



THE HONG KONG
POLYTECHNIC UNIVERSITY

香港理工大學

Pao Yue-kong Library

包玉剛圖書館

Copyright Undertaking

This thesis is protected by copyright, with all rights reserved.

By reading and using the thesis, the reader understands and agrees to the following terms:

1. The reader will abide by the rules and legal ordinances governing copyright regarding the use of the thesis.
2. The reader will use the thesis for the purpose of research or private study only and not for distribution or further reproduction or any other purpose.
3. The reader agrees to indemnify and hold the University harmless from and against any loss, damage, cost, liability or expenses arising from copyright infringement or unauthorized usage.

IMPORTANT

If you have reasons to believe that any materials in this thesis are deemed not suitable to be distributed in this form, or a copyright owner having difficulty with the material being included in our database, please contact lbsys@polyu.edu.hk providing details. The Library will look into your claim and consider taking remedial action upon receipt of the written requests.

**MATERNAL EXPOSURE TO AIR POLLUTANT
PM_{2.5} INDUCES AUTISTIC-LIKE BEHAVIOR IN
ADULT OFFSPRING MICE**

AHADULLAH

MPhil

The Hong Kong Polytechnic University

2022

The Hong Kong Polytechnic University
Department of Rehabilitation Sciences

**MATERNAL EXPOSURE TO AIR POLLUTANT
PM_{2.5} INDUCES AUTISTIC-LIKE BEHAVIOR IN
ADULT OFFSPRING MICE**

AHADULLAH

**A thesis submitted in partial fulfilment of the
requirements for the degree of Master of Philosophy**

Dec 2021

CERTIFICATE OF ORIGINALITY

I hereby declare that this thesis is my personal work and that, to the best of my knowledge and belief, it reproduces no material previously published or written, nor material that has been accepted for the award of any other degree or diploma, except where due acknowledge has been made in the text.

AHADULLAH

December 2021

Abstract of thesis of entitled
**MATERNAL EXPOSURE TO AIR POLLUTANT PM_{2.5}
INDUCES AUTISTIC-LIKE BEHAVIOR IN ADULT
OFFSPRING MICE**

Submitted by
AHADULLAH

For the degree of MPhil at
The Faculty of Health and Social Sciences
The Hong Kong Polytechnic University
In December 2021

Abstract

Autism spectrum disorders (ASD) is a neurodevelopmental condition with heterogeneous etiology. Core behavioral deficits commonly exhibited by individuals with ASD include impaired social communication and interaction skills, repetitive behavior, and intellectual disability with wide degrees of severity among individuals. ASD not only hinders an individual's physical and psychological development, it also adversely affects their families' daily life. Numerous studies undertaken in Asia, Europe, and North America have estimated the incidence rate of ASD to be between 1% and 2%, with a higher frequency in males than in females (4:1).

Emerging studies have indicated the significant influence of environmental factors on increasing ASD susceptibility. According to a recent study, approximately 20% of 215 ASD candidate genes are epigenetic regulators, highlighting the importance of the interaction between genetic and environmental variables on the etiology of ASD. It has been speculated that gene and environment interactions are contributors to the increased prevalence of ASD.

Amongst the various environmental factors, the surge in air pollutants in the environment is an emerging concern. Epidemiological studies have divulged into the relationship between long-term prenatal or postnatal exposure to hazardous air pollutants

being potentially linked to ASD. Ambient air pollutant particulate matter (PM) has been associated with adversely affecting neurodevelopment. Prenatal and postnatal exposure to PM with sizes less than 2.5 μm (PM_{2.5}) is significantly correlated with an increased risk of ASD, suggesting the potential role of PM_{2.5} exposure in increasing ASD susceptibility.

Maternal exercise has been suggested that a long-lasting improvement and transgenerational neuroplasticity could be induced by maternal exercise in human brains. In this project, I aim to investigate the potential effects of maternal exposure to PM_{2.5} on causing autism-like behaviors in offspring, and to examine whether maternal running could protect the offspring from developing autistic phenotypes. The results have revealed that maternal exposure to PM_{2.5} induced core ASD behavior, including impaired social recognition memory and increased repetitive behavior, as well as, impairment in hippocampal dependent learning and memory performance. Maternal running was able to reverse core ASD-like behavior including social recognition memory and repetitive behavior, but not learning and memory impairment. The results also showed that maternal exposure to PM_{2.5} impaired the dendritic development of immature neurons in the hippocampal dentate gyrus but did not affect hippocampal neurogenesis and neuroinflammation. I hypothesize it may be due to difference in maturation and integration into neural circuitry as indicated by the reduction in total dendritic length of immature neurons in offspring with maternal PM_{2.5} exposure. Other mechanisms including changes in oxidative stress, gene expression and gut microbiota profile could also play a role in underlying behavioral deficits as we observed in our model. The underlying mechanisms warrant further investigation.

Publications

1. **Ahadullah***, Yau, S. Y*., Lu, H. X., Lee, T. M., Guo, H., & Chan, C. C. (2021). PM2. 5 as a potential risk factor for autism spectrum disorder: its possible link to neuroinflammation, oxidative stress and changes in gene expression. *Neuroscience & Biobehavioral Reviews*, 128, 534-548.

*Co-first author

2. Lee, T. H, **Ahadullah**, Christie, B. R., Lin, K., Siu, P. M. F., Zhang, L., Yuan, T. F., Komal, P., Xu, A., So, K. F., & Yau, S. Y. (2021). Chronic AdipoRon Treatment Mimics the Effects of Physical Exercise on Restoring Hippocampal Neuroplasticity in Diabetic Mice. *Molecular Neurobiology*, 1-16.

Acknowledgements

This project cannot be written to its fullest without Dr. Sonata Yau, who not only served as my chief supervisor, but also being the one to provide countless support, advice, encouragements and constant reminder to stay vigilant in stormy times. For the all the assistance from Dr. Sonata Yau, I wholeheartedly thank her.

The project could not be completed without the support of the Prof. Chan Chetwyn and Prof. Guo Hai. Without their support, I would not only have missed out on the opportunity to work on this aspiring project, I would also have been unable to continue the project.

I would also like to forward my gratitude towards my colleagues in Yau Lab, whom have provided advice as well as spent countless hours in joy and solidarity in the lab. In particular, I thank Dr. Julia Rosa Mecado, Mr. Thomas Lee, Mr. Douglas Formolo, Miss Yvette Yip, Miss Charlotte, Miss Winky, and Mr. Ambrose who have assisted me in tedious tissue preparation and histological analysis.

I would also like to thank those who have made it possible for me to complete my thesis in The Hong Kong Polytechnic University by providing a network system and adequate places for completion of this project. The gratitude forwards to also the staff whom maintained and aided in difficult situations, including Dr. Vic Sun, Mr. Dennis Mok from Rehabilitation Science and Dr. Alice Au, Mr Vincent Tang and Mr Heiman Ho from the Centralized Animal Facility.

Table of Contents

Abstract.....	1
Publications	3
Acknowledgements	4
Literature Review	9
Autism spectrum disorder.....	9
Behavioral abnormalities in individuals with ASD.....	10
Hippocampal abnormalities in individuals with ASD.....	11
Clinical evidence showing a linkage between PM _{2.5} exposure and risk of ASD	12
PM _{2.5} exposure induced hippocampal abnormalities.....	13
PM _{2.5} induced autism-like phenotypes in rodents	15
PM _{2.5} induced neuroinflammation in ASD.....	16
Maternal exercise on offspring’s brain health	19
Maternal exposure to PM _{2.5} induced autistic-like behavior.....	23
Introduction	23
Methodology.....	24
Animal handling	24
Treatment.....	24
Behavioral tests.....	24
Statistical analysis.....	27
Results	27
Table 1. Mean and standard deviation of behavior data results	28
3 Chambers social interaction.....	29
Marble burying	30
Novel object Recognition	31
Y maze.....	32
Open Field Test	33

Forced Swim Test.....	33
Maternal Exposure to PM _{2.5} impaired adult Neurogenesis in the hippocampus	39
Introduction	39
Methodology.....	40
Animal handling	40
Treatment.....	40
Tissue preparation.....	41
Immunostaining	41
Image analysis	42
Sholl analysis.....	42
Statistical analysis.....	42
Results	43
Ki67-Proliferation.....	45
DCX-immature neurons	46
NeuroD-Neuronal maturation.....	47
Dendritic branching of immature neurons: DCX morphology.....	48
Discussion.....	49
Maternal Exposure to PM _{2.5} did not induce neuroinflammation in the hippocampus of offspring	53
Introduction	53
Methodology.....	54
Animal handling	54
Treatment.....	54
Tissue preparation.....	54
Immunostaining	55
Image analysis	55
Enzyme-linked immunosorbent assay (ELISA)	55

Statistical analysis.....	56
Results	57
Number and morphology of Microglial cells in the hippocampus.....	58
Inflammatory cytokines in the hippocampus: HMGB1, TNF- α , NF-kb & MMP-9 levels.....	59
Discussion.....	60
Conclusion	62
References	63
Abbreviations.....	73

Chapter 1

Literature Review¹

Autism spectrum disorder

Autism spectrum disorder (ASD) is a neurodevelopmental condition with heterogeneous etiology. Individuals with ASD display core behavioral deficits, including impaired social communication and interaction skills, repetitive behavior, and intellectual disability with wide degrees of severity among individuals (Happé & Ronald, 2008; Lintas & Persico, 2009). Apart from hindering an individual's physical and psychological development, ASD also adversely affects the daily life of individuals and their families (Rao & Beidel, 2009). The prevalence rate of ASD has been reported to be in the range of 1–2% according to numerous studies conducted in Asia, Europe and North America, with a higher prevalence in males than in females (4:1) (Elsabbagh et al., 2012). The increasing prevalence rate could be partly due to the increase in awareness, reclassification, or improved diagnosis of ASD (Faras et al., 2010). Previous twin studies by Folstein and Rutter (1977) have offered initial evidence showing the contribution of genetic factors to ASD. Emerging studies have indicated the significant influence of environmental factors on increasing ASD susceptibility. It was recently reported that approximately 20% of 215 ASD candidate genes are epigenetic regulators (Roberts et al., 2013), suggesting the importance of the interaction between genetic and environmental factors on the etiology of ASD. Gene and environment interactions are thought to contribute to the increased prevalence in ASD (Persico & Merelli, 2014). In particular, prenatal exposure to air pollution, dietary nutrients, heavy teratogenic drugs or congenital viral infections have been linked to an increased incidence of ASD. In addition, prenatal exposure to folic acid (Bakulski, 2019) or certain heavy metals that can pass through the placenta to the fetus (Sakamoto et al., 2004) increases DNA methylation, providing evidence of possible epigenetic changes involved in ASD.

Among the environmental factors, the increase in air pollutants in our living environment is an emerging concern. Epidemiological studies have revealed that long-term prenatal or postnatal exposure to hazardous air pollutants is potentially linked to ASD. Ambient air pollutants, such as nitrogen dioxide (NO₂) and particulate matter (PM), could

¹ Portion of this literature review was partly adopted from a previously published review paper: **Ahadullah**, Yau, S. Y., Lu, H. X., Lee, T. M., Guo, H., & Chan, C. C. (2021). PM_{2.5} as a potential risk factor for autism spectrum disorder: its possible link to neuroinflammation, oxidative stress and changes in gene expression. *Neuroscience & Biobehavioral Reviews*. 128, 534-548

adversely affect neurodevelopment. Several studies have shown that prenatal and postnatal PM₁₀ exposure has no correlation with the increased risk of developing ASD (Ritz et al., 2018; Heather E Volk et al., 2013). Some studies have shown a positive correlation between prenatal exposure to NO₂ and the incidence of ASD (Becerra et al., 2013; Raz et al., 2015), while other studies have reported no correlation between prenatal and postnatal NO₂ exposure and ASD (Fortoul et al., 2015; Heather E Volk et al., 2013). Therefore, the effects of NO₂ exposure on ASD remain uncertain. In contrast, prenatal and postnatal exposure to PM with sizes less than 2.5 µm are significantly correlated with an increased risk of ASD (Heather E Volk et al., 2013), suggesting the potential role of PM_{2.5} exposure in increasing ASD susceptibility. This could be because PM_{2.5} contains polycyclic aromatic hydrocarbons, metals, organic matter, and elemental carbon, which are potentially neurotoxic. Exposure to these neurotoxic contents induces inflammation, generates reactive oxygen species (ROS), and alters gene expression, which could possibly contribute to the development of ASD (Association, 2013; J. L. Silverman et al., 2010).

Behavioral abnormalities in individuals with ASD

According to the *Diagnostic and Statistical Manual of Mental Disorders* (5th ed. DSM-5), the diagnostic manual of the American Psychiatric Association, and the 10th revision of the International Statistical Classification of Diseases and Related Health Problems (ICD-10) by the World Health Organization, individuals with ASD often demonstrate three main core deficits: social impairment, communication difficulties, and rigid and repetitive interests and activities (Redcay & Courchesne, 2005). The *DSM-5* includes the behavioral symptoms along a severity continuum and several diagnostic types falling under the umbrella of ASD (*i.e.* autistic disorder, Asperger's disorder, childhood disintegrative disorder, and the catchall diagnosis of pervasive developmental disorder not otherwise specified). An individual with atypical social communication and interactions can be classified as having a lack of interest in others and exhibiting reduced eye contact and facial expression during communication. Additionally, affected individuals could also have poor language comprehension and responses. Moreover, repetitive behaviors are common, with diagnosed individuals often repeating their movement and usage of objects. The symptoms may present before the age of 2 and affect daily functioning (Courchesne et al., 2011). Rodent models of ASD display certain behavioral abnormalities that are equivalent to behavioral phenotypes of ASD in individuals, including impairments in social interaction

and communication, cognitive impairment and repetitive behaviors.

Hippocampal abnormalities in individuals with ASD

Behavioral abnormalities could be associated with changes in brain volume in individuals with ASD. At birth, infants with ASD were found to have a lower total brain volume than healthy infants, followed by overgrowth within the first year of life (Aylward et al., 2002). Up until the age of 4, children diagnosed with ASD showed a larger brain volume than healthy individuals. Progressing to late-childhood, puberty, and adolescence, individuals with ASD experience delayed brain growth (Bailey et al., 1993; Brambilla et al., 2003; Tsatsanis et al., 2003). The region of the hippocampus in children with ASD have provided inconsistent result; some studies reported an increase in volumes (Schumann et al., 2004; Sparks et al., 2002), and some reported decrease in volume (Aylward et al., 1999). Some studies have even reported no change in the hippocampus (Nickl-Jockschat et al., 2012; Saitoh et al., 2001; Sparks et al., 2002). In the study by Nicolson et al. (2006), they also reported no difference in traditional measures of hippocampal volume. However, using computational mapping methods, the three-dimensional parametric surface meshes, and shape analysis revealed subtle reduction. Further investigation of the morphology of hippocampal neurons in autistic children revealed that cornu ammonis (CA) 1 and CA4 neurons were small and densely packed, as well as, reduced dendritic branching and complexity (Bailey et al., 1998; Bauman & Kemper, 1985; Raymond et al., 1995). Single concentration ligand binding studies indicate that the GABAergic receptor system is significantly reduced, marking an abnormality in the inhibitory network in autism. In contrast, the density and distribution of the serotonergic [5-HT], cholinergic and glutamatergic receptors studied in the hippocampus did not demonstrate any statistically significant differences in binding (Blatt et al., 2001). Some evidence suggests that human variants of the serotonin transporter gene SLC6A4 may be associated with ASD and in particular with the presence of rigid-compulsive behaviors and tactile hypersensitivity (Sutcliffe et al., 2005).

The post-mortem studies of two fragile-X syndrome brains revealed abnormalities in the hippocampus, particularly in the CA1 region (Greco et al., 2011). The CA1 pyramidal cell layer displayed an increase in the number of cells in an undulating pattern, whilst the surrounding region had a reduction in pyramidal cells (Greco et al., 2011). In fragile X syndrome, fragile X mental retardation protein (FMRP) is credited to be the cause of the

condition (Guo et al., 2011). Extensive studies in the knock-out models of FMRP revealed similar phenotypes as ASD. Thus, knock-out model of FMRP is commonly used to study the characteristics and treatment of ASD. The hippocampal region has shown abnormalities in the knock-out models, exhibiting abnormal neurogenesis and impaired synaptic plasticity (Guo et al., 2011; Guo et al., 2012). Guo et al. (2012) reported a decrease in neuronal differentiation but an increase in astrocyte differentiation. Apart from this, they also reported dendritic development is also impaired in fragile X syndrome. The dendrites observed showed a reduction in length and complexity and an increase in the density of spines. Guo et al. (2011) also reported an increase in immature spines in the DG.

In mice lacking tryptophan hydroxylase 2, the rate-limiting enzyme in serotonin synthesis in the brain, deficits in social behavior and cognitive flexibility have been observed (Guo & Commons, 2017), supporting the possibility that serotonin is a common factor in ASD. Another autism mice model, BTBR, exhibits reduced social approach behavior and restricted-repetitive behaviors, common diagnosed ASD behavior. It has been speculated that the behavior ASD phenotype in the BTBR model is also caused by an imbalance in the serotonergic system. This is supported by the reported reduction in serotonin tissue content, density of serotonin axons and levels of 5-HT in the hippocampus (Guo & Commons, 2017; Onaivi et al., 2011).

Clinical evidence showing a linkage between PM_{2.5} exposure and risk of ASD

Several clinical studies have found that both prenatal and postnatal exposure to PM_{2.5} could increase the risk of developing ASD in offspring (Becerra et al., 2013; Raz et al., 2015; Talbott et al., 2015). Prenatal exposure to PM_{2.5} is associated with an increased risk of ASD (Becerra et al., 2013). Becerra et al. included 7,603 children with ASD, and 75,782 children without ASD in their study in Los Angeles, California. This study reported an increase in the adjusted odds ratio (AOR) with PM_{2.5} exposure during the entire pregnancy period, suggesting that maternal inhalation of PM_{2.5} increases the risk of ASD in humans. AOR is a measure of association between an exposure and an outcome with controlled variables. Likewise, Talbott et al. (2015) affirmed that prenatal exposure to PM_{2.5} could increase the risk of ASD. Their study involved 245 children with ASD and 1,522 children without ASD from 14 states in the United States. The AOR for ASD during pregnancy per 4.4 µg/m³ increase in PM_{2.5} is 1.57. Among the three trimesters of pregnancy, the AOR was largest in the third trimester (1.42), followed by the first and second trimester

(1.06 and 1.00), concluding that exposure to PM_{2.5} in the third trimester could lead to the highest risk of ASD. In addition, H. E. Volk et al. (2013) found that both prenatal and postnatal exposure to PM_{2.5} is associated with an increased risk of ASD. By adjusting for the sex and ethnicity of the participants, as well as their parents' educational levels, maternal age, and prenatal smoking, the AORs of ASD for the 279 children with ASD and the 245 control children without ASD in California were 2.08 (during entire pregnancy) to 2.12 (during the first year of life) per 8.7 µg/m³ increase in PM_{2.5} concentration during the period. These results suggest that early-life exposure could increase the risk of ASD as much as prenatal exposure to PM_{2.5}.

Similarly, Chen et al. (2018) reported that both prenatal and postnatal exposures to PM_{2.5} are associated with an increased risk of ASD. The AOR of ASD per 2.84 µg/m³ increase in PM_{2.5} during the second year of life is the highest (1.45), followed by the first year of life (1.37). The AOR during pregnancy (1.20) was relatively lower, and the differences in odds ratios between the first (1.07), second (1.04) and third trimesters (1.04) were smaller. Taken together, the increased risk of ASD associated with PM_{2.5} is more significant during the postnatal period than during the prenatal period, consistent with the findings of Talbott et al. (2015). Similarly, a recent case control study in China studying the effect of PM_{2.5} exposure during the first 3 years of infancy revealed consistent findings of an increased risk of developing ASD (Chen et al., 2018). The aforementioned case control studies have also revealed that both prenatal and postnatal exposure to PM_{2.5} could increase the risk of ASD. The AOR of postnatal studies are larger than those of prenatal studies, indicating that postnatal exposure to PM_{2.5} may impose a higher risk of developing ASD than prenatal exposure (Raz et al., 2015; Talbott et al., 2015). Based on prenatal studies, exposure to PM_{2.5} in the first trimester and the third trimester (Chen et al., 2017; Power et al., 2018) may have a more prominent impact. According to the postnatal studies, exposure to PM_{2.5} in the second year of life has a larger impact than that in the first year (Chen et al., 2018). However, the convergent findings on the PM_{2.5} exposure and risks of ASD from the above studies should be interpreted with caution, given that the composition of the PM_{2.5} studied could be different across countries or regions.

PM_{2.5} exposure induced hippocampal abnormalities

The current understanding of ASD is associated with brain abnormalities observed in individuals with ASD. Power et al. (2019) found that long-term exposure to PM_{2.5} is

associated with reduced deep-grey volume, indicating that PM_{2.5} might induce cumulative brain damage and atrophy. MRI imaging was employed on subjects on a regular basis to examine their brain structures. Monthly PM_{2.5} exposure was predicted by validated spatiotemporal statistical models using addresses of the participants. The results showed an association between higher mean PM_{2.5} exposures in the past 5 to 20 years and a smaller brain volume. Furthermore, elderly women who reside in areas with high PM_{2.5} levels showed a decrease in whole brain volume (Atladóttir et al., 2010). There were significant volume reductions in the white matter and grey matter of the frontal, parietal, temporal lobes, and corpus callosum. The association between PM_{2.5} and changes in brain volume did not demonstrate a correlation with the sociodemographic factors, socioeconomic status, lifestyle factors, or other clinical characteristics, suggesting that postnatal exposure to PM_{2.5} may act on the brain directly during brain development to induce neurological changes in ASD.

