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The Hong Kong Polytechnic University  
Department of Applied Biology and Chemical  
Technology

**Metal Catalyzed Asymmetric [2+2+1]  
Cycloaddition Reactions**

Lee Hang Wai

A thesis submitted  
in partial fulfillment of the requirements  
for the degree of Master of Philosophy

(JULY 2008)

## **Certificate of originality**

I hereby declare that this thesis is my own research work carried out since my registration at the Hong Kong Polytechnic University for the degree of Master of Philosophy in September, 2006, and that, to the best of my knowledge and belief, it reproduces no material previously published or neither written, nor material that has been accepted for the award of any other degree or diploma, except where due acknowledgement has been made in the text.

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LEE HANG WAI

July, 2008

## **Abstract**

“Metal Catalyzed Asymmetric [2+2+1] Cycloaddition Reactions”

Submitted by LEE HANG WAI

For the degree of Master of Philosophy

At The Hong Kong Polytechnic University in August, 2008

Pauson-Khand-type reaction is a [2+2+1] carbonylative cycloaddition which was firstly reported in 1971. This is one of the most powerful synthetic tools to produce cyclopentenones which are known to be versatile building blocks for natural products, pharmaceuticals and fine chemicals.

Rhodium complex with chiral atropisomeric dipyranyl-diphosphine ligand, (*S*)-P-Phos was found to catalyze asymmetric Pauson-Khand-type reaction in aqueous medium to afford corresponding cyclopentenones in good yield and enantiomeric excess (up to 95% *ee*). Interestingly, a study on electronic effect of the enynes substrates revealed a correlation between the electronic property of the substrates and the *ee* of the cycloadducts in this reaction. A linear free energy relationship was observed from Hammett study.

Besides (*S*)-P-Phos, (*S*)-BisbenzodioxanPhos was also found to be highly effective in the cooperative processes of decarbonylation of aldehyde and subsequent enantioselective Pauson-Khand-type reaction in alcoholic medium. Good yield and enantiomeric excess (up to 96% *ee*) of the products were obtained under these reaction conditions.

Apart from rhodium metal precursor, iridium complex with (*S*)-BINAP was also effective in cascade decarbonylation of aldehyde and asymmetric

### *Metal Catalyzed Asymmetric [2+2+1] Cycloaddition Reactions*

Pauson-Khand-type reaction. Although their reactivity was inferior to rhodium catalyzed asymmetric Pauson-Khand-type reaction, they afforded the corresponding cyclopentenones with excellent enantiomeric excess (up to 98% *ee*), which is the highest *ee* achieved so far for this reaction.

In addition to aldehyde as the CO source, we firstly found that formate esters were applicable as CO surrogate in Rh-catalyzed asymmetric Pauson-Khand-type reaction. Up to 94% *ee* cycloadducts were obtained.

Microwave assisted organic syntheses have received increasing attentions in recent decades. In order to shorten the reaction time of our carbonylative cycloaddition, we applied microwave heating to our catalysis. To our delights, the asymmetric Pauson-Khand-type reaction proceeded smoothly within an hour to generate good yield of the products.

## **Publications**

1. Kwong, F. Y.\*; Lee, H. W.; Qiu, L.; Lam, W. H.; Li, Y.M.; Kwong, H. L.;  
Chan, A. S. C.\* *Adv. Synth. Catal.* **2005**, *347*, 1750-1754
2. Kwong, F. Y.\*; Li, Y. M.; Lam, W. H.; Qiu, L.; Lee, H. W.; Yeung, C. H.;  
Chan, K. S.; Chan, A. S. C.\* *Chem. Eur. J.* **2005**, *11*, 1-10
3. Kwong, F. Y.\*; Lee, H. W.; Lam, W. H.; Qiu, L.; Chan, A. S. C.\* *Tetrahedron  
Asymmetry* **2006**, *17*, 1238-1252
4. Lee, H. W.; Chan, A. S. C.\*; Kwong, F. Y.\* *Chem. Commun.* **2007**, 2633-2635
5. Lee, H. W.; Lee, L. N.; Chan, A. S. C.\*; Kwong, F. Y.\* *Eur. J. Org. Chem.* **2008**,  
3403-3406
6. Lee, H. W.; Kwong, F. Y.\*; Chan, A. S. C.\* *Synlett.* **2008**, 1553-1556

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LEE HANG WAI  
July, 2008

## **Table of contents**

---

Title page	<i>I</i>
Certificate of Originality	<i>II</i>
Abstract	<i>III</i>
Publications	<i>V</i>
Acknowledgement	<i>VI</i>
Table of contents	<i>VII</i>
Abbreviation	<i>XII</i>

---

## **CHAPTER 1**

### **Introduction**

---

1.1. Improvements to Pauson-Khand reaction conditions	2
1.1.1. Lowering the amount of carbon monoxide	2
1.1.2. Employing additives to promote PKR	3
1.1.3. Generating active cobalt(0) species in situ	4
1.1.4. Searching for the others active cobalt catalysts	5
1.2. Asymmetric Pauson-Khand-type Reactions	7
1.2.1. Titanium-catalyzed asymmetric Pauson-Khand-type reactions	7
1.2.2. Cobalt-catalyzed asymmetric Pauson-Khand-type reactions	7
1.2.3. Rhodium-catalyzed symmetric Pauson-Khand-type reactions	10
1.2.4. Iridium-catalyzed symmetric Pauson-Khand-type reactions	15
1.3. Factors affect stereoselectivity	18
1.3.1. Hammett plot relationship between enantioselectivity of PKR products and electronic properties of substrates	18
1.3.2. Electronic effect of ligands on stereoselectivity and reaction rate of Pauson-Khand-type reactions	19
1.4. Summary on catalyst activity and average turnover number	23
References	25

---



## **CHAPTER 2**

### **Rhodium-BisbenzodioxanPhos complex-catalyzed enantioselectivity Pauson- Khand- Type cyclization in alcoholic solvents**

---

2.1. Introduction	29
2.2. Results and discussion	31
2.2.1. Ligands screening	33
2.2.2. Solvents screening	33
2.2.3. Electronic effects of aldehyde on decarbonylation	33
2.2.4. Scope and limitation of Rhodium-BisbenzodioxanPhos catalyzed asymmetric Pauson-Khand-type reaction	35
2.2.5. Proposed mechanisms	37
2.3. Conclusion	38
2.4. Experimental section	39
References	40-

---

## **CHAPTER 3**

### **Iridium-Catalyzed Cascade Decarbonylation/Highly Enantioselective Pauson-Khand-type Cyclization Processes**

---

3.1. Introduction	41
3.2. Results and discussion	42
3.2.1. Ligands screening	44
3.2.2. Solvents screening	44
3.2.3. Electronic effects of aldehyde on decarbonylation and enantioselective Pauson	45
3.2.4. Metal to ligand ratio screening	46
3.2.5. Scope and limitation of Ir-BINAP catalyzed asymmetric Pauson-Khand-type reaction	48
3.3. Conclusion	51
3.4. Experimental section	52
References	53

