	R^2	F	p -value
Age (year)	0.133	0.599	10.953 \dagger	0.134
Gender	-0.010			0.912
Duration of diabetes (year)	0.078			0.366
Body Mass Index (kg/m^2)	0.235			0.009*
Glycated haemoglobin- HbA1c (%)	-0.087			0.312
Normalized peak torque of dorsiflexors (Nm/kg)	-0.176			0.233
Normalized peak torque of plantarflexors (Nm/kg)	-0.296			0.027*
Weight-bearing lunge test distance (cm)	-0.084			0.396
Active ankle joint repositioning error (degree)	0.252			0.005*

4 The dependent variable is the Timed Up and Go test.

5 * $p < 0.05$; $\dagger p < 0.001$

1 **5.4 DISCUSSION**

2 This is the first study that examined the association between ankle characteristic and
3 mobility performance in elderly with type 2 diabetes. We measured ankle muscle strength,
4 proprioception and the dorsiflexion stiffness in each patient, and found that all these
5 ankle characteristics were significantly associated with TUG. Multiple regression
6 analysis revealed that BMI, normalized peak torque of the ankle plantar flexors, and
7 ankle joint proprioception are significant contributors to the mobility performance of
8 elderly with diabetes, as reflected by TUG.

9

10 **5.4.1 DEMOGRAPHIC DATA AND TIMED UP AND GO TEST**

11 BMI was one of the significant factors contributing to TUG ($\beta= 0.235, p=0.009$). This
12 finding was consistent to what an earlier study reported that BMI is one of the factors that
13 contribute to the loss of walking ability in people with diabetes (van Sloten et al., 2011).
14 Studies have reported the problems brought by obesity in people with diabetes including
15 risk of developing coronary heart disease (Cho et al., 2002). Our results further suggested
16 that obesity in this client group would reduce their mobility status. People who are
17 relatively obese need stronger lower limb muscle to ambulate and transfer. Launer et al.
18 (1994) suggested that people with high BMI would result in reduction in neurological and
19 musculoskeletal reserve or capacity, which would form a vicious cycle that cause further
20 decline in mobility performance (Launer, Harris, Rumpel, & Madans, 1994). Therefore,
21 weight control and reduction is essential for the diabetic population.

22

1 Age was found to be significantly correlated with TUG ($r=0.44$, $p<0.001$). This
2 association was expected because there is a general decline in different systems during
3 the process of aging, such as reduced general muscle strength or visual deterioration,
4 which would affect physical mobility (Finlayson & Perterson, 2010). Surprisingly, our
5 findings illustrated that glycated haemoglobin level (HbA1c) and the duration of diabetes
6 did not correlate with TUG. This was inconsistent to our original hypothesis since
7 previous studies reported that HbA1c level and duration of diabetes were associated with
8 the physical function in elderly with type 2 diabetes (Park et al., 2006; Sayer et al., 2005).
9 The reason behind this contradicting result can be explained by the convenience sampling
10 method that we adopted. This is well known that most elderly people with type 2 diabetes
11 adopt a more sedentary life style. We recruited subjects from community centres, who
12 subjects were relatively active and had a better general health. The convenient sampling
13 adopted in present study may lead to a selection bias in which the recruited subjects did
14 not represent the typical people with diabetes, therefore, the influence of diabetic duration
15 or blood glucose level seemed to bring little impact to the physical performance in our
16 subjects.

17

18 **5.4.2 ANKLE MUSCLE PEAK TORQUE AND THE TIMED UP AND GO TEST**

19 The major components of TUG included sit-to-stand transfer, walking and turning. Our
20 results showed that the normalized peak torque of the plantar flexors was a significant
21 factor contributing to TUG ($\beta= -0.296$, $p=0.027$). This finding was consistent to the result
22 reported by Ijzerman et al who found both ankle dorsiflexors and plantar flexors were
23 associated to TUG for people with diabetes (Ijzerman et al., 2012). The present study

1 presented the ankle peak torque by normalizing the body weight. This is because lower
2 limb muscle strength is supposed to be related to a person's own body weight (Bazett-
3 Jones, Cobb, Joshi, Cashin, & Earl, 2011). The strength of plantar flexors is a crucial
4 factor affecting walking speed and that is the key factor discriminating the physical
5 performance of individuals (Graf, Judge, Ounpuu, & Thelen, 2005; Kerrigan, Todd,
6 Croce, Lipsitz, & Collins, 1998). The push-off power of the ankles is essential in
7 propelling the weight of the body forward and it was found that the decrease in push-off
8 power by ankle plantar flexors contributed more than the reduction of cadence to the
9 reduction in walking speed when people are getting old (Winter, Patla, Frank, & Walt,
10 1990). Besides, it was found that the preferred walking speed can be increased by 7% if
11 the push-off energy is increased by 15% (Norris, Granata, Mitros, Byrne, & Marsh, 2007).
12 This finding was particularly important for elderly people with diabetes because they are
13 experiencing an accelerated decline in muscle strength as compared with healthy subjects
14 (Andersen et al., 2004). In addition to forward walking, ankle plantar flexors strength is
15 particularly important for making a smooth turn because an efficient ankle push-off of the
16 outer limb is needed in order to push the center of gravity in the direction of turning
17 (Orendurff et al., 2006). In addition, extra push-off power enables a person to make a
18 spin turn (takes a shorter time to complete the turning) rather than a step turn (Hase &
19 Stein, 1999).

20

21 We found that the strength of ankle dorsiflexors was associated with TUG. This finding
22 was consistent to a previous study that reported a positive correlation between strength of
23 ankle dorsiflexors and walking velocity in people with type 2 diabetes (MacGilchrist et

1 al., 2010). The muscle strength of ankle dorsiflexors is important in creating effective toe
2 clearance during walking and turning (Orendurff et al., 2006). Kemoun and colleagues
3 demonstrated that elderly fallers have a greater delay in the recruitment of ankle
4 dorsiflexor rather than a decrease in dorsiflexors strength during swing phase of walking
5 as compared to elderly non-fallers (Kemoun, Thoumie, Boisson, & Guieu, 2002). This
6 implies that the neuromuscular component of ankle dorsiflexors might be a more
7 important factor than muscle strength in determining the walking efficiency. This could
8 be more obvious in people with diabetes who commonly present with a decrease in nerve
9 conduction in distal muscle as the disease progresses. Further study is needed to verify
10 this hypothesis.

11

12 For the sit-to-stand phase of the TUG, some studies reported that the strength of ankle
13 dorsiflexors was correlated to the sit-to-stand performance in which the subjects who had
14 weaker dorsiflexor strength took longer time to perform sit-to-stand transfer. However,
15 those studies focused on the performance on either healthy elderly or elderly in other
16 disease group but not in people with diabetes (Lord, Murray, Chapman, Munro, &
17 Tiedemann, 2002; Scott-Okafor, Silver, Parker, Almy-Albert, & Gardner, 2001).
18 Previously, it was believed that hip and knee extensors were the main contributors to sit-
19 to-stand transfer, Scott-Okafor and colleagues suggested there might be a subtle role of
20 ankle dorsiflexor on maintaining the balance of the center of gravity of during the
21 transition phase from sitting to standing (Scott-Okafor et al., 2001). Until now, there is no
22 clear explanation on the relationship between ankle dorsiflexor strength and the sit-to-
23 stand performance.

1 Although we found that the normalized peak torque of ankle dorsiflexors has a significant
2 and moderate correlation with the performance of TUG ($r=-0.63$, $p<0.001$), we still found
3 that it was not significantly associated with the performance of TUG in the multiple
4 regression model. This can be explained statistically. As shown in Table 5.3, there are
5 significant correlations between several pairs of independent variables. Among those
6 pairs, the correlation between the normalized peak torque of ankle dorsiflexors and the
7 normalized peak torque of plantar flexors ($r=0.74$, $p<0.001$) is relatively high as
8 compared with the rest. When multiple regression analysis was applied to predict the
9 performance of TUG, the explanatory power of the peak torque of dorsiflexors might be
10 overshadowed by that of plantar flexors, hence making the impact of the peak torque of
11 dorsiflexors on the performance of TUG less substantial.

12

13 **5.4.3 ANKLE JOINT REPOSITIONING TEST AND TIMED UP AND GO TEST**

14 Ankle joint proprioception is another significant factor contributing to TUG ($\beta= 0.252$,
15 $p=0.005$). Ankle proprioception is essential in coordinating various muscle groups of the
16 lower limbs for ambulation (Abelew, Miller, Cope, & Nichols, 2000) Accurate ankle
17 proprioception enables human to detect the correct placement of the foot during the initial
18 heel contact, and it also contributes to a stable single-leg stance in mid-stance during
19 walking(Konradsen, Ravn, & Sorensen, 1993), it is also important for providing correct
20 information on the location and acceleration of the center of the mass with respect to the
21 supporting surface during the swing phase when walking (Sorensen & Hollands, 2002).
22 A systematic review demonstrated that the afferent input from the ankle contributes 30%
23 to 60% of the output of ankle extensor muscles during the stance phase (Donelan &

1 Pearson, 2004). Therefore, ankle proprioception affects the stance and swing phases
2 during walking and turning, and its statistical significance in the regression model in the
3 present study further reflects its importance found in previous studies. Although no
4 previous study has investigated the relationship between ankle proprioception and sit to
5 stand performance, the alignment of ankle would be affected in preparation of sit-to-stand
6 transfer if there was a deficit in estimation of ankle placement, thus affecting the
7 performance during the transfer.

8

9 **5.4.4 WEIGHT-BEARING LUNGE TEST AND THE TIMED UP AND GO TEST**

10 The present study demonstrated a significant correlation between the stiffness of ankle
11 dorsiflexion and TUG performance. The range of motion of ankle dorsiflexion is
12 important for toe clearance and for generating the peak torque of plantar flexors during
13 walking (Mueller, Minor, Schaaf, Strube, & Sahrman, 1995). It was found that the
14 passive ankle range of motion in dorsiflexion was associated with the time for rearfoot re-
15 inversion and heel-off during walking, a greater deficit in the range would result in
16 further decline in walking performance (Cornwall & McPoil, 1999). For sit-to-stand
17 performance, decreased range of motion in ankle dorsiflexion would result in poor
18 alignment of ankle, which may increase the total center of mass distance traveled before
19 rising up from sitting and more time would be required for transfer (Perry, Marchetti,
20 Wagner, & Wilton, 2006). However, the present study found that ankle joint stiffness in
21 dorsiflexion was not a significant contributing factor to TUG, this can be explained by the
22 satisfactory performance of our subjects as the mean WBLT distance of our subjects
23 (8.56 cm) was comparable to the mean values measured in young healthy subjects (7.80

1 cm to 8.90 cm) as reported by Jones and colleagues (Jones, Carter, Moore, & Wills,
2 2005). In addition, the toe clearance could be compensated for by increasing the range of
3 hip flexion during the test. Therefore, the influence of ankle dorsiflexion stiffness on
4 TUG in terms of WBLT was reduced in the regression model.

5

6 **5.5 LIMITATION**

7 Convenient sampling method is one of the limitation of present study. Since the subjects
8 of present study were recruited from community centers. It is logical to deduced that this
9 group of population were physically more active. This can be one of the reason why we
10 found that the strength of ankle dorsiflexors and the stiffness of ankle joint in dorsiflexion
11 were not significant contributor to TUG.

12

13 **5.6 CONCLUSION**

14 To conclude, age, BMI, peak torque of ankle plantar flexors and dorsiflexors, stiffness of
15 the ankle joint in dorsiflexion, and ankle joint proprioception are correlated with TUG.
16 Multiple regression analysis showed that the physical characteristics of the ankle joint
17 together with demographic factors contributed to 59.9% of the variance in the
18 performance of TUG. BMI, ankle joint proprioception, and the strength of the ankle
19 plantar flexors are significant contributors to functional mobility in elderly people with
20 type 2 diabetes.

21

22 The research gap between the ankle characteristics and the mobility performance in
23 elderly with type 2 diabetes has been filled through the present study. The next step is to

1 investigate it exercise with content targeting at these characteristics could enhance the
2 mobility performance. However, previous studies were performed to investigate it
3 exercise could improve the balance and mobility performance in this group of population.
4 In the next chapter, the result of a systematic review on this aspect will be presented.

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CHAPTER 6
EFFECTS OF EXERCISE ON MOBILITY PERFORMANCE AND
BALANCE STATUS IN PEOPLE WITH TYPE 2 DIABETES: A
SYSTEMATIC REVIEW

1 **6.1 INTRODUCTION**

2 According to the fact sheet from World Health Organization, there are around 350
3 million people diagnosed with diabetes worldwide and this number is still rising
4 (*Diabetes Mellitus. Fact Sheet number 312*, 2011). Diabetes is well known in some of its
5 complications including impaired balance and mobility (Tilling, Darawil, & Mary, 2006).
6 The consequences of fall vary from physical injury to psychological impact and both
7 cause a decline in quality of life to the sufferers and their care givers (Jung, 2008).

8
9 One possible reason behind the increased fall risk is diabetic peripheral neuropathy. It
10 affects the transmission of the somatosensory signal from the sole of feet. Poor afferent
11 inputs subsequently cause a deterioration in postural control and gait stability (Menz et al.,
12 2004). However, it was found that people with diabetes without peripheral neuropathy
13 demonstrated a deterioration in ankle joint proprioception, thus causing them an altered
14 gait performance when walking across obstacle as compared with healthy control (Liu et
15 al., 2010). Besides, the decrease in the range of ankle dorsiflexion caused by increased
16 collagen cross-link in Achilles tendon in diabetic subjects was found to contribute to the
17 abnormal gait pattern which may cause mobility impairment (Abate et al., 2011; Grant et
18 al., 1997). Therefore, it is likely that the diabetic-associated decline in the
19 musculoskeletal system contributes to the increase in risk of fall (Andreassen et al., 2006;
20 Hilton et al., 2008).

21
22 Various studies have evaluated the effects of exercise in people with balance disorders. A
23 systematic review suggested that exercise is effective in enhancing balance in healthy

1 elderly (Howe, Rochester, Neil, Skelton, & Ballinger, 2011). However, there is lack of
2 similar review for diabetic population. Previous reviews only showed that exercise is
3 effective in glycemic control, reduction of visceral adipose tissue and reduce the
4 incidence of type 2 diabetes (Orozco et al., 2008; D. Thomas, Elliott, & Naughton, 2009).
5 Therefore, the present chapter will present the result of a systematical review on the
6 effectiveness of exercise on the enhancement of balance and mobility status in people
7 with type 2 diabetes.

8

9 **6.2 METHODOLOGY**

10 **6.2.1 LITERATURE SEARCH STRATEGY**

11 A literature search was conducted to identify all possible studies published until March
12 2012 in order to answer the research question. Medline (1950 to present), CINAHL (1982
13 to present), Cochrane Central Register of Controlled Trials and EMBASE were examined.
14 Search terms included controlled terms such as from MeSH in CENTRAL and free text
15 terms. Hand search on the bibliography of the relevant articles and existing reviews on
16 the effect of exercise in people with diabetes were performed in order to avoid missing
17 any relevant articles that were not identified in the abovementioned electronic databases.
18 Published or unpublished article, such as conference paper or dissertations in all
19 languages were included. The literature search was done with the combination of
20 keywords with an example of Medline in Appendix I. Search terms stand for diabetes
21 (such as diabet*, NIDDM, glucose intolerance etc); exercise (such as strengthening,
22 training, Tai Chi etc) and balance and mobility (such as balance, timed up and go test etc)
23 were used. The literature search was performed by two authors independently (TN and

1 EC), the identified articles were screened and included after reviewing the abstract. Full
2 texts of articles which matched the inclusion criteria or for those with unclear abstracts
3 were identified for further review. Any disagreement was resolved by discussion and a
4 third author (GC) was referred for adjudication if necessary.

5

6 **6.2.2 INCLUSION AND EXCLUSION CRITERIA**

7 Inclusion criteria were defined under the PICO model (population, intervention, control
8 group/comparison group and outcome measures).