Emerging animal studies have confirmed that exposure to PM_{2.5} can induce neuronal atrophy in various brain regions. Mice exposed to PM_{2.5} caused an increase in phosphorylation of tau protein and malondialdehyde (MDA) in the hippocampus, but not amyloid-beta protein in Alzheimer disease mice models (Lee et al., 2021). PM_{2.5} exposure increased hippocampal expression of miR-3560 and let-7b-5p, which are the translating proteins that regulate genes Oxct1 and Lin28. Oxct1 and Lin28 regulate ketogenesis and glycosylation, and neural cell differentiation (Chao et al., 2017). The disruption in a metabolic pathway supports the notion that PM_{2.5} induces neuronal degeneration, alters neurogenesis, hence leading to a disruption in hippocampal function. The changes observed could be caused by an increase in PM_{2.5} induced apoptosis as reported by Q. Zhang et al. (2018), showing an increase in apoptosis related protein increase myelin sheaths damage. The transgenerational effect of PM_{2.5} has also been shown, although the mechanism(s) are still largely unknown. T. Zhang et al. (2018) showed that chronic intratracheal instillation of PM_{2.5} at medium (1.56695 µg/µL) and high dosages (3.456 µg/µL) during maternal pregnancy significantly reduced the number and diameter of neurons in the cerebral cortex of offspring mice. High dose PM_{2.5} exposure also reduces the number of presynaptic vesicles in offspring mice, suggesting detrimental effects of PM_{2.5} exposure on synaptic plasticity in offspring. Raymond et al. (1995) reported that mice with short-term PM_{2.5} exposure displayed a significant reduction in total apical dendritic length in the CA1 region of the hippocampus. K. Li et al. (2018) exposed mice to PM_{2.5} at a dosage of 16.85 µg/m³ using a mobile trailer exposure system for 5 days per week continuously for 10 months. The results revealed that long-term exposure to PM_{2.5} significantly reduced apical spine density in the

CA1 region, decreased apical dendritic length and reduced dendritic complexity of pyramidal neurons in the CA3 region of the hippocampus. The hippocampus plays an important role in learning and memory formation and emotional control. Since hippocampal impairment is observed in individuals with ASD (Davis III, 2014), these findings may support the notion that chronic exposure to PM_{2.5} could impair learning and memory, and induce emotional dysregulation associated with ASD.

PM_{2.5} induced autism-like phenotypes in rodents

Emerging clinical studies have suggested a possible linkage between PM_{2.5} exposure and the risk of developing ASD. Recent animal studies have supported the potential harmful effect of PM_{2.5} on brain health and behavioral deficits that resembles some symptoms in individuals with ASD. T. Zhang et al. (2018) found that PM_{2.5} exposure in young pups resulted in autistic-like behaviors. Experimental rats were subjected to intranasal instillation once daily during postnatal days 8 to 22 with two different dosages of PM_{2.5} (2 µg or 20 µg/g of body weight). Their results showed a significantly lower intensity of sound generated by PM_{2.5}-exposed pups through ultrasonic vocalization analysis. Pups exposed to 20 µg/g PM_{2.5} also showed significantly less interaction time to stimulus rats and spent less time sniffing social odors than unexposed groups. The behavioral data suggested that exposure to PM_{2.5} could induce communication and social interaction deficits in young pups. In addition, PM_{2.5}-exposed rats spent significantly less time exploring new objects than control animals in the novel object recognition test, indicating an increase in anxiety-like behavior and repetitive behavior. Rats exposed to an increased concentration of PM_{2.5} buried fewer marbles. Although contradictory to ASD behavior, the authors hypothesized that PM_{2.5}-exposed rats could have exhibited novelty avoidance due to increased anxiety levels.

Zheng et al. (2019) reported that maternal PM_{2.5} exposure during pregnancy-induced autistic-like behaviors in offspring mice. Pregnant mice were subjected to PM_{2.5} at three different concentrations [0.2592 µg/µL (low dose), 1.56695 µg/µL (intermediate dose) and 3.456 µg/µL (high dose)] via intratracheal instillation throughout pregnancy. The results showed a significant decrease in the number and diameter of neurons in a dose dependent manner. However, only maternal treatment with PM_{2.5} at intermediate or high doses impaired the ultrastructure of mitochondria, including broken and partly blurred mitochondrial cristae, fuzzy and broken nuclear membranes, and autophagic bodies. Moreover, the high-dose treatment group displayed synaptic impairment with decreased

presynaptic vesicles in the synapses; increased cell apoptosis; and increases expression of apoptotic proteins, including Caspase-8 and Caspase-9; and decreased expression of Bcl2/Bax. Apart from apoptotic proteins, cell proliferation was decreased in the cerebral cortex. Likewise, PM_{2.5} exposure induces neuronal damage and apoptosis in the CA3 region of the hippocampus (Church et al., 2018). This study also showed that PM_{2.5} exposure increased depression- and anxiety-like behavior, but decreased locomotor activity. Further studies have shown that maternal exposure to PM_{2.5} impairs spatial learning and memory in offspring mice (Vargas et al., 2005). These changes could be due to neuroinflammation in the hippocampus as indicated by the increased levels of nuclear factor kappa-light-chain-enhancer of activated B cells (NF-κB), tumor necrosis factor α (TNF- α), and interleukin 1 beta (IL-1β).

The effects of prenatal and postnatal exposure to PM_{2.5} have also been reported by (Church et al., 2018), who exposed pregnant mice to 135.8 ug/m³ PM_{2.5} for 6 hours/day from gestational day 1 to day 17, followed by postnatal exposure for 2 hours/day for 10 days continuously. Compared to the control group exposed to filtered air with a PM_{2.5} concentration of 3.1 ± 1.04 μg/m³, the PM_{2.5}-exposed group displayed a reduction in sociability score in the social approach task. Male offspring mice showed significantly reduced time in social interaction, total social time, anogenital sniff and body sniff time. Moreover, male mice spent significantly more time self-grooming than the control group. These data suggested that PM_{2.5} could induce autistic-like behavior in mice similar to the behavioral deficits observed in individuals with ASD.

PM_{2.5} induced neuroinflammation in ASD

Clinical studies have shown that individuals with ASD differ in levels of inflammatory biomarkers compared to healthy controls. Vargas et al. (2012) reported remarkable reactivity of astrocytes in the cerebral cortex and cerebellum, as well as an increase in the volumes of perikarya and glial processes in the cerebral cortex, white matter, and cerebellum of individuals with ASD. The findings suggest an increase in astroglia reactions in association with ASD. Chronic neuroinflammation has also been found in postmortem examinations of individuals with ASD (Morgan et al., 2010). ASD patients display an increase in neuroinflammation as indicated by the increased number of microglia in the fronto-insular and visual cortices (Vargas et al., 2005), the dorsolateral prefrontal cortex (Al-Ayadhi, 2005), and the cerebellum (Ashwood et al., 2011).

Aside from cellular changes, proinflammatory cytokines; IL-1 β , IL-6, IL-8, T helper type 1 (th1), T helper type 2 (th2), interferon gamma (IFN- γ), TNF- α , and transforming growth factor beta 1 (TGF- β 1) are elevated in various brain regions and in the serum of individuals with ASD (Al-Ayadhi, 2005; Basheer et al., 2018; Chez et al., 2007; Emanuele et al., 2010; Hu et al., 2018; Li et al., 2009; Molloy et al., 2006; Ricci et al., 2013; Suzuki et al., 2011; Tonhajzerova et al., 2015; Vargas et al., 2005; Wei et al., 2011; Xie et al., 2017). IL-1 β levels are elevated in the frontal cortex (Xie et al., 2017) and the serum (Ricci et al., 2013; Vargas et al., 2005; Wei et al., 2011) of individuals with ASD. In addition, IL-6 levels are elevated in the cerebellum (Vargas et al., 2005), mid-frontal (Li et al., 2009), cingulate gyrus (Al-Ayadhi, 2005), frontal cerebral cortex (Basheer et al., 2018), and serum (Li et al., 2009; Ricci et al., 2013; Vargas et al., 2005), and IL-8 levels are increased in the frontal cerebral cortex (Suzuki et al., 2011), cerebrospinal fluid (Ashwood et al., 2011) and plasma (Ashwood et al., 2011; Tonhajzerova et al., 2015; Vargas et al., 2005). Notably, IL-1 β , IL-6 and IL-8 cytokines have been shown to be associated with behavioral impairment (Vargas et al., 2005), suggesting that dysfunction in the immune system could be a contributor to behavioral abnormalities in individuals with ASD. IL-2 and IFN- γ levels are significantly increased in cerebrospinal fluid (Molloy et al., 2006). IL-4 and IL-10 levels are increased in the anterior cingulate gyrus (Gupta et al., 1998), and IL-3/IL-10 and IFN- γ /IL-10 are increased in peripheral blood mononuclear cells (Giulian & Baker, 1986). An increase in th1 and th2 cytokines suggest increased activation of the chronic adaptive T immune response in ASD. This is further supported by the skewed ratio of CD4+ and CD8+ cells (Hanisch, 2002). Immune cells and their respective cytokines can alter neurophysiology and induce brain changes associated with ASD. Activated microglial cells promote opsonization and phagocytosis as well as the release of proinflammatory cytokines (Block & Hong, 2007; Marín-Teva et al., 2011). Microglia play an important role in brain development through cell death regulation, axonal guidance and synaptogenesis (Lull & Block, 2010); however, excessive activation of microglia can induce cytotoxicity and neuronal cell death (Glynn et al., 2011; Shatz, 2009). Cytokines can also directly interact with major histocompatibility complex class I (MHC I), which negatively regulates activity-dependent synaptic pruning and formation (Babadjouni et al., 2018; Fenoglio et al., 2006). Furthermore, certain cytokines, such as IL-1, IL-6 and TNF- α can regulate neuroplasticity, because these cytokines can induce activation of the hypothalamic-pituitary-adrenal (HPA) axis, which in turn regulates the secretion of adrenocorticotrophic hormone (ACTH), corticotropin-releasing hormone (CRH), arginine vasopressin, and corticosterone (Babadjouni et al., 2018). PM_{2.5}

exposure is known to induce neuroinflammation in the corpus callosum (Wang et al., 2019). PM_{2.5} exposure can also increase microglial cell activation, and the levels of inflammatory cytokines including TNF- α , NF- κ B, IL-1 β , IL-6 and macrophage chemoattractant protein-1 (MCP-1). Increases in the number of microglia also occur in the fronto-insular and visual cortices, dorsolateral prefrontal cortex, and cerebellum (Hertz-Picciotto et al., 2005; Liu et al., 2016; Lovett et al., 2018; Zheng et al., 2019). Increases in activated microglia are known to adversely affect the normal development of neuronal connectivity. Exposing neonatal cord blood to PM_{2.5} can reduce the number of CD3+, CD4+ and CD8+ cells, but increase the number of CD19+ cells, which are markers for T cells and B cells in the adaptive immune system (K. Li et al., 2018), suggesting changes in the immune response with exposure to PM_{2.5} in the neonatal stage. Similarly, Chen (2017) found that maternal PM_{2.5} exposure during pregnancy at a dosage of 15 mg/kg significantly increases peripheral blood mononuclear cells, platelets and levels of IL-6 in Sprague–Dawley rats. Likewise, intranasal administration of two dosages of 2 μ g and 20 μ g of PM_{2.5} per body weight (in grams) once daily from postnatal days 8 to 22 significantly increases the levels of proinflammatory cytokines IL-1b and TNF- α levels, and the neuroinflammatory biomarkers GFAP and Iba-1 in the hippocampus and prefrontal cortex (Chao et al., 2017). Interestingly, prenatal but not postnatal exposure to PM_{2.5} significantly decreased the expression of several proinflammatory cytokines, including TNF- α , IL-1 β and IL-6, in the hypothalamus (Sandin et al., 2014). Similarly, Chao et al. (2017) found that the white blood cell count increased drastically after PM_{2.5} intratracheal instillation in 6- to 8-week-old pregnant rats. Taken together, these findings show that the neuroinflammation response induced by PM_{2.5} exposure could be one of the possible mechanisms underlying behavioral deficits as mentioned above. However, it is still unclear whether severe behaviors or other factors associated with them could result in the cytokine changes, given that the causal relation between inflammation and behavioral deficits in ASD is still unclear.

1 Maternal exercise on offspring's brain health

2 The beneficial effects of maternal exercise have been reported in numerous studies. It
3 has been reported that maternal physical exercise lowers the risk of cancer, cardiovascular
4 diseases and metabolic disorders, as well as elicit long-term positive effects on the offspring
5 brain (Blaize et al., 2015; Rahimi et al., 2018; Robinson & Bucci, 2012; Yau et al., 2019).
6 Certain cytokines associated with improvement in brain development have also been reported
7 to be increased in pups after maternal exercise (Dayi et al., 2012; Kim et al., 2007; Rahimi et
8 al., 2018).

9 Maternal physical exercise during pregnancy lowers the risk of cancer, cardiovascular
10 diseases, and metabolic disorders of the offspring (Blaize et al., 2015). Additionally, maternal
11 exercise can elicit long-lasting and positive effects on the offspring brain during the critical
12 period of fetal brain development (Robinson and Bucci, 2012). In humans, maternal exercise
13 not only improves the growth of fetus and placenta, but also promotes brain development,
14 connectivity, and enhances cognitive functions in offspring in their later life. For example,
15 maternal exercise during pregnancy has been shown to improve intelligence and the language
16 skills of children when they are 5 years old (ClappIII, 1996). Also, maternal physical exercise
17 training including jogging, yoga, weight-lifting, and aerobics during pregnancy promotes
18 language skills in the offspring as assessed when they are 15 months old (Jukic et al., 2013).
19 The results have suggested a long-lasting improvement and transgenerational neuroplasticity
20 induced by maternal exercise in human brains.

21 In animal maternal exercise models, maternal exercise has been shown to enhance
22 learning and memory, whilst reducing anxiety-like behavior in offspring. Aksu et al. (2012)
23 reported an increase in locomotor activity in the open field test and reduce in anxiety in the
24 elevated plus maze. These behavioral changes were positively correlated with the increase in
25 vascular endothelial growth factor (VEGF) and brain-derived neurotrophic factor (BDNF)
26 levels in the prefrontal cortex. BDNF is an important protein in regulating neurogenesis and
27 neuroplasticity, regulating neuronal survival, differentiation and integration in the nervous
28 system (Chao et al., 2006). BDNF has been associated with increased likelihood of
29 neuropsychiatric disorders such as depression, anxiety and bipolar disorders (Lang et al., 2005).
30 On the other hand, dysregulation of VEGF has only been reported in individuals with
31 depressive and anxiety disorders (Gormanns et al., 2011). A similar result in a reduction in
32 anxiety-like behavior by maternal exercise has been reported by Uysal et al. (2011), which is
33 also positively correlating with BDNF and VEGF levels.

1 The pro-cognitive function of maternal exercise that has been reported limits to
2 improvement in spatial learning and memory (Dayi et al., 2012; Parnpiansil et al., 2003; Yau
3 et al., 2019) and cognitive (Lee et al., 2006; Robinson & Bucci, 2012, 2014) learning and
4 memory. The improvement in cognitive function was associated with increase in neurogenesis,
5 neurotrophic factors and neuronal activity. The improvement reported in adult neurogenesis
6 include increases in cell survival, cell proliferation and differentiation in the hippocampus (Kim
7 et al., 2007; Lee et al., 2006; Robinson & Bucci, 2012, 2014; Yau et al., 2019). Increase in
8 neurogenesis leads to increase in neuronal number and, hence, neuronal activation, which has
9 been reported as well (Chun et al., 2020; Robinson & Bucci, 2014).

10 Maternal running has also been shown to rescue neurocognitive impairments against
11 certain pathological conditions. Prenatal exposure to 6-propyl-2-thiouracil (PTU) induces
12 hypothyroidism-associated impairment of spatial learning and memory, as well as, reduced
13 hippocampal BDNF levels in both male and female rats (Shafiee et al., 2016). Maternal running
14 was able to reverse the behavioral and neurochemical deficits induced by developmental
15 thyroid hormone insufficiency in both male and female rat offspring (Shafiee et al., 2016)

16 The neuronal system involved in maternal exercise-induced pro-neurocognitive effects
17 could be attributed to the noradrenergic and serotonergic neurotransmitters. Systemic lesion of
18 pathways of the noradrenergic and serotonergic pathways through para-chloroamphetamine
19 (PCA) and Dizocilpine (MK-801) resulted in a reverse in the beneficial effects on learning and
20 memory in the pups of maternal exercise but not in the offspring of the maternal sedentary
21 group. BDNF has been said to be a key neurotrophic factor for regulating noradrenergic
22 neurons plasticity through the downstream upregulation of cAMP-signaling (Akbarian et al.,
23 2002; Matsunaga et al., 2004). Blocking the NMDA receptor abolishes learning and memory
24 in offspring of both maternal exercise and sedentary groups. The results suggest that offspring's
25 brain serotonergic and noradrenergic signaling may play an important role in maintaining the
26 effects of maternal exercise on pups' cognitive function whereas the action of NMDA receptors
27 apparently has a non-selective role in regards to the pups' learning and memory.

28

1 References

2

3

4

5

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17

Chapter 2

1 Maternal exposure to PM_{2.5} induced autistic-like behavior

2 Introduction

3 With mounting clinical studies correlating prenatal exposure of PM_{2.5} to increase
4 incidence of developing ASD, there is a growing concern that prenatal exposure of PM_{2.5} may
5 lead to adverse outcomes of fetal neurodevelopment. As ASD is an idiopathic disease, behavior
6 phenotypes are commonly used for diagnosis. Although ASD is along a severity continuum, it
7 displays 3 main core deficits, namely impaired social communication, social interaction and
8 increase in repetitive behavior. Certain phenotypes of ASD also display impaired cognitive
9 function, although not always presented. In epidemiological studies, prenatal and/or postnatal
10 exposure to PM_{2.5} increase the odds of an offspring developing ASD (Becerra et al., 2013; Raz
11 et al., 2015; Talbott et al., 2015), possible doubling the risk of developing in ASD (Heather E
12 Volk et al., 2013). In animal studies, maternal exposure to PM_{2.5} has been linked to the
13 development of neurodevelopmental impairment, including spatial learning and memory,
14 cognitive learning and memory, reduced social interactions, communication and increase in
15 anxiety-like behavior (Wang et al., 2019; M. Zhang et al., 2018; Q. Zhang et al., 2018; Zheng
16 et al., 2019). Furthermore, maternal PM_{2.5} exposure reduced the number, diameter of neurons
17 and synaptic damage, causing negative effects on the synaptic plasticity (T. Zhang et al., 2018;
18 Zheng et al., 2019). These results also indicate cross-generation detrimental effects of maternal
19 exposure to PM_{2.5}.

20 In contrast, numerous pro-cognitive function of maternal exercise has been reported,
21 including improvement in depression (Yau et al., 2019), anxiety (Aksu et al., 2012), spatial
22 learning and memory (Dayi et al., 2012; Parnpiansil et al., 2003; Yau et al., 2019) and cognitive
23 learning and memory (Lee et al., 2006; Robinson & Bucci, 2012, 2014). Maternal running has
24 also been shown to be able to rescue neurocognitive impairments against certain pathological
25 conditions in offspring. For example, maternal running was able to reverse the prenatal induced
26 hypothyroidism associated impairments, i.e. spatial learning and memory, as well as, the
27 reduced hippocampal BDNF levels in both male and female rats.

28 In this section, we aim to (1) investigate whether maternal exposure to PM_{2.5} leads to
29 the development of ASD-like behavior in adult male and female offspring in a sex-specific
30 manner; and (2) examine whether maternal running is effective in preventing ASD-like
31 behavior in offspring. Our results reported that chronic maternal exposure to PM_{2.5} is able to
32 induce ASD-like behavior in offspring, whereas, running was able to ameliorate core ASD-like
33 behavior but not cognitive impairment.

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32

Methodology

Animal handling

All experimental procedures were approved and followed the guidelines of the Animal Subjects Ethics Sub-Committee from The Hong Kong Polytechnic University. C57BL/6J mice had standard chow and water ad libitum in the animal holding room in a 12-h light-dark cycle (lights on at 8 a.m.). The mice were housed 2 mice per cage from 4 weeks old. Female mice were randomly assigned to control, treatment (PM_{2.5} instillation) and treatment and intervention (PM_{2.5} + running) group. During the mating period, the mice were placed in a 2:1 female to male ratio. Prior to the behavioral testing, the offspring mice were handled for 7 days. Animal bedding was changed regularly once every week except during the behavior experiment.

Treatment

PM_{2.5} was dissolved into artificial lung fluid (ALF) to a desired concentration of 2.5 ug/ul to produce an ALF/PM_{2.5} solution. The concentration was derived from corresponding a literature showing detrimental effects of PM_{2.5} on the brain (T. Zhang et al., 2018). From the age of 5 weeks old, the female mice were subjected to intratracheal instillation of ALF alone for control group and ALF/PM_{2.5} solution for PM_{2.5} and running group once every 3 days until parturition. At 7 weeks old, the male mice were introduced for mating. For the PM_{2.5} + running group, running wheels were also introduced at 7 weeks old. The running activity of the mice were measured to ensure that the mice were running. Male mice were removed once impregnation was confirmed. The running wheels were removed after parturition. The treatment lasted for a total of 42 days. The offspring mice were weaned at 3 weeks old, sex separated at 4 weeks old. Behavioral tests were conducted at 5-weeks old. The offspring from the dams subjected to the treatments were labelled as follows; ALF group as Control, the PM_{2.5} group as PM_{2.5}, and PM_{2.5} with running group as Running. From each dam, 1-3 male(s) and female(s) were taken for behavior tests respectively.

Behavioral tests

At 5 weeks old, the offspring were subjected to a series of behavioral tests in dim light during the light cycle to assess the sociability, social novelty preference, spatial learning and

1 memory, working learning and memory, locomotor activity, anxiety-like behavior and
2 depression-like behavior. Each behavior test was conducted on a separate day for a total of 7
3 days in order of open field test, novel object recognition test, Y-maze, three chamber social
4 interaction test and forced swim test. All behaviors were recorded and analyzed in sample
5 blinded manner.

6 *3 chamber social interaction*

7 The social interaction protocol was adopted from the protocol of Kaidanovich-Beilin et
8 al. (2011). The 3-chamber social interaction test was carried out in a box with acrylic 3
9 chambers separated by a partition. One wired enclosure was placed in the left and right
10 respectively. A cup was placed on top of the wire enclosure to prevent the mice from climbing.
11 The test is divided into 3 phases. In phase 1, the mice were allowed to roam freely to get used
12 to the 3 chambers for 10 mins. In phase 2, a mouse of the same sex was introduced into the left
13 chamber for 10 mins. In phase 3, another mouse of the same sex was placed into the wire
14 enclosure in the right chamber. In phase 2, the enclosure with the mouse was recorded as mice
15 chamber, while the empty mesh was recorded as empty chamber. In phase 3, the mesh with the
16 mice from phase 2 was recorded as familiar, while the new mice were recorded as novel. The
17 total time interacted with the enclosures was recorded. In phase 2, the empty chamber
18 exploration ratio was calculated with the formula: $(\text{Time spent with empty enclosure} / \text{Total}$
19 $\text{interaction time with the two enclosures})$. The mice chamber exploration ratio was calculated
20 with the formula $(\text{Time spent with enclosure with mice}) / (\text{Total interaction time with the two}$
21 $\text{wire enclosures})$. The exploration index was calculated with the formula: $(\text{Time spent with}$
22 $\text{enclosure with mice} - \text{Time spent with empty enclosure}) / (\text{Total interaction time with the two}$
23 $\text{enclosures})$. In phase 3, the familiar exploration ratio was calculated with the formula: $(\text{Time}$
24 $\text{spent with familiar mice} / \text{Total interaction time with the two mice})$. The novel exploration ratio
25 was calculated with the formula $(\text{Time spent with novel mice}) / (\text{Total interaction time with the}$
26 $\text{two mice})$. The exploration index was calculated with the formula: $(\text{Time spent with mesh with}$
27 $\text{novel mice} - \text{Time spent with enclosure with familiar mice}) / (\text{Total interaction time with the two}$
28 $\text{enclosure})$

29 *Marble burying test*

30 In a 40 cm x 28 cm x 18 cm cage, 20 marbles were evenly arranged on the surface of
31 clean bedding. The mice was introduced into the cage for 1 hour. The number of marbles buried
32 after the hour were recorded. Marbles were considered buried if >70% of the marble is buried.