---

## **CHAPTER 4**

### **Rh-Catalyzed Cooperative Decarbonylation and Asymmetric Pauson-Khand-type Cyclization Reactions using formate as CO surrogate**

---

4.1. Introduction	54
4.2. Results and discussion	56
4.2.1. Ligands and solvents screening	57
4.2.2. Electron effects of formate ester on decarbonylation	58
4.2.3. Metal precursors screening	59
4.2.4. Effects of chiral ligands on product yield and enantiomeric excess	60
4.2.5. Scope and limitation of Rh-xyl-BINAP catalyzed asymmetric Pauson-Khand-type reaction	62
4.2.6. Proposed mechanism	63
4.2.7. <sup>13</sup> C-Labeling experiment	65
4.3. Conclusion	66
4.4. Experimental section	67
References	68

---

## **CHAPTER 5**

### **Microwave-Assisted Rhodium Complex-Catalyzed Cascade Decarbonylation and Asymmetric Pauson-Khand-Type Cyclizations**

---

5.1. Introduction	69
5.2. Microwave theory	70
5.2.1. Thermal effects	72
5.2.2. Non-thermal effects	73
5.3. Microwave assisted Pauson-Khand-type reactions	75
5.4. Results and discussion	79
5.4.1. Microwave-assisted decarbonylation of aldehyde and cascade asymmetric Pauson-Khand-type reaction	79
5.4.1.1. Ligands screening	80
5.4.1.2. Aldehydes screening	81
5.4.1.3. Solvents screening	81
5.4.1.4. Scope and limitation of Rh-dppp catalyzed decarbonylation of aldehyde and cascade Pauson-Khand-type reaction	83
5.4.1.5. Effect of chiral ligands on product yields and enantiomeric excess	85
5.4.1.6. <sup>13</sup> C-labeling experiment	88
5.4.1.7. Conclusion	89
5.4.2. Microwave-assisted aqueous Pauson-Khand-type cycloaddition using formate as CO surrogate	90
5.4.2.1. Electronic effects of formate esterson decarbonylation	91
5.4.2.2. Scope and limitation of substrates on microwave-assisted aqueous Pauson-Khand-type reaction	93
5.4.2.3. Conclusion	97
5.4.2.4. Experimental section	98
References	99

---

## **CHAPTER 6**

### **Summary**

101

---

### **Appendix**

---

Preparation and Characterization data of enyne substrates	103
Preparation and Characterization data of formates	129
Characterization data, HPLC chromatograms and conditions of PKR products	132
NMR spectra of enynes, formates and PKR products	162

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## Abbreviation

Ac	acetyl
Ad	adamantyl
Ar	aryl
BINAP	2, 2'-bis(diphenylphosphino)-1,1'-binaphthyl
BINOL	1,1'-bi-2-naphthol (or 2,2'-dihydroxy-1,1'-binaphthyl)
Bn	benzyl
BSA	<i>N,O</i> -bis(trimethylsilyl)acetamide
Bu or <i>n</i> Bu	(primary) butyl
Bz	benzoyl
COD	1,5-cyclooctadiene
Cp	cyclopentadienyl
Cp*	pentamethylcyclopentadienyl
Cy	cyclohexyl
<i>de</i>	diastereomeric excess
DCE	1,2-dichloroethane
DCM	dichloromethane
Dppe	1,1'-bis-(diphenylphosphino)ethane
Dppp	1,1'-bis-(diphenylphosphino)propane
Dppb	1,1'-bis-(diphenylphosphino)butane
Dppf	1,1'-bis-(diphenylphosphino)ferrocene
DMF	dimethyl formamide
E <sup>+</sup>	electrophile
<i>Ee</i>	enantiomeric excess
eq.	equivalent
Fur	furyl
GC	gas chromatography
h	hour
HPLC	high-performance liquid chromatography
HRMS	high-resolution mass spectrometry
<i>i</i> Bu	isobutyl
ibuprofen	2-(4-isobutyl-phenyl)-propionic acid
<i>i</i> Pr	isopropyl
<i>J</i>	coupling constant (in Hertz)
L*	chiral ligands
LDA	lithium diisopropylamide
L-DOPA	3,4-dihydroxy-L-phenylalanine
[M] <sup>+</sup>	transition-metal pre-catalyst
Me	methyl
min	minute
MS	mass spectrometry
Ms	mesyl, methanesulfonyl
<i>m/z</i>	mass-to-charge ratio (in mass spectrometry)
Naph	naphthyl

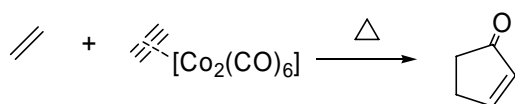
*Metal Catalyzed Asymmetric [2+2+1] Cycloaddition Reactions*

NBD	norbornadiene
NMR	nuclear magnetic resonance
Nu-	nucleophile
Ph	phenyl
PKR	Pasvon-Khand (-type) reaction
P-Phos	2,2',6,6'-tetramethoxy-4,4'-bis(diphenylphosphino)-3,3'-bipyridine
Pr	propyl
PS-DES	polystyrene-diethylsilyl
PS-Et	polystyrene-ethyl
PTC	phase transfer catalyst
py	pyridine
RT	room temperature
<i>s</i> Bu	secondary butyl
<i>t</i> Bu	tertiary butyl
THF	tetrahydrofuran
Tol	toluene
Ts	tosyl, toluenesulfonyl
Xyl	xylene
$[\alpha]_{D20}$	special optical rotation
$\sigma_p$	Hammett substituent constant
ETN	normalized $E_T(30)$ solvent polarity

# CHAPTER 1

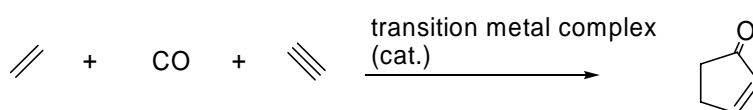
## Introduction

Pauson and co-workers first prepared cyclopentenones by heating acetylene dicobalthexacarbonyl with ethylene<sup>1</sup> during their studies of cobalt-alkyne complexes in 1971 and hence the cobalt-mediated [2+2+1] cyclization of an alkyne, an alkene and carbon monoxide to cyclopentenone, are commonly called as Pauson-Khand reaction (PKR). (Scheme1)



(Scheme 1: Stoichiometric Pauson-Khand-type reaction)

At the very beginning, it is undesirable to process this cyclization in terms of cost and waste as stoichiometric amounts of toxic and expensive transition-metal species was needed. In 1973, Pauson and co-workers reported the first example of catalytic cycloaddition with octacarbonyldicobalt(0).<sup>2</sup> (Scheme 2)



(Scheme 2: Catalytic Pauson-Khand-type reaction)

Afterwards it was found that several other transition metal complexes such as Fe<sup>3</sup>, Ru<sup>4</sup>, Rh<sup>5</sup>, Ir<sup>6</sup>, Ni<sup>7</sup>, Pd<sup>8</sup>, Cr<sup>9</sup>, Mo<sup>10</sup>, W, Ti<sup>11</sup>, Zr<sup>12</sup> and dual transition metals system<sup>13</sup> can catalyze this carbonylative cyclization.