- 9 • Population: people diagnosed with type 2 diabetes at any age and in any setting
- 10 • Intervention: any kind of exercise therapy aimed at improving balance and
11 mobility status. Specific exercise (such as Tai Chi or Pilates) or non-specific
12 exercise (such as resistance training or balance training); land exercise or
13 hydrotherapy; home exercise or center-based exercise; individual training or
14 group exercise were included.
- 15 • Control group/ comparison group: Randomized controlled trial or Quasi-
16 randomized controlled trial were included.
- 17 • Outcome measures: All kinds of objective assessments to evaluate the balance and
18 mobility status of the subjects were included. Assessments could be clinical test
19 (such as Timed Up and Go test, single leg stance test) or laboratory test (such as
20 gait analysis, Sensory Organization Test, Chattecx Dynamic Balance System).
21 Subjective assessments such as questionnaire or subject self-reported performance
22 were excluded.

23

1 **6.2.3 QUALITY ASSESSMENT**

2 The quality assessment was performed by the same authors as mentioned above (TN and
3 EC) independently, any disagreement was resolved by discussion and if necessary, the
4 third author (GC) was referred for decision. The quality assessment on the included
5 articles was done using the Cochrane Collaboration's Risk of bias tool (Higgins &
6 Altman, 2008). This tool includes 7 domains: (1) sequence generation, (2) allocation
7 concealment, (3) blinding of participants, (4) blinding of outcome assessor, (5)
8 incomplete outcome data, (6) selective outcome reporting, and (7) free of other bias. In
9 each domain, answer 'low' indicates low risk of bias; 'high' indicates high risk of bias
10 and 'unclear' indicates the authors could not make the judgment due to lack of sufficient
11 detail.

12

13 **6.2.4 DATA EXTRACTION**

14 Details of the articles were extracted and summarized in Appendix II. The data that were
15 extracted included:

- 16 • Authors, year and country of publication
- 17 • Study design
- 18 • Demographic data (age, number of subjects in each group)
- 19 • Intervention and control group management (form and intensity)
- 20 • Intervention duration and/or follow up period
- 21 • Balance or mobility outcome measures
- 22 • Drop out
- 23 • Summary of results

- 1 • Intervention adverse effects

2

3 **6.2.5 STATISTICAL ANALYSIS**

4 Since there was no limitation in the type of therapeutic exercise in the present study, in
5 order not to misrepresent the extracted data, a meta-analysis was not conducted. The
6 clinical significance was set at $p < 0.05$ in all outcome measures in the included articles,
7 unless if specific statistical analysis such as Bonferroni correction.

8

9 **6.3 RESULTS**

10 A total of 3394 abstracts were identified by the electronic databases. After selection
11 based on the title and keywords, a total of 27 studies were found. Fig 6.1 showed the flow
12 chart of the search result. After initial screening, 27 articles were extracted with full text
13 for further investigation.

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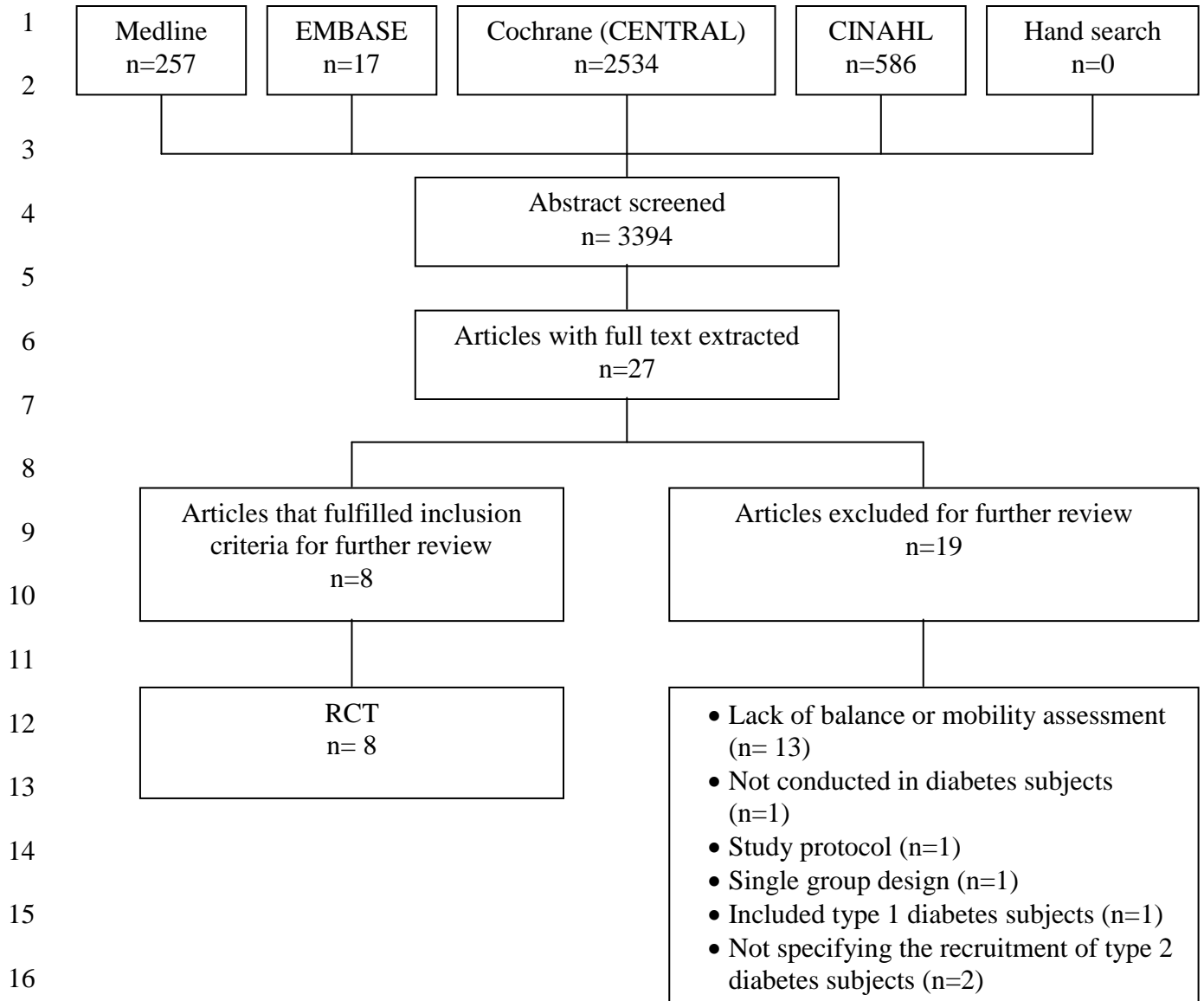
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17 Figure 6.1 Flow chat of the search result

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1 After extracting the full text of the articles, 19 articles were excluded due to the absence
2 of objective balance or mobility assessment (n=13) (Agurs-Collins, Kumanyika, Ten
3 Have, & Adams-Campbell, 1997; Araiza, Hewes, Gashetewa, Vella, & Burge, 2006;
4 Collins et al., 2011; Davies et al., 2008; Dutton, Provost, Tan, & Smith, 2008; Dutton et
5 al., 2009; Kim, Hwang, & Yoo, 2004; Kim & Kang, 2006; King et al., 2006; Larose et al.,
6 2010; Praet et al., 2008; Schneider et al., 2011; Weinstock et al., 2011); did not specify
7 the recruitment of type 2 diabetes patients (n=2) (Brandon et al., 2003; Richardson,
8 Sandman, & Vela, 2001); recruitment of type 1 diabetes patient (Kruse, Lemaster, &
9 Madsen, 2010); recruitment of non-diabetic patients (n=1) (Levinger, Goodman, Hare,
10 Jerums, & Selig, 2007); absence of control group (n=1) (Morrison, Colberg, Mariano,
11 Parson, & Vinik, 2010); and 1 of them was only a study protocol (Vadstrup, Frolic,
12 Perrild, Borg, & Roder, 2009). A total of 8 articles were included for further review. The
13 summary of the data extraction is shown in Appendix II.

14

15 **6.3.1 QAULITY ASSESSMENT**

16 Cochrane risk of bias tool was used to assess the risk of bias in each article, the summary
17 of the assessment is shown in Table 6.1. The randomizations of the subjects were done
18 properly except the one done by Orr et al. (2006), in which the randomization process
19 was not clearly mentioned. The concealments of allocation were done properly in 4
20 studies (Allet et al., 2010a; Allet et al., 2010b; Lam, Dennis, Diamond, & Zwar, 2008;
21 Tsang, Orr, Lam, Comino, & Singh, 2007), the remaining studies were not able to be
22 judged due to lack of sufficient information (Lambers, van Laethem, van Acker, &
23 Calders, 2008; Negri et al., 2010; Orr et al., 2006; Ozdirenc, Kocak, & Guntekin, 2004).

1 Blinding of the participants, including the assessors and the subjects were not satisfactory,
2 the authors either admitted that they could not completely blind the subjects and assessors
3 due to the nature of the study or the limited manpower (Allet et al., 2010a,b; Tsang et al.,
4 2007), some did not state the details of the blinding (Negri et al., 2010; Orr et al., 2006;
5 Ozdirenc et al., 2004). Drop out subjects was reported in all the 8 articles and several
6 methods in handling the missing data were used. Allet et al. (2010a,b) handled the
7 incomplete data by putting the “last observation carried forward” (Allet et al., 2010a,b),
8 which is a less bias method in handling incomplete data, but it was performed based on
9 the assumption that the exercise was beneficial to the subjects, therefore the risk of bias
10 was not able to be identified. The rest of the studies either did not state clearly the drop
11 out or the statistical method in handling incomplete data (Lam et al., 2008; Negri et al.,
12 2010; Orr et al., 2006; Ozdirenc et al., 2004), or the as-treated analysis was probably used
13 by analyzing the tables in the full texts, in which the authors analyzed the subjects who
14 had completed the whole study and the result might not be able to reflect the true effect of
15 the treatment (Lambers et al., 2008). There were 7 out of the 8 articles reported the
16 outcomes clearly without selective reporting except one did a second analysis which was
17 not mentioned in the methodology. In the analysis, those subjects who had high
18 attendance to the exercise class were included for data analysis and the authors chose to
19 report the result of this analysis (Negri et al., 2010). The details of risk of bias in each
20 domain are shown in Appendix III.

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1 **Table 6.1**

2 The summary of the risk of bias in the included studies

	Adequate sequence generation	Allocation concealment	Blinding of participants	Blinding of outcome assessors	Incomplete data addressed	Selective reporting	Other bias
Allet et al. (2010a)	Low risk	Low risk	High risk	High risk	Unclear risk	Low risk	
Allet et al. (2010b)	Low risk	Low risk	High risk	High risk	Unclear risk	Low risk	
Lam et al. (2008)	Low risk	Low risk	Unclear risk	Low risk	Unclear risk	Low risk	Unclear risk
Lambers et al. [36]	Low risk	Unclear risk	Low risk	Low risk	High risk	Low risk	Unclear risk
Negri et al. (2010)	Low risk	Unclear risk	Unclear risk	Unclear risk	High risk	High risk	Unclear risk
Orr et al. (2006)	Unclear risk	Unclear risk	Unclear risk	Unclear risk	High risk	Low risk	Unclear risk
Ozdirenc et al. (2004)	Low risk	Unclear risk	Unclear risk	Unclear risk	Unclear risk	Low risk	Unclear risk
Tsang et al. (2007)	Low risk	Low risk	Low risk	High risk	Unclear risk	Low risk	

3

4 **6.3.2 STUDY POPULATION**

5 In the 8 included studies, there were a total of 426 subjects recruited with 233 subjects in
6 the intervention group and 193 subjects in the control group. The subject number varied
7 from 35 to 71. We included studies with type 2 diabetes in all age group and the subjects
8 in each included study were between 52 to 66 years old. There was no limitation in the
9 duration of diabetes in the current review. However, one study recruited subjects with a
10 duration of diabetes for more than 6 months (Lam et al., 2008) , while the other study
11 recruited subjects with a history of diabetes more than 2 years (Negri et al., 2010). Two
12 of the included studies specifically recruited type 2 diabetes subjects with peripheral
13 neuropathy and this was assessed by vibration perception threshold using a tuning fork
14 (Allet et al., 2010a,b). Most studies recruited subjects from outpatient clinic of hospitals
15 (Allet et al., 2010a,b; Lambers et al., 2008; Negri et al., 2010); but two studies recruited
16 subjects from the community (Lam et al., 2008; Tsang et al., 2007); one study recruited

1 subjects in in-patient setting and investigated the acute effect of exercise on functional
2 capacity for people with diabetes (Ozdirenc et al., 2004). One of the included studies did
3 not mention how and where the subjects were recruited (Orr et al., 2006).

4

5 **6.3.3 EXERCISE PROGRAM**

6 Various studies have adopted different types of exercise. Some studies performed balance
7 and gait training program with a focus on improving mobility and balance of the subjects
8 (Allet et al., 2010a,b); a study delivered an endurance or combined endurance and
9 strengthening exercise program (Lambers et al., 2008); other studies were conducted on
10 evaluating effectiveness of walking exercise under supervision (Negri et al., 2010);
11 cardiovascular and theraband exercise (Ozdirenc et al., 2004); or Tai Chi exercise (Lam
12 et al., 2008; Orr et al., 2006; Tsang et al., 2007). The authors tried to compare the
13 effectiveness of exercise with no treatment (Allet et al., 2010a,b; Lam et al., 2008; Negri
14 et al., 2010; Ozdirenc et al., 2004); sham exercise (Orr et al., 2006; Tsang et al., 2007).
15 One of the studies compared the effectiveness of combined endurance and strengthening
16 exercise with strengthening exercise alone and control group (Lambers et al., 2008).
17 Despite that most exercise programs were delivered in out-patient setting or community
18 setting, one study was done in in-patient setting to investigate the acute effect of the
19 exercise for people with diabetes (Ozdirenc et al., 2004). The exercise duration for
20 various studies ranged between 45 minutes to 60 minutes, the exercise frequencies were
21 mainly twice to three times a week, lasted from 3 weeks to 12 weeks except for the study
22 done by Ozdirenc et al. (2004). Ozdirenc et al. (2004) delivered daily exercise for five
23 times a week in in-patient. Among all included studies, only Allet et al. (2010a, b)

1 reassessed the subjects at the 6-month follow-up after the completion of a 12-week
2 program.

3

4 **6.3.4 DROPOUT AND COMPLIANCE OF THE STUDIES**

5 Dropout from the study was defined as the number of randomized participants who did
6 not attend the post intervention assessment. There were 7 of the 8 included studies
7 reported the dropout rate and the range was between 5.26% (Tsang et al., 2007) to 18.9%
8 (Lam et al., 2008). None of the included studies reported 100% attendance and two
9 studies did not report the dropout rate (Orr et al., 2006; Ozdirenc et al., 2004).