33

1 *Y Maze*

2 The Y-maze protocol was adopted as previously done (Yau et al., 2019). The Y maze
3 test was carried out in a Y maze with each arm having the dimensions of 30 cm x 15 cm X 6
4 cm. The test was divided into 2 phases. In phase 1, the mice were allowed to explore for 10
5 mins with one arm was block (Novel arm). Phase 2 was conducted after an interval of 2 hours.
6 In phase 2, the block arm was open and the mice were allowed to explore freely for 10 mins.
7 The familiar exploration ratio was calculated with the formula: (Time spent in familiar
8 arm/time spent in novel and familiar arm). The novel exploration ratio was calculated with the
9 formula (Time spent in novel arm/time spent in novel and familiar arm). The exploration index
10 was calculated with the formula: (Time spent in novel arm-Time spent in familiar arm)/(time
11 spent in novel and familiar arm).

12

13 *Novel object recognition test*

14 The novel object recognition test was conducted in a 40 cm x 40 cm x 40 cm acrylic
15 box. The test was divided into 3 phases. In phase 1, a set of objects (object A) were placed in
16 the box 6cm away from the edge of box. In phase 2, object A were replaced with another set
17 of objects (object B) of similar dimensions. In phase 3, the objects were replaced with a
18 different object A and object C. The time spent with object A (familiar object) and object C
19 (novel object) were recorded. The familiar exploration ratio was calculated with the formula:
20 (Time spent with familiar object/time spent with novel and familiar objects). The novel
21 exploration ratio was calculated with the formula (Time spent with novel object/time spent in
22 novel and familiar objects). The exploration index was calculated with the formula: (Time
23 spent with novel object-Time spent with familiar object)/(time spent with novel and familiar
24 objects).

25

26 *Open field test*

27 The open field test was developed from a protocol in our lab (Yau et al., 2019). The
28 open field test was carried out in an acrylic 40 cm x 40 cm x 40cm box. The mice were allowed
29 to explore freely for 10 mins and recorded. The recording was analyzed by AnyMaze software
30 (USA) for the time spent in the center region, entries in the center region and distance travelled.
31 A center region was defined as a 20 cm x 20 cm box and drawn using the software.

32

1 *Forced Swim Test*

2 The forced swim test was developed from an existing protocol in our lab (Yau et al.,
3 2019). The forced swim test was carried out in an acrylic cylindrical chamber of radius 15cm
4 and height 60cm. The cylinder was filled with water up to 3/4 of the tank the night before. The
5 time spent immobile was recorded and analyzed.

6

7 *Statistical analysis*

8 The analysis was carried out using Graphpad Prism software version 7.0 (Graphpad,
9 USA). For the exploration analysis, t-test was carried out between the novel exploration and
10 familiar exploration. For the other intergroup analysis, two way-ANOVA was carried out,
11 followed by Fisher-LSD Post-hoc test.

12

13 *Results*

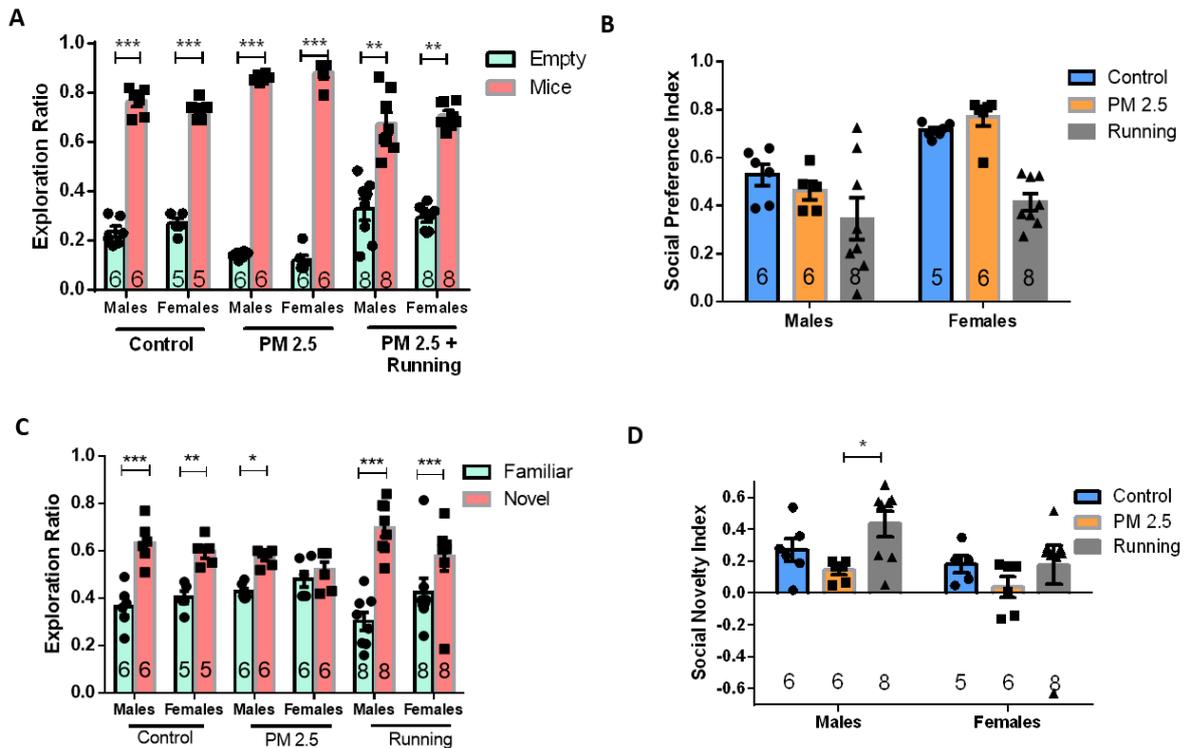
14 According to the DSM-5 and ICD-10, individuals diagnosed with ASD lie on a
15 continuum severity and often demonstrate three main behavioral core deficits: social
16 impairment, communication difficulties, and rigid and repetitive behaviors and may exhibit
17 cognitive impairment. With no valid proteomic or genetic biomarkers to test for all types of
18 ASD, behavioral test is the consistently used for diagnosis (Redcay & Courchesne, 2005). From
19 our results, we observed social novelty avoidance, increase in repetitive behavior, object
20 recognition memory impairment and spatial memory impairment in the offspring mice. As the
21 result of cognitive memory impairment, social impairment and increase in repetitive behavior
22 are consistent with commonly observed in individuals with ASD, the results show that prenatal
23 exposure to PM_{2.5} induces autism-like behavior in mice offspring.

24

Table 1. Mean and standard deviation of behavior data results

Mean (\pm SD)						
	Male			Female		
	Familiar exploration	Novel exploration	Exploration index	Familiar exploration	Novel exploration	Exploration index
3CST (phase 2)						
Control	0.24(\pm 0.05)	0.76(\pm 0.05)	0.53(\pm 0.11)	0.27(\pm 0.04)	0.73(\pm 0.04)	0.46(\pm 0.09)
PM2.5	0.14(\pm 0.01)	0.86(\pm 0.01)	0.72(\pm 0.03)	0.11(\pm 0.05)	0.89(\pm 0.05)	0.77(\pm 0.10)
Running	0.33(\pm 0.12)	0.67(\pm 0.12)	0.35(\pm 0.25)	0.29(\pm 0.05)	0.71(\pm 0.05)	0.41(\pm 0.10)
3CST (phase 3)						
Control	0.64(\pm 0.09)	0.36(\pm 0.09)	0.27(\pm 0.17)	0.59(\pm 0.06)	0.41(\pm 0.06)	0.18(\pm 0.12)
PM2.5	0.57(\pm 0.03)	0.43(\pm 0.03)	0.14(\pm 0.06)	0.52(\pm 0.08)	0.48(\pm 0.08)	0.04(\pm 0.16)
Running	0.30(\pm 0.11)	0.70(\pm 0.11)	0.43(\pm 0.27)	0.42(\pm 0.17)	0.58(\pm 0.17)	0.13(\pm 0.44)
Y-Maze						
Control	0.61(\pm 0.04)	0.39(\pm 0.04)	0.23(\pm 0.07)	0.59(\pm 0.06)	0.41(\pm 0.06)	0.18(\pm 0.13)
PM2.5	0.58(\pm 0.02)	0.42(\pm 0.02)	0.17(\pm 0.05)	0.46(\pm 0.18)	0.41(\pm 0.16)	0.07(\pm 0.11)
Running	0.45(\pm 0.18)	0.55(\pm 0.18)	0.10(\pm 0.36)	0.39(\pm 0.11)	0.61(\pm 0.11)	0.21(\pm 0.22)
NORT						
Control	0.59(\pm 0.08)	0.41(\pm 0.08)	0.31(\pm 0.27)	0.54(\pm 0.08)	0.46(\pm 0.08)	0.08(\pm 0.17)
PM2.5	0.54(\pm 0.07)	0.46(\pm 0.07)	0.08(\pm 0.14)	0.48(\pm 0.08)	0.52(\pm 0.08)	-0.04(\pm 0.16)
Running	0.53(\pm 0.11)	0.47(\pm 0.11)	-0.05(\pm 0.23)	0.49(\pm 0.12)	0.51(\pm 0.12)	0.03(\pm 0.23)
Marble burying						
Control		3.68(\pm 1.93)			4.40(\pm 1.96)	
PM2.5		8.18(\pm 3.46)			8.92(\pm 4.59)	
Running		5.0(\pm 3.0)			4.88(\pm 3.80)	
OFT	Distance Travelled	Time spent in center	Distance travelled in center	Distance Travelled	Time spent in center	Distance travelled in center
Control	17.21(\pm 5.33)	0.09(\pm 0.03)	0.17(\pm 0.05)	18.92(\pm 6.75)	0.12(\pm 0.06)	0.18(\pm 0.06)
PM2.5	18.39(\pm 4.42)	0.12(\pm 0.03)	0.15(\pm 0.03)	18.05(\pm 4.57)	0.09(\pm 0.04)	0.14(\pm 0.03)
FST						
Control		84.25(\pm 23.18)			101.93(\pm 20.84)	
PM2.5		104.91(\pm 18.27)			117.72(\pm 26.05)	

1 3 Chambers social interaction



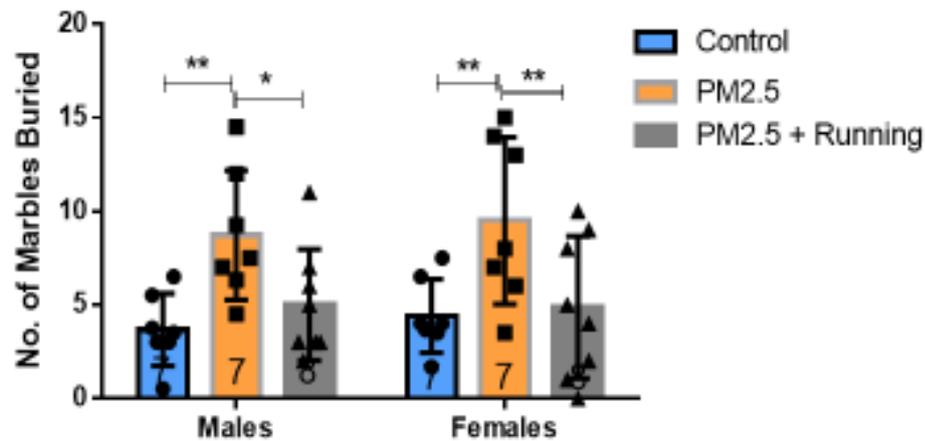
2

3 Figure 1. Sociability and social novelty in 3 Chambers Social Interaction Test. (A&B)
 4 Behavioral analysis for sociability, there was no significant difference among groups. (C&D)
 5 Behavior analysis for social novelty. (C) Male offspring from PM_{2.5} exposed dam showed
 6 significant impairment in social novelty recognition test, but female offspring showed no
 7 significant preference towards novel mice **p* < 0.05, ***p* < 0.01, ****p* < 0.001.

8 The exploration ratio in the sociability phase of 3 chambers social interaction showed
 9 greater preference towards chamber with mice over empty chamber [Figure 1A; **p* < 0.05, ***p*
 10 < 0.01, ****p* < 0.001]. The two-way ANOVA analysis of the social preference index showed
 11 similar results, with significant sex effect [Figure 1B: interaction *F* (1, 33) = 17, *p* = 0.0002],
 12 but no significant treatment effect [Figure 1B: interaction *F* (1, 19) = 2.9, *p* = 0.1067]. The
 13 exploration ratio in the social novelty phase showed significant preference to chamber with
 14 novel mice over familiar mice in all (Figure 1C; **p* < 0.05, ***p* < 0.01, ****p* < 0.001) but female
 15 offspring mice of the maternal PM_{2.5} exposed group (Figure 1C; *p* = 0.415). Two-way ANOVA
 16 revealed no significant sex [*F* (1, 27) = 4.1, *p* = 0.0540] or treatment [*F* (2, 27) = 2.0, *p* = 0.1530]
 17 effect. No significant changes were observed in the social novelty index [Figure 1D; Interaction
 18 *F* (1, 19) = 0.018, *p* = 0.8948]. Post-hoc analysis revealed no significant changes in the maternal
 19 Running group and control and PM_{2.5} group.

20

1 Marble burying



2

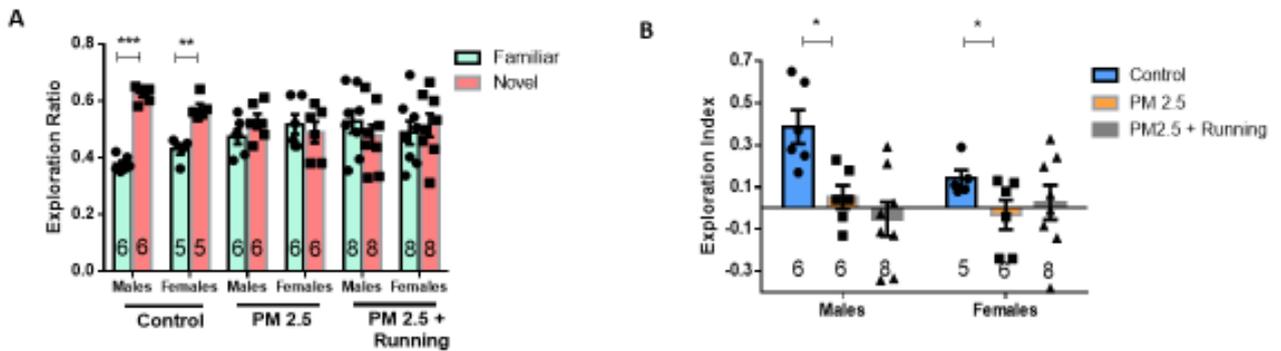
3 Figure 2. Marble Burying Test. Male and female offspring mice showed a significant
4 increase in number of marbles buried in the maternal PM_{2.5} exposed group compared to the
5 control group. Maternal Running was able to significantly reduce number of marbles buried in
6 both female and male offspring, indicating increased repetitive behavior. **p* < 0.05, ***p* < 0.01,
7 ****p* < 0.001.

8 Two-way ANOVA revealed that the offspring of the PM_{2.5} group had an treatment
9 effect in number of marbles buried [Figure 2; interaction $F(2, 38) = 9.8, p = 0.004$] but have
10 no effect for sex [interaction $F(1, 38) = 0.22, p = 0.643$]. In the male and female offspring mice,
11 PM_{2.5} group buried significantly more marbles compared to the offspring of the control group.
12 (Figure 2; **p* < 0.05). Post-hoc analysis revealed maternal running was able to significantly
13 reduce the number of marbles buried, suggesting the effects of maternal exercise on rescuing
14 repetitive behavior induced by maternal exposure to PM_{2.5} (Males; *p* = 0.033; Females; *p*
15 = 0.009).

16

17

1 Novel object Recognition

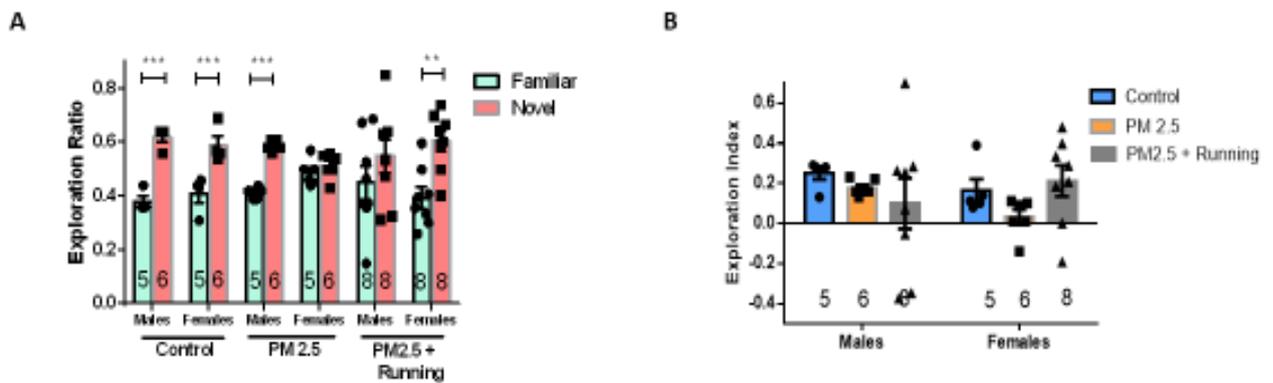


2
3 Figure 3 Novel Object Recognition Test. (A) No significant intra-group changes
4 observed in the offspring of the maternal PM_{2.5} exposed or PM_{2.5}+Running exposed group. (B)
5 Male and female offspring mice showed a significant decrease in exploration index in the
6 maternal PM_{2.5} exposed group compared to control group. **p* < 0.05, ***p* < 0.01, ****p* < 0.001.

7 The novel object recognition tests for the object recognition memory in the
8 mice. The exploration ratio revealed that only control offspring mice had significant
9 exploration towards the novel object over the familiar object (Figure 3A; **p* < 0.05, ***p* < 0.01,
10 ****p* < 0.001). Male and female offspring from the PM_{2.5} and Running group showed no
11 significant difference. Two-way ANOVA analysis revealed a main effect of PM_{2.5} treatment
12 on the exploration index (Interaction $F(1,19) = 15.17, p = 0.001$). In the male and female
13 offspring mice, PM_{2.5} group had a significant reduction in exploration index compared to the
14 offspring of the control group. (Figure 3B; **p* < 0.05). Post-hoc analysis revealed maternal
15 running did not restore the behavior impairment (Males; *p* = 0.315; Females; *p* = 0.573). The
16 results indicate that maternal exposure to PM_{2.5} impaired the learning and working memory
17 of the offspring mice, while maternal running was unable to reverse the impairment.

18

1 Y maze

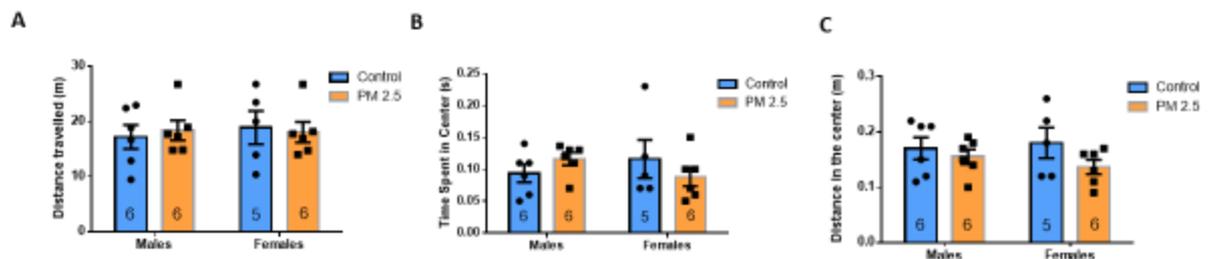


2
 3 Figure 4. Y Maze Test. (A) Female but not male offspring from dams exposed to PM_{2.5}
 4 showed no preference for novel arm, indicating impaired hippocampal dependent learning and
 5 memory. However, maternal running restored memory impairment in female but not male
 6 offspring. (B) No significant changes observed in exploration index in the 3 groups. **p* <0.05,
 7 ***p* <0.01, ****p* <0.001.

8 The Y Maze test examines the spatial learning and memory of the mice. The exploration
 9 ratio revealed that control offspring, PM_{2.5} Male offspring and Running female offspring mice
 10 had significant exploration in the novel arm (Figure 4A; **p* <0.05, ***p* <0.01, ****p* <0.001).
 11 Female, but not male offspring from the maternal PM_{2.5} group did not show any significant
 12 difference in exploration between novel and familiar arms, indicating impairment in spatial
 13 memory. In contrast, male offspring mice from the Running dams did not show significant
 14 difference between novel and familiar arms, whereas female offspring with maternal running
 15 showed restored spatial learning. Two-way ANOVA analysis of the exploration index revealed
 16 no significant difference between sex [F(2, 32) = 1.5, *p* =0.234] and treatment [F(1,32) = 0.34,
 17 *p* =0.5635] effect (Figure 4B). PM_{2.5} group had no significant reduction in exploration index
 18 compared to the offspring of the control group in the male (Figure 4B; *p* = 0.52) and female
 19 (Figure 4B; *p* = 0.29) offspring mice. Post-hoc analysis revealed maternal running showed no
 20 significant difference in exploration index (Males; *p* =0.491; Females; *p* =0.113).