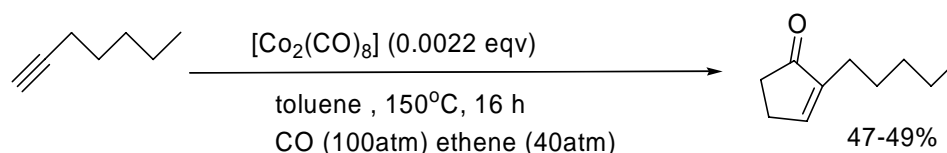
In the early study of an intermolecular reaction, symmetrical and active alkenes

such as norbornene and ethene were used. It was because four regioisomers would be obtained when an unsymmetrical alkyne and alkene were used and afterwards the intramolecular reaction was found to avoid the formation of regioisomers and to produce bicyclic cyclopentenone selectivity.<sup>14</sup>

## 1.1. Improvements to the PKR conditions

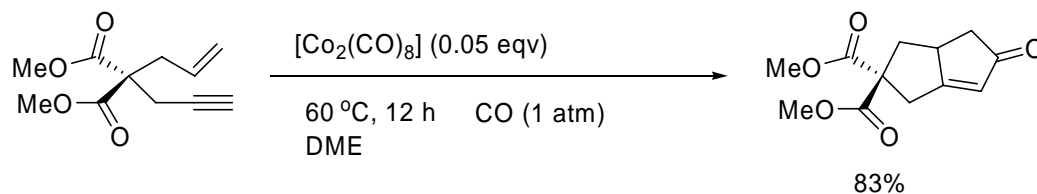
### 1.1.1. Lowering the amount of carbon monoxide

Following the work of Pauson and co-workers, Rautenstrauch *et al.* reported in 1990 that a high turnover number (TONs) was obtained by using nonconstrained alkenes, 0.0022 equivalents of  $[\text{Co}_2(\text{CO})_8]$  under very high practical pressures of carbon monoxide (100 atm) and ethane (40 atm).<sup>15</sup>



(Scheme 3: Pauson-Khand-type reaction under high pressure of carbon monoxide and ethene by Rautenstrauch *et al.* )

In 1996, with photoactivation of  $[\text{Co}_2(\text{CO})_8]$ , Livinghouse and Pagenkopf first lowered the amount of carbon monoxide used to only 1 atm in PKR. Afterward they optimized the reaction conditions to lower the partial pressure of carbon monoxide to 1 atm by careful control of reaction temperature within a narrow range.<sup>16</sup>



(Scheme 4: Photoactivated Pauson-Khand-type reaction by Livinghouse *et al.*)

Through results of the thermal and photochemical PKR, Livinghouse and co-workers pointed out that the high purity of  $[\text{Co}_2(\text{CO})_8]$  is needed to ensure reproducible results and some additives can be introduced to stabilize the reaction intermediates in the PKR.

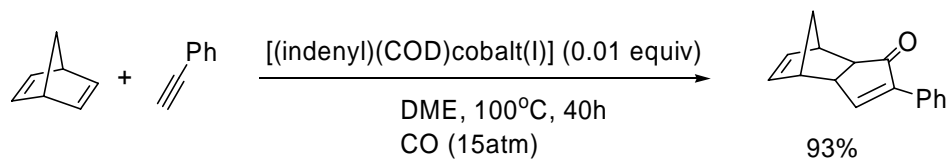
### 1.1.2. Employing additives to promote PKR

A wide range of compounds such as tertiary amine *N*-oxides, phosphines, phosphine oxides, dimethylsulfoxide (DMSO), hard Lewis bases have been employed as promoters or additives to improve the chemical yields of PKR. However tertiary amine *N*-oxides or DMSO were found ineffective to desired products in catalytic PKR<sup>17</sup> and it has been suggested that  $\text{Co}(0)$  species cannot be regenerated through out the reaction. Formation of cobalt clusters  $[\text{Co}_4(\text{CO})_{12}]$  is another impediment for the catalytic PKR as it was believed to be inert towards alkyne substrates.<sup>18</sup> Hence Pauson, Billington and co-workers introduced phosphines and phosphine oxides as coligands to overcome the formation of inactive clusters in stoichiometric variants of PKR.<sup>19</sup>



### 1.1.3. Generating active cobalt(0) species *in situ*

As  $[\text{Co}_2(\text{CO})_8]$  is active towards PKR but easily decomposed, it is feasible to generate the active catalyst  $[\text{Co}_2(\text{CO})_8]$  *in situ* from Co(I), Co(II) precursors in the reaction to prevent decomposition, Chung *et al.* reported using  $[(\text{indenyl})(\text{COD})\text{cobalt}(\text{I})]$  and carbon monoxide *in situ* to generate Co(0) catalyst. And it can perform both inter and intramolecular cyclizations between constrained alkenes and terminal alkynes to provide desired products in good yields.<sup>20</sup> However these reaction conditions required high pressure of carbon monoxide and were limited to the constrained alkenes.



(Scheme 5: Intramolecular Pauson-Khand-type reaction between constrained alkenes and terminal alkynes by Chung *et al.*)

Later Lee and Chung reported using  $[\text{Co}(\text{acac})_2]$  and  $\text{NaBH}_4$  to perform both inter and intramolecular PKR under high pressures of carbon monoxide.

Although high pressure of carbon monoxide was required and the strong reducing agent may limit the range of compatible functional groups, it gave high TONs.<sup>21</sup> Afterward Rajesh and Periasamy reported the use of  $\text{CoBr}_2$  and  $\text{Zn}$  *in situ* to generate active cobalt catalyst under 1 atm of carbon monoxide.<sup>22</sup>

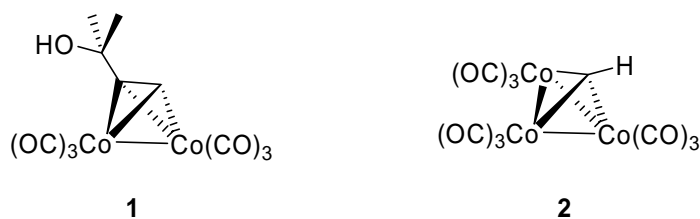
However limited scopes of substrates were converted and the turnover numbers

were still low.