10

11 **6.3.5 EFFECTIVENESS OF EXERCISE IN BALANCE AND MOBILITY**

12 **PERFORMANCE**

13 **6.3.5.1 BALANCE**

14 The balance assessments included walking on a 5-meter beam as quickly as possible,
15 Biodex balance system (Allet et al., 2010b) in which, the subject was required to stand
16 barefoot on a platform that was locked in a fixed position. During the test, the platform
17 was unlocked and the subject was required to find a position at which he or she could
18 maintain platform stability. The index was calculated using the time and deviation (in
19 degrees) of the platform against the original position. Besides, Chattecx balance platform
20 was used to generate a balance index in which, the subject was required to stand on a
21 platform and underwent six tests with eyes closed or opened, the index was generated as
22 a summary score by summing all anterior-posterior, medio-lateral sway measures (Orr
23 et al., 2006; Tsang et al., 2007); single leg stance test with eyes opened and eyes closed

1 (Orr et al., 2006; Tsang et al., 2007), tandem walk score, which was the time to complete
2 3-meter tandem walk plus the number of error made (Orr et al., 2006; Tsang et al., 2007).
3
4 Table 6.2 shows the summary of the outcomes in balance assessment. To summarize,
5 after exercise training, Allet et al. (2010b) found that the intervention group showed a
6 31% improvement in walking performance as reviewed by the biodex balance system, in
7 contrast to the decline of performance observed in the control group (Allet et al., 2010b).
8 Besides, Tsang et al. (2007) and Orr et al. (2006) did not find significant group difference
9 in the balance index as assessed by the Chattecx balance platform between the “Tai Chi
10 for Diabetes” group and the control group (Orr et al., 2006; Tsang et al., 2007). For single
11 leg stance test, trends of improvement were noted in exercise groups in eye closed or
12 eyes opened conditions but the difference between the exercise group and control group
13 did not reach significance (Orr et al., 2006; Tsang et al., 2007). The time used to walk on
14 5-meter beam as fast as possible was significantly reduced in the intervention group as
15 reported by Allet et al. (2010b) while Orr et al. (2006) found no significant change in the
16 3-meter tandem walk score and both Tai Chi and control group showed trend of
17 improvement (Allet et al., 2010b; Orr et al., 2006). Table 6.2 shows the summary of the
18 outcomes in balance assessment.
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Table 6.2

Summary of balance performance for people with diabetes participated in previous studies

	Allet et al. (2010b)	Orr et al. (2006)	Tsang et al. (2007)
Dynamic balance test: walk as fast as possible on a 5-meter beam(second)	Intervention: improved from 9.78 ± 5.58 to 7.10 ± 3.55 , then to 7.08 ± 3.61 at the follow-up C: deteriorated from 8.35 ± 3.89 to 9.32 ± 5.19 , then to 9.32 ± 4.05 at the follow-up (significant group effect in post-intervention and at the follow-up)		
Biodex Balance system level 6 and 8 (sway index)	Level 6 Intervention : improved from 5.97 ± 2.59 to 3.74 ± 1.12 then to 4.74 ± 1.51 in the follow-up Control: changed from 6.00 ± 2.79 to 5.99 ± 2.76 then to 6.42 ± 2.83 in follow-up Level 8 Intervention: improved from 4.29 ± 2.18 to 2.94 ± 1.06 then to 3.31 ± 1.02 in follow-up Control: changed from 4.58 ± 2.02 to 4.72 ± 1.94 then to 4.77 ± 1.93 in follow-up (significant group effect in post-intervention but not in follow-up)		
Balance index- Chattecx balance platform		Intervention: improved from 111.1 ± 23.1 to 107.3 ± 23.1 Control: improved from 111.5 ± 22.2 to 104.1 ± 22.2 (significant changes were made over time but not for group effect)	Intervention: improved from 111.1 ± 23.1 to 107.3 ± 23.1 Control: improved from 111.5 ± 22.2 to 104.1 ± 22.2 (significant changes were made over time, but not for group effect)
Unilateral stance, eyes open (second)		Intervention: median improved from 8.96 to 17.9 Control: median deteriorated from 30 to 24.2	Intervention: improved from 13.6 ± 13.1 to 16.9 ± 13.2 Control changed from 19.4 ± 12.7 to 19.4 ± 12.1

Unilateral stance, eyes closed (second)	(no significant time and group effect) Intervention: changed from 3.9 to 2.8 Control: changed from 2.2 to 2.0 (no significant time and group effect)	(no significant time and group effect) Intervention: median decreased from 3.9 to 2.8 Control: median decreased from 2.2 to 2.0 (no significant time and group effect)
Tandem walk score (time to complete 3-meter tandem walk plus number of error made)	Intervention: improved from 19.1 ± 7.0 to 18.1 ± 8.3 Control: improved from 18.5 ± 6.3 to 17.2 ± 6.2 (no significant time and group effect)	Intervention: improved from 19.1 ± 7.0 to 18.1 ± 8.3 Control: improved from 18.5 ± 6.3 to 17.2 ± 6.2 (no significant time and group effect)

1 **6.3.5.2 MOBILITY PERFORMANCE**

2 Mobility performance was assessed by using the 6-minute walk test (Lambers et al., 2008;
3 Negri et al., 2010; Orr et al., 2006; Ozdirenc et al., 2004; Tsang et al., 2007), gait speed
4 (Allet et al., 2010a,b; Orr et al., 2006; Tsang et al., 2007), functional sit to stand test
5 (Lambers et al., 2008) or 6-meter walk test (Lam et al., 2008). Negri et al. (2010)
6 reported significant improvement in the 6-minute walk test, in which the intervention
7 group showed improvement in supervised walking from 521 ± 37.2 meters to $612 \pm$
8 78.8 meters ($p < 0.001$). Although the control group also showed significant within-group
9 changes in the performance over time, the change observed in the intervention group was
10 significantly higher than did the control group (Negri et al., 2010). Lambers et al. (2008)
11 found that combined endurance and muscle strengthening exercise produced better
12 improvement in the 6-minute walk distance than endurance training alone, and similar
13 finding was also found in the 30-second functional sit to stand test in the same study
14 (Lambers et al., 2008). Ozdirenc et al. (2004) found that cardiovascular training together
15 with theraband exercise in in-patient setting resulted in significantly greater improvement
16 in the 6-minute walk test as compared with control (83.4 meters versus 46.5 meters,
17 $p < 0.05$) (Ozdirenc et al., 2004). However, Orr et al. (2006) and Tsang et al. (2007) both
18 found that Tai Chi did not produce significant improvement in the 6-minute walk test and
19 gait speed as compared with the sham exercise group whom performed exercise which
20 was not related to the improvement on mobility performance such as seated callisthenics
21 and stretching exercise (Orr et al., 2006; Tsang et al., 2007). The study performed by
22 Allet et al. (2010b) found that balance and gait training produced significant
23 improvement in habitual gait speed in tarred pathway as compared with the control while

1 another study conducted by the same team showed that the habitual gait speed in tarred
2 pathway and cobblestones pathway improved after intervention (Allet et al., 2010a).

3

4 In the study performed by Lam et al. (2008), the “6 meters walk test” was used to assess
5 the mobility performance in elderly with type 2 diabetes after practising Tai Chi for 3
6 months. The author found no significant change in the Tai Chi group over time. However,
7 the control group used longer time to complete the 6-meter walk test after the 3month
8 study period as compared with the Tai Chi group, in which the walking performance was
9 maintained after the Tai Chi training (Lam et al., 2008). Table 6.3 and 6.4 show the
10 summary of the outcome measures in mobility performance adopted by previous studies.

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1 **Table 6.3**

2 **Summary of mobility performance reported by previous studies**

	Allet et al. (2010b)	Allet et al. (2010a)	Lam et al. (2008)	Lambers et al. (2008)
Habitual gait speed on tarred terrain (meter per second)	Intervention: improved from 1.21 ± 0.17 to 1.36 ± 0.19 , then to 1.31 ± 0.18 at the follow-up Control: changed from 1.26 ± 0.18 to 1.24 ± 0.19 then to 1.24 ± 0.15 at the follow-up (significant group effect in post-intervention and at the follow-up)	Intervention: improved from 1.22 ± 0.17 to 1.36 ± 0.19 and to 1.31 ± 0.18 at follow-up Control: from 1.26 ± 0.18 to 1.24 ± 0.19 on and to 1.24 ± 0.15 at follow-up (significant group effect in post-intervention and at follow-up)		
Habitual gait speed on cobblestone pathway (meter per second)		Intervention: improved from 1.05 ± 0.21 to 1.22 ± 0.23 then to 1.19 ± 0.23 at follow-up Control: from 1.10 ± 0.21 to 1.08 ± 0.21 and to 1.09 ± 0.21 at follow-up (significant group effect in post-intervention and at the follow-up)		
6 meters walk test (second)			Intervention: changed from 5.1 ± 0.7 to 5.7 ± 1.6 (p=0.34) Control: changed from 5.2 ± 1.1 to 10.7 ± 25.5 (p-value: unknown)	

6-minute walk test (meter)

Combined exercise: improved from 539.8 ± 61.26 to 594.2 ± 60.25

Endurance exercise: improved from 538.1 ± 76.32 to 583.4 ± 61.48

Control: deteriorated from 528.9 ± 88.36 to 521.3 ± 86.27

Significant group-time interaction were found between combined exercise group and control, and between endurance exercise group and control

Functional sit-to-stand test (number per 30 seconds)

Combined exercise: improved from 14.2 ± 2.59 to 16.8 ± 2.30

Endurance exercise: improved from 13.4 ± 2.73 to 15.3 ± 4.41

Control: decreased from 13.1 ± 2.17 to 12.8 ± 1.72

Significant group-time interaction was found between combined exercise group and control group

1 **Table 6.4**

2 Summary of mobility performance reported by previous studies (continue)

	Negri et al. (2010)	Orr et al. (2006)	Ozdirenc et al. (2004)	Tsang et al. (2007)
6-minute walk test (meter)	Intervention: improved from 521 ± 37.2 to 612 ± 78.8 Control: improved from 554 ± 49.2 to 574 ± 60.8 The change in intervention was significantly higher than that in control group	Intervention: changed from 474.0 ± 76.1 to 481.8 ± 83.0 Control: changed from 456.6 ± 117.8 to 470.1 ± 118.2 Significant time effect was found	Intervention: improved from 455.2 ± 76.6 to 537.6 ± 61.6 Control: improved from 454 ± 45.1 to 511.5 ± 42.8 significant between-group difference was noted after the intervention)	Intervention: improved from 474.0 ± 76.1 to 481.8 ± 83.0; Control: improved from 456.6 ± 117.8 to 470.1 ± 118.2 Significant time effect was found
Habitual gait speed in non-specific environment (meter per second)		Intervention: improved from 1.0 ± 0.2 to 1.1 ± 0.2 Control: improved from 1.1 ± 0.2 to 1.2 ± 0.3 Significant time effect was found		Intervention: median improved from 1.0 to 1.2 Control: median improved from 1.1 to 1.2 Significant time effect was found
Maximal gait speed in non-specific environment (meter per second)		Intervention: improved from 1.6 ± 0.3 to 1.7 ± 0.3 Control: improved from 1.6 ± 0.3 to 1.7 ± 0.3 Significant time effect was found		Intervention: improved from 1.6 ± 0.3 to 1.7 ± 0.3 Control: improved from 1.6 ± 0.3 to 1.7 ± 0.3 Significant time effect was found

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1 **6.3.5.3 ADVERSE EFFECT**

2 Several adverse effects of exercise training were reported in these studies including
3 hypoglycemia (n=9) (Lambers et al., 2008; Negri et al., 2010); pain over the ankles and
4 foot (n=4) (Allet et al., 2010a,b); Tsang et al. (2007) reported one subject in intervention
5 group complained of pain and fatigue after the exercise but no further detail was
6 provided.

7

8 **6.4 DISCUSSION**

9 The current review included 8 randomized controlled trials that evaluated the
10 effectiveness of exercise on mobility and balance performance in people with type 2
11 diabetes. All of the included studies showed certain degree of improvement in mobility
12 and balance performance, although some of them did not reach statistical significance.
13 Although one of the inclusion criteria in the present review was the adoption of objective
14 assessment on either balance or mobility performance, this was not be the main objective
15 of 5 out of 8 included studies. These 5 studies mainly evaluated the effect of exercise on
16 the functional capacity or physical performance of people with type 2 diabetes (Allet et
17 al., 2010a; Lam et al., 2008; Lambers et al., 2008; Negri et al., 2010; Ozdirenc et al.,
18 2004). Therefore, the exercise programs adopted in these studies might not be effective in
19 enhancing balance or mobility performance. Also, these studies adopted various types of
20 exercises. Therefore, combining the findings in these studies using a pooled analysis
21 seems inappropriate.

22

1 The diagnosis of type 2 diabetes in these studies was confirmed by blood test, either
2 adopting the criteria of HbA1c \geq 6.5% or fasting blood glucose test $>$ 7mmol⁻¹. There
3 was no restriction of age of subjects in the present review but majority of the included
4 studies only recruited subjects who were equal to or younger than 50 years old because
5 aging is a known factor contributing to the deterioration of mobility.

6

7 Most of the exercise programs were delivered in either an out-patient or community
8 setting, only one study investigated the acute effect of exercise in in-patient setting, in
9 which the subjects underwent an intensive exercise five times a week and the result was
10 promising as compared with control (Lambers et al., 2008). Although the mean length of
11 hospital stay was around 12 days, the frequency of exercise was more intense as
12 compared with that in other exercise programs included in the present review. This
13 suggested that the frequency of exercise is an essential factor that determiness the
14 rehabilitation outcome, therefore the practice of exercise at home should be emphasized.
15 However, only two studies recommended subjects to continue the practice of home
16 exercise that they learned in the program, and they reported that the home exercise
17 compliance was not recorded. Therefore, the effect of home exercise was unclear (Allet et
18 al., 2010a,b). As exercising at home allows a more flexible schedule, and subjects can
19 perform exercise in a more familiar environment, future study can investigate the effect
20 of home exercise or combine home exercise and center-based exercise in this group of
21 population. It would be important to include the compliance of home exercise in the study
22 as it may bring significant impact on the performance of subjects in the post-intervention
23 assessment. If the exercise compliance is poor, it may reflect the acceptance of the

1 exercise program for the subjects. Also, the long term effect of exercise after the study
2 period was not provided by these studies. Only 2 out of 8 included articles provided a
3 follow-up period, and they showed a significant long term effect that outlasted the
4 exercise program (Allet et al., 2010a,b).

5

6 The included studies reported that exercise training was safe for people with diabetes
7 without any serious complications brought by the exercise in class. Common
8 complications reported are hypoglycemia (more than 60%) (Lambers et al., 2008; Negri
9 et al., 2010), or pain over the ankle including Achilles tendon; and muscle fatigue. The
10 studies that reported subjects having hypoglycemia were aerobic in nature, which was not
11 uncommon to see this occur during aerobic exercise. Therefore, researchers should pay
12 more attention in this issue in future study. Subjects should be advised to eat something
13 before the start of the exercise; the starting time of the exercise program would be crucial
14 as the blood glucose varies in between meals. Ideally, taking the blood glucose level
15 before the start of each exercise session provides most updated information about the
16 glucose level and the risk of hypoglycemia would then be minimized. For other
17 complications reported, the cause was not mentioned and it is unclear whether it was due
18 the past medical history of the patients or new injury that was induced during the exercise
19 program. The drop out rates in the included studies was high that varied from 5.26% to
20 18.9%. The reasons for attrition varied and no obvious trend was observed. The drop out
21 number can be used in calculating sample size for future study.

22

1 The major information on the methodology quality was not provided in many studies
2 even though most of them were claimed to be RCTs. Among the 8 included studies, half
3 of them did not mention the blinding the participants (Lam et al., 2008; Negri et al., 2010;
4 Orr et al., 2006; Ozdirenc et al., 2004); and 3 of them did not mention the blinding of
5 assessors (Negri et al., 2010; Orr et al., 2006; Ozdirenc et al., 2004). Unlike research on
6 drug effects, participants in the study of exercise are not easily blinded. Because
7 participants in the exercise group are aware of what intervention they received. The
8 expectation of the participants may become a bias of the outcomes. Therefore, we suggest
9 that sham exercise group should be implemented to eliminate the bias such as stretching
10 exercise of the upper limbs or exercise that does not involve lower limbs. Blinding of the
11 assessors was another factor that may cause high risk of bias in these studies. Two of the
12 studies used assessors who were working in the center where the subjects received the
13 exercise and this would cause high risk of bias during the post-intervention assessment
14 (Allet et al., 2010a,b). While in another study, the investigator was the one who led the
15 exercise in the intervention group and the sham group (Tsang et al., 2007). These would
16 cause high risk of bias during the post-intervention assessment. The results may tend to
17 flavor the intervention group.