21

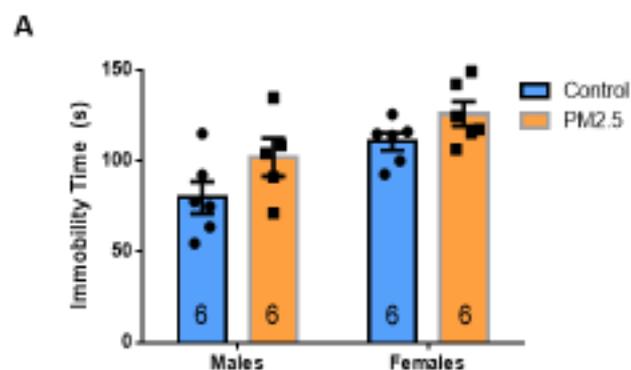
1 Open Field Test



2
3 Figure 5. Open Field Test. (A) Male and female offspring mice showed no significant
4 changes observed in the locomotor activity between the control and maternal PM_{2.5} exposed
5 group. (B&C) Male and female offspring mice showed no significant changes observed in the
6 anxiety-like behavior between the control and maternal PM_{2.5} exposed group.

7 The open field test was assessed for the distance travelled (Figure 5A), Time spent in
8 center (Figure 5B) and Distance travelled in center (Figure 5C). The distance travelled revealed
9 that maternal exposure to PM_{2.5} did not significantly affect the locomotor activity of the
10 offspring mice (Males $p = 0.99$, Females $p = 0.97$). The time spent in center (Males $p = 0.78$,
11 Females $p = 0.69$) and distance travelled in the center (Males $p = 0.93$, Females $p = 0.40$)
12 revealed no significant changes in anxiety-like behavior in the mice between the groups.

13 14 Forced Swim Test



15
16 Figure 6. Forced Swim Test for depressive-like behavior. Male and female offspring
17 mice showed no significant changes observed in the immobility time between the control and
18 maternal PM_{2.5} exposed groups. No significant changes in immobility time was recorded in
19 the male ($p = 0.23$) and female ($p = 0.50$) offspring mice between groups.

20
21

1 *Discussion*

2 With mounting clinical evidence supporting the increase in the risk of offspring
3 developing ASDs after early postnatal or prenatal exposure to PM_{2.5}, the urgent need for
4 proving evidence for this link is required. The results of the present study consolidate the
5 hypothesis that maternal exposure to PM_{2.5} increases the risk of the offspring developing ASD-
6 like behavior.

7 In order to test for ASD-like behavior, three chambers social interaction and marble
8 burying test were used. The three-chamber social interaction experiment was conducted to
9 assess sociability and social novelty. Maternal exposure to PM_{2.5} did not affect sociability in
10 the offspring in the social preference phase. However, during the social novelty phase, female
11 offspring mice of the PM_{2.5} group showed no preference when presented to the novel and
12 familiar mice, suggesting an impairment in social novelty, a behavior that has been previously
13 reported to be a characteristic of autism-like behavior (Schopler & Mesibov, 1986). In order to
14 assess repetitive behavior, a marble burying test was used. Our results showed that maternal
15 exposure to PM_{2.5} increased the number of marbles buried in both male and female offspring.
16 The increase in the number of marbles buried indicates an increase in repetitive behavior
17 (Angoa-Pérez et al., 2013; Chang et al., 2017).

18 Apart from social communication impairment and repetitive behaviors, certain types of
19 ASD also have impairment in learning and memory (Minschew & Goldstein, 2001), as well as,
20 an increase in anxiety and depression (Kerns & Kendall, 2012; Stewart et al., 2006). To assess
21 difference in object recognition memory, the novel object recognition test was used for
22 working memory, whilst Y Maze was used to test for spatial memory. Our results showed that
23 the offspring showed no preference between the familiar and novel object in the novel object
24 recognition test, indicating the inability to distinguish between the novel and familiar object.
25 The inability to distinguish the objects may reflect the inability of the mice to retain the familiar
26 objects encountered in the earlier phase (Leger et al., 2013; Lueptow, 2017), therefore
27 demonstrating the impairment in working memory of the mice. There was no observed
28 difference in locomotor activity, anxiety-like or depression-like behavior in the offspring after
29 maternal exposure to PM_{2.5}.

30 Mouse models of ASD have shown the 3 main core behavior for diagnosis of ASD,
31 namely abnormal reciprocal social interactions, communication deficits, and repetitive
32 behaviors with restricted interests, as well as other associated symptoms of ASD, such as
33 anxiety, mental retardation and depression (Jill L Silverman, Mu Yang, et al., 2010). For

1 example, the *Fmr1* knock out mouse model of Fragile X syndrome, the best characterized
2 single gene mutation caused mouse model of ASD thus far, also shows altered social
3 interaction, increased repetitive behavior and learning deficits (Bakker et al., 1994;
4 McNaughton et al., 2008; Spencer et al., 2005). Another model of ASD, the BTBR T+tf/J
5 mouse model, also shows abnormal social interactions, communication deficits, increased
6 repetitive behavior and increased in anxiety (Jill L Silverman, Seda S Tolu, et al., 2010). Our
7 results have shown similar behavioral abnormalities as commonly observed in ASD model,
8 indicating maternal exposure to PM_{2.5} induces ASD-like behavior in male and female offspring.

9 Our behavioral findings echoed to findings from other groups (Church et al., 2018;
10 Emam et al., 2020; K. Li et al., 2018; Wang et al., 2019). Church et al. (2018) exposed female
11 dams to a concentration of 135.8 µg/m³ of PM_{≤2.5µm} (CAPs) daily from the duration of
12 gestation to postpartum day 10. They also reported an impairment in social interaction and an
13 increase in repetitive behavior in both male and female offspring, which coincides with the
14 results produced in our experiment. Similar results were observed by Wang and colleagues
15 (2019) showing that pregnant mice subjected to 30 µl of 3.456 µg/µL PM_{2.5} displayed impaired
16 social communication and an increase in repetitive behavior. Other repetitive behaviors, such
17 as self-grooming, examined by M. Zhang et al. (2018) has shown an increase in self-grooming.
18 Taken together, it can be concluded that prenatal exposure to PM_{2.5} causes an increase in
19 impairment in social interaction and an increase repetitive behavior in offspring. Apart from
20 the main core behavior impairments in ASD, the offspring mice also exhibited learning and
21 memory impairment.

22 Whether prenatal exposure to PM_{2.5} induces anxiety and depression remains to be
23 debated. Numerous studies have shown that maternal exposure to PM_{2.5} increased anxiety and
24 depression (Wang et al., 2020; M. Zhang et al., 2018; T. Zhang et al., 2018), although our
25 results indicated no difference in anxiety and depression between the control group and PM_{2.5}
26 group of offspring. In the study by Cui et al. (2019), it was noted that there was a significant
27 increase in time spent in center, indicating a decrease in anxiety-like behavior. In order to probe
28 the relationship between prenatal exposure to PM_{2.5} and anxiety, further investigation will be
29 needed to include more sensitive behavioral tests.

30 The mechanisms underlying the detrimental effects of PM_{2.5} on the offspring is still
31 largely unknown. A hypothesis of activated maternal immune system is proposed earlier. As
32 previously described, PM_{2.5} is inflammatory inducer and lead to systemic inflammation.
33 Previous work has shown that maternal immune activation (MIA) can lead to a
34 neurodevelopmental disorder in mouse model (Boulanger-Bertolus et al., 2018). Additionally,

1 studies by Dr. Patterson and his colleagues have shown that maternal immune activation in
2 mice induce the 3 main core ASD-like behaviors (Hsiao et al., 2012; Malkova et al., 2012).

3 In our study, it has been noted that male and female offspring are affected differently,
4 with female mice being affected in more behavioral tests. Female offspring mice displayed
5 social novelty impairment, increase in repetitive behavior, impairment learning and memory,
6 whilst male offspring mice only displayed repetitive behavior and impairment in learning
7 memory. The difference in male and female effect is replicated in other studies as well. Zhou
8 et al. (2020) reported neurodevelopmental impairment only in female offspring mice. Church
9 et al. (2018) reported a male specific effect in the reciprocal social interaction test, whilst
10 (Wang et al., 2020) a male specific effect in Morris water maze for spatial learning memory.
11 The gender difference may be due the PM_{2.5} concentration, PM_{2.5} constituents, exposure
12 duration, exposure method and mice strain. Since there are a number of variations in the studies
13 conducted, it should be noted to take the sex-specific results with a grain of salt. However, all
14 of the results point out that there is an effect on ASD behavior after prenatal and postnatal
15 exposure to PM_{2.5}.

16 A possible hypothesis for the difference in the sex difference effect is the two hit model.
17 The two-hit model proposes the first hit incites the development of a vulnerable CNS system,
18 where as a second hit induces the condition. In the study by Bilbo et al. (2018), only prenatal
19 diesel exposure in combination with maternal stressor, but not diesel exposure alone, induced
20 ASD-like behaviors in offspring. The authors hypothesized a two-hit model in which prenatal
21 exposure to air pollutant (the first hit) renders more reactive immune response to the second
22 hit (e.g. psychological stressor, maternal inflammation). Apart from environmental x
23 environmental two hit model, other two hit models may also exist. Another possible two hit
24 model would be the first hit being genetic predisposition, with the second hit being environment
25 influences, e.g. exposure to PM_{2.5}, diet, maternal inflammation. The influence on individual
26 may vary depending on the effect of the two-hit model. Moreover, whether PM_{2.5} exposure is
27 the sole cause of ASD or if it follows the two-hit model warrants further investigation.

28 As a treatment intervention for the maternal exposure to PM_{2.5} induced behavioral
29 changes in the offspring, running during the gestational period was carried out. Gestational
30 running was able to ameliorate the core ASD-like behavior but was unable to recover the
31 detrimental effect of PM_{2.5} on the learning and memory deficits in offspring except for spatial
32 learning and memory. Unlike our study, other studies reported maternal exercise can improve
33 behaviors related to learning and memory or even a protective effect against certain
34 pathogenesis. Parnpiansil et al. (2003) and Yau et al. (2019) reported an improvement in spatial

1 memory in rat pups. Whereas, Kim et al. (2007) and Robinson and Bucci (2014) reported
2 maternal running improving short-term memory. The positive effect of maternal running is not
3 limited to only those, there has also been reported improvement in anxiety-like and depression-
4 like behavior. Prenatal stress has been shown to induce neurocognitive impairments, including
5 short-term and long-term spatial memory and learning disturbances. Pregnant dams subjected
6 to running as a prevention to detrimental effects of prenatal stress showed that maternal
7 voluntary running was able to prevent spatial memory and learning impairments in prenatally
8 stressed offspring mice. Sevoflurane, a general anesthetic agent, exposure during gestational
9 period have been reported to cause learning and memory impairment, which could be restored
10 by maternal running. In our study, maternal running was able to restore the spatial learning and
11 memory deficit in the Y maze test in offspring from exercised dams. However, maternal
12 running showed no significant difference in the working memory in novel object recognition
13 task. A possible reason for the indifference is due to involvement serotonergic system. The
14 serotonergic system is dysregulated in ASD individuals, with the brain serotonin synthesis and
15 synaptogenesis being highly disrupted (Zafeiriou et al., 2009). Until the age of 5, individuals
16 with ASD demonstrate a reduced capacity for synthesis of serotonin, which later increases and
17 overcome the values of a healthy adult by the age of 15. According to the report by Akhavan
18 et al. (2008), serotonergic lesions can suppress the enhancing effects of maternal exercise
19 during pregnancy in pups with regards to learning and memory. Thus, a possibility for inability
20 for maternal running to prevent cognitive dysfunction may be due to the dysfunction of the
21 serotonergic system. Future experiments will be needed to prove this hypothesis.

22

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17

Chapter 3

1 Maternal Exposure to PM_{2.5} impaired adult Neurogenesis in the 2 hippocampus

3 Introduction

4 PM_{2.5} is a potentially neurotoxic particle due to its composition containing polycyclic
5 aromatic hydrocarbons, metals, organic matter, and elemental carbon. These compounds have
6 the ability to induce an inflammatory response, introducing damage to surrounding tissues,
7 including the brain.

8 In the previous section, we were able to establish a link between maternal exposure to
9 PM_{2.5} and ASD-like behavior in offspring. These behavioral changes may be associated with
10 adult neurogenesis. Adult neurogenesis is the process in which new neurons are born and
11 integrated into the existing hippocampal neural circuitry. An increase in adult neurogenesis has
12 been associated with cognitive improvements, including spatial learning and memory, and
13 working memory, and anti-depression. The process of adult neurogenesis occurs in the dentate
14 gyrus (DG) of the hippocampus (Zhao et al., 2008).

15 The hippocampus region has shown abnormalities in ASD models, exhibiting abnormal
16 neurogenesis and impaired synaptic plasticity, including a decrease in neuronal differentiation
17 but an increase in astrocyte differentiation (Bailey et al., 1998; Bauman & Kemper, 1985; Guo
18 & Commons, 2017; Raymond et al., 1995). The neuronal maturation has also been shown to
19 be disrupted with reduction in dendritic length and complexity, and increase in the density of
20 immature spines in the DG.

21 Studies have shown that prenatal exposure to PM_{2.5} reduces the number, diameter of
22 neurons and causes synaptic damage (T. Zhang et al., 2018). Apart from this, gestational PM_{2.5}
23 exposure can lead to dysfunction in the synaptic synthesis, e.g. increase in synaptic cleft,
24 thinned postsynaptic density thickness, and shorter length of synaptic active area (Wang et al.,
25 2019; Zheng et al., 2019). Taken together, the data suggest a dysfunction in neuronal maturity
26 by PM_{2.5}.

27 Limited evidence has shown that maternal exercise improves neurocognitive function
28 via increasing neurogenesis, neuronal maturation, and neuronal activation. The beneficial
29 effects on neurogenesis include increase in cell proliferation, cell survival, neuronal
30 maturation, immature neurons and neuronal activation (Akhavan et al., 2008; Aksu et al., 2012;
31 Lee et al., 2006; Robinson & Bucci, 2012, 2014; Yau et al., 2019).

1 In this section, we aim to investigate (1) whether maternal PM_{2.5} exposure impairs adult
2 hippocampal neurogenesis and (2) whether maternal running elicits its effect in association
3 with restored adult neurogenesis in the hippocampus of offspring. We observed a gender
4 difference in response to maternal PM_{2.5} exposure in which there was an increase in
5 hippocampal cell proliferation in male offspring only, an increase in neuronal differentiation
6 in both male and female offspring, but decrease in total dendritic length of immature neurons
7 in female offspring only. In contrast, maternal running significantly increased hippocampal cell
8 proliferation, and neuronal differentiation in both male and female offspring. There was no
9 change in the number of immature neurons between control and PM_{2.5} offspring, whereas
10 maternal running increased the number of immature neurons in male, but not female offspring.
11 The data suggest that there is a gender difference in response to maternal PM_{2.5} exposure. Both
12 male and female offspring showed an increase in hippocampal cell proliferation and neuronal
13 differentiation, however, there was delayed neuronal maturation of newborn neurons in female
14 offspring, suggesting abnormalities in neuronal development in the hippocampus. These
15 structural changes would be linked to hippocampal dysfunction associated with ASD induced
16 by air pollutant PM_{2.5}.

17

18 Methodology

19 Animal handling

20 All experimental procedures were approved and followed the guidelines of the Animal
21 Subjects Ethics Sub-Committee from The Hong Kong Polytechnic University. C57BL/6J mice
22 had standard chow and water ad libitum in the animal holding room in a 12-h light-dark cycle
23 (lights on at 8 a.m.). Female mice were randomly assigned to control, treatment (PM_{2.5}
24 instillation) and treatment and intervention (PM_{2.5} + Running) group, with 2 female mice
25 grouped per cage. During the mating period, the mice were placed in a 2:1 female to male ratio.

26

27 Treatment

28 PM_{2.5} was dissolved into artificial lung fluid (ALF) to a desired concentration of 2.5
29 µg/µl. The concentration was derived corresponding literature showing detrimental effects of
30 PM_{2.5} (T. Zhang et al., 2018). From the age of 5 weeks old, the female mice were subjected to
31 intratracheal instillation of ALF/ PM_{2.5} solution once every 3 days until parturition. At 7 weeks
32 old, the male mice were introduced for mating. For the PM_{2.5}+Running group, running wheels

1 were introduced at 7 weeks old. Male mice were removed once impregnation was confirmed.
2 The running wheels were removed after parturition. The offspring mice were weaned at 3
3 weeks old, sex separated at 4 weeks old. Behavior test conducted at 5 weeks old and sacrificed
4 at 6 weeks old. The offspring from the dams subjected to the treatments were labelled as
5 follows; ALF group as control, the PM_{2.5} group as PM_{2.5} and PM_{2.5} and running group as
6 Running.

7

8 Tissue preparation

9 Offspring mice were deeply anesthetized with 10 mg/kg Ketamine and 4 mg/kg
10 Xylazine cocktail (USA). Upon anesthesia, mice were perfused with 60 ml of 1x PBS.
11 Afterwards, the mice were perfused with 60 µl of 4 % PFA. The brains of the mice were
12 collected and stored in 4 % PFA for 48 hours. The brains were then transferred to 30% sucrose
13 solution until the brain sinks. The brains containing hippocampal region were sliced and
14 collected in a 1 in 6 series, with each section thickness of 30 µm. The brain sections were stored
15 in a cyroprotectant solution, composed of 30 % glycerol and 30 % ethylene glycol in 1x PBS,
16 until immunostaining.

17 Immunostaining

18 Immunohistochemistry analysis was conducted using free floating DAB (3, 3'-
19 diaminobenzidine) method. Antigens were exposed using citric acid heat retrieval method (pH
20 6.0; 95°C; 10 mins). After 3 times with 10 mins washes in 1x PBS, the brain slices were
21 incubated overnight with the respective primary antibodies; rabbit anti-KI67 (1:1000, Abcam,
22 United Kingdom), mouse anti-doublecortin (DCX) (1:200, Vector Laboratories, CA, United
23 States) or mouse anti-NeuroD (1:200, Vector Laboratories, CA, United States). Then, the slices
24 were incubated in the respective secondary antibody, goat anti-mouse (1:200, Vector
25 Laboratories, CA, United States). for the slices of mouse anti-DCX or mouse anti-NeuroD and
26 goat anti-rabbit (1:200, Vector Laboratories, CA, United States) for the rabbit anti-KI67. The
27 positive cells were visualized using the VECTASTAIN ABC kit (HRP) (1:200, Vector
28 Laboratories, CA, United States) and the DAB peroxidase substrate kit (1:200, Vector
29 Laboratories, CA, United States).

30

1 Image analysis

2 Quantification of proliferation cells, immature neurons and neuronal differentiation was
3 carried out by counting Ki67, DCX and NeuroD immunopositive cells from 8 sections across
4 -1.34 to -3.80 mm bregma position. Only cells residing in the sub-granular zone and the granule
5 cell layer of the DG were counted using microscope (H600L, Nikon, Japan).

7 Sholl analysis

8 Immature neurons were selected based on the following criteria (1) The immature
9 neuron must at least be a tertiary immature neuron (with 3 or more dendrite branches). (2) The
10 immature neuron must extend towards the molecular layer of the dentate gyrus with intact
11 dendritic branching. (3) The cell body of the immature neuron should be in subgranular zone
12 of the dentate gyrus. (4) The immature neurons can be selected in superior or inferior blade of
13 the dentate gyrus. A total of 5 immature neurons are traced per brain region, a total of 3 dorsal
14 and 3 ventral regions, resulting in a total of 30 immature neurons traced. The immature neurons
15 were selected under 400x magnification to measure the total dendritic length and perform sholl
16 analysis by NeuroLucida (MicroBrightField Bioscience, VT, United States).

18 Statistical analysis

19 The analysis was carried out using Graphpad Prism software version 7.0 (Graphpad,
20 USA). For the analysis of intergroup, 2 way-ANOVA was carried out, followed by intragroup
21 analysis using Fisher-LSD Post-hoc test. For analysis of sholl radius, Wilcoxon test was carried
22 out.

23

24

1 Results

2 From the anatomical studies of the brain, it has been reported that the brain growth in
3 individuals with ASD is abnormal. Infants with ASD have been found to have a lower total
4 brain volume than healthy infants, followed by overgrowth within the first year of life (Aylward
5 et al., 2002). Up until the age of 4, children diagnosed with ASD showed a larger brain volume
6 than healthy individuals. Progressing to late-childhood, puberty, and adolescence, individuals
7 with ASD experience delayed brain growth (Bailey et al., 1993; Brambilla et al., 2003;
8 Tsatsanis et al., 2003). Neurogenesis was examined as reflected by changes of cell proliferation
9 (Ki67), number of immature neurons (DCX) and neuronal differentiation (NeruoD). The results
10 indicate that cell proliferation and neuronal maturation were increased in male and female
11 offspring. Contrary to the hypothesis, an increase in neurogenesis was observed in young adult
12 offspring mice. To further investigate it, we examined the immature neurons dendritic
13 branching in the hippocampus. The results show that the total dendritic length is reduced in
14 female offspring. To summarize, although neurogenesis may be increased, it appears that the
15 development of the neurons is affect by PM_{2.5}.

16

1

Table 2. Mean and standard deviation of cell quantification of adult neurogenesis.