#### 1.1.4. Searching for the others active cobalt catalysts

As reported by several research groups, the  $[\text{Co}_2(\text{CO})_8]$  is hard to handle, it is highly toxic and ignites spontaneously upon contact with air. Hence it is urged to find out other active cobalt catalysts for PKR. Billington *et al.* attempted to catalyze PKR by ethyne- $[\text{Co}_2(\text{CO})_6]$  complex under ethyne/CO atmosphere. However very low TONs and limited scope of substrates were resulted.<sup>23</sup>

Livinghouse and Belenger employed another cobalt complex (**1**, scheme 6) for the simpler intramolecular substrates but it was not so active towards enynes and  $\text{Et}_3\text{SiH}$  was required to get good conversions.<sup>24</sup> Sugihara and Yamaguchi reported that air stable the alkyidyne nonacarbonyltricobalt clusters, methylidyne nonacarbonyltricobalt cluster (**2**, scheme 6) can catalyze both inter and intramolecular PKR under 7 atm of carbon monoxide.<sup>25</sup>



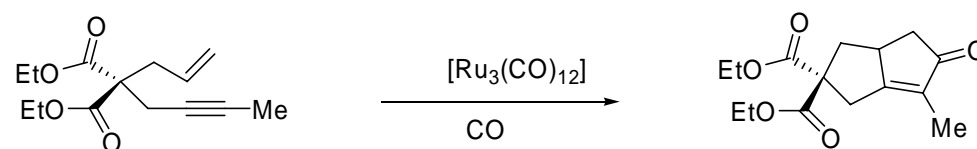
(Scheme 6)

The cobalt cluster  $[\text{Co}_4(\text{CO})_{12}]$  has been believed to be catalytically inactive towards PKR however Chung and Kim reported that it may establish equilibrium to form catalytic active cobalt cluster  $[\text{Co}_2(\text{CO})_8]$  under high pressure of carbon

monoxide. Indeed they utilized  $[\text{Co}_4(\text{CO})_{12}]$  successfully for both inter and intramolecular PKR.<sup>26</sup>

As mentioned before, PKR can be catalyzed by various kinds of transition metal. In 1997 Mitsudo<sup>4(a)</sup> and Murai<sup>4(b)</sup> independently reported the use of  $[\text{Ru}_3(\text{CO})_{12}]$  with different catalyst loading and solvent for cyclization. Murai showed that enyne with terminal alkyne group was poor for cycloaddition while no examples of enynes with terminal alkyne groups were included in Mitsudo's publication.

Table1:  $[\text{Ru}_3(\text{CO})_{12}]$ -catalyzed Pauson-Khand-type reaction, Murai *et al.* and Mitsudo *et al.*)



Entry	$[\text{Ru}_3(\text{CO})_{12}]$ [mol%]	T [°C]	t [h]	p (CO) [atm]	% yield
1 <sup>a</sup>	2	160	20	10	86
2 <sup>b</sup>	2	140	8	15	78

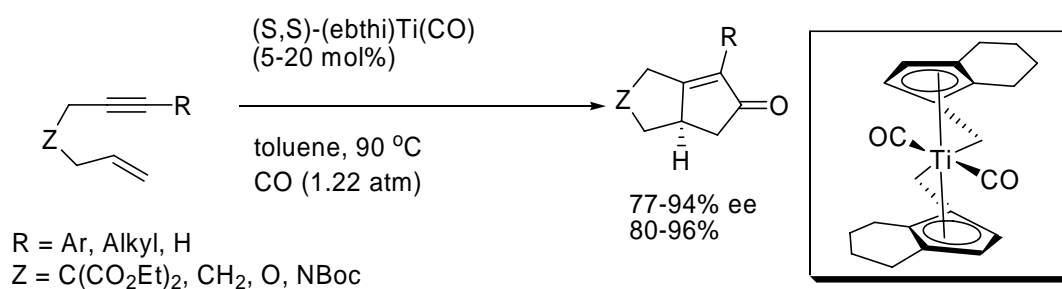
[a] In dioxane<sup>4(b)</sup>, [b] In N,N-dimethylacetamide<sup>4(a)</sup>

Buchwald and co-workers demonstrated titanocene-mediated cyclization of enynes, in the presence of n-BuLi and triethylsilyl cyanides, commercially available and air stable  $[\text{Cp}_2\text{TiCl}_2]$ <sup>11(d)</sup> could be used in PKR. However the overall yields were still poor. Afterwards remarkable improvements were achieved by using  $[\text{Cp}_2\text{Ti}(\text{CO})_2]$ <sup>11(e)(f)</sup> as catalyst for the cyclocarbonylation. It can catalyze cyclization of enynes under low pressures of carbon monoxide (1.22 atm).

## 1.2. Asymmetric Pauson-Khand-type Reactions

### 1.2.1. Titanium-catalyzed asymmetric Pauson-Khand-type Reactions

Starting from the works of Pauson and co-workers, PKR was studied intensively in recent decades however study of asymmetric PKR is rare. It is because most cases of PKR were generally carried under very harsh conditions such as high temperature and high pressure of carbon monoxide. The first asymmetric PKR had to wait until 1996, as chiral ligand would dissociate easily under high carbon monoxide pressure, Buchwald *et al.* reported using a chiral Ti complex that the metal center and chiral moiety were bonded in the  $\sigma$ -bond mode. This complex cyclized a range of enynes with high TONs under low pressure of carbon monoxide (Scheme 7). Furthermore it was also the first example of catalytic asymmetric PKR.



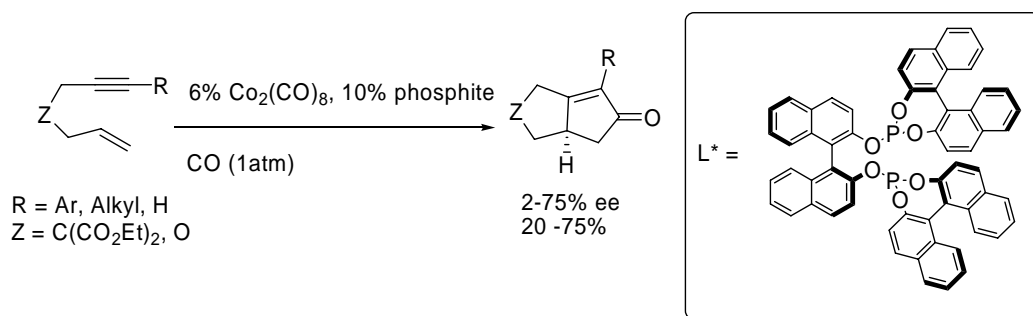
(Scheme 7: Titanium-catalyzed asymmetric Pauson-Khand-type reaction by Buchwald *et al.*)

### 1.2.2. Cobalt-catalyzed asymmetric Pauson-Khand-type

#### Reactions

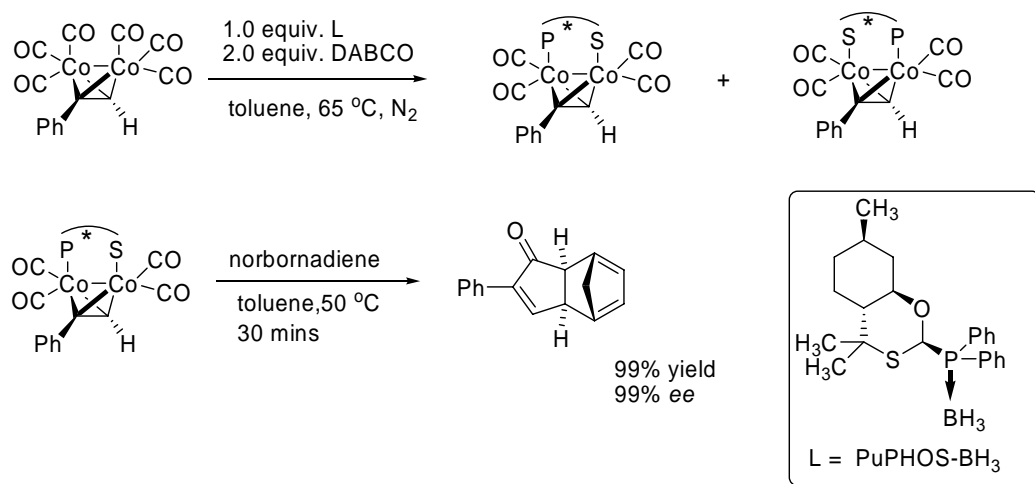
The first example of asymmetric cobalt-catalyzed PKR was reported by Hiroi