18

19 Mobility and balance deterioration are common problems found in elderly due to a
20 decline in the sensory and musculoskeletal systems, but it was shown that elderly with
21 type 2 diabetes showed higher risk of fall than healthy age-matched control (Tilling et al.,
22 2006). Therefore, there must be some specific problems found in people with diabetes
23 which contribute the increase in risk of fall and deteriorated mobility and the exercise

1 should be specific also. However, the exercises adopted in the 8 included studies were not
2 designed to suit this group of population. They adopted exercise programs that showed
3 success in normal elderly population (Allet et al., 2010a,b), or exercise programs that
4 appear to be non-specific to people with diabetes (Lambers et al., 2008; Negri et al., 2010;
5 Ozdirenc et al., 2004). Three studies implemented ‘Tai Chi for diabetes’ without
6 clarifying the specific component on the exercise (Lam et al., 2008; Orr et al., 2006;
7 Tsang et al., 2007). Previous studies found that diabetes people have a decrease in ankle
8 muscle strength, ankle proprioception sense and an increase in the stiffness of the ankle
9 joint in dorsiflexion as compared with healthy control. These factors are supposed to be
10 related to the decline in mobility in this client group. Therefore, in order to produce
11 significant benefits on mobility performance, exercise training must be tailored made to
12 the disease group.

13

14 **6.5 LIMITATION**

15 Like other systematic review, this review is limited by the quality of the studies that
16 included as reflected by high risk of bias in different aspects as shown in Table 6.1.
17 Another potential limitation of the present review was the selection bias by the journal
18 articles, as studies that showed positive results tend to be easier be get accepted by editors
19 while those showing negative effects were less likely to be accepted. Therefore, the
20 actually positive effect of the exercise would be over-estimated. Besides, the reporting of
21 exercise compliance was not fully provided by all included studies and the method in
22 handling to missing data (ie intention-to-treat analysis) was not being mentioned in
23 several studies. Readers should pay attention as it affect the actual effect of the program.

1 **6.6 CONCLUSIONS**

2 To conclude, few studies on exercise have been adopted that aimed at improving the
3 balance and mobility status in elderly with type 2 diabetes. After reviewing the included
4 articles, exercise generally showed positive effect on the mobility performance in this
5 patient group. Only mild adverse responses to the exercise were reported. Due to the wide
6 spectrum of exercise included in present study, a meta-analysis was not performed to give
7 a conclusion on the effect of exercise in enhancing the mobility and balance performance
8 in people with type 2 diabetes. Further study with better quality is necessary to provide a
9 more concrete conclusion.

10

11 Based on the findings in chapter 5 and the lack of a specific exercise program to enhance
12 the balance and mobility performance in people with type 2 diabetes as shown in present
13 chapter, an exercise program with specific content was designed in order to improve the
14 balance and mobility performance in elderly with type 2 diabetes. The details will be
15 shown in the next chapter.

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CHAPTER 7

EFFECTIVENESS OF EXERCISE PROGRAM WITH SPECIFIC

REGIMEN ON IMPROVING POSTURAL CONTROL AND

MOBILITY PERFORMANCE IN ELDERLY WITH TYPE 2

DIABETES

1 **7.1 INTRODUCTION**

2 People with diabetes often present with a decrease in postural control and mobility
3 performance (Morrison, Colberg, Parson, & Vinik, 2012), which may contribute to
4 subsequent complications (Schwartz et al., 2002; Tilling et al., 2006). People with
5 diabetes were found to have reduced ankle muscle strength, ankle proprioception sense
6 and greater stiffness of the ankle joint in dorsiflexion (Andersen et al., 2004; Giacomozzi
7 et al., 2008; van Deursen & Simoneau, 1999). In chapter 5, we showed that these physical
8 characteristics of the ankle are significantly correlated with mobility performance in
9 elderly people with diabetes as measured by the Timed Up and Go test (TUG) (Ng &
10 Cheing, 2011). It is therefore logical to deduce that specific exercise regimen focusing on
11 ankle strength and flexibility training; and substituting the deteriorated ankle
12 proprioception might improve postural control and mobility performance in this client
13 group.

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15 Previous studies have shown that exercise training improves glycemic control and general
16 fitness in people with diabetes (Maiorana, O’Driscoll, Goodman, Taylor, & Green, 2002;
17 Tessier et al., 2000). However, limited studies have investigated the effects of exercise on
18 balance control and mobility performance in elderly with type 2 diabetes; and in chapter
19 6, we know that those studies demonstrated that exercise only produced modest
20 improvement or their findings were non-conclusive due to poor study design. In addition,
21 the exercise protocols implemented in previous studies were not specific to our target
22 population. Therefore, it is necessary to design a new study with specific exercise content.

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1 Beside center-based training, home exercise has been demonstrated to be effective in
2 improving the mobility performance of community-dwelling and frail elderly people
3 (Matsuda, Shumway-Cook, & Ciol, 2010; Miller, Magel, & Hayes, 2010). However no
4 such study has been conducted in people with diabetes. Therefore, the objective of the
5 present study was to evaluate the effect of a specially designed exercise program, which
6 focused on the ankle characteristics that would affect the mobility performance as found
7 in Chapter 5 (ie the deteriorated ankle muscle strength, range of motion in dorsiflexion
8 and deficit in joint proprioception), would improve postural control and mobility
9 performance for people with type 2 diabetes.

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11 **7.2 METHOD**

12 **7.2.1 SUBJECTS**

13 This was a prospective non-randomized controlled trial. Subjects were recruited from
14 four local community centers. Inclusion criteria were people aged 65 or above, with a
15 diagnosis of type 2 diabetes confirmed by blood test with HbA1c \geq 6.5%. They were self
16 ambulatory without walking aids, and cognitively competent in understanding
17 instructions. Exclusion criteria included the presence of visual impairments such as
18 retinopathy assessed by Snellen chart, a recent foot lesion or surgery, a history of
19 neurological disorders, or unstable hypertension by self-reporting. The flow diagram of
20 study enrollment and participation is shown in Fig. 7.1

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1 **7.2.2 MATERIALS AND EXPERIMENTAL SETUP**

2 **7.2.2.1 EXERCISE PROGRAM**

3 The intervention group participated in a 10-week exercise program delivered twice a
4 week in community centers, together with daily home exercise with compliance recorded.
5 The frequency of the center-based exercise program was based of the frequencies adopted
6 in the studies found in last chapter. The subjects in the control group were required to
7 maintain their usual daily activities and not to attend any exercise classes during the 10-
8 week study period. For ethical reason, identical exercise classes together with home
9 exercise program were delivered to the control group after the 10-week study period.

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11 Each exercise session held in the community centre lasted for 60 minutes with
12 therapist/subject ratio around 1:15. The exercise program was designed by registered
13 physiotherapists, which targeted at improving physical characteristics of the ankle joint.
14 First, strengthening exercise of the ankle dorsiflexors and plantarflexors muscles using an
15 elastic theraband band was performed (Theraband, Hygenic Corporation, Akron, OH,
16 USA) (Ribeiro, Teixeira, Brochado, & Oliveira, 2009). During the theraband exercise, the
17 subjects were encouraged to adjust the tension of the band based on their own physical
18 condition and abilities; and exercises were modified according to the progress of each
19 subject. Second, intensive stretching exercise was performed to improve the range of
20 ankle dorsiflexion (Christiansen, 2008). Third, subjects learned to adopt compensation
21 strategies for the deficit in ankle proprioception by enhancing the use of visual and
22 vestibular inputs for postural control. This was achieved by using an ankle disc
23 (Theraband, Hygenic Corporation, Akron, OH, USA). It is a 13-inch latex-free polyvinyl

1 chloride disc that is inflated by air. The subjects were required to stand on the ankle disc
2 using either both legs or one leg. While performing ankle movements alone or in
3 combination with the movement of their upper limbs to challenge their balance, the
4 subjects held on to stable furniture to prevent themselves from falling. Fourthly, a 15-
5 minute rhythmic exercise was performed in each exercise class in which the subjects
6 were instructed to follow music and performed rhythmic movements such as walking
7 backwards, sideways, turning and lunging in different directions.

8

9 Daily home exercise program was emphasized in the intervention group. Elastic
10 therabands with resistance levels based on their physical ability and an exercise pamphlet
11 were distributed to each subject. The exercise pamphlet illustrated the exercise protocol
12 clearly by means of photographs and written instructions. Home exercises were also
13 practiced during exercise class and individual feedback was given to the subjects before
14 they practiced at home. A home exercise diary was enclosed in the exercise pamphlet for
15 subjects to record their attempts of home exercise.

16

17 **7.2.2.2 OUTCOME MEASURES**

18 Demographic data was collected at the baseline including age, duration of diabetes,
19 Glycated haemoglobin (HbA1c) and Body Mass Index (BMI). The primary outcome
20 measure was SOT while TUG and SLST were secondary outcome measures.

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1 **7.2.2.2.1 SENSORY ORGANIZATION TEST**

2 In order to assess the ability to use visual, vestibular, and somatosensory information to
3 maintain postural stability, Sensory Organization Test (SOT) using a computerized
4 posturography machine (Smart EquiTest[®], NeuroCom International Inc, Clackamas, OR,
5 USA) was performed. This device is comprised of a platform and a visual surround, both
6 of which can be sway-referenced. SOT has been shown to have good test-retest reliability
7 among community-dwelling elderly people (Ford-Smith et al., 1995). The details of the
8 test and the interpretation of the result can be found in chapter 3 (section 3.5).

9

10 **7.2.2.2.2 TIMED UP AND GO TEST**

11 Timed Up and Go test (TUG) was performed by requesting the subject to sit on a chair
12 with his/her back against the back rest and arms placed on the arm rest, then stood up,
13 walked for 3 meters, turned around a marker made on the floor, walking back and sitting
14 on the chair again with the back leaned against the back rest. The details can be referred
15 to chapter 3 (section 3.6). TUG was shown to be a sensitive measurement for detecting
16 changes in mobility in the elderly with high inter-rater and intra-rater reliability (Steffen
17 et al., 2002; van Iersel, Munneke, Esselink, Benraad, & Olde Rikkert, 2008).

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19 **7.2.2.2.3 SINGLE LEG STANCE TEST**

20 Single leg stance test (SLST) was performed by asking the subjects to stand in an upright
21 position with their arms put along their sides. The timer was started once the non-tested
22 leg was lifted up and stopped once the foot of the lifted leg touched the floor; the stance
23 foot was displaced; or the subject used the lifted foot to support the stance leg (Hurvitz et

1 al., 2000). Further details can be referred to chapter 3 (section 3.7). The present study did
2 not find a significant difference in SLST between the left and the right legs; therefore, the
3 average time (in seconds) obtained in the two legs was calculated for subsequent analysis.

4

5 **7.2.3 STATISTICAL ANALYSIS**

6 SPSS® V17.0 (Chicago, IL, USA) was used for the statistical analysis. Independent t-test
7 and chi-sq test were used to analyze the difference in demographic characteristics
8 between the intervention and control groups. Two-way repeated measures analysis of
9 covariance (ANCOVA) was used to analyze the group x time interaction after the 10-
10 week study period. Pair t-test and independent t-test used after the ANCOVA analysis in
11 order to investigate the within-group difference in each group at two time points and
12 between-group difference at the same time point respectively. ANCOVA was used since
13 there was significant between-group difference in HbA1c, it was entered as a covariate in.
14 The level of significance was set at 0.05. Intention-to-treat analysis with the last
15 observation carried forward (LOCF) was used for subjects who did not attend the post-
16 assessment session (Portney & Watkins, 2000).

17

18 **7.3 RESULTS**

19 **7.3.1 DEMOGRAPHIC DATA**

20 Ninety-three subjects were recruited (control $n= 45$, mean age 72.8 years old;
21 intervention $n= 48$, mean age 71.4 years old) from four community elderly centers. The
22 mean duration of diabetes history was 10 years and subjects in both groups were obese
23 according to their Body Mass Index (Table 7.1). No significant differences in

1 demographic characteristics were found between the two groups, with the exception of
 2 HbA1c. No adverse effects were reported during the center-based exercise and home
 3 exercise. None of the subjects in the intervention group withdrew from the program.
 4 However, two subjects in the intervention group and two subjects in the control group did
 5 not attend the reassessment session after the 10-week exercise program (Figure 7.1). The
 6 mean rate of attendance in the center-based exercise was 75.5% while the average home
 7 exercise compliance was 53.49%. Some subjects were absent from several center-based
 8 exercise classes due to time conflicts with some traditional Chinese festivals or family
 9 commitment. For home exercise compliance, some subjects stated that they had forgotten
 10 to mark down their exercise compliance in their exercise diaries. Therefore, the actual
 11 level of compliance with home exercise should be higher than the reported figure.
 12 Therefore, the effect of our exercise training could be increased if the compliance of both
 13 center-based and home-based exercise was improved.

14 **Table 7.1**

15 Demographic data for people participating in the present study

Demographic data	Exercise (n=48)	Control (n=45)	<i>p</i> -value
Age (year)	71.4 (7.9)	72.8 (6.5)	0.36
Sex (female), n (%)	35 (72.9)	33 (73.3)	0.95
History of diabetes (years)	10.2 (8.7)	10.2 (7.3)	0.99
Glycated haemoglobin (HbA1c) (%)	8.0 (1.2)	6.9 (0.8)	<0.001*
Body mass index (kg/m ²)	27.6 (7.9)	25.4 (4.0)	0.10

16 All values are presented as mean (SD).

1 **7.3.2 DESCRIPTIVE STATISTIC AND ANCOVA**

2 The results of two-way repeated measures ANCOVA are reported in Table 2. In the
 3 analysis, HbA1c was entered as covariate since there was significant difference between
 4 2 groups at the baseline. Significant time x group interactions between intervention group
 5 and control group were found in SOT (condition 4, condition 5, composite score,
 6 vestibular ratio and visual ratio). Subsequent analyses showed significant within-group
 7 improvement in the intervention group in SOT condition 4, 5, 6; composite scores,
 8 vestibular and visual ratios while the control group showed no significant difference
 9 (Table 2.). For the TUG, the intervention group showed a greater trend of improvement
 10 as compared with control group (-0.25 second versus -0.07 second). For the SLST, the
 11 intervention group showed a trend of being able to stand for a longer period of time after
 12 the exercise training (1.77 second versus -1.38 second)

13 **Table 7.2**

14 Two-way repeated measures ANCOVA, between-group and within-group comparisons

	Exercise group	Control group	Between-group <i>p</i> -value
SOT condition 1			
Pretest	91.33(4.93)	92.43(2.60)	0.189
Posttest	92.02(2.29)	91.81(3.01)	0.706
Within-group <i>p</i> -value	0.340	0.080	
<i>Overall within-group effect p= 0.470, overall between-group effect p=0.373</i>			
<i>Time x group interaction p= 0.081</i>			
SOT condition 2			
Pretest	90.13(3.12)	90.05(3.25)	0.911

Posttest	89.75(2.92)	89.83(3.27)	0.907
Within-group <i>p</i> -value	0.265	0.641	
<i>Overall within-group effect p=0.913, overall between-group effect p=0.976</i>			
<i>Time x group interaction p= 0.846</i>			
SOT condition 3			
Pretest	88.65(5.75)	88.97(5.61)	0.786
Posttest	89.24(6.02)	89.46(3.70)	0.836
Within-group <i>p</i> -value	0.136	0.523	
<i>Overall within-group effect p=0.548, overall between-group effect p=0.501</i>			
<i>Time x group interaction p= 0.936</i>			
SOT condition 4			
Pretest	68.76(11.17)	70.73(9.50)	0.372
Posttest	74.47(7.89)	70.86(10.44)	0.065
Within-group <i>p</i> -value	<0.001*	0.916	
<i>Overall within-group effect p=0.152, overall between-group effect p=0.811</i>			
<i>Time x group interaction p= 0.002*</i>			
SOT condition 5			
Pretest	47.99(13.12)	59.24(10.17)	<0.001*
Posttest	59.36(11.82)	59.06(9.97)	0.896
Within-group <i>p</i> -value	<0.001*	0.899	
<i>Overall within-group effect p=0.521, overall between-group effect p= 0.009*</i>			