Mean (\pm SD)				
Male			Female	
Ki67	Dorsal	Ventral	Dorsal	Ventral
Control	544(\pm 85)	639(\pm 97)	716(\pm 153)	787(\pm 254)
PM2.5	960(\pm 286)	1265(\pm 329)	893(\pm 148)	898(\pm 398)
Running	1486(\pm 253)	1509(\pm 306)	2075(\pm 508)	2178(\pm 170)
DCX				
Control	6876(\pm 978)	7372(\pm 1395)	7486(\pm 1553)	7767(\pm 1376)
PM2.5	7723(\pm 1990)	7728(\pm 1311)	7771(\pm 1140)	7815(\pm 1997)
Running	10589(\pm 2401)	10313(\pm 2577)	7983(\pm 1426)	7047(\pm 644)
NeuroD				
Control	3085(\pm 227)	4449(\pm 522)	2919(\pm 383)	4479(\pm 170)
PM2.5	4744(\pm 541)	4892(\pm 839)	4936(\pm 529)	5387(\pm 1291)
Total Dendritic Length				
Control	157.1(\pm 40.3)		146.3(\pm 33.1)	
PM2.5	138.8(\pm 39.9)		114.3(\pm 44.2)	
Running	196.9(\pm 44.3)		190.3(\pm 33.2)	

2

3

Ki67-Proliferation

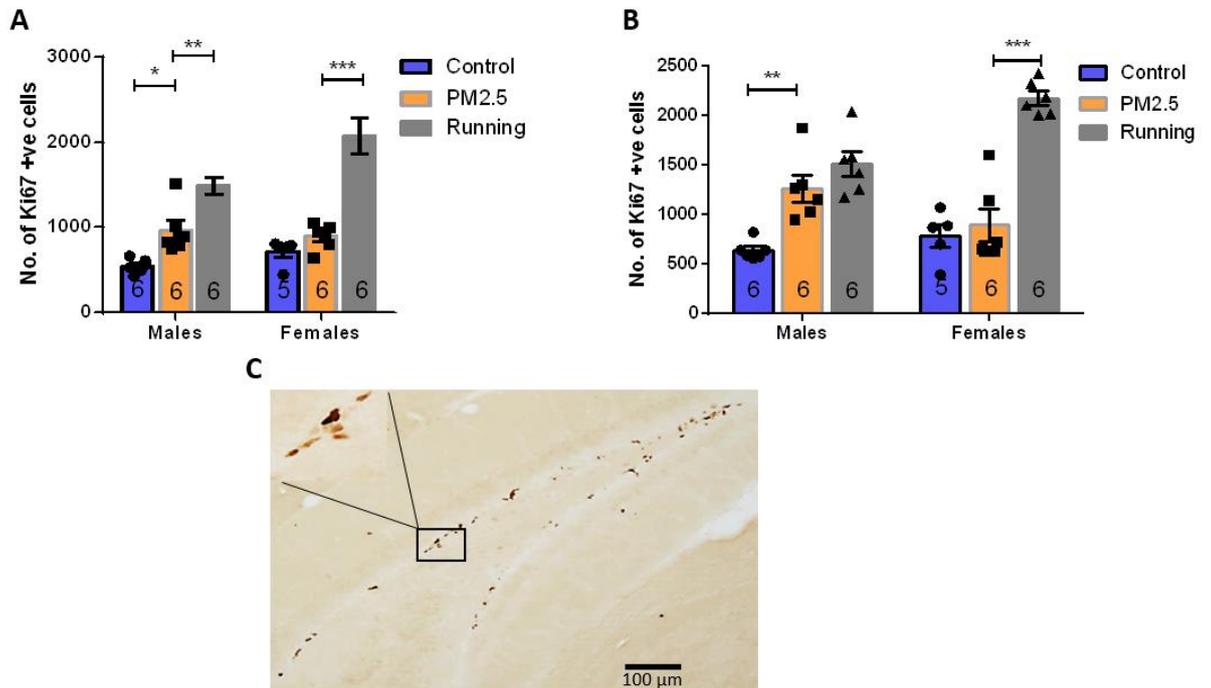
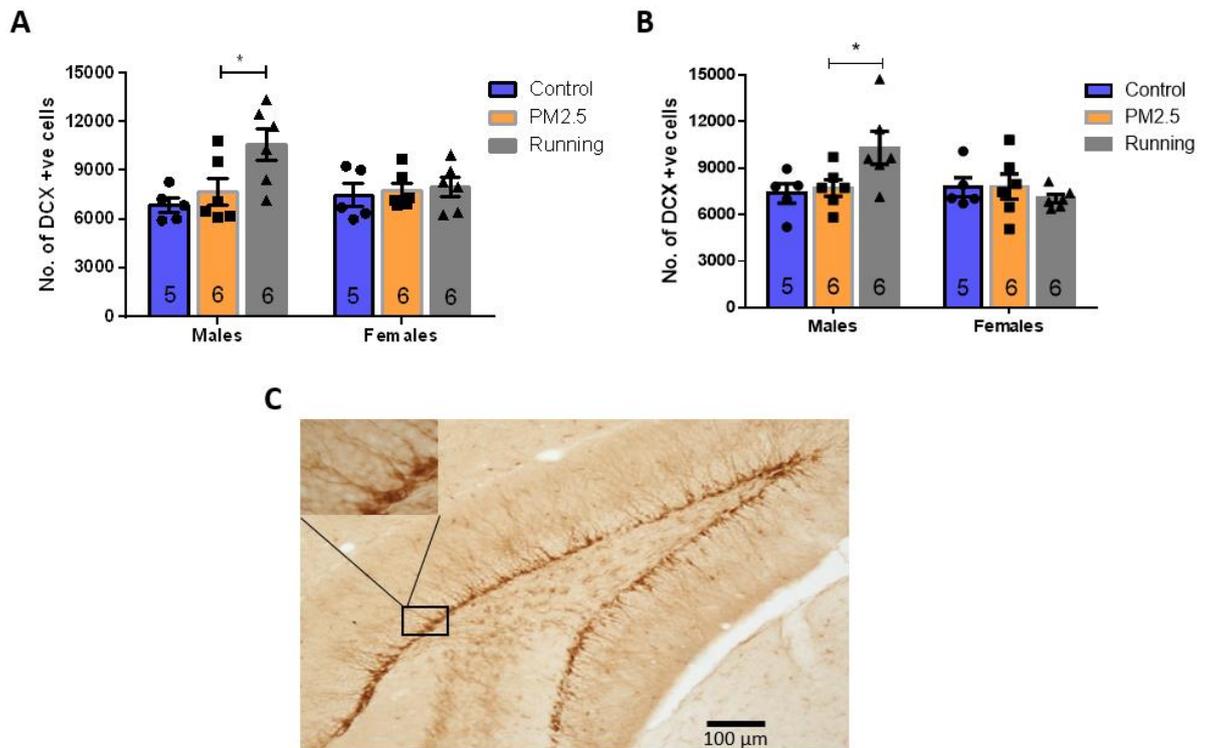


Figure 7. Number of Ki67 positive cells in the (A) dorsal and (B) ventral hippocampal dentate gyrus region. (C) Representative image. * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$, **** $p < 0.0001$.

The density of Ki67⁺ cells in male offspring was significantly increased after maternal exposure to PM_{2.5} compared to the control group in the dorsal region (Figure 7A & 7B, * $p < 0.05$, ** $p < 0.01$), indicating increase in proliferating cells. There was an observed significant treatment interaction [Figure 7A; $F(2, 29) = 53$, $p < 0.05$; Figure 7B; interaction $F(2, 29) = 50$, $p < 0.05$] but no significant sex effect [Figure 7A; $F(1, 29) = 6.0$, $p = 0.20$; Figure 7B; interaction $F(1, 29) = 2.5$, $p = 0.12$]. No significant difference was observed between the control and PM_{2.5} group in the females in the dorsal region or ventral region (Figure 7A; $p = 0.30$; Figure 7B; $p = 0.52$). Post-hoc analysis of the PM_{2.5}+Running revealed that maternal running was able to increase the density of KI67⁺ cells compared to the PM_{2.5} group in the dorsal region (Males; $p < 0.05$, Females; $p < 0.001$) and ventral region (Females; $p < 0.001$), except for the males in ventral region (Males; $p = 0.14$).

1 DCX-immature neurons

2



3

4 Figure 8. No. of DCX positive cells in the in the (A) dorsal and (B) ventral hippocampal
5 dentate gyrus region. (C) Representative image. * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$.

6 The density of DCX⁺ cells revealed significant treatment interaction in the dorsal but
7 not ventral region [Figure 8A; interaction $F(2, 28) = 4.8, p < 0.05$; Figure 8B; interaction $F(2,$
8 $28) = 1.4, p = 0.26$], as well as, no significant sex effect [Figure 8A; interaction $F(1, 28) = 1.3,$
9 $p = 0.27$; Figure 8B; interaction $F(1, 28) = 2.6, p = 0.12$] in the dorsal or ventral region. The
10 density of DCX⁺ cells revealed no significant difference between the control and PM_{2.5} group
11 in the dorsal region (Figure 8A; Males; $p = 0.41$, Females; $p = 0.78$ and ventral region (Figure
12 8B; Males; $p = 0.73$, Females; $p = 0.96$). Post-hoc analysis of PM_{2.5}+Running revealed that
13 maternal running was able to increase the density of DCX⁺ compared to the PM_{2.5} group in the
14 dorsal region in male but not female offspring (Males; $p < 0.05$, Females; $p = 0.83$) and ventral
15 region (Males; $p < 0.05$, Females; $p = 0.44$).

16

17

NeuroD-Neuronal maturation

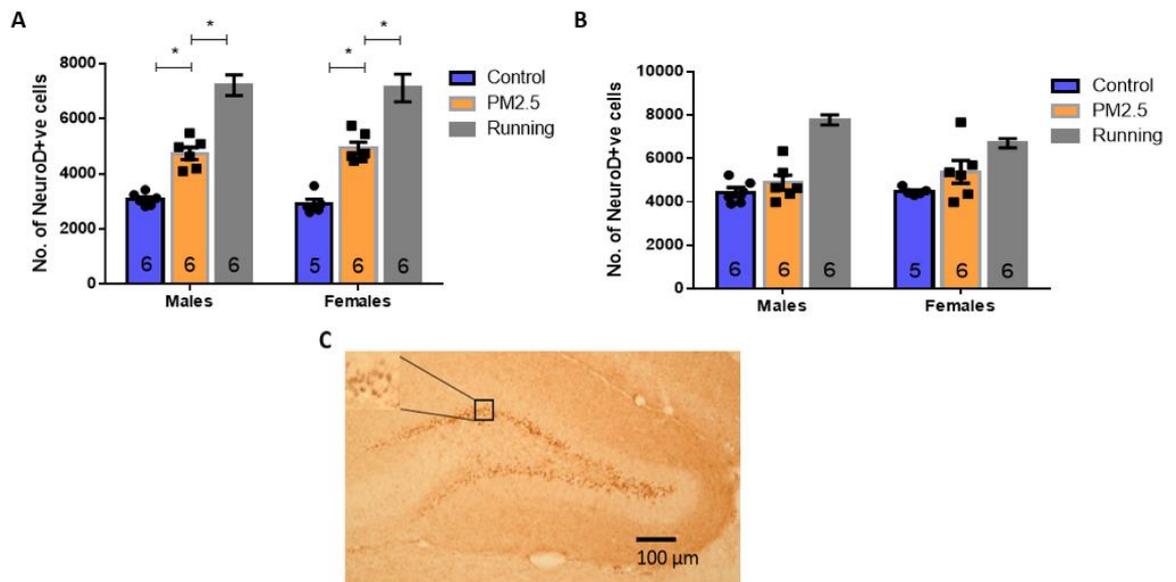


Figure 9. Number of NeuroD positive cells in the (A) dorsal and (B) ventral hippocampal dentate gyrus region. (C) Representative image. * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$.

The density of NeuroD⁺ cells revealed significant treatment interaction [Figure 9A; interaction $F(1, 19) = 99$, $p < 0.05$; Figure 9B; interaction $F(1, 19) = 3.7$, $p < 0.05$] but no significant sex effect [Figure 9A; interaction $F(1, 19) = 0.0049$, $p = 0.94$; Figure 9B; interaction $F(1, 19) = 0.56$, $p = 0.46$]. The density was significantly increased in the dorsal region after maternal exposure to PM_{2.5} compared to the control group (Figure 9A, * $p < 0.05$). No significant difference in the ventral region was observed between the control and PM_{2.5} group in the males and females (Figure 7B; Males; $p = 0.37$; Females; $p = 0.09$). Post-hoc analysis of PM_{2.5}+Running revealed that maternal running was able to increase the density of NeuroD⁺ cells compared to the PM_{2.5} group in the dorsal region (Males; $p < 0.05$, Females; $p < 0.05$) but ventral offspring.

Dendritic branching of immature neurons: DCX morphology

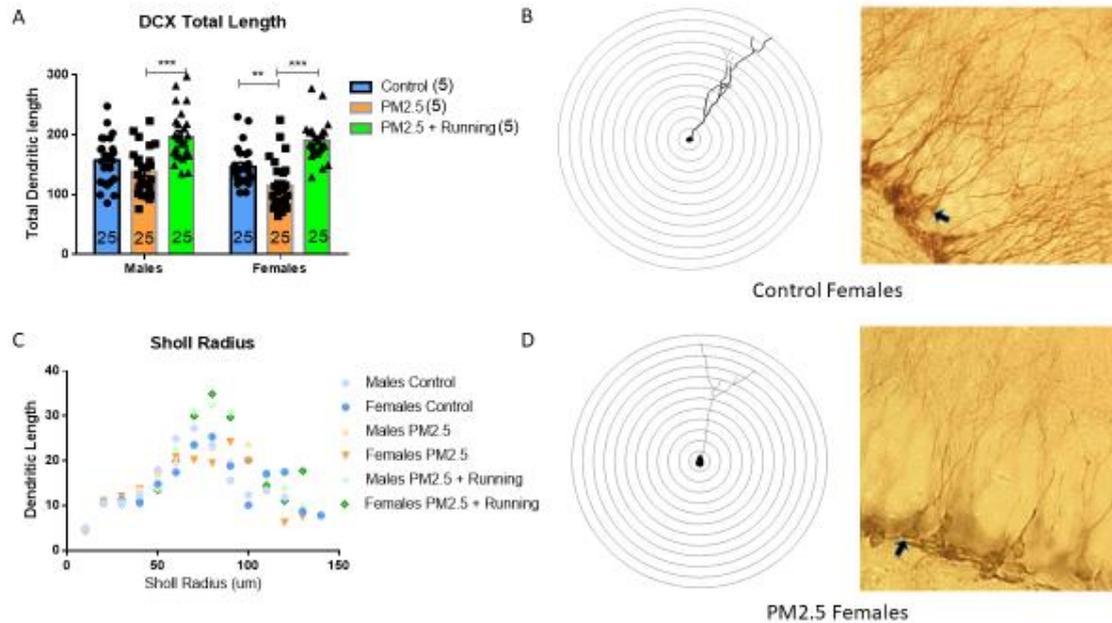


Figure 10. Morphologic analysis of immature neurons. (A) PM_{2.5} female offspring had reduced total dendritic length of doublecortin labelled immature neurons when compared to the control group. (B&D) Representative images of sholl analysis and doublecortin labeling of control and PM_{2.5} female offspring mice. (C) There was no significant difference in dendritic length at different sholl radius.

Two-way ANOVA revealed that there was a main treatment specific effect [$F(2, 143) = 38, p < 0.001$] and a sex-specific effect [$F(1, 143) = 4.9, p < 0.05$] in the total dendritic length. Males offspring revealed no significant difference (Figure 10A; $p = 0.10$), whilst the female offspring revealed a significant reduction in PM_{2.5} group (Figure 10A; $p < 0.001$). Post-hoc analysis of maternal running showed a significant increase in total dendritic length compared to the PM_{2.5} group. (Males; $p < 0.001$; Females; $p < 0.001$). No significant difference was observed in the male and female offspring group in the dendritic length in sholl analysis with radius interval of 10 μm .

1 Discussion

2 Neuronal maturation defects have also been observed in multiple regions in multiple
3 regions in ASD, indicating dysregulated adult neurogenesis, neuronal migration and/or
4 maturation (Wegiel et al., 2010). Our results showed an increase in proliferation and neuronal
5 differentiation, whilst no difference in number of immature neurons observed after the
6 exposure.

7 Similar animal studies conducted have also shown disruption in neurodevelopment,
8 including neurogenesis and/or synaptic plasticity (Wang et al., 2019). Wang et al. (2019)
9 reported a decrease in the number of EDU+ve cells and NeuN+ve cells in offspring after
10 maternal PM_{2.5} exposure. EDU is used as a marker for cell survival, whereas NeuN is a marker
11 for mature neurons. The data from Wang and his colleagues suggest that maternal exposure to
12 PM_{2.5} decreases neurogenesis, which is opposite to our result. A possible explanation is the age
13 difference of the mice used between our study at 42 days old (mainly measuring adult
14 neurogenesis) and Wang's study at 14 days (undergo active developmental stage).

15 Numerous studies have shown that hippocampal cell proliferation in ASD is affected
16 (Courchesne et al., 2011; Marchetto et al., 2017; Mariani et al., 2015). For example, in cell
17 culture analysis of reprogrammed fibroblasts displayed an increase in cell proliferation of the
18 induced pluripotent stems cells, neural progenitor cells and neurons from ASD individuals
19 (Marchetto et al., 2017). The increase in proliferation coincides with our result. It was also
20 reported that the increase in cell proliferation was linked to the dysregulation of β -
21 catenin/BRN2 transcriptional cascade. Similarly, three dimensional neural cultures derived
22 from induced pluripotent stem cells from ASD individuals revealed increase in upregulation of
23 genes involved in cell proliferation and neuronal differentiation. The increase in proliferation
24 is supported by further analysis of shorten cell-cycle length observed (Mariani et al., 2015).
25 Mariani et al. (2015) also reported an imbalance in glutamate/GABA neuro ratio, with an
26 increase in GABAergic neurons and no change in glutamatergic neurons. It was also noted that
27 there was an increase GABAergic inhibitory neurons associated with the overexpression of the
28 transcription FOXG1 (Mariani et al., 2015). This was consistent with the ASD post-mortem
29 studies which showed an increase in three types of GABA interneurons subtypes in the
30 hippocampal region (Lawrence et al., 2010). We have noted that there is an increase in neuronal
31 differentiation, however, the type of neuron requires further investigation.

32 Another study with PM_{2.5} prenatal exposure showed that genetic expression of the
33 subunit Lin28 is downregulated (Chao et al., 2017). Lin28, consisting of 2 subunits Lin28A

1 and Lin 28B, is a RNA-binding protein that is involved in the miRNA biogenesis and
2 translation associated with neuronal differentiation (Nowak et al., 2014). During the process of
3 neuronal differentiation, Lin28A inhibits the biogenesis of miR-9 by binding to pre-miR-9,
4 ultimately decreasing differentiation. Whereas Lin28B expression decreases along with the
5 downstream regulator STAU1 when neuronal differentiation increases. Taken together with
6 the result in our study, the changes of epigenetic expression Lin28 may be a cause for the
7 increase in neuronal differentiation.

8 Although no significant changes can be observed in the number of immature neurons,
9 the profile of the immature neurons revealed that there is a decrease in the total dendritic length
10 in female offspring. Additionally, the ultrastructural analysis of neurons revealed synaptic
11 membrane proteins, synaptophysin and PSD-95, were increased, as well as, increased
12 mitochondrial degeneration (Wang et al., 2019; Zhang et al., 2021; T. Zhang et al., 2018; Zheng
13 et al., 2019). The ultrastructural analysis of the neurons in other studies revealed that gestational
14 PM_{2.5} exposure leads to an increase in the synaptic cleft, postsynaptic density thickness
15 thinning, and length of synaptic active area shortening, as well as matrix swelling in
16 mitochondria, partial vagueness in mitochondrial cristae, vacuolar degeneration in
17 mitochondria in the hippocampal neurons of mice offspring (Wang et al., 2019; Zheng et al.,
18 2019). Furthermore, the serotonergic system has also been reported to be affected by maternal
19 exposure to PM_{2.5}. Zhang et al. (2021) reported increased the receptors of 5-
20 hydroxytryptamine (5-HT), namely 5-HT_{2A}, in the offspring. 5-HT_{2A} is a G-coupled protein
21 receptor for the neurotransmitter involved in the serotonergic system. The serotonergic system
22 plays a vital role in the development of learning and memory (Harvey, 2003; Jiang et al., 2020;
23 Meneses, 2017). With intervention, alleviated behavioral deficits observed were associated of
24 decreased levels of 5-HT_{2A}, as well as, improved neuronal synaptic membrane proteins and
25 mitochondrial health (Wang et al., 2019; Zhang et al., 2021). Taken together, this data set
26 suggests that neuronal connectivity is affected by maternal exposure to PM_{2.5}.

27 Our data showed that there is a significant increase in number of proliferating cells and
28 immature neuron after maternal running. This is consistent with the data conducted by other
29 studies (Kim et al., 2007; Yau et al., 2019). Despite running being able to increase in the
30 number of proliferating cells and immature neurons significantly, we observed that running
31 was not able to improve learning and memory impairment (as discussed in chapter 3). This
32 suggests the underlying cause may not the number of cells but the integration of the cells into
33 the network and differentiation of the cells into neuronal type, i.e. serotonergic and/or
34 GABAergic neurons.

1 These studies along with our data have provided strong evidence to support the theory
2 of dysregulated proliferation and neuronal maturation from neural progenitors as an underlying
3 pathology for some core behavioral deficits in ASD and neurological learning and memory
4 impairment.
5

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18

Chapter 4

1 Maternal Exposure to PM_{2.5} did not induce neuroinflammation in 2 the hippocampus of offspring

3 Introduction

4 Various disease conditions, including ASD, are caused or exacerbated by the presence
5 of inflammation. Neuroinflammation in the hippocampus regulates adult hippocampal
6 neurogenesis. In chronic models of neuroinflammation, inhibitory neurogenesis has been
7 reported. Furthermore, suppressing interleukine-6 (IL-6) in the chronic models of IL-6 reversed
8 the inhibitory neurogenesis effect caused. On the other hand, tumor necrosis factor-alpha
9 (TNF- α) has an inhibitory effect on neuronal survival and differentiation. Apart from cytokines,
10 glial cells maintain a hemostatic environment, and regulate neurogenesis. Prior studies have
11 indicated that microglial activation negatively affects neuronal function, especially in chronic
12 activated conditions. Recent studies have demonstrated that microglia plays a key role in neuro-
13 regeneration and neuroprotection (Czeh et al., 2011). Activating microglial cells into pro-
14 inflammatory (M1) phenotype results in a release of pro-inflammatory cytokines, including IL-
15 6 and TNF- α . Anti-inflammatory (M2) microglial cells have been reported to release
16 neurotrophic factors, such as, basic fibroblast growth factor (bFGF) and brain-derived
17 neurotrophic factor (BDNF), thus promoting neurogenesis. Elevated levels of IL-1 β have been
18 shown to block hippocampal long-term potentiation (LTP) via activation of c-Jun N-terminal
19 kinases (JNK) and p38 MAPK pathways (Kelly et al., 2003; Minogue et al., 2003; Vereker et
20 al., 2000). Taken together, the studies conclude that active neuroinflammation can interfere
21 with neuronal development.

22 Exposing neonatal cord blood to PM_{2.5} can reduce the number of CD3+, CD4+ and CD8+
23 cells, but increase the number of CD19+ cells, which are markers for T cells and B cells in the
24 adaptive immune system (Li et al., 2018), suggesting changes in the immune system in the
25 neonatal stage. Studies have also shown that gestational PM_{2.5} exposure significantly increases
26 inflammatory cells, i.e. peripheral blood mononuclear cells (Chen, 2017), Glial fibrillary acidic
27 protein (GFAP) and Iba-1 (Chao et al., 2017), and levels of proinflammatory cytokines IL-1b,
28 IL-6 and TNF- α (Chao et al., 2017; Sandin et al., 2014)

29 In this section, we aim to investigate if maternal exposure to PM_{2.5} induces autism-like
30 behavior in association with neuroinflammatory response in offspring. Our results show that
31 there was no observable inflammatory response in terms of no significant changes of high
32 mobility group box-1 protein (HMGB1), TNF- α and Matrix metalloproteinase 9 (MMP-9)

1 protein levels, as well as number of ionized calcium-binding adapter molecule 1 (Iba-1)
2 positive microglial cells in the hippocampus.