and co-workers.<sup>27</sup> They employed chiral biaryl phosphine ligand, (*S*)-BINAP to induce the high enantioselectivity. However high catalyst loading was needed and TONs were low. Later Buchwald and Sturla employed chiral biaryl phosphite on the cobalt-catalyzed PKR<sup>28</sup> (Scheme 8). The reaction conditions were optimized with respect to solvent, temperature and only 1 atm of carbon monoxide was needed to provide desired products in good *ee* values (75%).



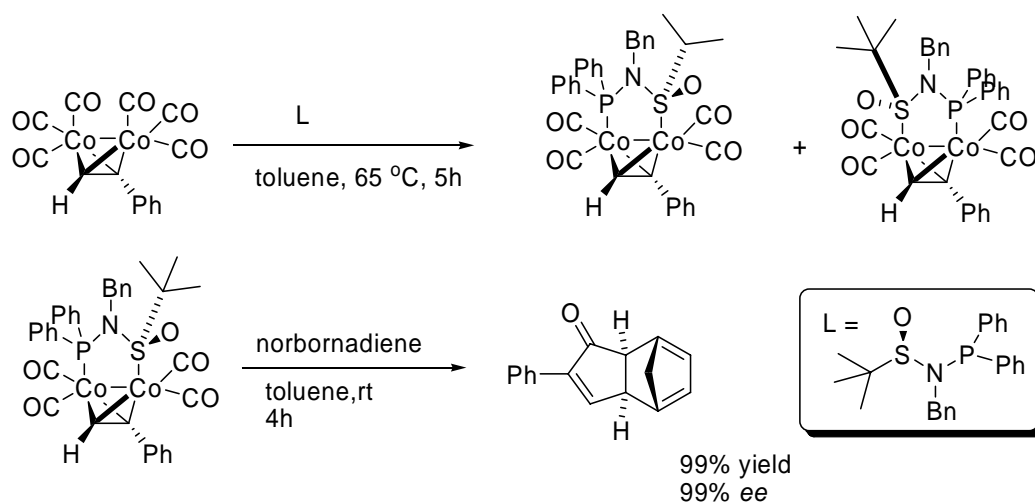
(Scheme 8: Cobalt-catalyzed asymmetric Pauson-Khand-type reaction by Buchwald *et al.*)

Not only chiral diphosphine and diphosphite ligand showed their effectiveness in PKR. In 2000, Verdaguer *et al.* reported that chiral bidentate (P, S) ligand<sup>29</sup> can catalyze asymmetric intermolecular PKR to provide cyclopentenones in excellent yields and *ee* values (up to 99% yield, 99% *ee*)<sup>29(a)</sup> (scheme 9).



(Scheme 9: Asymmetric Pauson-Khand-type reaction of Co-PuPHOS complexes with norbornadiene by Verdaguer *et al.*)

Later the same group presented Co-PNSO complex catalyzed highly enantioselective asymmetric intermolecular PKR<sup>30</sup> (scheme 10).



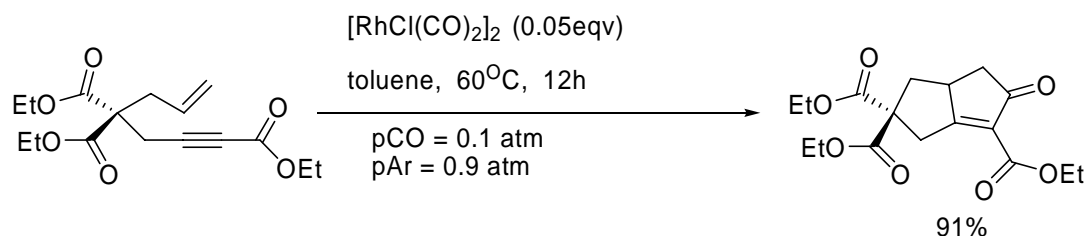
(Scheme 10: Asymmetric Pauson-Khand-type reaction of Co-PNSO complexes with norbornadiene by Verdaguer *et al.*)

However use of (P, S) ligand in asymmetric intramolecular PKR is sporadically studied.

### 1.2.3. Rhodium-catalyzed asymmetric Pauson-Khand-type reactions

#### 1.2.3.1. Rhodium-catalyzed Pauson-Khand-type reaction under CO atmosphere

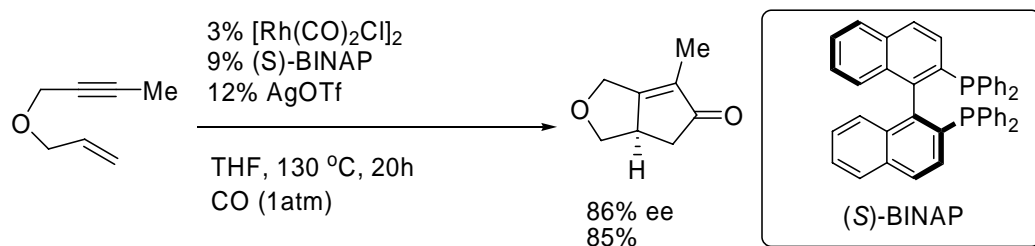
Following on the good results in cobalt-catalyzed PKR, several research groups moved their steps on rhodium-catalyzed PKR. In recent years, rhodium-catalyzed PKR have drawn much attention. Narasaka and co-workers employed 0.05 equivalents of  $[\text{RhCl}(\text{CO})_2]_2$  to catalyze the reaction under 1 atm of carbon monoxide.<sup>5(a)</sup> The performance of the catalyst was optimized later by replacing xylene with toluene and the partial pressure of carbon monoxide was decreased to 0.1 atm.<sup>5(d)</sup> This system was excellent for substrates containing electron deficient alkenes and alkynes. (Scheme 11)



(Scheme 11: Rhodium-catalyzed Pauson-Khand-type reaction by Narasaka *et al.*)

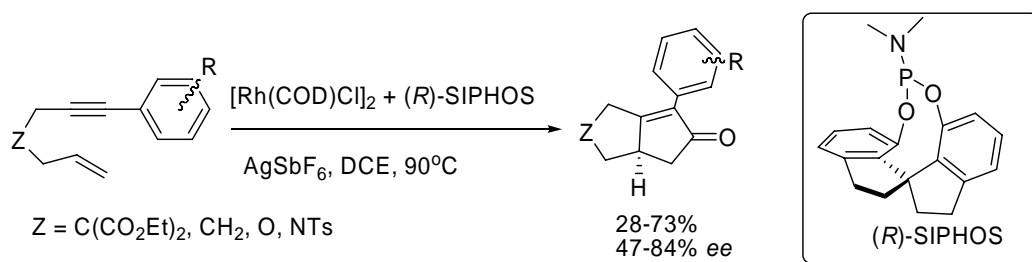
In 2000, Jeong reported a cationic Rh-catalyzed enantioselective PKR,<sup>31</sup> with the catalyst prepared *in situ* from  $[\text{RhCl}(\text{CO})_2]_2$  and BINAP by the addition of AgOTf (Scheme 12). They found out that PKR proceeded efficiently in toluene than in a coordinating solvent such as THF, however high enantioselective products can be only obtained in THF. Furthermore a silver salt such as AgOTf

was required for the initiation of the reaction in THF. Balancing of CO pressure was critical for the high enantioselectivity and high product yield. High CO pressure led to high product yield however better enantioselectivity was obtained under lower CO pressure environment.