<i>Time x group interaction p= <0.001*</i>			
SOT condition 6			
Pretest	49.62(14.53)	56.35(11.84)	0.019*
Posttest	55.07(13.40)	57.10(11.42)	0.443
Within-group <i>p</i> -value	0.021*	0.652	
<i>Overall within-group effect p= 0.255, overall between-group effect p= 0.071</i>			
<i>Time x group interaction p= 0.455</i>			
SOT composite score			
Pretest	66.26(12.19)	70.34(12.19)	0.114
Posttest	71.0(12.4)	72.10(5.7)	0.443
Within-group <i>p</i> -value	<0.001*	0.875	
<i>Overall within-group effect p= 0.897, overall between-group effect p=0.040*</i>			
<i>Time x group interaction p= 0.005*</i>			
SOT somatosensory ratio			
Pretest	0.99(0.076)	0.97(0.03)	0.207
Posttest	0.98(0.03)	0.98(0.03)	0.614
Within-group <i>p</i> -value	0.203	0.442	
<i>Overall within-group effect p=0.542, overall between-group effect p=0.366</i>			
<i>Time x group interaction p= 0.130</i>			
SOT vestibular ratio			
Pretest	0.53(0.15)	0.64(0.11)	<0.001*
Posttest	0.64(0.13)	0.64(0.11)	0.968
Within-group <i>p</i> -value	<0.001*	0.889	

<i>Overall within-group effect p= 0.608, overall between-group effect p= 0.012*</i>			
<i>Time x group interaction p= <0.001*</i>			
SOT visual ratio			
Pretest	0.75(0.12)	0.77(0.10)	0.620
Posttest	0.81(0.08)	0.77(0.11)	0.074
Within-group <i>p</i> -value	<0.001*	0.623	
<i>Overall within-group effect p= 0.216, overall between-group effect p= 0.662</i>			
<i>Time x group interaction p= 0.010*</i>			
TUG (seconds)			
Pretest	9.83(3.02)	11.46(3.27)	0.014*
Posttest	9.58(2.95)	11.39(3.31)	0.006*
Within-group <i>p</i> -value	0.121	0.663	
<i>Overall within-group effect p= 0.272, overall between-group effect p= 0.015*</i>			
<i>Time x group interaction p= 0.258</i>			
SLST (seconds)			
Pretest	15.57(14.27)	15.81(13.72)	0.937
Posttest	17.34(16.11)	14.43(13.59)	0.353
Within-group <i>p</i> -value	0.240	0.296	
<i>Overall within-group effect p= 0.914, overall between-group effect p= 0.620</i>			
<i>Time x group interaction p= 0.153</i>			

1 All values are presented as mean (SD)

2 * $p \leq 0.05$

1 SOT: Sensory Organization Test; TUG: Timed Up and Go test; SLST: Single leg stance
2 test

3 The results of the single leg stance test were obtained by the average value obtained in
4 the left legs and right leg

5

6 **7.3.3 POWER ANALYSIS**

7 The *post hoc* power analysis was performed by using G*Power. By using the result of
8 SOT composite score, it yielded an effect size ranging from 0.185 to 0.386 and power
9 from 0.143 to 0.664.

10

11 **7.4 DISCUSSION**

12 The present study examined the effectiveness of a specific exercise program emphasizing
13 on training postural control and mobility performance in older adults with type 2 diabetes.
14 Our exercise program was developed with reference to the findings of a previous study
15 (Ng, Lo, & Cheing, 2012), and we focused on the training of the physical characteristics
16 at the ankle in older adults with type 2. Specifically, our program aimed at training the
17 ankle muscle strength, the ankle flexibility in dorsiflexion; and to enhance the use of
18 vestibular and visual systems in order to compensate the deteriorated ankle
19 proprioception. Our exercise program produced significantly greater improvement in the
20 postural stability than did a control group. The intervention group also demonstrated a
21 trend of improvement in mobility performance in Timed Up and Go test and balance test
22 in single leg standing although the between-group differences was not statistically
23 significant.

1 It was suggested that balance training should comprised of tasks with varying difficulties
2 in order to challenge the subjects' balance control (Tiedemann, Sherrington, Close, &
3 Lord, 2011). In the present study, we did not adopt an exercise protocol with fixed tasks
4 and intensities. Instead, the level of difficulty of the exercise protocol was increased after
5 several sessions according to the progress made by the subjects. The duration and
6 frequency of the exercise classes were designed based on previous successful balance
7 programs (Cheung, Au, Lam, & Jones, 2008; Kaesler, Mellifont, Swete Kelly, & Taaffe,
8 2007).

9

10 **7.4.1 SENSORY ORGANIZATION TEST**

11 Significant (time x group) interactions were noted in conditions 4 and 5 of SOT (i.e.
12 visual ratio and vestibular ratio, details of the calculation of sensory scores can be
13 referred to Chapter 3, section 3.5) and also in the composite score in two-way repeated
14 measures ANCOVA. Pair t-test showed significant within-group improvements were
15 shown in the intervention group in the abovementioned outcomes. The significant
16 improvement in vestibular ratio and visual ratios indicated that our participants had more
17 utilization ratio on the vestibular and visual information to maintain postural stability.
18 This served as a good compensatory strategy that accounts for the improvement in their
19 overall static postural control after the training as shown in the composite score.

20

21 The integration of inputs from the visual, vestibular, and somatosensory system is
22 important for maintaining balance. The inability to use correct information that being
23 received from the changing environment may result in fall. This is particularly true for

1 elderly people whose sensory systems have deteriorated due to ageing. It was reported
2 that a decrease in ankle proprioception occur in elderly with diabetes even before they
3 present with any symptom of neuropathy (Liu et al., 2010). Indeed, the risk of fall for
4 diabetic elderly should not be underestimated even if these people do not present any
5 symptom of peripheral neuropathy. What made the situation worse was our subjects
6 showed relatively low sensory ratios in the visual and vestibular systems (Table 7.2) at
7 the baseline measurement, this reflected that the participants relied more on the
8 somatosensory system which might not be providing accurate information before the
9 training. The exercise training for this client group should aim at adopting a
10 compensatory strategy for the decline in ankle proprioception inputs, which can be
11 achieved by enhancing the use of visual and vestibular systems for postural control.

12

13 Visual inputs provide useful information on the spatial orientation between our body and
14 the environment, which allow us to detect movements between ourselves and our
15 surroundings. This is particularly true for movements with low frequency such as
16 standing in a room while the surrounding environment is static (Redfern, Yardley, &
17 Bronstein, 2001). In order to facilitate the use of visual inputs, our subjects were trained
18 to use visual fixation while they were standing on the ankle disc and performing
19 movements. Subjects were required to look at a fixed target during the movement, and
20 the ankle disc served as a media that disturb the proprioception over the ankles, therefore,
21 subjects had to rely on the visual information and vestibular information to maintain
22 balance. By requesting the subjects to visually fixate a target, it provides information to

1 distinguish the change in the body orientation and the surroundings. Therefore, it
2 increases their visual attention that prevents falling.

3

4 In order to enhance the use of vestibular systems, music was played during our center-
5 based exercise. Subjects were requested to follow the beat of music and perform rhythmic
6 movements by rotating their head or turning their whole body. The subjects were also
7 required to change their base of support during the dynamic movements and to combine
8 the movement of their upper limbs. At the same time, they fixed their vision on their
9 hands to enhance the adaptability of the vestibular system, which is one of the strategies
10 for vestibular rehabilitation (Herdman, 1993). The vestibular system allows one to detect
11 head movements in relation to the gravity and it is the most important sensory system for
12 maintaining balance. The vestibular system provides true afferent information when a
13 person encounters conflicting visual and somatosensory information. As aforementioned,
14 people with diabetes are at risk of reduced ankle proprioception, their vestibular system
15 would be the major afferent inputs that they rely on when they encounter an environment
16 with conflicting visual information or in a dark environment. This explains why elderly
17 people are prone to fall in dark environment (Connell & Wolf, 1997).

18

19 The vestibular or visual ratios were computed by comparing the data obtained in two
20 testing conditions (both were compared with condition 1), the contribution from motor
21 systems was eliminated. Therefore, the improvements achieved by our subjects were
22 likely due to the relative change in the emphasis of various sensory systems. For the
23 composite score, the intervention group showed a 7.1% improvement, in contrast, there

1 was almost no change in control group over time. This improvement might be explained
2 by the ankle muscle strengthening and the improvement in the ankle range of motion on
3 top of the enhanced use of visual and vestibular systems. Spink et al. did demonstrate that
4 ankle muscle strength and range of motion were associated with the amplitude of sway
5 during postural control in quiet standing (Spink et al., 2011). Therefore, further study is
6 warranted to examine if the improvement in composite score of the SOT was contributed
7 by the increase in muscle strength and range of motion at the ankle.

8

9 **7.4.2 TIMED UP AND GO TEST (TUG)**

10 The intervention group showed a greater trend of improvement in TUG than the control
11 group (Table 7.2.). The test itself comprises of three components: sit-to-stand transfer,
12 walking and turning. It was shown that the muscle strength of ankle dorsiflexors and
13 plantarflexors were correlated with the walking speed in elderly (Tiedemann, Sherrington,
14 & Lord, 2005); sufficient push off power by plantarflexors was found to be essential for a
15 quick turning during daily activities (Orendurff et al., 2006); the increase in stiffness of
16 ankle dorsiflexion range of motion not only affect the toe clearance during walking, it
17 would result in poor alignment of the ankle joint during sitting, causing an increase in the
18 travelling distance of center of mass for rising up during the initial phase of TUG
19 (Mueller et al., 1995; Perry et al., 2006).

20

21 We found that the intervention group tended to have modest effect in TUG. This could be
22 explained by the fact that the intervention group did well in the baseline assessment and
23 took an average of 9.8 seconds to complete the TUG. Note that the cut-off score of TUG

1 to indicate risk of fall is 12-second (Bischoff et al., 2003). Therefore, the baseline
2 performance of the intervention group was considered to be satisfactory, which might
3 have caused a ceiling effect. The other reason might be due to the compliance of the
4 subjects as aforementioned. Our findings may not be generalized to people with diabetes
5 who have relatively poorer mobility performance. Future study may be needed to
6 examine the effects of exercise training for people with diabetes who have poorer
7 mobility performance.

8

9 **7.4.3 SINGLE LEG STANCE TEST (SLST)**

10 SLST is another functional test for detecting risk of fall in elderly (Hurvitz et al., 2000).
11 The exercise group showed a trend of improvement (11.5%) in contrast to the
12 deterioration observed in the control group (decreased for 8.9%). This might be explained
13 by the improvement in using visual information achieved by our subjects after the
14 training. Hazime and colleagues found that visual information produced a major effect
15 on single leg standing (Hazime et al., 2012). Moreover, the strength of ankle dorsiflexors
16 and plantar flexors were related to the anterior-posterior and medial-lateral sway during
17 single leg standing (Reimer & Wilkstrom, 2010). Previous study reported a wide range of
18 SLST performance (6.9-32.9 seconds) observed among the group of people aged between
19 70 to 79 years old, but there is no common consensus on the cut-off score (Michikawa,
20 Nishiwaki, Takebayashi, & Toyama, 2009). In the present study, four subjects were
21 unable to attend the post assessment. We carried forward the last observation (i.e.
22 baseline assessment) for these cases over to the post assessment, this was based on the

1 assumption that their performance did not change in the 10-week period. Therefore, the
2 actual effect of exercise might have been underestimated.

3

4 **7.5. LIMITATION**

5 Convenient sampling method was the limitation of present study. Same was Chapter 5,
6 the subjects recruited in present study were from community centers, who were
7 considered to be relatively active physically. Therefore, we hypothesized that the effect
8 of the present exercise program might be more prominent for those who adopted a more
9 sedentary life-style. But further study is essential to prove this hypothesis. Besides, the
10 exercise compliance of the present study might hinder the effect of the program.
11 Therefore, future study should include a measure to ensure a higher compliance.

12

13 **7.6 CONCLUSION**

14 A 10-week exercise program, incorporated with a home exercise program, focused on
15 ankle training was effective in improving the postural control in elderly with type 2
16 diabetes. It also produced a trend of improvement in mobility performance and single leg
17 balance. Clinicians should consider this strategy to reduce the risk of falls among elderly
18 people with diabetes.

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CHAPTER 8
CONCLUSIONS

1 The prevalence of diabetes is increasing and it is a global health concern. Since this is a
2 chronic disease associated with many complications, it is essential to take measures to
3 prevent complications. Starting from chapter 4, different studies were done to achieve the
4 purposes. In chapter 4, we examined the reliability of an innovative Ultrasound Foot
5 Scanner System based on a previously validated TUPS to investigate the thickness and
6 stiffness of plantar soft tissue (Zheng & Mak, 1996). The merit of developing the current
7 foot scanner system was to overcome the shortcoming of TUPS as this system can not be
8 used to measure the thickness and stiffness of plantar soft tissue in weight-bearing
9 positions, which implies that TUPS can not be used to predict the risk of developing foot
10 ulceration in people with diabetes. In that chapter, we found that the innovative
11 Ultrasound Foot Scanner System is a reliable tool for measuring the thickness and
12 stiffness in sitting and standing positions. This system is a potential tool for assessing the
13 risk of developing foot ulceration in people with diabetes.

14

15 Besides diabetic foot ulceration, a decrease in mobility performance is another common
16 complication found in people with diabetes (Gregg et al., 2000; Orr et al., 2006),
17 especially among elderly. However, there is a lack of research trying to investigate the
18 factors contributing to the decrease in the mobility in this client group. In chapter 5, we
19 found that the mobility performance of the elderly with type 2 diabetes is correlated with
20 age, BMI, peak torque of ankle plantar flexors and dorsoflexors, stiffness of the ankle
21 joint in dorsiflexion and ankle joint proprioception. Besides, multiple regression analysis
22 showed that the demographic characteristics, together with the ankle plantarflexors and
23 dorsiflexors strength, ankle joint proprioception and stiffness in ankle dorsiflexion

1 account for 59.9% of the variance in the performance of TUG. We also found that the
2 ankle joint proprioception sense and the strength of ankle plantarflexors are significant
3 contributors to TUG. The findings of chapter 5 provide a rationale for developing a
4 rehabilitation program which consists of specific regimen to improve the mobility
5 performance in elderly with diabetes.

6

7 In chapter 6, a systematic review was done to review the existing literatures about the
8 effect of exercise on balance and mobility performance in people with type 2 diabetes.

9 Although meta-analysis was unable to be carried out, exercise generally showed positive
10 result on mobility and balance performance in this group of population. However, all of
11 the studies included in this review were not specific to this client group.

12

13 Since there are very limited research work been done to investigate the effect of exercise
14 on mobility and balance for people with diabetes. There is lack of consent on optimal
15 rehabilitation program to improve the mobility and balance performance in this client
16 group. Therefore, chapter 7 reported a quasi-randomized control trial to investigate the
17 effectiveness of a 10-week exercise program which has specific regimen in enhancing the
18 postural control and mobility performance in elderly with type 2 diabetes. The exercise
19 regimen was based on the results obtained in chapter 5. Our results reflected that this
20 program, incorporated with home exercise was effective in improving the postural control
21 in elderly with type 2 diabetes, a trend of improvement was shown in mobility
22 performance and single leg balance. There were limitations in which chapter in which we
23 suggest that future study should address.