3 Methodology

4 Animal handling

5 All experimental procedures were approved and followed the guidelines of the Animal
6 Subjects Ethics Sub-Committee from The Hong Kong Polytechnic University. C57BL/6J mice
7 had standard chow and water ad libitum in the animal holding room in a 12-h light-dark cycle
8 (lights on at 8 a.m.). Female mice were randomly assigned to control and treatment group with
9 two female mice grouped per cage. During the mating period, the mice were placed in a 2:1
10 female to male ratio.

11

12 Treatment

13 PM_{2.5} was dissolved in artificial lung fluid (ALF) to a desired concentration of 2.5ug/ul.
14 The concentration was derived corresponding literature showing detrimental effects of PM_{2.5}
15 (T. Zhang et al., 2018). From the age of 5 weeks old, the female mice were subjected to
16 intratracheal instillation of ALF/ PM_{2.5} solution once every 3 days until parturition. At 7 weeks
17 old, the male mice were introduced for mating. Male mice were removed once impregnation
18 was confirmed. The offspring mice were weaned at 3 weeks old, sex separated at 4 weeks old.
19 Behavior test conducted at 5 weeks old and sacrificed at 6 weeks old. The offspring from the
20 dams subjected to the treatments were labelled as follows; ALF group as control and the
21 ALF/PM_{2.5} group as PM_{2.5}.

22

23 Tissue preparation

24 Offspring mice were deeply anesthetized with 10 mg/kg Ketamine and 4 mg/kg
25 Xylazine cocktail (USA). Upon anesthesia, mice were perfused with 60ml of 1x PBS.
26 Afterwards, the mice were perfused with 60 µl of 4% PFA. The brains of the mice were
27 collected and stored in 4% PFA for 48 hours. The brains were then transferred to 30% sucrose
28 solution until the brain floats. Brains containing hippocampal region were sliced and collected
29 in a 1 in 6 series, with each section thickness of 30 µm. The brain sections were stored in a
30 cyroprotectant solution, composed of 30% glycerol and 30% ethylene glycol in 1x PBS, until
31 immunostaining.

1 Mice were decapitated and the hippocampus was retrieved and immediately snap frozen
2 in liquid nitrogen. The samples were stored in -80 °C until used for ELISA.

3 4 Immunostaining

5 Immunohistochemistry analysis was conducted using free floating DAB (3, 3'-
6 diaminobenzidine) method. Antigens were exposed using citric acid heat retrieval method (pH
7 6.0; 95°C; 10 mins). After 3 10mins 1x PBS washes, the brain slices were incubated overnight
8 with the primary antibody; rabbit anti-Iba-1 (1:1000, Abcam, United Kingdom. Then, the slices
9 were incubated in the respective secondary antibody, goat anti-rabbit (1:200, Vector
10 Laboratories, CA, United States). The positive cells were visualized using the VECTASTAIN
11 ABC kit (HRP) (1:200, Vector Laboratories, CA, United States) and the DAB peroxidase
12 substrate kit (1:200, Vector Laboratories, CA, United States).

13 14 Image analysis

15 Quantification of inflammatory cells was carried out by counting Iba-1 immunopositive
16 cells were counted from 8 sections across -1.34 to -3.80 mm bregma position. The sections
17 were scanned at 200x magnification using microscope (United States). The hippocampus was
18 divided into the DG, CA1 and CA3 region respectively using ImageJ (NIH, University of
19 Wisconsin, United States) and Iba-1 cells in the regions were counted.

20 21 Enzyme-linked immunosorbent assay (ELISA)

22 After collection of fresh tissue sample, the hippocampal region was homogenized ad
23 centrifuged at 13,000 rpm. The supernatant was collected and ELISA was performed. The
24 ELISA protocols were followed as described in the commercial kits. A total of 3 commercial
25 kits including High Mobility Group Box 1 protein (HMGB1) (CUSABIO, Houston, USA),
26 TNF- α (CUSABIO, Houston, USA) and Matrix metalloproteinase 9 (MMP9) (CUSABIO,
27 Houston, USA). The supernatant was added to the ELISA plate and incubated for 2 hours,
28 followed by incubation with Biotin antibody for 1 hour and Avidin antibody for 1 hour
29 respectively. The 3,3',5,5'-Tetramethylbenzidine (TMB) substrate was added for 15 mins.
30 Finally, the stop solution was added and the plate was immediately read at 450nm and 570nm.

1 Statistical analysis

2 The analysis was carried out using Graphpad Prism software version 7.0 (Graphpad,
3 USA). For the exploration analysis, t-test was carried out between the novel exploration and
4 familiar exploration. For the other analysis, two-way ANOVA was carried out.

5

6

Results

Studies analyzing post-mortem brain of individuals with ASD revealed an increase in inflammatory biomarkers compared to healthy brains, as indicated by the increase in proinflammatory microglial cells increase and cytokines (Ashwood et al., 2011; Morgan et al., 2010). The changes in inflammatory profile in ASD have been associated with behavioral impairments (Yau et al., 2021). To investigate whether PM_{2.5} induced neuroinflammatory response in the offspring may be the cause of neurological associated behavioral changes (as discussed in Chapter 3). Our results indicate an absence of neuroinflammation in the hippocampus region of offspring.

Table 3. Mean and standard deviation data of number of Iba-1 positive cells in the DG, CA1 and CA3.

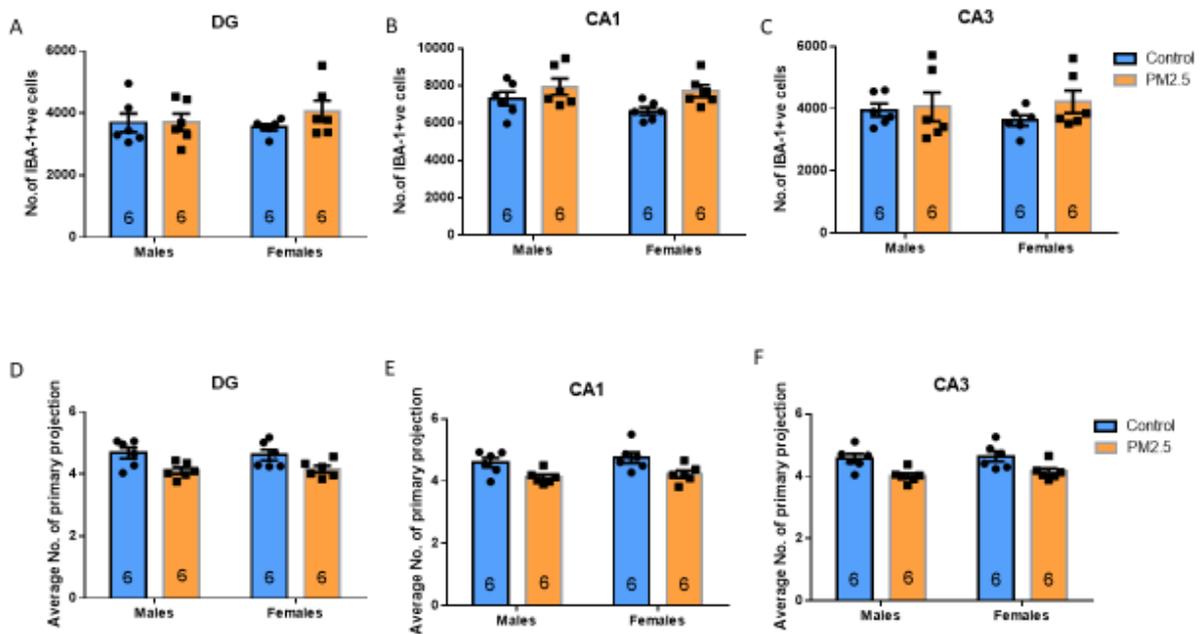
No. of cells	Mean (\pm SD)	
	Male	Female
DG		
Control	7298(\pm 905)	6640(\pm 493)
PM2.5	7942(\pm 1071)	7875(\pm 970)
CA1		
Control	3953(\pm 512)	3619(\pm 409)
PM2.5	4055(\pm 1132)	4220(\pm 887)
CA3		
Control	3691(\pm 731)	3548(\pm 250)
PM2.5	3708(\pm 668)	4068(\pm 835)

Table 4. Mean and standard deviation data of number of primary projections of Iba-1 positive cells in the DG, CA1 and CA3.

No. of primary projections	Mean (\pm SD)	
	Male	Female
DG		
Control	4.67(\pm 0.44)	4.61(\pm 0.41)
PM2.5	4.11(\pm 0.25)	4.16(\pm 0.27)
CA1		
Control	4.59(\pm 0.37)	4.75(\pm 0.42)
PM2.5	4.12(\pm 0.22)	4.22(\pm 0.28)
CA3		
Control	4.57(\pm 0.36)	4.64(\pm 0.40)
PM2.5	4.01(\pm 0.22)	4.15(\pm 0.27)

1

Number and morphology of Microglial cells in the hippocampus

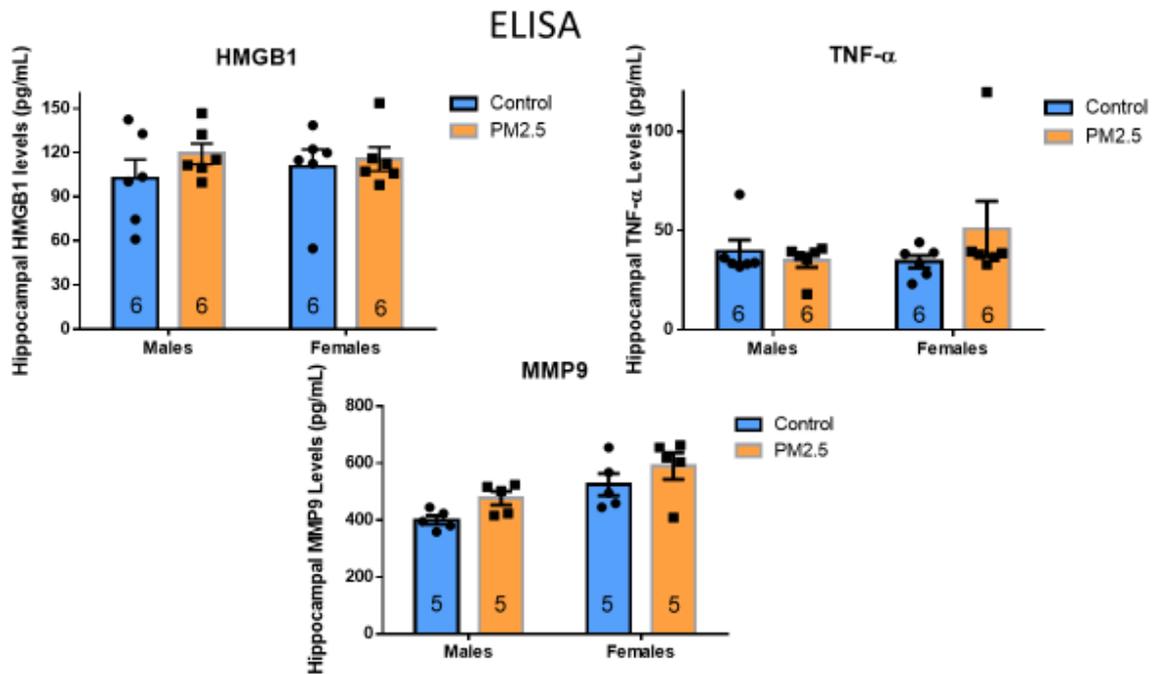


2 Figure 11. Number of Iba-1 positive cells in different regions of the hippocampus. No
 3 significant change in Iba-1 positive cells was observed after maternal PM_{2.5} instillation in the
 4 DG (A), CA1 (B) and CA3 (C). No significant changes in the number of primary projections
 5 in the DG (D), CA1 (E) and CA3 (F).

6 The analysis of Iba-1 cells revealed no significant changes in neuroinflammation in the
 7 hippocampal subregions, namely the DG (Males; $p = 0.87$, Females; $p = 0.67$), CA1 (Males; p
 8 $= 0.71$, Females; $p = 0.62$), and CA3 (Males; $p = 0.81$, Females; $p = 0.52$). There was no observed
 9 main treatment in the DG [$F(1,20) = 0.99$, $p = 0.33$], CA1 [$F(1,20) = 6.2$, $p = 0.57$] and
 10 CA3 [$F(1,20) = 1.2$, $p = 0.29$] region or gender in the DG [$F(1,20) = 0.16$, $p = 0.69$], CA1
 11 [$F(1,20) = 1.7$, $p = 0.21$] and CA3 [$F(1,20) = 0.068$, $p = 0.79$] effect.

12

1 Inflammatory cytokines in the hippocampus: HMGB1, TNF- α , NF-kb & MMP-9
2 levels



3
4 Figure 12. There was no difference in the hippocampal levels of HMGB1, TNF- α and
5 MMP-9 among groups.

6
7 There was no significant change in hippocampal levels of HMGB1 (Males; $p = 0.42$,
8 Females; $p = 0.62$), TNF- α (Males; $p = 0.78$, Females; $p = 0.51$) and MMP-9 (Males; $p = 0.31$,
9 Females; $p = 0.22$) among all groups.

10
11
12

1 Discussion

2 Numerous studies have suggested inflammation, oxidative stress and genetic mutation
3 or changes as possible causes of autism as discussed above. We examined neuroinflammation
4 in the hippocampal region by using ELISA. The results revealed no significant changes in
5 neuroinflammation as indicated by no significant increases in activated microglial cells or pro-
6 inflammatory cytokines, namely HMGB1, TNF- α and MMP-9.

7 Contradictory to our results, other gestation PM_{2.5} studies have revealed an increase in
8 inflammation in the hippocampal region. Elevated pro-inflammatory cytokine profile have
9 been reported, including NF- κ B and TNF- α , HMGB1, IL-6 and IL-1b (K. Li et al., 2018; Wang
10 et al., 2019; T. Zhang et al., 2018; Zheng et al., 2019). In the study by (T. Zhang et al., 2018),
11 they examined the effect of three dosages of PM_{2.5}, including low dosage at 0.2592 mg/kg,
12 medium dosage at 1.728 mg/kg and high dosage groups at 3.456 mg/kg. They only observed
13 an increase in inflammation in the high dosage group. The dosage used in our study is
14 comparatively lower than the largest dosage than they have examined. This may be a possible
15 reason for the difference observed.

16 Even though we did not observe any inflammation in the hippocampal region, our data
17 have indicated increased in some core ASD behaviors induced by maternal exposure to PM_{2.5}.
18 A comprehensive study (Kemper & Bauman, 1998) also revealed no observable inflammation
19 in the hippocampus, but abnormally small and densely distributed neurons with reduced
20 complexity and length of dendritic arbors in the hippocampal region. Similarly, another post-
21 mortem brain study also reported no signs of inflammation in the hippocampus region (Vargas
22 et al., 2005). This puts forward the conjecture that hippocampal inflammation may not be the
23 underlying mechanism of induced ASD by gestational exposure to PM_{2.5}, but rather changes
24 in neuronal maturation and differentiation may contribute to the behavioral deficits (as
25 discussed in chapter 4).

26 Although Vargas et al. (2005) reported an increase in neuroinflammation in the
27 cerebellum, cortical regions and white matter of autistic patients, there was no difference in the
28 hippocampal region. To add, post-mortem studies of ASD individuals reveal inflammation to
29 be a systemic issue rather a localized one (Onore et al., 2012). With the limitation of our study
30 to investigate inflammation in one brain region, it is inconclusive that neuroinflammation plays
31 a crucial role in autistic-like behavior induced by PM_{2.5}.

32 Although we have shown that the offspring do not have increased levels of HMGB1, it has
33 been reported that maternal intervention with glycyrrhizin (GL), an inhibitor of HMGB1, was

1 able to ameliorate the behavior deficits observed by PM_{2.5} exposure (Zhang et al., 2021). The
2 data presented suggests that the HMGB1-NLRP3 pathway in the maternal system plays a vital
3 role in the induced cognitive impairment in the offspring. Moreover, this data set also supports
4 the possible mechanistic pathway of development of ASD being the maternal inflammatory
5 profile. However, this data should be taken with caution as the offspring profile also indicated
6 presence of neuron inflammation.

7 As previously mentioned, PM_{2.5} could induce neuroinflammatory and it could induce ASD
8 through two possible pathways through direct or indirect pathway. As PM_{2.5} are relatively small
9 in size, it can enter the systemic circulation through inhalation and reach various parts of the
10 body. Thus, for its direct action, PM_{2.5} or its constituents can reach the placenta and certain
11 compounds may pass through. This is supported by the presence of PM_{2.5} carbon particles in
12 the placenta (T. Zhang et al., 2018). As PM_{2.5} or its constituent may pass through the placenta
13 and induce systemic and/or central inflammatory response. This response may be responsible
14 for the pathological condition in ASD. It has been reported that PM_{2.5} reduces cognitive
15 learning abilities with associated increase in lead, manganese and aluminum content of the
16 hippocampus (Q. Li et al., 2018). Increased levels of the metals has been associated with
17 neurotoxicity. For example, increased exposure to Pb during prenatal studies have reported to
18 lead to intellectual deficits in animal studies (Goyer, 1996; Needleman et al., 1984). As the
19 constituents of PM_{2.5} can pass through the placenta, it may be able to act in the
20 neurodevelopment.

21 On the other hand, indirect action involves the exposure to PM_{2.5} inducing an inflammatory
22 response in the mother. The study by Hogan et al. (2015) reported that maternal inflammation
23 during the first trimester has been associated with an increased risk of ASD. The inflammatory
24 response causes an increase in the production of proinflammatory cytokines, which can cross
25 the placenta to the embryo or induce a *de novo* inflammatory response in offspring. This is
26 supported by the presence of maternally derived IL-6 protein in the placenta. Maternal
27 inflammation activation (MIA) by lipopolysaccharide (LPS) or synthetic double-stranded RNA
28 poly(I:C) injection has also been associated with behavioral abnormalities and neuropathology.
29 The behavioral abnormalities include social communication, a common feature observed in
30 ASD. Current hypothesis of MIA induced ASD releases pro-inflammatory cytokines,
31 especially IL-6, which activates the Janus kinase (JAK) and activator of transcription 3
32 (STAT3) pathway (Patterson, 2011). JAK/STAT3 pathway is involved in neuronal
33 proliferation, survival and differentiation (Yadav et al., 2005). This hypothesis also explains
34 the increase in the data of neurogenesis (Chapter 3) we observed.

1 Conclusion

2 Although we observed no significant changes in neuroinflammation in the hippocampal
3 region in regards to Iba-1 cells and inflammatory cytokines, maternal exposure to PM_{2.5}
4 induced autistic-like behavior (including impairment in learning and memory, social novelty
5 avoidance, as well as, increase in repetitive behavior) in association with abnormality in adult
6 neurogenesis and neuronal maturation of newborn neurons with gender-specific effects in
7 offspring. Despite no significant changes observed in the number of immature neurons in both
8 female and male offspring, dendritic analysis of the immature neurons revealed a significant
9 reduction in total dendritic length in female offspring, suggesting a linkage between change in
10 neuronal maturation and differentiation and behavioral deficits observed in offspring. Our
11 results are consistent with other PM_{2.5} exposure models, showing that maternal PM_{2.5} exposure
12 induces core ASD-like behavior in offspring associated with alteration in adult hippocampal
13 neurogenesis.

14 Maternal running was able to ameliorate the core ASD-behavior changes observed but
15 not the impairment in learning and memory. Maternal running also significantly increased cell
16 proliferation and neuronal differentiation in both male and female offspring but the number of
17 immature neurons in the male mice only. In spite of the fact that both maternal running and
18 PM_{2.5} exposure increased cell proliferation and neuronal differentiation, the effects of two vary.
19 According to studies conducted, as well as our study, maternal running has been shown to
20 improve learning and memory associated with increased neurogenesis. On the other hand,
21 maternal exposure to PM_{2.5} increased neurogenesis but impaired learning and memory. We
22 hypothesize it may due to difference in maturation and integration into neural circuitry as
23 indicated by the reduction in total dendritic length of immature neurons in offspring with
24 maternal PM_{2.5} exposure. Other mechanisms including changes in oxidative stress, gene
25 expression and gut microbiota profile could also play a role in underlying behavioral deficits
26 as we observed in our model. Further investigation is required for validating the hypothesis.

27

References

1. Ahadullah, Yau, S. Y., Lu, H. X., Lee, T. M., Guo, H., & Chan, C. C. (2021). PM2. 5 as a potential risk factor for autism spectrum disorder: its possible link to neuroinflammation, oxidative stress and changes in gene expression. *Neuroscience & Biobehavioral Reviews*, 128, 534-548.
2. Akbarian, S., Rios, M., Liu, R.-J., Gold, S. J., Fong, H.-F., Zeiler, S., Coppola, V., Tessarollo, L., Jones, K. R., & Nestler, E. J. (2002). Brain-derived neurotrophic factor is essential for opiate-induced plasticity of noradrenergic neurons. *Journal of Neuroscience*, 22(10), 4153-4162.
3. Akhavan, M., Emami-Abarghoie, M., Safari, M., Sadighi-Moghaddam, B., Vafaei, A., Bandegi, A., & Rashidy-Pour, A. (2008). Serotonergic and noradrenergic lesions suppress the enhancing effect of maternal exercise during pregnancy on learning and memory in rat pups. *Neuroscience*, 151(4), 1173-1183.
4. Aksu, I., Baykara, B., Ozbal, S., Cetin, F., Sisman, A. R., Dayi, A., Gencoglu, C., Tas, A., Büyük, E., & Gonenc-Arda, S. (2012). Maternal treadmill exercise during pregnancy decreases anxiety and increases prefrontal cortex VEGF and BDNF levels of rat pups in early and late periods of life. *Neuroscience letters*, 516(2), 221-225.
5. Al-Ayadhi, L. (2005). Pro-inflammatory cytokines in autistic children in central Saudi Arabia. *Neurosciences (Riyadh, Saudi Arabia)*, 10(2), 155-158.
6. Angoa-Pérez, M., Kane, M. J., Briggs, D. I., Francescutti, D. M., & Kuhn, D. M. (2013). Marble burying and nestlet shredding as tests of repetitive, compulsive-like behaviors in mice. *JoVE (Journal of Visualized Experiments)*(82), e50978.
7. Ashwood, P., Krakowiak, P., Hertz-Picciotto, I., Hansen, R., Pessah, I., & Van de Water, J. (2011). Elevated plasma cytokines in autism spectrum disorders provide evidence of immune dysfunction and are associated with impaired behavioral outcome. *Brain, behavior, and immunity*, 25(1), 40-45.
8. Association, A. P. (2013). *Diagnostic and statistical manual of mental disorders (DSM-5®)*. American Psychiatric Pub.
9. Atladóttir, H. Ó., Thorsen, P., Østergaard, L., Schendel, D. E., Lemcke, S., Abdallah, M., & Parner, E. T. (2010). Maternal infection requiring hospitalization during pregnancy and autism spectrum disorders. *Journal of autism and developmental disorders*, 40(12), 1423-1430.
10. Aylward, E. H., Minshew, N., Goldstein, G., Honeycutt, N., Augustine, A., Yates, K., Barta, P. E., & Pearlson, G. (1999). MRI volumes of amygdala and hippocampus in non-mentally retarded autistic adolescents and adults. *Neurology*, 53(9), 2145-2145.
11. Aylward, E. H., Minshew, N. J., Field, K., Sparks, B., & Singh, N. (2002). Effects of age on brain volume and head circumference in autism. *Neurology*, 59(2), 175-183.
12. Babadjouni, R., Patel, A., Liu, Q., Shkirkova, K., Lamorie-Foote, K., Connor, M., Hodis, D. M., Cheng, H., Sioutas, C., & Morgan, T. E. (2018). Nanoparticulate matter exposure results in neuroinflammatory changes in the corpus callosum. *PloS one*, 13(11).
13. Bailey, A., Luthert, P., Bolton, P., Le Couteur, A., Rutter, M., & Harding, B. (1993). Autism and megalencephaly. *The Lancet*, 341(8854), 1225-1226.
14. Bailey, A., Luthert, P., Dean, A., Harding, B., Janota, I., Montgomery, M., Rutter, M., & Lantos, P. (1998). A clinicopathological study of autism. *Brain: a journal of neurology*, 121(5), 889-905.
15. Bakker, C. E., Verheij, C., Willemsen, R., van der Helm, R., Oerlemans, F., Vermeij, M., Bygrave, A., Hoogeveen, A., Oostra, B. A., & Reyniers, E. (1994). Fmr1 knockout mice: a model to study fragile X mental retardation. *Cell*, 78(1), 23-33.