(Scheme 12: Cationic rhodium-catalyzed asymmetric Pauson-Khand-type reaction by Jeong *et al.*)

It is believed that chiral bidentate diphosphine ligand is necessary to achieve good enantioselectivities in asymmetric PKR however Zhou *et al.* employed Rh-SIPHOS complex to catalyze asymmetric PKR under 1 atm of carbon monoxide to obtain corresponding cyclopentenones in good *ee* values (Scheme 13).<sup>32</sup>



(Scheme 13: Rhodium-catalyzed enantioselective Pauson-Khand-type reaction using (R)-SIPHOS by Zhou *et al.*)

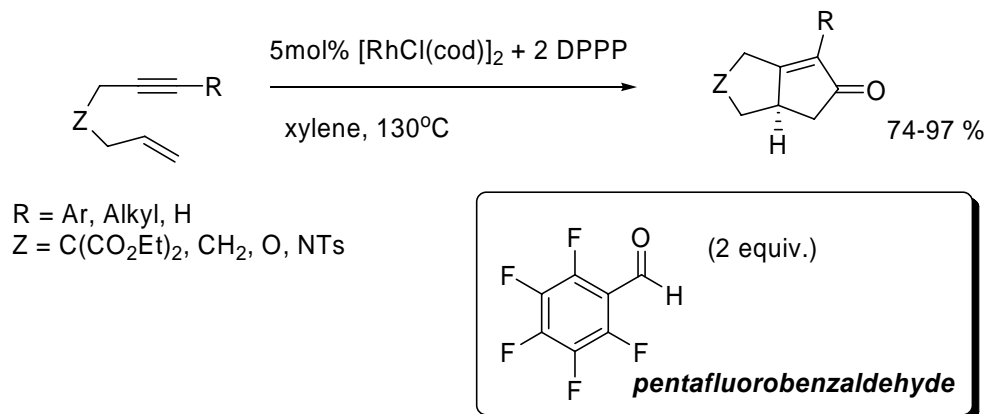
### 1.2.3.2. Rhodium-catalyzed Pauson-Khand-type reaction using aldehyde as CO

#### source

Carbon monoxide is a colourless, odorless but highly toxic gas. Therefore it is



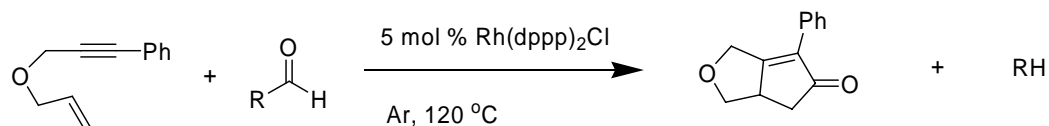
necessary to search for CO surrogate. Morimoto first utilized pentafluorobenzaldehyde as a CO source (Scheme 14).<sup>33</sup>



(Scheme 14: Pauson-Khand-type reaction using aldehyde as CO source by Morimoto *et al.*)

In the same period, Shibata also reported that the use of cinnamylaldehyde as a CO source.<sup>34</sup>

Table 2: Screening of aldehydes



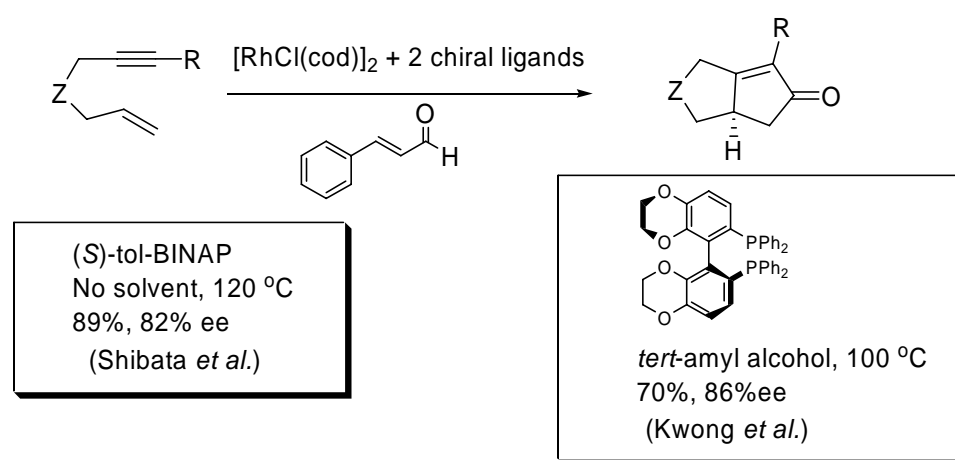
Entry	aldehyde (equiv.)	time / h	yield/ %
1	cinnamylaldehyde (20)	2	98
2	cinnamylaldehyde (5)	3	93
3	cinnamylaldehyde (1.2)	3	83
4 <sup>b</sup>	cinnamylaldehyde (1.2)	3	80
5 <sup>c</sup>	cinnamylaldehyde (20)	24	54
6	benzaldehyde (20)	3	87
7	benzaldehyde (1.2)	4	12
8	2-hexanal (20)	2	68
9	hexanal (20)	2	30

<sup>a</sup> Reaction was employed in a 0.3 mmol scale, and enone **2** was purified using preparative TLC, except entry **4**.

<sup>b</sup> Reaction was employed in a 2.1 mmol scale, and enone **2** was purified by bulb-to-bulb distillation.