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APPENDICS

1 **Appendix I (Search keywords of the systematic review)**

2 Filter for diabetes

1. Diabet*
2. NIDDM
3. Non-insulin* depend*
4. Glucose intolerance
5. Insulin* resistanc*
6. Metabo* syndrom*

3 7. 1 or 2 or 3 or 4 or 5 or 6

4

5 Filter for exercise

8. Exercis*
9. Training
10. physical
11. Strengthening
12. Weight lifting
13. Wright-lifting
14. Weight bearing
15. Weight-bearing
16. Resist*
17. Stretch*
18. Flexib*
19. Range of motion*
20. Balanc*
21. Mobilit* training*
22. Sport*
23. Exert*
24. Home program*
25. Tai Ji
26. Tai Chi
27. Chi, Tai
28. Taiji
29. Ji Quan, Tai
30. Pilates*
31. Hydrotherap*
32. aquatic
33. Ai chi

6 34. 8 or 9 or 10 or 11 or 12 or 13 or 14 or 15 or 16 or 17 or 18 or 19 or 20 or 21 or 22 or
7 23 or 24 or 25 or 26 or 27 or 28 or 29 or 30 or 31 or 32 or 33 or 34

1 Filter for balance and mobility

35. Balance*
36. Postur*
37. BBS
38. Single leg*
39. one leg*
40. Functional reach
41. Timed up and go test
42. TUG*
43. Timed-up-and-go
44. Stability
45. equilibrium
46. fall*
47. mobilit*
48. ambulat*
49. walk*
50. gait*
51. Performanc*
52. Locomot*
53. Disorder*
54. Activit*
55. SOT
56. Sensory Organization Test

2 57. 35 or 36 or 37 or 38 or 39 or 40 or 41 or 42 or 43 or 44 or 45 or 46 or 47 or 48 or 49
3 or 50 or 51 or 52 or 53 or 54 or 55 or 56 or 57

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5 Final: 7 and 34 and 57

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Appendix II (summary of the included articles)

Authors, year and country of publication	Study design	Demographic data	Intervention and control group management	Intervention duration and follow up period	Balance or mobility outcome measures	Drop Out	Summary of results	Intervention adverse effects
Negri et al., 2010, Italy	RCT, blinding was not mentioned	n=60 I=39 C= 21 (mean age 65.7 ± 5.2) Subjects in intervention groups were analyzed if they have higher than 50% attendance. Adjust I=21 (mean age 65.7 ± 4.9)	I=Supervised walking exercise with intensity increased from low to moderate gradually C= standard instruction aimed at encouraging physical activity At 2 months, both groups received interim visit	45 minutes, 3 times weekly for 4 months No follow up	Six minute walk test (meter)	I=9 (lack of time = 5, persistent articular pain =3, difficulty in completing the walking exercise and excluded =1) C=1, due to lost to follow-up	Based on the statistical analysis after excluding those with poor attendance I: improved from 521 ± 37.2 to 612 ± 78.8 (p<0.001) C: improved from 554 ± 49.2 to 574 ± 60.8 (p<0.05) The change in I is significantly higher than C	Hypoglycemia n= 7
Allet et al., 2010a Switzerland	RCT, double-blinded	n=71 I=35 (mean age 63 ± 7.99) C=36 (mean age 64 ± 8.89)	I= balance and gait training C= no treatment or advice	60 minutes, twice a week for 12 weeks. After the program the subjects were encouraged to perform home exercise in the following 6 months Follow up at 6 months after the 12-week program	1. Gait speed (meter per second) 2. Coefficient of variation of gait cycle time (%) 3. Dynamic balance test: walk as fast as possible on a 5 - meter beam (second)	I= 5 (foot problem =1, fatigue = 1, holidays= 1, discus hernia =1, illness of spouse=1) C= 8 (fatigue= 3, no motivation =1, no time=1, illness=2, not	1. I: increased habitual gait speed from (1.21 ± 0.17 to 1.36 ± 0.19, then to 1.31 ± 0.18) C: changed habitual gait speed from (1.26 ± 0.18 to 1.24 ± 0.19 then to 1.24 ± 0.15 in follow up)	Achilles tendon pain n=2

					4. Static balance test by Biodex Balance System (level 6 and 8)(Sway index)	specified=1	<p>2. I: increased (from 2.60 ± 0.72 to 2.68 ± 0.99 then to 2.52 ± 0.95 in follow up)</p> <p>C: changed (from 2.75 ± 0.96 to 2.70 ± 1.12 then to 1.09 ± 0.21 in follow up)</p> <p>3. I: improved (from 9.78 ± 5.58 to 7.10 ± 3.55, then to 7.08 ± 3.61 in follow up)</p> <p>C: deteriorated from (8.35 ± 3.89 to 9.32 ± 5.19, then to 9.32 ± 4.05 in follow up)</p> <p>4. Level 6 I : improved (from 5.97 ± 2.59 to 3.74 ± 1.12 then to 4.74 ± 1.51 in follow up)</p> <p>C: changed (from 6.00 ± 2.79 to 5.99 ± 2.76 then to 6.42 ± 2.83 in follow up)</p> <p>Level 8 I: improved from 4.29 ± 2.18 to 2.94 ± 1.06 then to 3.31 ± 1.02)</p> <p>C: changed (from</p>
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							<p>4.58 ± 2.02 to 4.72 ± 1.94 then to 4.77 ± 1.93 in follow up)</p> <p>All variables showed significant improvement post-intervention and in follow up in I except for 2. while all variables showed deterioration in C.</p>	
Allet et al., 2010b Switzerland	RCT, double-blinded	<p>n=71</p> <p>I=35 (mean age 63 ± 8.0)</p> <p>C=36 (mean age 64 ± 8.9)</p>	<p>I= balance and gait training</p> <p>C= no treatment or advice</p>	<p>60 minutes, twice a week for 12 weeks, encouraged to perform home exercise in the following 6 months</p> <p>Follow up at 6 months after the 12-week program</p>	<p>Outdoor gait assessment in tarred 50 meters tarred pathway and 20 meters cobblestone pathways</p> <ol style="list-style-type: none"> 1. gait speed(meter per second) 2. Cadence (Stride per minute) 3. Gait cycle time (second) 4. Stance time (%) 5. Coefficient of variation of gait cycle time (%) 6. Stride length 	<p>I= 5 (foot problem =1, fatigue = 1, holidays= 1, discus hernia =1, illness of spouse=1)</p> <p>C= 8 (fatigue= 3, no motivation =1, no time=1, illness=2, not specified=1)</p>	<ol style="list-style-type: none"> 1. I: improved (from 1.22 ± 0.17 to 1.36 ± 0.19 on tarred pathway after the intervention and to 1.31 ± 0.18 at follow up; from 1.05 ± 0.21 to 1.22 ± 0.23 on cobblestones pathway after intervention and to 1.19 ± 0.23 at follow up) (<i>p</i><0.001). C: changed (from 1.26 ± 0.18 to 1.24 ± 0.19 on tarred pathway after the intervention and to 1.24 ± 0.15 at follow up; from 1.10 ± 0.21 to 1.08 ± 0.21 on cobblestones 	Achilles tendon pain n=2

							<p>pathway after the intervention and to 1.09 ± 0.21 at follow up) (no significant change)</p> <p>2. I: Improved (from 55.03 ± 5.41 to 60.31 ± 6.24 on tarred pathway after the intervention and to 56.10 ± 4.37 at follow up; from 50.92 ± 5.8 to 56.82 ± 6.75 on cobblestone pathway after the intervention and to 55.80 ± 5.68 at follow up) ($p < 0.001$)</p> <p>C: changed (from 56.34 ± 5.27 to 55.57 ± 4.74 on tarred pathway after the intervention and to 56.10 ± 4.37 at follow up; from 52.59 ± 5.78 to 51.79 ± 5.52 on cobblestone pathway after the intervention and to 52.72 ± 5.45 at</p>	
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							<p>follow up) (no significant change)</p> <p>3. I: decreased (from 1.10 ± 0.12 to 1.01 ± 0.11 on tarred pathway after the intervention and to 1.03 ± 0.1 at follow up; from 1.20 ± 0.15 to 1.07 ± 0.14 on cobblestone pathway after the intervention and to 1.09 ± 0.12 at follow up) ($p < 0.001$)</p> <p>C: changed (from 1.08 ± 0.1 to 1.09 ± 0.1 on tarred pathway after the intervention and to 1.08 ± 0.08 at follow up; from 1.16 ± 0.14 to 1.18 ± 0.15 on cobblestone pathway after the intervention and to 1.16 ± 0.13 at follow up) (no significant change)</p> <p>4. I: decreased (from 60.67 ± 1.87 to</p>	
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							<p>56.69 ± 2.47 on tarred pathway and to 60.14 ± 2.28 at follow up; from 61.38 ± 2.65 to 59.89 ± 2.39 on cobblestone pathway after the intervention and to 60.36 ± 2.52 at follow up) (<i>p</i><0.001 after intervention but not significant at follow up)</p> <p>C: changed (from 60.35 ± 1.78 to 60.55 ± 1.96 on tarred pathway after the intervention and to 60.49 ± 2.03 at follow up; from 60.68 ± 1.97 to 60.91 ± 2.27 on cobblestone pathway after the intervention and to 60.69 ± 2.07 at follow up) (no significant change)</p> <p>5. I: changed (from 2.60 ± 0.73 to 2.69 ± 0.99 on tarred pathway after the intervention and to</p>	
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							<p>2.52 ± 0.95 at follow up; from 4.93 ± 2.61 to 4.29 ± 1.97 on cobblestone pathway after the intervention and to 4.69 ± 2.32 at follow up) (no significant change)</p> <p>C: changed (from 2.75 ± 0.96 to 2.70 ± 1.12 on tarred pathway after intervention and to 2.72 ± 0.88 at follow up; from 5.11 ± 2.27 to 5.12 ± 2.23 after intervention and to 5.12 ± 2.82 at follow up) (no significant change)</p> <p>6. I: increased (from 1.32 ± 0.13 to 1.35 ± 0.14 (p=0.039) on tarred pathway after the intervention and to 1.27 ± 0.17 (p=0.012) at follow up; from 1.24 ± 0.17 to 1.29 ± 0.15 (p=0.009) on cobblestone pathway and to 1.27</p>	
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							± 0.17 ($p=0.012$) at follow up) C: changed (from 1.35 ± 0.15 to 1.33 ± 0.17 on tarred pathway after intervention and to 1.33 ± 0.16 at follow up; from 1.25 ± 0.17 to 1.24 ± 0.19 on cobblestone pathway after intervention and to 1.24 ± 0.2 at follow up) (no significant change).	
Lambers et al., 2008, Holland and Belgium	RCT, double-blinded	n=54 Icom (combined training) =19 (mean age 55.8 ± 9.66) Iend(endurance training)= 19 (mean age 52.2 ± 8.26) C= 16 (mean age 57.5 ± 8.69)	Icom= endurance and muscle strengthening Iend= endurance training C= remained normal activities without additional guided physical activity	Icom and Iend: 40 sessions (3 times a week), 1 hour training No follow up	1. Six-minute walk test (meter) 2. Functional sit-to-stand test (number per 30 seconds)	Icom=2 (illness) Iend=1 (illness) C=5 (lost to follow up)	1. Icom improved from 539.8 ± 61.26 to 594.2 ± 60.25 ; Iend improved from 538.1 ± 76.32 to 583.4 ± 61.48 ; C deteriorated from 528.9 ± 88.36 to 521.3 ± 86.27 Both Icom and Iend performed significantly better than control group ($p<0.01$) 2. Icom improved from 14.2 ± 2.59 to	Hypoglycemia I=2

							<p>16.8 ± 2.30;</p> <p>Iend improved from 13.4 ± 2.73 to 15.3 ± 4.41;</p> <p>C decreased from 13.1 ± 2.17 to 12.8 ± 1.72</p> <p>Significant difference was found between Icom and C (p<0.05)</p>	
Lam et al., 2008, Australia	RCT, single-blind	(n=53) I=28 (mean age 63.2 ± 8.6) C=25 (mean age 60.7 ± 12.2)	I= Tai Chi program based on 'yang and sun style 20-form C= maintain usual activities	1 hour, twice a week for 3 months, then once a week for 3 months No follow up	6 meters walk test (second)	I= 7 C= 3 Illness= 5 Time commitments= 2 Non-specified= 3	I changed from 5.1 ± 0.7 to 5.7 ± 1.6 (p=0.34); C changed from 5.2 ± 1.1 to 10.7 ± 25.5 (statistical significance not mentioned)	Not mentioned
Ozdirenc et al., 2004, Turkey	RCT, blinding was not mentioned	(n=44) I=23 (61.9 ± 7.4) C=21 (60.4 ± 5.6)	I= cardiovascular training and theraband exercise for training lower limbs, exercise intensity was in submaximal level C=did not receive any recommendations regarding exercise	20-45 minutes, five times a week in in-patient setting (mean stay=12 ± 2.4 days) No follow up	6-minute walk test (meters)	Withdrawal was not reported	I: improved (from 455.2 ± 76.6 to 537.6 ± 61.6); C improved (from 454 ± 45.1 to 511.5 ± 42.8) (both group showed significant within-group difference p<0.05, significant between-group difference was noted after the intervention)	No adverse effect

Orr et al., 2006, Australia	RCT, single-blinded	(n=35) I=17 (mean age 65.9 ± 7.4) C=18 (mean age 64.9 ± 8.1)	I=Tai Chi for Diabetes (a 12-movement hybrid from Sun and Yang style C= sham exercise (seated calisthenics, stretching etc.)	I and C: twice a week for 16 weeks (10 minutes warm up and cool down, 45 minutes exercise) No follow up	<ol style="list-style-type: none"> 1. Balance index (Chattecx balance platform) 2. Unilateral stance, eyes open (second) 3. Unilateral stance, eyes closed (second) 4. Tandem walk score (time to complete 3-meter tandem walk plus number of error made) 5. 6-minute walk test (meter) 6. Habitual gait speed (meter per second) 7. Maximal gait speed (meter per second) 	Not mentioned	<ol style="list-style-type: none"> 1. I improved from 111.1 ± 23.1 to 107.3 ± 23.1; C improved from 111.5 ± 22.2 to 104.1 ± 22.2 (p=0.03) 2. I improved from 8.96 to 17.9; C deteriorated from 30 to 24.2 (p=0.60)# 3. I changed from 3.9 to 2.8; C changed from 2.2 to 2.0 (p=0.20)# 4. I improved from 19.1 ± 7.0 to 18.1 ± 8.3; C improved from 18.5 + 6.3 to 17.2 + 6.2 (p=0.20) 5. I changed from 474.0 ± 76.1 to 481.8 ± 83.0; C changed from 456.6 ± 117.8 to 470.1 ± 118.2 (p=0.06) 6. I improved from 1.0 ± 0.2 to 1.1 ± 0.2; C improved from 	Not mentioned
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							1.1 ± 0.2 to 1.2 ± 0.3 ($p=0.053$) 7. I improved from 1.6 ± 0.3 to 1.7 ± 0.3 ; C improved from 1.6 ± 0.3 to 1.7 ± 0.3 ($p=0.005$) # median value <i>p</i> -value is the significance of change over time	
Richardson et al., 2001, USA	Quasi-RCT, single-blinded	n=20 I=10 (mean age 64 ± 6.3) C=10 (age 63.3 ± 7.6)	I=focused exercise program to improve distal strength and balance C=sham exercise on upper limbs	I=daily exercise for 3 weeks C= 5 or more times per week for 3 weeks No follow up	1. Tandem stance (second) 2. Functional reach (inch) 3. Unipedal stance (second)	I=1 (ankle and foot pain) C=3 (illness=1, non-specified=2)	1. I improved from 17.5 ± 13.4 to 23.5 ± 10.9 ($p=0.004$); C improved from 19.0 ± 11.8 to 22.0 ± 12.0 ($p=0.13$) 2. I improved from 10.5 ± 2.1 to 11.5 ± 2.2 ($p=0.012$); C improved from 11.3 ± 3.6 to 11.9 ± 2.8 ($p=0.23$) 3. I improved from 5.4 ± 4.7 to 11.6 ± 10.2 ($p=0.0014$); C decreased from 9.3 ± 8.6 to 7.9 ± 5.9 ($p=0.33$)	Ankle and foot pain I=1