- 1 16. Bakulski, K. (2019). Environmental Epigenetics In Autism Spectrum Disorder.
2 *European Neuropsychopharmacology*, 29, S747-S748.
- 3 17. Basheer, S., Venkataswamy, M. M., Christopher, R., Van Amelsvoort, T., Srinath, S.,
4 Girimaji, S. C., & Ravi, V. (2018). Immune aberrations in children with autism
5 spectrum disorder: a case-control study from a tertiary care neuropsychiatric hospital
6 in India. *Psychoneuroendocrinology*, 94, 162-167.
- 7 18. Bauman, M., & Kemper, T. L. (1985). Histoanatomic observations of the brain in early
8 infantile autism. *Neurology*, 35(6), 866-866.
- 9 19. Becerra, T. A., Wilhelm, M., Olsen, J., Cockburn, M., & Ritz, B. (2013). Ambient air
10 pollution and autism in Los Angeles county, California. *Environ Health Perspect*,
11 121(3), 380-386. <https://doi.org/10.1289/ehp.1205827>
- 12 20. Bilbo, S. D., Block, C. L., Bolton, J. L., Hanamsagar, R., & Tran, P. K. (2018). Beyond
13 infection-Maternal immune activation by environmental factors, microglial
14 development, and relevance for autism spectrum disorders. *Experimental neurology*,
15 299, 241-251.
- 16 21. Blaize, A. N., Pearson, K. J., & Newcomer, S. (2015). Impact of maternal exercise
17 during pregnancy on offspring chronic disease susceptibility. *Exercise and sport
18 sciences reviews*, 43(4), 198.
- 19 22. Blatt, G. J., Fitzgerald, C. M., Guptill, J. T., Booker, A. B., Kemper, T. L., & Bauman,
20 M. L. (2001). Density and distribution of hippocampal neurotransmitter receptors in
21 autism: an autoradiographic study. *Journal of autism and developmental disorders*,
22 31(6), 537-543.
- 23 23. Block, M., & Hong, J.-S. (2007). Chronic microglial activation and progressive
24 dopaminergic neurotoxicity. In: Portland Press Ltd.
- 25 24. Boulanger-Bertolus, J., Pancaro, C., & Mashour, G. A. (2018). Increasing role of
26 maternal immune activation in neurodevelopmental disorders. *Frontiers in behavioral
27 neuroscience*, 12, 230.
- 28 25. Bové, H., Bongaerts, E., Slenders, E., Bijmens, E. M., Saenen, N. D., Gyselaers, W.,
29 Van Eyken, P., Plusquin, M., Roeffaers, M. B., & Ameloot, M. (2019). Ambient black
30 carbon particles reach the fetal side of human placenta. *Nature communications*, 10(1),
31 1-7.
- 32 26. Brambilla, P., Hardan, A., Di Nemi, S. U., Perez, J., Soares, J. C., & Barale, F. (2003).
33 Brain anatomy and development in autism: review of structural MRI studies. *Brain
34 research bulletin*, 61(6), 557-569.
- 35 27. Chang, Y. C., Cole, T. B., & Costa, L. G. (2017). Behavioral phenotyping for autism
36 spectrum disorders in mice. *Current protocols in toxicology*, 72(1), 11.22. 11-11.22.
37 21.
- 38 28. Chao, M. V., Rajagopal, R., & Lee, F. S. (2006). Neurotrophin signalling in health and
39 disease. *Clinical science*, 110(2), 167-173.
- 40 29. Chao, M. W., Yang, C. H., Lin, P. T., Yang, Y. H., Chuang, Y. C., Chung, M. C., &
41 Tseng, C. Y. (2017). Exposure to PM2.5 causes genetic changes in fetal rat cerebral
42 cortex and hippocampus. *Environ Toxicol*, 32(4), 1412-1425.
43 <https://doi.org/10.1002/tox.22335>
- 44 30. Chen, G., Jin, Z., Li, S., Jin, X., Tong, S., Liu, S., Yang, Y. H., Huang, H., & Guo, Y.
45 (2018). Early life exposure to particulate matter air pollution (PM1, PM2.5 and PM10)
46 and autism in Shanghai, China: A case-control study. *Environment international*, 121,
47 1121-1127.
- 48 31. Chen, J. C., Wang, X., Serre, M., Cen, S., Franklin, M., & Espeland, M. (2017).
49 Particulate Air Pollutants, Brain Structure, and Neurocognitive Disorders in Older
50 Women. *Res Rep Health Eff Inst*(193), 1-65.

- 1 32. Chen, M., Liang, S., Zhou, H., Xu, Y., Qin, X., Hu, Z., ... & Ying, Z. (2017). Prenatal
2 and postnatal mothering by diesel exhaust PM 2.5-exposed dams differentially program
3 mouse energy metabolism. *Particle and fibre toxicology*, *14*(1), 3.
- 4 33. Chez, M. G., Dowling, T., Patel, P. B., Khanna, P., & Kominsky, M. (2007). Elevation
5 of tumor necrosis factor-alpha in cerebrospinal fluid of autistic children. *Pediatric*
6 *neurology*, *36*(6), 361-365.
- 7 34. Chun, H., Leung, C., Wen, S. W., McDonald, J., & Shin, H. H. (2020). Maternal
8 exposure to air pollution and risk of autism in children: A systematic review and meta-
9 analysis. *Environmental Pollution*, *256*, 113307.
- 10 35. Church, J. S., Tijerina, P. B., Emerson, F. J., Coburn, M. A., Blum, J. L., Zelikoff, J.
11 T., & Schwartz, J. J. (2018). Perinatal exposure to concentrated ambient particulates
12 results in autism-like behavioral deficits in adult mice. *Neurotoxicology*, *65*, 231-240.
- 13 36. Courchesne, E., Campbell, K., & Solso, S. (2011). Brain growth across the life span in
14 autism: age-specific changes in anatomical pathology. *Brain research*, *1380*, 138-145.
15 <https://doi.org/10.1016/j.brainres.2010.09.101>
- 16 37. Cui, J., Fu, Y., Lu, R., Bi, Y., Zhang, L., Zhang, C., Aschner, M., Li, X., & Chen, R.
17 (2019). Metabolomics analysis explores the rescue to neurobehavioral disorder induced
18 by maternal PM2. 5 exposure in mice. *Ecotoxicology and environmental safety*, *169*,
19 687-695.
- 20 38. Czeh, M., Gressens, P., & Kaindl, A. M. (2011). The yin and yang of microglia.
21 *Developmental neuroscience*, *33*(3-4), 199-209.
- 22 39. Davis III, T. E., White, S. W., & Ollendick, T. H. (2014). *Handbook of autism and*
23 *anxiety*. Springer.
- 24 40. Dayi, A., Agilkaya, S., Ozbal, S., Cetin, F., Aksu, I., Gencoglu, C., Cingoz, S., Pekcetin,
25 C., Tugyan, K., & Kayatekin, B. M. (2012). Maternal aerobic exercise during
26 pregnancy can increase spatial learning by affecting leptin expression on offspring's
27 early and late period in life depending on gender. *The Scientific World Journal*, *2012*.
- 28 41. Elsabbagh, M., Divan, G., Koh, Y. J., Kim, Y. S., Kauchali, S., Marcín, C., Montiel-
29 Nava, C., Patel, V., Paula, C. S., & Wang, C. (2012). Global prevalence of autism and
30 other pervasive developmental disorders. *Autism research*, *5*(3), 160-179.
- 31 42. Emam, B., Shahsavani, A., Khodaghali, F., Zarandi, S. M., Hopke, P. K., Hadei, M.,
32 Behbahani, H., & Yarahmadi, M. (2020). Effects of PM 2.5 and gases exposure during
33 prenatal and early-life on autism-like phenotypes in male rat offspring. *Particle and*
34 *fibre toxicology*, *17*(1), 1-16.
- 35 43. Emanuele, E., Orsi, P., Boso, M., Broglia, D., Brondino, N., Barale, F., di Nemi, S. U.,
36 & Politi, P. (2010). Low-grade endotoxemia in patients with severe autism.
37 *Neuroscience letters*, *471*(3), 162-165.
- 38 44. Faras, H., Al Ateeqi, N., & Tidmarsh, L. (2010). Autism spectrum disorders. *Annals of*
39 *Saudi medicine*, *30*(4), 295-300.
- 40 45. Fenoglio, K. A., Chen, Y., & Baram, T. Z. (2006). Neuroplasticity of the hypothalamic-
41 pituitary-adrenal axis early in life requires recurrent recruitment of stress-regulating
42 brain regions. *Journal of Neuroscience*, *26*(9), 2434-2442.
- 43 46. Folstein, S., & Rutter, M. (1977). Genetic influences and infantile autism. *Nature*,
44 *265*(5596), 726-728.
- 45 47. Fortoul, T., Rodriguez-Lara, V., Gonzalez-Villalva, A., Rojas-Lemus, M., Colin-
46 Barenque, L., Bizarro-Nevarés, P., García-Peláez, I., Ustarroz-Cano, M., López-
47 Zepeda, S., & Cervantes-Yépez, S. (2015). Health effects of metals in particulate
48 matter. In *Current Air Quality Issues*. InTech.
- 49 48. Giulian, D., & Baker, T. J. (1986). Characterization of ameboid microglia isolated from
50 developing mammalian brain. *Journal of Neuroscience*, *6*(8), 2163-2178.

- 1 49. Glynn, M. W., Elmer, B. M., Garay, P. A., Liu, X.-B., Needleman, L. A., El-Sabeawy,
2 F., & McAllister, A. K. (2011). MHCI negatively regulates synapse density during the
3 establishment of cortical connections. *Nature neuroscience*, *14*(4), 442.
- 4 50. Gormanns, P., Mueller, N. S., Ditzen, C., Wolf, S., Holsboer, F., & Turck, C. W. (2011).
5 Phenome-transcriptome correlation unravels anxiety and depression related pathways.
6 *Journal of psychiatric research*, *45*(7), 973-979.
- 7 51. Goyer, R. A. (1996). Results of lead research: prenatal exposure and neurological
8 consequences. *Environmental Health Perspectives*, *104*(10), 1050-1054.
- 9 52. Greco, C. M., Navarro, C. S., Hunsaker, M. R., Maezawa, I., Shuler, J. F., Tassone, F.,
10 Delany, M., Au, J. W., Berman, R. F., & Jin, L.-W. (2011). Neuropathologic features
11 in the hippocampus and cerebellum of three older men with fragile X syndrome.
12 *Molecular autism*, *2*(1), 1-13.
- 13 53. Guo, W., Allan, A. M., Zong, R., Zhang, L., Johnson, E. B., Schaller, E. G., Murthy, A.
14 C., Goggin, S. L., Eisch, A. J., & Oostra, B. A. (2011). Ablation of Fmrp in adult neural
15 stem cells disrupts hippocampus-dependent learning. *Nature medicine*, *17*(5), 559-565.
- 16 54. Guo, W., Murthy, A. C., Zhang, L., Johnson, E. B., Schaller, E. G., Allan, A. M., &
17 Zhao, X. (2012). Inhibition of GSK3 β improves hippocampus-dependent learning and
18 rescues neurogenesis in a mouse model of fragile X syndrome. *Human molecular*
19 *genetics*, *21*(3), 681-691.
- 20 55. Guo, Y. P., & Commons, K. G. (2017). Serotonin neuron abnormalities in the BTBR
21 mouse model of autism. *Autism Research*, *10*(1), 66-77.
- 22 56. Gupta, S., Aggarwal, S., Rathanravan, B., & Lee, T. (1998). Th1-and Th2-like
23 cytokines in CD4+ and CD8+ T cells in autism. *Journal of neuroimmunology*, *85*(1),
24 106-109.
- 25 57. Hanisch, U. K. (2002). Microglia as a source and target of cytokines. *Glia*, *40*(2), 140-
26 155.
- 27 58. Happé, F., & Ronald, A. (2008). The 'fractionable autism triad': a review of evidence
28 from behavioural, genetic, cognitive and neural research. *Neuropsychology review*,
29 *18*(4), 287-304.
- 30 59. Harvey, J. A. (2003). Role of the serotonin 5-HT_{2A} receptor in learning. *Learning &*
31 *Memory*, *10*(5), 355-362.
- 32 60. Hertz-Picciotto, I., Herr, C. E., Yap, P. S., Dostal, M., Shumway, R. H., Ashwood, P.,
33 Lipsett, M., Joad, J. P., Pinkerton, K. E., & Sram, R. J. (2005). Air pollution and
34 lymphocyte phenotype proportions in cord blood. *Environ Health Perspect*, *113*(10),
35 1391-1398. <https://doi.org/10.1289/ehp.7610>
- 36 61. Hogan, M. K., Kovalycsik, T., Sun, Q., Rajagopalan, S., & Nelson, R. J. (2015).
37 Combined effects of exposure to dim light at night and fine particulate matter on
38 C3H/HeNHsd mice. *Behav Brain Res*, *294*, 81-88.
39 <https://doi.org/10.1016/j.bbr.2015.07.033>
- 40 62. Hsiao, E. Y., McBride, S. W., Chow, J., Mazmanian, S. K., & Patterson, P. H. (2012).
41 Modeling an autism risk factor in mice leads to permanent immune dysregulation.
42 *Proceedings of the National Academy of Sciences*, *109*(31), 12776-12781.
- 43 63. Hu, C. C., Xu, X., Xiong, G. L., Xu, Q., Zhou, B. R., Li, C. Y., Qin, Q., Liu, C. X., Li,
44 H. P., & Sun, Y. J. (2018). Alterations in plasma cytokine levels in chinese children
45 with autism spectrum disorder. *Autism Research*, *11*(7), 989-999.
- 46 64. Jiang, L., Wang, L., Yin, Y., Huo, M., Liu, C., Zhou, Q., Yu, D., Xu, L., & Mao, R.
47 (2020). Spaced training enhances contextual fear memory via activating hippocampal
48 5-HT_{2A} receptors. *Frontiers in Molecular Neuroscience*, *12*, 317.

- 1 65. Kaidanovich-Beilin, O., Lipina, T., Vukobradovic, I., Roder, J., & Woodgett, J. R.
2 (2011). Assessment of social interaction behaviors. *JoVE (Journal of Visualized*
3 *Experiments)*(48), e2473.
- 4 66. Kelly, A., Vereker, E., Nolan, Y., Brady, M., Barry, C., Loscher, C. E., Mills, K. H., &
5 Lynch, M. A. (2003). Activation of p38 plays a pivotal role in the inhibitory effect of
6 lipopolysaccharide and interleukin-1 β on long term potentiation in rat dentate gyrus.
7 *Journal of Biological Chemistry*, 278(21), 19453-19462.
- 8 67. Kemper, T. L., & Bauman, M. (1998). Neuropathology of infantile autism. *Journal of*
9 *neuropathology and experimental neurology*, 57(7), 645-652.
- 10 68. Kerns, C. M., & Kendall, P. C. (2012). The presentation and classification of anxiety in
11 autism spectrum disorder. *Clinical Psychology: Science and Practice*, 19(4), 323.
- 12 69. Kim, H., Lee, S.-H., Kim, S.-S., Yoo, J.-H., & Kim, C.-J. (2007). The influence of
13 maternal treadmill running during pregnancy on short-term memory and hippocampal
14 cell survival in rat pups. *International journal of developmental neuroscience*, 25(4),
15 243-249.
- 16 70. Lang, U. E., Hellweg, R., Kalus, P., Bajbouj, M., Lenzen, K. P., Sander, T., Kunz, D.,
17 & Gallinat, J. (2005). Association of a functional BDNF polymorphism and anxiety-
18 related personality traits. *Psychopharmacology*, 180(1), 95-99.
- 19 71. Lawrence, Y., Kemper, T., Bauman, M., & Blatt, G. (2010). Parvalbumin-, calbindin-,
20 and calretinin-immunoreactive hippocampal interneuron density in autism. *Acta*
21 *Neurologica Scandinavica*, 121(2), 99-108.
- 22 72. Lee, H.-H., Kim, H., Lee, J.-W., Kim, Y.-S., Yang, H.-Y., Chang, H.-K., Lee, T.-H.,
23 Shin, M.-C., Lee, M.-H., & Shin, M.-S. (2006). Maternal swimming during pregnancy
24 enhances short-term memory and neurogenesis in the hippocampus of rat pups. *Brain*
25 *and Development*, 28(3), 147-154.
- 26 73. Lee, S.-H., Chen, Y.-H., Chien, C.-C., Yan, Y.-H., Chen, H.-C., Chuang, H.-C., Hsieh,
27 H.-I., Cho, K.-H., Kuo, L.-W., & Chou, C. C.-K. (2021). Three month inhalation
28 exposure to low-level PM_{2.5} induced brain toxicity in an Alzheimer's disease mouse
29 model. *PloS one*, 16(8), e0254587.
- 30 74. Leger, M., Quiedeville, A., Bouet, V., Haelewyn, B., Boulouard, M., Schumann-Bard,
31 P., & Freret, T. (2013). Object recognition test in mice. *Nature protocols*, 8(12), 2531-
32 2537.
- 33 75. Li, K., Li, L., Cui, B., Gai, Z., Li, Q., Wang, S., Yan, J., Lin, B., Tian, L., Liu, H., Liu,
34 X., & Xi, Z. (2018). Early Postnatal Exposure to Airborne Fine Particulate Matter
35 Induces Autism-like Phenotypes in Male Rats. *Toxicol Sci*, 162(1), 189-199.
36 <https://doi.org/10.1093/toxsci/kfx240>
- 37 76. Li, Q., Zheng, J., Xu, S., Zhang, J., Cao, Y., Qin, Z., Liu, X., & Jiang, C. (2018). The
38 neurotoxicity induced by PM_{2.5} might be strongly related to changes of the
39 hippocampal tissue structure and neurotransmitter levels. *Toxicology research*, 7(6),
40 1144-1152.
- 41 77. Li, X., Chauhan, A., Sheikh, A. M., Patil, S., Chauhan, V., Li, X.-M., Ji, L., Brown, T.,
42 & Malik, M. (2009). Elevated immune response in the brain of autistic patients. *Journal*
43 *of neuroimmunology*, 207(1-2), 111-116.
- 44 78. Lintas, C., & Persico, A. M. (2009). Autistic phenotypes and genetic testing: state-of-
45 the-art for the clinical geneticist. *Journal of medical genetics*, 46(1), 1-8.
- 46 79. Liu, Y., Wang, L., Wang, F., & Li, C. (2016). Effect of Fine Particulate Matter (PM_{2.5})
47 on Rat Placenta Pathology and Perinatal Outcomes. *Med Sci Monit*, 22, 3274-3280.
- 48 80. Lovett, C., Cacciottolo, M., Shirmohammadi, F., Haghani, A., Morgan, T. E., Sioutas,
49 C., & Finch, C. E. (2018). Diurnal variation in the proinflammatory activity of urban
50 fine particulate matter (PM 2.5) by in vitro assays. *F1000Research*, 7.