<sup>c</sup> Reaction was employed at 60 °C

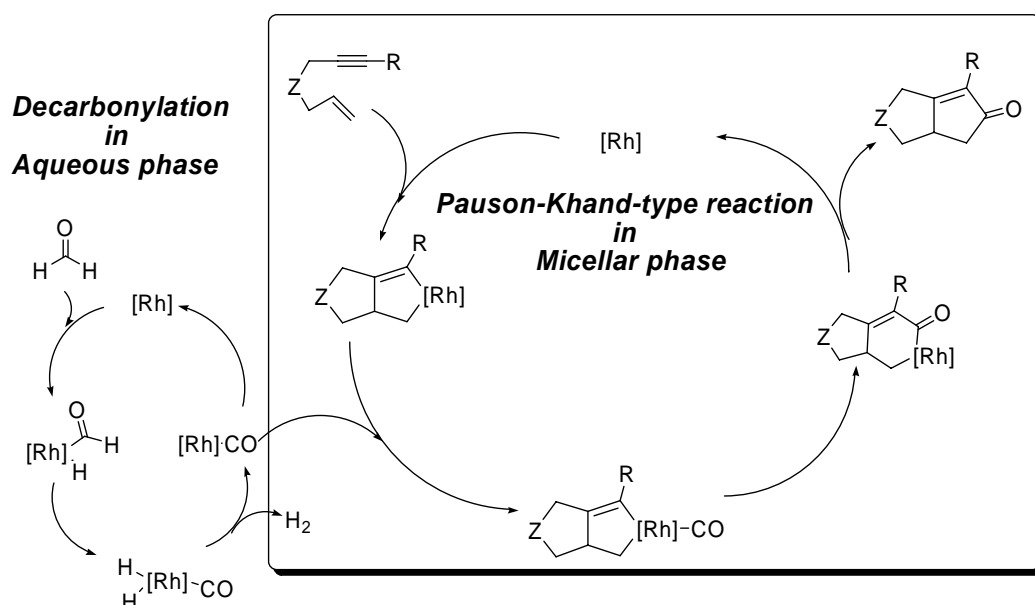
This catalytic system can be also applied for the enantioselective reaction using Rh-tol-BINAP under solventless environment<sup>35</sup> and at the nearly same period of time Kwong *et al.* also demonstrated that Rh-BisbenzodioxanPhos complex can catalyze PKR in homogenous *tert*-amyl alcohol under milder reaction conditions (Scheme 15).<sup>36</sup>



(Scheme 15: Asymmetric Pauson-Khand-type reaction using cinnamylaldehyde as CO surrogate)

Although aldehydes were capable to be a CO source for PKR, side products from decarbonylation such as pentafluorobenzene and styrene would be wasted. To minimize the side products, Morimoto and co-workers tried to employ formaldehyde as CO surrogate under aqueous conditions (Scheme 16).<sup>37</sup> With the combined use of hydrophobic DPPP [1,3-bis(diphenyl-phosphino)propane], hydrophilic TPPTS (triphenylphospholane-3,3',3''-trisulfonic acid trisodium salt) phosphines and a surfactant SDS (sodium dodecyl sulfate), they pointed out the importance of TPPTS as it is a water soluble phosphine and acts as the ligand instead of DPPP in water for decarbonylation as the CO source, formaldehyde is

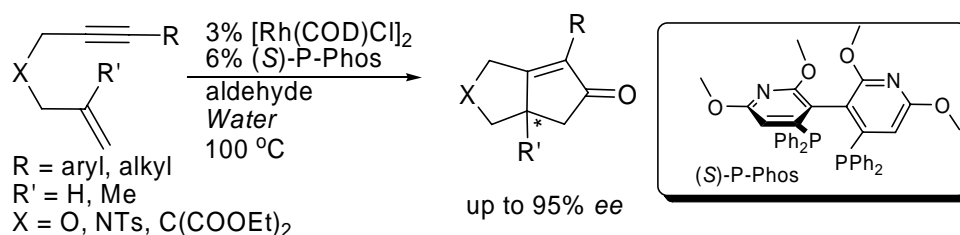
highly soluble in water. As decarbonylation and carbonylation took place in different phase, they seldom interfered with each others so led to smooth and efficient reaction. Various 1,6-enynes were converted into corresponding cyclopentenones. With (*s*)-tol-BINAP instead of DPPP, this catalytic system can be applied to asymmetric PKR.



(Scheme 16: Proposed mechanism for Pauson-Khand-type reaction using formaldehyde as CO surrogate by Morimoto et al.)

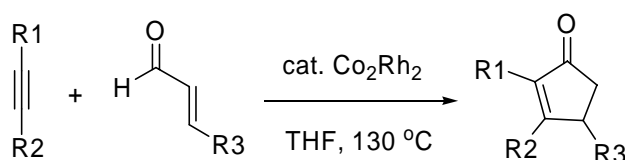
Kwong *et al.* reported the asymmetric PKR in water by using (*S*)-P-Phos under mild conditions. They also first demonstrated the Hammett Plot relationship between the product *ee* values and the electronic properties of substrates

(Scheme 17).<sup>38</sup>



(Scheme 17: Rhodium-catalyzed aqueous asymmetric Pauson-Khand-type reaction by Kwong *et al.*)

The most atom-economical reaction is that when the carbonyl and alkene moiety on aldehyde reacted directly with an alkyne. Chung reported that the use of Co/Rh heterobimetallic nanoparticles derived from  $\text{Co}_2\text{Rh}_2(\text{CO})_{12}$  to catalyze reaction of an  $\alpha,\beta$ -unsaturated aldehydes with alkynes to give desired cyclopentenones (Scheme 18).<sup>39</sup>



R1, R2 = Ph, Me, H  
R3 = Ph, alkyl, TMS

(Scheme 18:  $\text{Co}_2\text{Rh}_2$  catalyzed cyclization of an  $\alpha,\beta$ -unsaturated aldehydes with alkynes by Chung *et al.*)

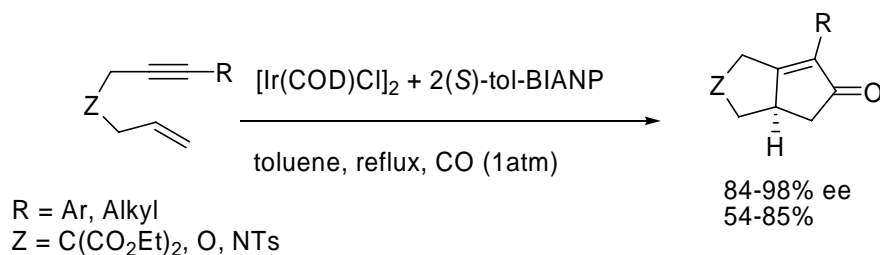
#### 1.2.4. Iridium-catalyzed Pauson-Khand-type reactions

##### 1.2.4.1. Iridium-catalyzed Pauson-Khand-type Reactions under CO atmosphere

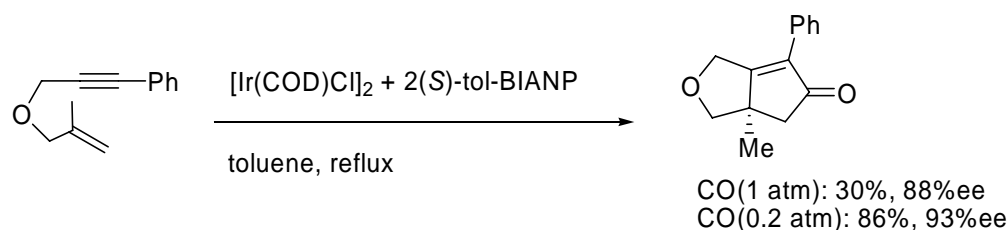
In the view of the interest in cobalt and rhodium-catalyzed PKR, it was natural that attention would be turned to iridium. In 2000 Shibata and Tagaki reported the first iridium-catalyzed asymmetric PKR by Ir-tol-BINAP complex which was prepared *in situ* from commercially available  $[\text{Ir}(\text{COD})\text{Cl}]_2$  and tol-BINAP to obtain desired cycloadducts in excellent *ee* values (Scheme 20).<sup>40</sup> Later Shibata *et al.* reported that higher yields and *ee* values can be obtained under lower partial pressure of carbon monoxide atmosphere (Scheme 21).<sup>6(a)</sup>

1.2.4.2. Iridium-catalyzed Pauson-Khand-type Reactions under CO atmosphere

Furthermore they also demonstrated cinnamylaldehyde can act as CO surrogate instead of carbon monoxide for the asymmetric Pauson-Khand-type transformation.