Tsang et al., 2007, Australia	RCT, single-blinded	(n=38) I=18 (age 66 ± 8) C=20 (age 65 ± 8)	I= Tai Chi for Diabetes (a form of 12 movements form Sun and Yang Tai Chi styles) C= sham exercise (seated calisthenics and stretching)	I and C: twice a week for 16 weeks (10 minutes warm up and cool down, 45 minutes exercise) No follow up	<ol style="list-style-type: none"> 1. Balance index (Chattecx balance platform) 2. Unilateral stance, eyes open (second) 3. Unilateral stance, eyes closed (second) 4. Tandem walk score (time to complete 3-meter tandem walk plus number of error made) 5. 6-minute walk test (meter) 6. Habitual gait speed (meter per second) 7. Maximal gait speed (meter per second) 		<ol style="list-style-type: none"> 1. I improved from 111.1 ± 23.1 to 107.3 ± 23.1); C improved from 111.5 ± 22.2 to 104.1 ± 22.2 (p=0.03) 2. I improved from 13.6 ± 13.1 to 16.9 ± 13.2; C changed from 19.4 ± 12.7 to 19.4 ± 12.1(p=0.40) 3. I decreased from 3.9 to 2.9; C decreased from 22.2 to 2.0 (p=1.00)# 4. I improved from 19.1 ± 7.0 to 18.1 ± 8.3; C improved from 18.5 ± 6.3 to 17.2 ± 6.2 (p=0.20) 5. I improved from 474.0 ± 76.1 to 481.8 ± 83.0; C improved from 456.6 ± 117.8 to 470.1 ± 118.2 (p=0.06) 6. I improved from 1.0 	Pain and fatigue I=1
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							<p>to 1.2; C improved from 1.1 to 1.2 ($p=0.054$)#</p> <p>7. I improved from 1.6 ± 0.3 to 1.7 ± 0.3; C improved from 1.6 ± 0.3 to 1.7 ± 0.3 ($p=0.005$)</p> <p>#median value p-value is the significance of change over time</p>	
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I=intervention C=control

Appendix III (Cochrane Risk of Bias Tables)

(Allet et al., 2010a) Diabetologia

	Judgment	Description	Quote
Adequate sequence generation	Low risk	Electronic randomization was performed	“ For each of these starting points a new randomization list was electronically generated...”
Allocation concealment	Low risk	Allocation was probably not foreseeable by electronic randomization	“new randomisation list was electronically generated.....by a person not involved in the recruitment, evaluation or treatment processes...the allocation was accomplished by a secretary in a central office”
Blinding of participants	High risk	The nature of the study made it less likely to blind the subjects	“Patients were kept unaware of the study hypothesis. However, the nature of the study made it impossible to blind patients and therapists.” “Patients allocated to the IG received a timetable containing all planned sessions over 12 weeks.”
Blinding of outcome assessors	High risk	The assessor worked in the same center and could see who is receiving training during the class	“The assessor was kept as much as possible unaware of the group assignment. However, as she worked in this hospital during the experiment, she saw some patients arriving for training sessions.”
Incomplete outcome data addressed	Unclear risk	Incomplete data was handles by method of intention-to-treat analysis with last observation carry forward	“An intention to treat analysis was performed and in the event of missing value for any variables, these values were imputed by means of the last observation carried forward method”
Selective reporting	Low risk	In the article, all pre-specified outcome measures were reported	
Other bias			

(Allet et al., 2010b) Gait and Posture

	Judgment	Description	Quote
Adequate sequence generation	Low risk	Electronic randomization was performed	“a new randomization list was electronically generated...”
Allocation concealment	Low risk	Allocation was probably not foreseeable by electronic randomization	“a new randomization list was electronically generated and was used by a person uninvolved in the recruitment, evaluation or treatment process”
Blinding of participants	High risk	The nature of the study made it less likely to blind the subjects	“Patients were kept unaware of the study hypothesis. However, the nature of the study made it impossible to blind patients and therapists”
Blinding of outcome assessors	High risk	The assessor worked in the same center and could see who is receiving training during the class	“All outcome measures were taken at baseline, after 12 weeks and after six months and performed by the same experienced physiotherapist” “However, the nature of the study made it impossible to blind patients and therapists”
Incomplete outcome data addressed	Unclear risk	Incomplete data was handles by method of intention-to-treat analysis with details described	“ An intention to treat analysis was performed and in case of missing values of variables, values were imputed by means of the last observation carried forward methods”
Selective reporting	Low risk	All pre-specified outcome measures were reported with details described in tables	
Other bias			

(Lam et al., 2008)

	Judgment	Description	Quote
Adequate sequence generation	Low risk	Computer generated randomization was used	“randomized to tai chi or wait list control using a centralized computer generated allocation method...”
Allocation concealment	Low risk	Subjects were less likely to foresee the assignment before the start of the program	“randomized to...using a centralized computer generated allocation method...”
Blinding of participants	Unclear risk	Blinding of subjects was not mentioned	
Blinding of outcome assessors	Low risk	Assessors were blinded to the treatment allocation	“subjects were assessed at baseline...by either an exercise physiologist or trained nurse, both blinded to the treatment allocation”
Incomplete outcome data addressed	Unclear risk	Attrition was mentioned in the article but the handling of incomplete data was not mentioned	“ A total of 53 patients were randomised to the study. There were 10 patients who withdrew from the study because of illness (5) or time commitments (2). Three patients withdrew toward the end of the study but returned for final blood tests and were included in the analysis.”
Selective reporting	Low risk	All pre-specified outcome measures were reported with details presented in tables	
Other bias	Unclear risk	?uneven baseline data between two groups	

(Lambers et al., 2008)

	Judgment	Description	Quote
Adequate sequence generation	Low risk	Randomization using envelope	“ the randomization was performed using envelopes”
Allocation concealment	Unclear risk	Writers did not mention the detail on the concealment of envelop	
Blinding of participants	Low risk	Blinding of subjects was probably done	“ the message inside stated that they were in group 1 (combined exercise training), 2 (endurance training) or 3 (control group)”
Blinding of outcome assessors	Low risk	Blinding of assessors were mentioned	“The measurements were done by masked assessors. They examined the participants without being aware of the programme followed by each individual.”
Incomplete outcome data addressed	High risk	Incomplete and missing data management was not mentioned, according to flow diagram, the writers probably adopted as-treated analysis	“During the experimental period 8 patients dropped out (2 in the combination group, 1 in the endurance group and 5 in the control group) due to non-compliance, illness and lost to follow-up.”
Selective reporting	Low risk	All pre-specified outcome measures were reported with details presented in tables	
Other bias	Unclear risk	<ol style="list-style-type: none"> 1. 29 males and 17 females 2. There was significant difference in baseline on weight and waist circumference 	<ol style="list-style-type: none"> 1.” So, 29 males and 17 females with stable type 2 diabetes, all free of exercise-limiting co-morbidities...were enrolled in a 40-session rehabilitation programme.” 2. In general all groups were matched except for weight (P<0.05) and waist circumference (P<0.05).”

(Negri et al., 2010)

	Judgment	Description	Quote
Adequate sequence generation	Low risk	Randomization table was used	“ subjects were assigned with a 1:2 ratio by a randomization table...”
Allocation concealment	Unclear risk	Details of concealment was not mentioned	
Blinding of participants	Unclear risk	Blinding not mentioned	
Blinding of outcome assessors	Unclear risk	Blinding not mentioned	
Incomplete outcome data addressed	High risk	1.Number of attrition and exclusion addressed, but the number of drop out between two groups were not balanced 2.Incomplete outcome data was handled by intention-to-treat without further details	1. “Eight subjects in the intervention group abandoned the protocol because of lack of time (n=5) or persistent articular pain (n=3). One subject was excluded because of difficulties in completing the walking sessions. One subject in the control group was lost to follow up.” 2. “By intention-to-treat analysis, at the end of study....”
Selective reporting	High risk	All pre-specified outcomes were reported but the author performed a second analysis to draw the conclusion	“Because there was a wide individual range (14-90%) in participation in scheduled activities, a secondary analysis was carried our including only subjects whose attendance was at least 50% (n=21).”
Other bias	Unclear risk	Practice effect of the intervention	

(Orr et al., 2006)

	Judgment	Description	Quote
Adequate sequence generation	Unclear risk	The method of randomization was not clear	“ participants were randomly allocated to the Tai Chi or control groups, named Eastern or Western exercise....”
Allocation concealment	Unclear risk	The method of concealment was not mentioned	
Blinding of participants	Unclear risk	Blinding of participants was not mentioned	
Blinding of outcome assessors	Unclear risk	Blinding of assessor was not mentioned	
Incomplete outcome data addressed	High risk	The number of attrition and drop out was not mentioned. Intention-to-treat analysis was mentioned in methodology part without much details, it appeared that the as-treated analysis was used according to the table	“ We conducted a 16-week single –blind, randomized, sham-exercise controlled trial with an intention-to-treat design.”
Selective reporting	Low risk	All pre-specified outcome measures were reported and presented in table.	
Other bias	Unclear risk	79% of the subjects were female	

(Ozdirenc et al., 2004)

	Judgment	Description	Quote
Adequate sequence generation	Low risk	Random number table was used	“randomly allocated by means of a random numbers table to one of two groups”
Allocation concealment	Unclear risk	Method of concealment was not mentioned	
Blinding of participants	Unclear risk	Blinding subjects was not mentioned	
Blinding of outcome assessors	Unclear risk	Blinding of assess was not mentioned	
Incomplete outcome data addressed	Unclear risk	Number of missing data or drop out not mentioned	
Selective reporting	Low risk	All pre-specified outcome measures were reported and described in tables	
Other bias	Unclear risk	All subjects were recruited from the same hospital The treatment period was not standardized	

(Tsang et al., 2007)

	Judgment	Description	Quote
Adequate sequence generation	Low risk	Computerized randomization program was used	“randomly allocated to intervention or control groups. Permuted block randomization in blocks of four, stratified by gender...computerized randomization program”
Allocation concealment	Low risk	The randomization was done by a third party and a sealed envelope was used	“...stratified by gender was generated by an investigator no otherwise involved with study subjects...” “Subjects were randomized following baseline assessment by handing them their group allocation in a sealed opaque envelope.”
Blinding of participants	Low risk	Subjects were blinded	“ both forms of exercise were presented to the subjects as being potentially beneficial to them, and naming the program, ”Move It for Diabetes” assisted in the blinding of the subjects to the hypothesized beneficial exercise mode.”
Blinding of outcome assessors	High risk	Assessor was not blinded	“ All classes were run by the same investigator extensively trained in both forms of exercise. The same investigator performed the outcome measures also, due to limited staff availability”
Incomplete outcome data addressed	Unclear risk	Attrition and exclusion of subjects described but the method handling the incomplete data was not mentioned	“ There was one dropout in the Tai Chi group (at week 4) who refused follow-up testing due to illness and difficulty with transport.” “One subject (with pre-existing spinal stenosis) in the Tai Chi group found the exercise intolerable secondary to pain and fatigue, and did not attend after session 1.”
Selective reporting	Low risk	All pre-specified outcome measures were reported and described in table	
Other bias			

Appendix VI (Consent form-English version)

The Hong Kong Polytechnic University Department of Rehabilitation Sciences

Research Project Information letter

Project Title: The use of foot scanner in evaluating the biomechanical properties of plantar tissue in people with diabetes

Name of Researcher: Mr. Thomas Ng, MPhil candidate, Department of Rehabilitation Science, The Hong Kong Polytechnic University

Name of Supervisors: Dr. Gladys Cheing, PhD, Department of Rehabilitation Sciences, The Hong Kong Polytechnic University

Dr. Yong-ping Zheng, PhD, Department of Health Technology & Informatics, The Hong Kong Polytechnic University

Project information:

The purposes of this study are (i) to examine the validity of a newly developed ultrasound platform in measuring the mechanical property of the plantar tissues of diabetic foot in standing position, and (ii) to examine the biomechanical properties of plantar tissues among people with diabetic polyneuropathy as compared to healthy control subjects.

For the first part of this study, a novel ultrasound platform will be modified and implemented to measure the mechanical properties of plantar tissues in standing. The validity of this ultrasound platform system will be tested in people with diabetic peripheral neuropathy using the tissue ultrasound palpation system (TUPS).

For the second part of the study, subjects with Type 2 diabetic with or without peripheral neuropathy and age-matched healthy control subjects will be recruited. Comparison will be made on (i) plantar tissue thickness and stiffness and (ii) postural control in standing. Equipments include (i) a newly developed ultrasound plantar foot scanner and (ii) a computerized posturography machine (Smart EquiTest®, NeuroCom International, Inc) will be used for the testing.

All measurements include change in mechanical property of plantar tissues, and the postural control are absolutely safe with no known side-effects. Subjects will not be exposed to any painful stimulation during assessment. Subjects are under no obligation to take part in this study and free to withdraw from the study at any time during the sessions. Subject's personal information and data acquired from this study is confidential and will not be disclosed to people who are not related to this study.

If you have any complaint about the conduct of this research study, please do not hesitate to contact Mrs. Michelle Leung, Secretary of Departmental Research Committee of The Hong Kong Polytechnic University at tel. no. 2766 5397.

If you would like more information about this study, please contact Dr. Gladys Cheing at tel. no. 2766 6738.

Thank you for your interest in participating in this study.

Appendix V (Consent form-Chinese version)

香港理工大學康復治療科學系科研同意書

科研題目： 超聲波足部平臺系統測試糖尿病周邊神經病變患者的足底部生物力學之研究

科研人員： 吳家偉先生 (香港理工大學康復治療科學系碩士學生)
鄭荔英博士 (香港理工大學康復治療科學系助理教授)
鄭永平博士 (香港理工大學醫療科技及資訊學系副教授)

科研內容：

本研究之目的包括：(一) 檢測新研發的超聲波足部平臺系統測試方法來測試足底部生物力學之可靠度及準確度，(二) 研究糖尿病周邊神經病變患者相對非糖尿病健康人士之平衡表現與及摔跌的風險因素。

此研究的第一部份是檢測新研發的超聲波足部平臺系統測試方法的使用在 2 型糖尿病周邊神經病變患者的可靠度及準確度。

此研究的第二部份研究對象包括：(一) 2 型糖尿病患者、(二) 2 型糖尿病周邊神經病變患者、(三) 非糖尿病健康人士。研究對象將會接受兩種不同類形的測試與檢查，包括：(一) 足底軟組織的厚度與彈性、(二) 直立時的姿勢控制能力。測試儀器包括：(一) 超聲波足部平臺、(二) 電腦化姿勢描記術儀器。

對項目參與人士和社會的益處：

此研究為糖尿病周邊神經病變患者跌倒的風險因素提供更有效的檢測及理解，研究結果將對今後糖尿病足的治療提供寶貴資料。

潛在危險性：

此研究的所有測試包括足底軟組織生物力學特性之改變、與及平衡測試都十分安全，沒有潛在危險性，測試過程中不會引起疼痛。參與者有權在任何時候、無任何原因放棄參與此次研究，不會導致任何懲罰或不公平對待。參與者的資料將不會洩露給與此研究無關的人員。

研究對象如有關於此項研究的疑問，可在辦公時間內致電鄭荔英博士(2766 6738)查詢。若研究對象對此研究有任何投訴，可聯繫梁女士(部門科研委員會秘書)，電話：27665397。

多謝閣下的積極參與。

同意書：

本人_____已瞭解此次研究的具體情況。本人願意參加此次研究，本人有權在任何時候、無任何原因放棄參與此次研究，而此舉不會導致我受到任何懲罰或不公平對待。本人明白參加此研究課題的潛在危險性以及本人的資料將不會洩露給與此研究無關的人員，我的名字或相片不會出現在任何出版物上。

若本人對此研究有何疑問，可致電研究負責人鄭荔英博士 (電話：2766 6738)查詢。若本人對此研究人員有任何投訴，可以聯繫梁女士（部門科研委員會秘書），電話：2766 5397。本人亦明白，參與此研究課題需要本人簽署一份同意書。

參與者簽名： _____ 日期： _____

見證人簽名： _____ 日期： _____

科研人員簽名： _____ 日期： _____

Appendix VI (Abstract I of 13th Hong Kong Diabetes and Cardiovascular Risk Factors- East Meets West Symposium)

THE CHANGE OF PLANTAR SOFT TISSUES BIOMECHANICAL PROPERTIES IN DIFFERENT WEIGHT BEARING POSITIONS

Thomas Ka Wai Ng; Yong-Ping Zheng; Rachel Lai Chu Kwan; Gladys Lai Ying Cheing

Purpose of the study

This study was to investigate the thickness and stiffness of the plantar soft tissue in sitting and standing positions by the use of an Ultrasound Foot Scanner System.