- 1 81. Lueptow, L. M. (2017). Novel object recognition test for the investigation of learning
2 and memory in mice. *JoVE (Journal of Visualized Experiments)*(126), e55718.
- 3 82. Lull, M. E., & Block, M. L. (2010). Microglial activation and chronic
4 neurodegeneration. *Neurotherapeutics*, 7(4), 354-365.
- 5 83. Malkova, N. V., Collin, Z. Y., Hsiao, E. Y., Moore, M. J., & Patterson, P. H. (2012).
6 Maternal immune activation yields offspring displaying mouse versions of the three
7 core symptoms of autism. *Brain, behavior, and immunity*, 26(4), 607-616.
- 8 84. Marchetto, M. C., Belinson, H., Tian, Y., Freitas, B. C., Fu, C., Vadodaria, K., Beltrao-
9 Braga, P., Trujillo, C. A., Mendes, A. P., & Padmanabhan, K. (2017). Altered
10 proliferation and networks in neural cells derived from idiopathic autistic individuals.
11 *Molecular psychiatry*, 22(6), 820-835.
- 12 85. Mariani, J., Coppola, G., Zhang, P., Abyzov, A., Provini, L., Tomasini, L., Amenduni,
13 M., Szekely, A., Palejev, D., & Wilson, M. (2015). FOXG1-dependent dysregulation
14 of GABA/glutamate neuron differentiation in autism spectrum disorders. *Cell*, 162(2),
15 375-390.
- 16 86. Marín-Teva, J. L., Cuadros, M. A., Martín-Oliva, D., & Navascués, J. (2011). Microglia
17 and neuronal cell death. *Neuron glia biology*, 7(1), 25-40.
- 18 87. Matsunaga, W., Shirokawa, T., & Isobe, K. (2004). BDNF is necessary for maintenance
19 of noradrenergic innervations in the aged rat brain. *Neurobiology of aging*, 25(3), 341-
20 348.
- 21 88. McNaughton, C. H., Moon, J., Strawderman, M. S., Maclean, K. N., Evans, J., &
22 Strupp, B. J. (2008). Evidence for social anxiety and impaired social cognition in a
23 mouse model of fragile X syndrome. *Behavioral neuroscience*, 122(2), 293.
- 24 89. Meneses, A. (2017). Neural activity, memory, and dementias: serotonergic markers.
25 *Behavioural Pharmacology*, 28(2), 132-141.
- 26 90. Minogue, A. M., Schmid, A. W., Fogarty, M. P., Moore, A. C., Campbell, V. A.,
27 Herron, C. E., & Lynch, M. A. (2003). Activation of the c-Jun N-terminal kinase
28 signaling cascade mediates the effect of amyloid- β on long term potentiation and cell
29 death in hippocampus: a role for interleukin-1 β ? *Journal of Biological Chemistry*,
30 278(30), 27971-27980.
- 31 91. Minshew, N. J., & Goldstein, G. (2001). The pattern of intact and impaired memory
32 functions in autism. *The Journal of Child Psychology and Psychiatry and Allied*
33 *Disciplines*, 42(8), 1095-1101.
- 34 92. Molloy, C. A., Morrow, A. L., Meinzen-Derr, J., Schleifer, K., Dienger, K., Manning-
35 Courtney, P., Altaye, M., & Wills-Karp, M. (2006). Elevated cytokine levels in children
36 with autism spectrum disorder. *Journal of neuroimmunology*, 172(1-2), 198-205.
- 37 93. Morgan, J. T., Chana, G., Pardo, C. A., Achim, C., Semendeferi, K., Buckwalter, J.,
38 Courchesne, E., & Everall, I. P. (2010). Microglial activation and increased microglial
39 density observed in the dorsolateral prefrontal cortex in autism. *Biol Psychiatry*, 68(4),
40 368-376. <https://doi.org/10.1016/j.biopsych.2010.05.024>
- 41 94. Needleman, H. L., Rabinowitz, M., Leviton, A., Linn, S., & Schoenbaum, S. (1984).
42 The relationship between prenatal exposure to lead and congenital anomalies. *Jama*,
43 251(22), 2956-2959.
- 44 95. Nickl-Jockschat, T., Habel, U., Maria Michel, T., Manning, J., Laird, A. R., Fox, P. T.,
45 Schneider, F., & Eickhoff, S. B. (2012). Brain structure anomalies in autism spectrum
46 disorder—a meta-analysis of VBM studies using anatomic likelihood estimation.
47 *Human brain mapping*, 33(6), 1470-1489.
- 48 96. Nicolson, R., DeVito, T. J., Vidal, C. N., Sui, Y., Hayashi, K. M., Drost, D. J.,
49 Williamson, P. C., Rajakumar, N., Toga, A. W., & Thompson, P. M. (2006). Detection

- 1 and mapping of hippocampal abnormalities in autism. *Psychiatry Research: Neuroimaging*, 148(1), 11-21.
- 2
- 3 97. Nowak, J. S., Choudhury, N. R., de Lima Alves, F., Rappsilber, J., & Michlewski, G.
- 4 (2014). Lin28a regulates neuronal differentiation and controls miR-9 production.
- 5 *Nature communications*, 5(1), 1-12.
- 6 98. Onaivi, E. S., Benno, R., Halpern, T., Mehanovic, M., Schanz, N., Sanders, C., Yan,
- 7 X., Ishiguro, H., Liu, Q., & L Berzal, A. (2011). Consequences of cannabinoid and
- 8 monoaminergic system disruption in a mouse model of autism spectrum disorders.
- 9 *Current neuropharmacology*, 9(1), 209-214.
- 10 99. Onore, C., Careaga, M., & Ashwood, P. (2012). The role of immune dysfunction in the
- 11 pathophysiology of autism. *Brain, behavior, and immunity*, 26(3), 383-392.
- 12 100. Parnpiansil, P., Jutapakdeegul, N., Chentanez, T., & Kotchabhakdi, N. (2003).
- 13 Exercise during pregnancy increases hippocampal brain-derived neurotrophic factor
- 14 mRNA expression and spatial learning in neonatal rat pup. *Neuroscience letters*,
- 15 352(1), 45-48.
- 16 101. Patterson, P. H. (2011). Maternal infection and immune involvement in autism. *Trends*
- 17 *in molecular medicine*, 17(7), 389-394.
- 18 102. Persico, A. M., & Merelli, S. (2014). Environmental factors in the onset of autism
- 19 spectrum disorder. *Current Developmental Disorders Reports*, 1(1), 8-19.
- 20 103. Power, M. C., Lamichhane, A. P., Liao, D., Xu, X., Jack, C. R., Gottesman, R. F.,
- 21 Mosley, T., Stewart, J. D., Yanosky, J. D., & Whitsel, E. A. (2018). The Association of
- 22 Long-Term Exposure to Particulate Matter Air Pollution with Brain MRI Findings: The
- 23 ARIC Study. *Environ Health Perspect*, 126(2), 027009.
- 24 <https://doi.org/10.1289/ehp2152>
- 25 104. Rahimi, R., Akhavan, M., Kamyab, K., & Ebrahimi, S. (2018). Maternal voluntary
- 26 exercise ameliorates learning deficit in rat pups exposed, in utero, to valproic acid; role
- 27 of BDNF and VEGF and their receptors. *Neuropeptides*, 71, 43-53.
- 28 105. Rao, P. A., & Beidel, D. C. (2009). The impact of children with high-functioning
- 29 autism on parental stress, sibling adjustment, and family functioning. *Behavior*
- 30 *modification*, 33(4), 437-451.
- 31 106. Raymond, G. V., Bauman, M. L., & Kemper, T. L. (1995). Hippocampus in autism: a
- 32 Golgi analysis. *Acta neuropathologica*, 91(1), 117-119.
- 33 107. Raz, R., Roberts, A. L., Lyall, K., Hart, J. E., Just, A. C., Laden, F., & Weisskopf, M.
- 34 G. (2015). Autism spectrum disorder and particulate matter air pollution before, during,
- 35 and after pregnancy: a nested case-control analysis within the Nurses' Health Study II
- 36 Cohort. *Environ Health Perspect*, 123(3), 264-270.
- 37 <https://doi.org/10.1289/ehp.1408133>
- 38 108. Redcay, E., & Courchesne, E. (2005). When is the brain enlarged in autism? A meta-
- 39 analysis of all brain size reports. *Biological psychiatry*, 58(1), 1-9.
- 40 109. Ricci, S., Businaro, R., Ippoliti, F., Vasco, V. L., Massoni, F., Onofri, E., Troili, G.,
- 41 Pontecorvi, V., Morelli, M., & Ricciardi, M. R. (2013). Altered cytokine and BDNF
- 42 levels in autism spectrum disorder. *Neurotoxicity research*, 24(4), 491-501.
- 43 110. Ritz, B., Liew, Z., Yan, Q., Cui, X., Virk, J., Ketznel, M., & Raaschou-Nielsen, O.
- 44 (2018). Air pollution and autism in Denmark. *Environmental epidemiology*
- 45 *(Philadelphia, Pa.)*, 2(4).
- 46 111. Roberts, A. L., Lyall, K., Hart, J. E., Laden, F., Just, A. C., Bobb, J. F., Koenen, K.
- 47 C., Ascherio, A., & Weisskopf, M. G. (2013). Perinatal air pollutant exposures and
- 48 autism spectrum disorder in the children of Nurses' Health Study II participants.
- 49 *Environmental health perspectives*, 121(8), 978-984.

- 1 112. Robinson, A. M., & Bucci, D. J. (2012). Maternal exercise and cognitive functions of
2 the offspring. *Cognitive sciences*, 7(2), 187.
- 3 113. Robinson, A. M., & Bucci, D. J. (2014). Physical exercise during pregnancy improves
4 object recognition memory in adult offspring. *Neuroscience*, 256, 53-60.
- 5 114. Saitoh, O., Karns, C. M., & Courchesne, E. (2001). Development of the hippocampal
6 formation from 2 to 42 years: MRI evidence of smaller area dentata in autism. *Brain*,
7 124(7), 1317-1324.
- 8 115. Sakamoto, M., Kubota, M., Liu, X. J., Murata, K., Nakai, K., & Satoh, H. (2004).
9 Maternal and fetal mercury and n-3 polyunsaturated fatty acids as a risk and benefit of
10 fish consumption to fetus. *Environmental science & technology*, 38(14), 3860-3863.
- 11 116. Sandin, S., Lichtenstein, P., Kuja-Halkola, R., Larsson, H., Hultman, C. M., &
12 Reichenberg, A. (2014). The familial risk of autism. *Jama*, 311(17), 1770-1777.
- 13 117. Schopler, E., & Mesibov, G. B. (1986). *Social behavior in autism*. Springer Science
14 & Business Media.
- 15 118. Schumann, C. M., Hamstra, J., Goodlin-Jones, B. L., Lotspeich, L. J., Kwon, H.,
16 Buonocore, M. H., Lammers, C. R., Reiss, A. L., & Amaral, D. G. (2004). The
17 amygdala is enlarged in children but not adolescents with autism; the hippocampus is
18 enlarged at all ages. *Journal of neuroscience*, 24(28), 6392-6401.
- 19 119. Shafiee, S. M., Vafaei, A. A., & Rashidy-Pour, A. (2016). Effects of maternal
20 hypothyroidism during pregnancy on learning, memory and hippocampal BDNF in rat
21 pups: Beneficial effects of exercise. *Neuroscience*, 329, 151-161.
- 22 120. Shatz, C. J. (2009). MHC class I: an unexpected role in neuronal plasticity. *Neuron*,
23 64(1), 40-45.
- 24 121. Silverman, J. L., Tolu, S. S., Barkan, C. L., & Crawley, J. N. (2010). Repetitive self-
25 grooming behavior in the BTBR mouse model of autism is blocked by the mGluR5
26 antagonist MPEP. *Neuropsychopharmacology*, 35(4), 976-989.
- 27 122. Silverman, J. L., Yang, M., Lord, C., & Crawley, J. N. (2010). Behavioural
28 phenotyping assays for mouse models of autism. *Nature Reviews Neuroscience*, 11(7),
29 490-502.
- 30 123. Silverman, J. L., Yang, M., Lord, C., & Crawley, J. N. (2010). Behavioural
31 phenotyping assays for mouse models of autism. *Nat Rev Neurosci*, 11(7), 490-502.
32 <https://doi.org/10.1038/nrn2851>
- 33 124. Sparks, B., Friedman, S., Shaw, D., Aylward, E. H., Echelard, D., Artru, A., Maravilla,
34 K., Giedd, J., Munson, J., & Dawson, G. (2002). Brain structural abnormalities in young
35 children with autism spectrum disorder. *Neurology*, 59(2), 184-192.
- 36 125. Spencer, C., Alekseyenko, O., Serysheva, E., Yuva-Paylor, L., & Paylor, R. (2005).
37 Altered anxiety-related and social behaviors in the Fmr1 knockout mouse model of
38 fragile X syndrome. *Genes, Brain and Behavior*, 4(7), 420-430.
- 39 126. Stewart, M. E., Barnard, L., Pearson, J., Hasan, R., & O'Brien, G. (2006). Presentation
40 of depression in autism and Asperger syndrome: A review. *Autism*, 10(1), 103-116.
- 41 127. Sutcliffe, J. S., Delahanty, R. J., Prasad, H. C., McCauley, J. L., Han, Q., Jiang, L., Li,
42 C., Folstein, S. E., & Blakely, R. D. (2005). Allelic heterogeneity at the serotonin
43 transporter locus (SLC6A4) confers susceptibility to autism and rigid-compulsive
44 behaviors. *The American Journal of Human Genetics*, 77(2), 265-279.
- 45 128. Suzuki, K., Matsuzaki, H., Iwata, K., Kameno, Y., Shimmura, C., Kawai, S.,
46 Yoshihara, Y., Wakuda, T., Takebayashi, K., & Takagai, S. (2011). Plasma cytokine
47 profiles in subjects with high-functioning autism spectrum disorders. *PLoS one*, 6(5).
- 48 129. Talbott, E. O., Arena, V. C., Rager, J. R., Clougherty, J. E., Michanowicz, D. R.,
49 Sharma, R. K., & Stacy, S. L. (2015). Fine particulate matter and the risk of autism

- 1 spectrum disorder. *Environ Res*, 140, 414-420.
2 <https://doi.org/10.1016/j.envres.2015.04.021>
- 3 130. Tetreault, N. A., Hakeem, A. Y., Jiang, S., Williams, B. A., Allman, E., Wold, B. J.,
4 & Allman, J. M. (2012). Microglia in the cerebral cortex in autism. *J Autism Dev*
5 *Disord*, 42(12), 2569-2584. <https://doi.org/10.1007/s10803-012-1513-0>
- 6 131. Tonhajzerova, I., Ondrejka, I., Mestanik, M., Mikolka, P., Hrtanek, I., Mestanikova,
7 A., Bujnakova, I., & Mokra, D. (2015). Inflammatory activity in autism spectrum
8 disorder. In *Respiratory Health* (pp. 93-98). Springer.
- 9 132. Tsatsanis, K. D., Rourke, B. P., Klin, A., Volkmar, F. R., Cicchetti, D., & Schultz, R.
10 T. (2003). Reduced thalamic volume in high-functioning individuals with autism.
11 *Biological psychiatry*, 53(2), 121-129.
- 12 133. Uysal, N., Sisman, A. R., Dayi, A., Aksu, I., Cetin, F., Gencoglu, C., Tas, A., & Buyuk,
13 E. (2011). Maternal exercise decreases maternal deprivation induced anxiety of pups
14 and correlates to increased prefrontal cortex BDNF and VEGF. *Neuroscience letters*,
15 505(3), 273-278.
- 16 134. Vargas, D. L., Nascimbene, C., Krishnan, C., Zimmerman, A. W., & Pardo, C. A.
17 (2005). Neuroglial activation and neuroinflammation in the brain of patients with
18 autism. *Ann Neurol*, 57(1), 67-81. <https://doi.org/10.1002/ana.20315>
- 19 135. Vereker, E., O'Donnell, E., & Lynch, M. (2000). The inhibitory effect of interleukin-
20 β on long-term potentiation is coupled with increased activity of stress-activated
21 protein kinases. *Journal of Neuroscience*, 20(18), 6811-6819.
- 22 136. Volk, H. E., Lurmann, F., Penfold, B., Hertz-Picciotto, I., & McConnell, R. (2013).
23 Traffic-related air pollution, particulate matter, and autism. *JAMA Psychiatry*, 70(1),
24 71-77. <https://doi.org/10.1001/jamapsychiatry.2013.266>
- 25 137. Volk, H. E., Lurmann, F., Penfold, B., Hertz-Picciotto, I., & McConnell, R. (2013).
26 Traffic-related air pollution, particulate matter, and autism. *JAMA psychiatry*, 70(1),
27 71-77.
- 28 138. Wang, T., Zhang, T., Sun, L., Li, W., Zhang, C., Yu, L., & Guan, Y. (2019).
29 Gestational B-vitamin supplementation alleviates PM2. 5-induced autism-like behavior
30 and hippocampal neurodevelopmental impairment in mice offspring. *Ecotoxicology*
31 *and environmental safety*, 185, 109686.
- 32 139. Wang, X., Wang, T., Sun, L., Zhang, H., Liu, C., Zhang, C., & Yu, L. (2020). B-
33 vitamin supplementation ameliorates anxiety-and depression-like behavior induced by
34 gestational urban PM2. 5 exposure through suppressing neuroinflammation in mice
35 offspring. *Environmental Pollution*, 266, 115146.
- 36 140. Wegiel, J., Kuchna, I., Nowicki, K., Imaki, H., Wegiel, J., Marchi, E., Ma, S. Y.,
37 Chauhan, A., Chauhan, V., & Bobrowicz, T. W. (2010). The neuropathology of autism:
38 defects of neurogenesis and neuronal migration, and dysplastic changes. *Acta*
39 *neuropathologica*, 119(6), 755-770.
- 40 141. Wei, H., Zou, H., Sheikh, A. M., Malik, M., Dobkin, C., Brown, W. T., & Li, X.
41 (2011). IL-6 is increased in the cerebellum of autistic brain and alters neural cell
42 adhesion, migration and synaptic formation. *Journal of neuroinflammation*, 8(1), 52.
- 43 142. Xie, J., Huang, L., Li, X., Li, H., Zhou, Y., Zhu, H., Pan, T., Kendrick, K. M., & Xu,
44 W. (2017). Immunological cytokine profiling identifies TNF- α as a key molecule
45 dysregulated in autistic children. *Oncotarget*, 8(47), 82390.
- 46 143. Yadav, A., Kalita, A., Dhillon, S., & Banerjee, K. (2005). JAK/STAT3 pathway is
47 involved in survival of neurons in response to insulin-like growth factor and negatively
48 regulated by suppressor of cytokine signaling-3. *Journal of Biological Chemistry*,
49 280(36), 31830-31840.

- 1 144. Yau, S.-Y., Lee, T. H.-Y., Formolo, D. A., Lee, W.-L., Li, L. C.-K., Siu, P. M., &
2 Chan, C. C. (2019). Effects of maternal voluntary wheel running during pregnancy on
3 adult hippocampal neurogenesis, temporal order memory, and depression-like behavior
4 in adult female and male offspring. *Frontiers in neuroscience*, *13*, 470.
- 5 145. Yau, S.-y., Lu, H.-x., Lee, T. M., Guo, H., & Chan, C. C. (2021). PM2. 5 as a potential
6 risk factor for autism spectrum disorder: Its possible link to neuroinflammation,
7 oxidative stress and changes in gene expression. *Neuroscience & Biobehavioral*
8 *Reviews*, *128*, 534-548.
- 9 146. Zafeiriou, D., Ververi, A., & Vargiami, E. (2009). The serotonergic system: its role in
10 pathogenesis and early developmental treatment of autism. *Current*
11 *neuropharmacology*, *7*(2), 150-157.
- 12 147. Zhang, M., Liu, W., Zhou, Y., Li, Y., Qin, Y., & Xu, Y. (2018). Neurodevelopmental
13 toxicity induced by maternal PM2. 5 exposure and protective effects of quercetin and
14 Vitamin C. *Chemosphere*, *213*, 182-196.
- 15 148. Zhang, Q., Li, Q., Ma, J., & Zhao, Y. (2018). PM2. 5 impairs neurobehavior by
16 oxidative stress and myelin sheaths injury of brain in the rat. *Environmental Pollution*,
17 *242*, 994-1001.
- 18 149. Zhang, T., Sun, L., Wang, T., Liu, C., Zhang, H., Zhang, C., & Yu, L. (2021).
19 Gestational exposure to PM2. 5 leads to cognitive dysfunction in mice offspring via
20 promoting HMGB1-NLRP3 axis mediated hippocampal inflammation. *Ecotoxicology*
21 *and Environmental Safety*, *223*, 112617.
- 22 150. Zhang, T., Zheng, X., Wang, X., Zhao, H., Wang, T., Zhang, H., Li, W., Shen, H., &
23 Yu, L. (2018). Maternal Exposure to PM2.5 during Pregnancy Induces Impaired
24 Development of Cerebral Cortex in Mice Offspring. *Int J Mol Sci*, *19*(1).
25 <https://doi.org/10.3390/ijms19010257>
- 26 151. Zhao, C., Deng, W., & Gage, F. H. (2008). Mechanisms and functional implications
27 of adult neurogenesis. *Cell*, *132*(4), 645-660.
- 28 152. Zheng, X., Wang, X., Wang, T., Zhang, H., Wu, H., Zhang, C., Yu, L., & Guan, Y.
29 (2019). Gestational exposure to particulate matter 2.5 (PM2. 5) leads to spatial memory
30 dysfunction and neurodevelopmental impairment in hippocampus of mice offspring.
31 *Frontiers in neuroscience*, *12*, 1000.
- 32 153. Zhou, Y., Zhang, M., Liu, W., Li, Y., Qin, Y., & Xu, Y. (2020). Transgenerational
33 transmission of neurodevelopmental disorders induced by maternal exposure to PM2.
34 5. *Chemosphere*, *255*, 126920.
- 35
36

Abbreviations

5-HT – Serotonin	Ki67 – a maker for proliferation
ACTH – Adrenocorticotrophic hormone	LPS – lipopolysaccharide
ALF – Artificial lung fluid	LTP – Long-term potentiation
ANOVA – Analysis of variance	MAPK – mitogen-activated protein kinase
AOR – Adjusted odds ratio	MCP-1 – Macrophage chemoattractant protein-1
ASD – Autism spectrum disorder	MDA – Malondialdehyde
BDNF – Brain-derived neurotrophic factor	MHC I – Major histocompatibility complex class I
bFGF – Basic fibroblast growth factor	MIA – Maternal inflammation activation
BTBR – Black and Tan BRachyury mouse model	MK-801 – Dizocilpine
CA – Cornu Ammonis	MMP9 – Matrix metalloproteinase 9
cAMP – Cyclic adenosine monophosphate	NeuroD – a marker for neuronal maturation
CRH – Corticotropin-releasing hormone	NF- κ B – Nuclear factor kappa-light-chain-enhancer of activated B cells tumor
DAB – 3, 3'-diaminobenzidine	NMDA – N-methyl-D-aspartate receptor
DCX – Doublecortin, a marker for immature neurons	NO ₂ – Nitrogen dioxide
FMRP – Fragile X mental retardation protein	PCA – Para-chloroamphetamine
GABA – γ -Aminobutyric acid	PM _{2.5} – Particulate matter of less than 2.5 μ m
GFAP – Glial fibrillary acidic protein	PTU – 6-propyl-2-thiouracil
GL – glycyrrhizin	ROS – Reactive oxygen species
HMGB1 – High mobility group box-1 protein	STAT3 – activator of transcription 3
HPA – Hypothalamic-pituitary-adrenal	TGF- β 1 – transforming growth factor beta 1
IBA-1 – Ionized calcium-binding adapter molecule 1	Th1 – T helper type 1
IFN- γ – interferon gamma	Th2 – T helper type 2
IL-10 – Interleukin 10	TNF- α – Necrosis factor α
IL-1 β – Interleukin 1 beta	VEGF – Vascular endothelial growth factor
IL-2 – Interleukin 2	
IL-3 – Interleukin 3	
IL-4 – Interleukin 4	
IL-6 – Interleukin 6	
IL-8 – Interleukin 8	
JAK – Janus kinase	
JNK – c-Jun N-terminal kinases	