Methods

Fifteen healthy young subjects (mean age: 22.5±2.2 yrs; 7 males and 8 females) were recruited. The Ultrasound Foot Scanner System was used to assess the thickness and stiffness of the plantar soft tissue at the pulp of the big toe, the first metatarsal head, second metatarsal head, fifth metatarsal head, and heel in both a sitting and standing position. Paired t-test was used to compare the result obtained in the sitting and standing positions made by the Ultrasound Foot Scanner System.

Summary of the results

Paired t-test showed that the thickness and stiffness of plantar soft tissue at all measuring sites were significantly thinner (all $p < 0.001$) and stiffer (all $p < 0.05$) in a standing position as compared with the measurements recorded in a sitting position.

Conclusions

The plantar soft tissues become significantly thinner and stiffer when subjects change from a sitting to a standing position, which implies that weight-bearing status is related to the plantar pressure. Assessment of the biomechanical properties of the plantar tissue by the Ultrasound Foot Scanner System enables us to monitor the change of the plantar pressure during different weight bearing activities, also to estimate the risk of developing foot ulcer or foot complications in various disease groups such as people with diabetes.

Appendix VII (Abstract II of 13th Hong Kong Diabetes and Cardiovascular Risk Factors- East Meets West Symposium)

PHYSICAL CHARACTERISTICS OVER THE ANKLE JOINT PREDICT THE MOBILITY PERFORMANCE FOR ELDERLY WITH TYPE II DIABETES

Thomas Ka Wai Ng; Gladys Lai Ying Cheing

Purpose of the study

The purpose of the present study was to investigate if the physical characteristics of the ankle joint are significant predictors of the mobility status in elderly with diabetes.

Methods

Eighty-five subjects with type II diabetes (mean age: 72.52 ± 7.17 years) were recruited from the community elderly centres. The mobility performance status was measured by Timed Up and Go test. The active ankle joint repositioning test for ankle proprioception sense and the ankle peak torque were investigated by Cybex Norm dynamometer (Cybex International Inc, Ronkonkomam NY). The stiffness of ankle dorsiflexion was examined by weight bearing lunge test. Multiple regression analysis was used to predict Timed Up and Go test from the ankle proprioception sense, ankle peak torque of plantarflexors and dorsiflexors, ankle dorsiflexion stiffness and the demographic data.

Summary of the results

Multiple regression analysis shows that the multiple R (0.774) was statistically significant ($F(9,66)=10.953$, $p<0.001$). Our findings demonstrated that body mass index, normalized peak torque of plantarflexors and active ankle joint repositioning test errors are significant predictors of Timed Up and Go test (all $p<0.05$). These factors, together with the demographic data of the subjects count for 59.9% of the variance of Timed Up and Go test.

Conclusions

The body mass index, ankle plantarflexors strength and the ankle proprioception are important factors affecting the physical mobility of elderly with diabetes. The physical characteristics of ankle together with demographic factors contribute to more than 50% of variance of Timed Up and Go test. Future rehabilitation programs should target on improving these physical characteristics for elderly with diabetes in order to reduce the risk of fall in this population.

Appendix VIII (Abstract of Diabetic Foot Global Conference 2012)

Effectiveness of exercise on balance and mobility performance in people with type 2 diabetes

Thomas KW Ng^{1,2}; Gladys LY Cheing, PhD¹

¹ Department of Rehabilitation Sciences, The Hong Kong Polytechnic University, Hong Kong SAR, China

² Physiotherapy Department, Kowloon Hospital, Hong Kong SAR, China

Purpose

To investigate the effectiveness of a 10-week balance exercise program on the balance and mobility performance in elderly people with type 2 diabetes.

Methods

One hundred and eight subjects with type 2 diabetes without neuropathy were recruited from four community elderly centers. They were divided into either the intervention group (n=62, mean age=72.0 years) or control group (n=46, mean age=72.7 years). The intervention group attended exercise class twice a week for 10 weeks, with daily home exercise prescribed. Sensory Organization Test and single leg standing test for balance performance, and Timed Up and Go test for mobility performance were examined before and after the exercise program, and the change in performance over time was calculated. Independent t-test was used to examine the difference between the groups.

Results

The intervention group had significantly greater improvement than did the control in performing the Sensory Organization Test in condition 4 (9.56% versus 1.57%); condition 5 (32.23% versus 1.80%) and condition 6 (28.58% versus 3.79%). In addition, the composite score (7.83% versus 0.66%), visual ratio (8.93% versus 2.11%) and vestibular ratio (31.58 % versus 2.44%) of the intervention group were higher than the control group (all $p < 0.05$). The performance of single leg standing and Timed Up and Go test also favor the intervention group but the group difference did not reach statistical significance.

Conclusion


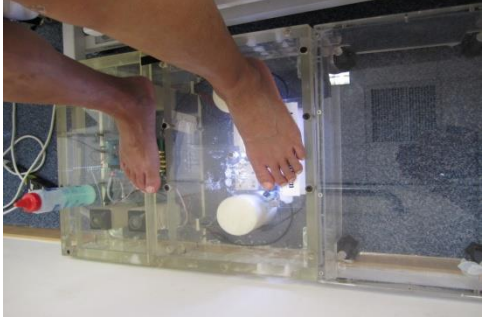

A 10-week exercise program together with home exercise significantly improved the balance performance in elderly with type 2 diabetes, and it may also bring benefits to their mobility performance.

Appendix IX (Leaflet of exercise program)

日期	2010年11月8日至2011年1月27日(逢一、四)
時間	早上十時半(每節45分鐘)為期十週
名額	二十人，報名從速
地址	鄰舍輔導會雅研社鄰里康齡中心

活動特色：

- 此活動與理工大學合辦，由註冊物理治療師針對糖尿病人設計的運動班
- 活動包括糖尿病患者防跌家居講座
- **免費血糖驗血**及視力檢查
- 派發家居運動小錦囊
- 由物理治療師為您作出專業的跌倒風險評估、驗查下肢肌肉力量、平衡表現及柔軟度，並提供**詳盡報告**

臨床測試包括：	
1. 測試平衡及運動功能 2. 評估足踝關節柔軟度	
3. 用六種不同模擬現實狀況評估平衡反應 4. 重心移動測試及反應度	
5. 以香港理工大學研製超聲波皮層感應儀量度足部軟組織厚度及韌度	
6. 以均速力量測試儀測試足踝肌肉力量及關節感覺測試	

Appendix X (Home exercise pamphlet)



糖尿病患者家居平衡運動手冊



糖尿病人防跌運動包括以下三部份：伸展、平衡及強化肌力運動。導師將根據每位患者的運動表現作出相應的調整及篩選，以設計適合個別學員的家居運動。

目的：

1. 增加小腿及踝關節柔軟度
2. 增加足底軟組織柔軟度
3. 改善足部關節平衡感應能力
4. 增強下肢肌肉力量

注意事項：

1. 學員需由導師指導後進行運動，並依照手冊列明之次數進行家居運動
2. 學員必須每日填妥練習進度表
3. 如在運動期間或後感到不適，需暫時停止運動並馬上通知導師有關情況。

小腿伸展運動 (1)



1. 準備附有背靠的椅子或桌子，面向椅子以雙手輕力支撐
2. 分開雙腿站立，前腿屈曲、後腿伸直，腳跟貼地
3. 重心向前移直至小腿後面有拉緊感覺
4. 停留 10 秒，放鬆，每邊重覆動作 10 次，每日 2 次，雙腳交替，每天進行

小腿伸展運動 (2)



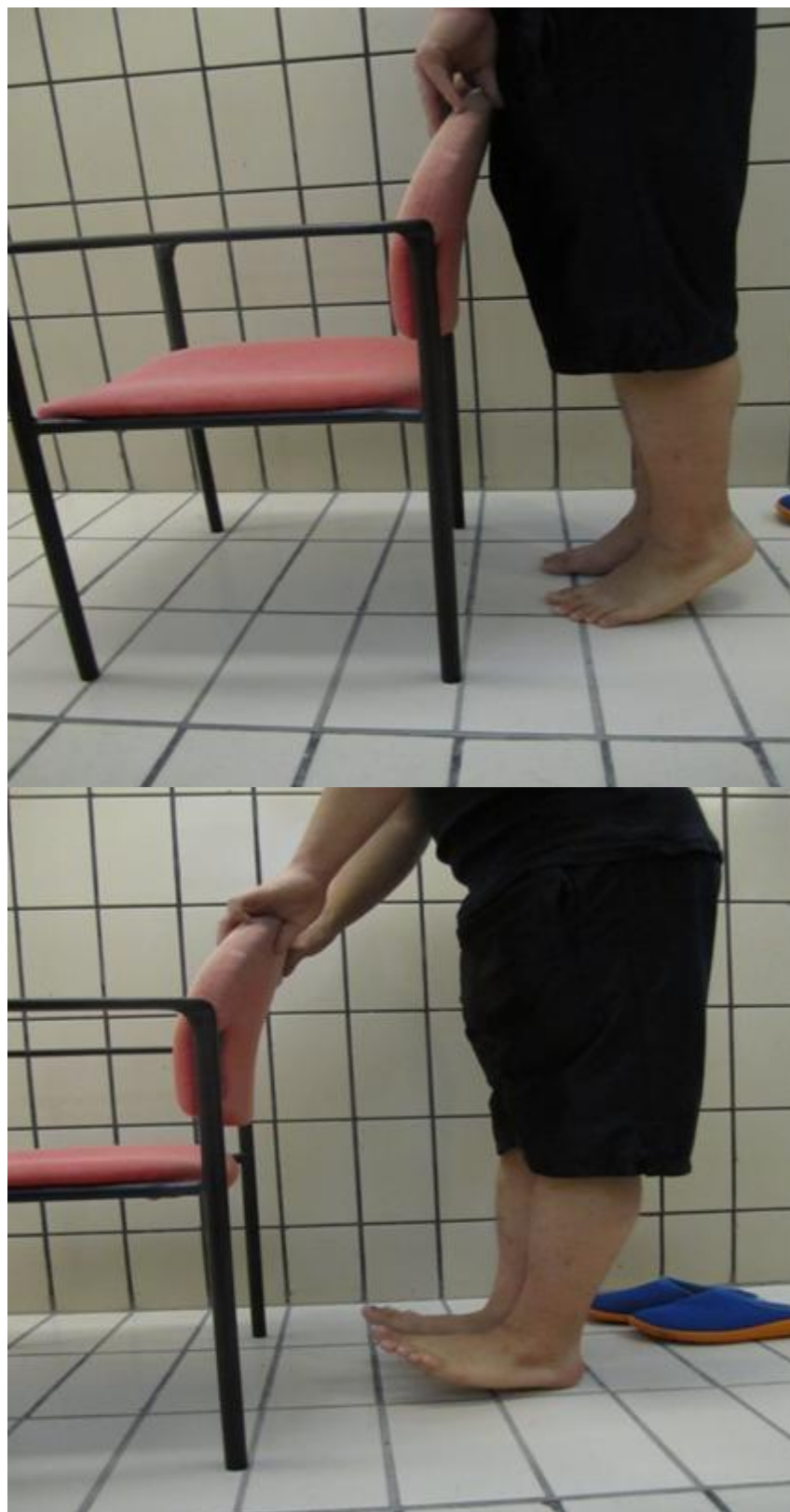
1. 開始位置和擺設與運動(1)一樣
2. 後腿膝蓋輕微屈曲
3. 重心向前移直至小腿後面有拉緊感覺
4. 停留 10 秒，放鬆，重覆動作 10 次，每日 2 次，雙腳交替，每天進行

足底軟組織伸展運動



1. 坐著，以腳跟著地，腳尖靠牆
2. 然後腳跟上提，腳指貼牆
3. 直至後足底軟組織有拉緊感覺，
4. 停留 10 秒，放鬆，重覆動 10 次，每日 2 次，雙腳交替，每天進行

站立踮腳



1. 準備附有背靠的椅子或桌子，面向椅子以雙手輕力支撐
2. 雙腿以腳尖站立停留約 3 秒
3. 雙腳再以腳跟站立停留約 3 秒
4. 每日 2 次，每次 3 組，每組重覆 10 次，每天進行

小腿肌肉力量訓練(1)



1. 坐在一張穩固的座椅上
2. 把運動橡筋於腳掌及雙手各圍兩圈
3. 膝關節伸直，腳掌做向下按的動作
4. 運動橡筋的阻力應令你感到有些困難
5. 每日 2 次，每次 3 組，每組重覆 10 次，每天進行

小腿肌肉力量訓練(2)



1. 坐在一張穩固的座椅上
2. 把運動橡筋於腳掌圍兩圈
3. 用單手拿起運動橡筋的兩端及圍圈
4. 把運動橡筋拉向肩膀
5. 腳掌做外翻及內翻的動作
6. 運動橡筋的阻力應令你感到有些困難
7. 每日 2 次，每次 3 組，每組重覆 10 次，每天進行

大腿肌肉力量訓練(1)



1. 坐在一張穩固的座椅上
2. 把運動橡筋於足踝上方圍兩圈
3. 另外一隻腳踏在運動橡筋上
4. 用另外一方的手拿起運動橡筋兩端
5. 小腿作向前踢的動作
6. 運動橡筋的阻力應令你感到有些困難
7. 每日 2 次，每次 3 組，每組重覆 10 次，每天進行

腕關節肌肉力量訓練





1. 站在一張穩固的座椅旁
2. 把運動橡筋於足踝上方圍兩圈
3. 另外一隻腳踏在運動橡筋上
4. 用另外一方的手拿起運動橡筋兩端
5. 膝關節保持伸直及作向前，後及外側踢的動作
6. 運動橡筋的阻力應令你感到有些困難
7. 每日 2 次，每次 3 組，每組重覆 10 次，每天進行

軀幹穩定訓練





1. 坐在一張穩固的座椅上，背部不可靠椅背
2. 把運動橡筋於腳掌上方圍兩圈
3. 髖關節及膝關節輕微屈曲
4. 用另外一方的手拿起運動橡筋兩端
5. 手肘輕微屈曲
6. 下肢作向外踢的動作
7. 運動橡筋的阻力應令你感到有些困難
8. 每日 2 次，每次 1 組，每組 10 次
每天進行

練習進度表

(填寫方法:完成 ✓ ; 部分完成 ○ ; 無法完成 ✕)

八月

	星期一	星期二	星期三	星期四	星期五	星期六	星期日
週一 上午	9	10	11	12	13	14	15
下午							
週二 上午	16	17	18	19	20	21	22
下午							
週三 上午	23	24	25	26	27	28	29
下午							
週四 上午	30	31					
下午							

九月

	星期一	星期二	星期三	星期四	星期五	星期六	星期日
週四 上午			1	2	3	4	5
下午							
週五 上午	6	7	8	9	10	11	12
下午							
週六 上午	13	14	15	16	17	18	19
下午							
週七 上午	20	21	22	23	24	25	26
下午							
週八 上午	27	28	29	30			
下午							

十月

	星期一	星期二	星期三	星期四	星期五	星期六	星期日
週八 上午					1	2	3
下午							
週九 上午	4	5	6	7	8	9	10
下午							
週十 上午	11	12	13	14	15	16	17
下午							

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