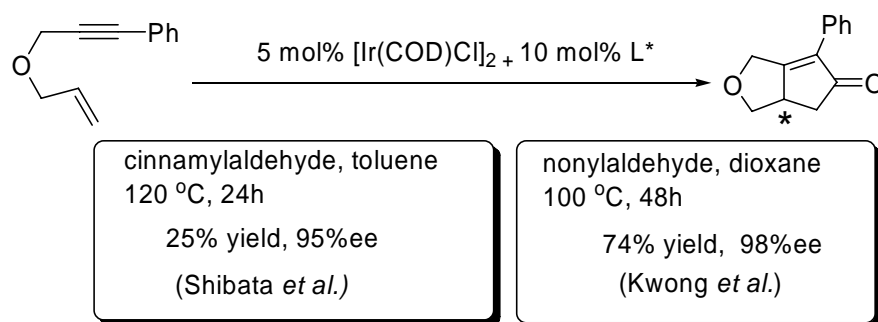


(Scheme 20: Asymmetric Pauson-Khand-type reaction under CO atmosphere by Shibata *et al.*)

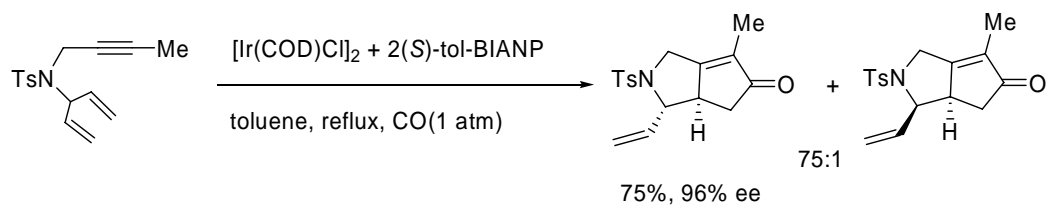


(Scheme 21: Asymmetric Pauson-Khand-type reaction under CO atmosphere by Shibata *et al.*)

Meanwhile Kwong *et al.* also reported that using Ir-BINAP complex to catalyze decarbonylation of aldehyde and asymmetric PKR to obtain cyclopentenones in excellent *ee* values (Scheme 22).<sup>41</sup> Jeong also employed the Ir-tol-BIANP complex in a highly enantio- and diastereoselective PKR to give vinyl-substituted bicyclic cyclopentenones with two chiral centers (Scheme 23).



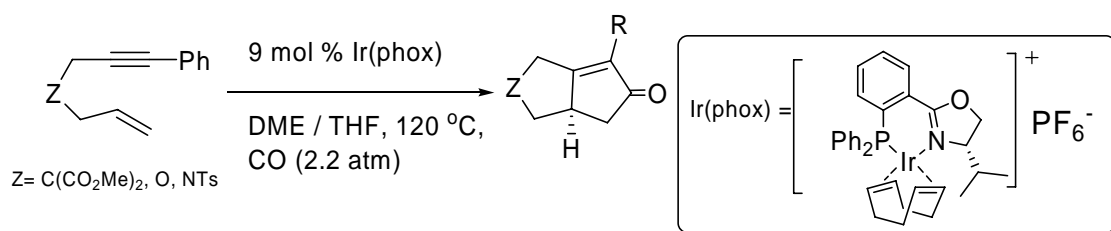
(Scheme 22: Decarbonylation of aldehyde and cascade asymmetric Pauson-Khand-type reaction by Kwong *et al.*)



(Scheme 23: Highly enantio- and diastereoselective Pauson-Khand-type reaction with Ir-tol-BINAP catalysts by Jeong *et al.*)

Recently Pfaltz and co-workers reported using iridium and chiral phosphine-oxazolines (phox ligands) to catalyze intramolecular asymmetric PKR under carbon monoxide atmosphere. They also demonstrated that the influence of anion on the enantioselectivity and chemical yield. Best results were obtained by using relatively small and weakly coordinating anions such as  $\text{BF}_4$ ,  $\text{PF}_6$  and  $\text{SbF}_6$

(Scheme 24).<sup>42</sup>

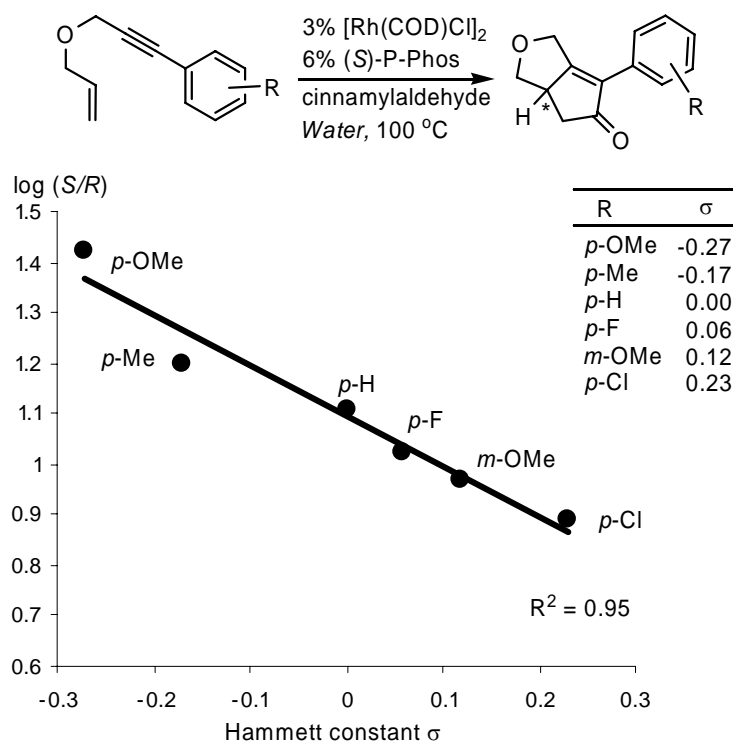


(Scheme 24: Enantioselective Pauson-Khand-type reaction with  $\text{Ir}(\text{phox})$  catalysts by Pfaltz *et al.*)

### 1.3. Factors affect stereoselectivity

#### 1.3.1. Hammett plot relationship between enantioselectivity of PKR products and electronic properties of substrates

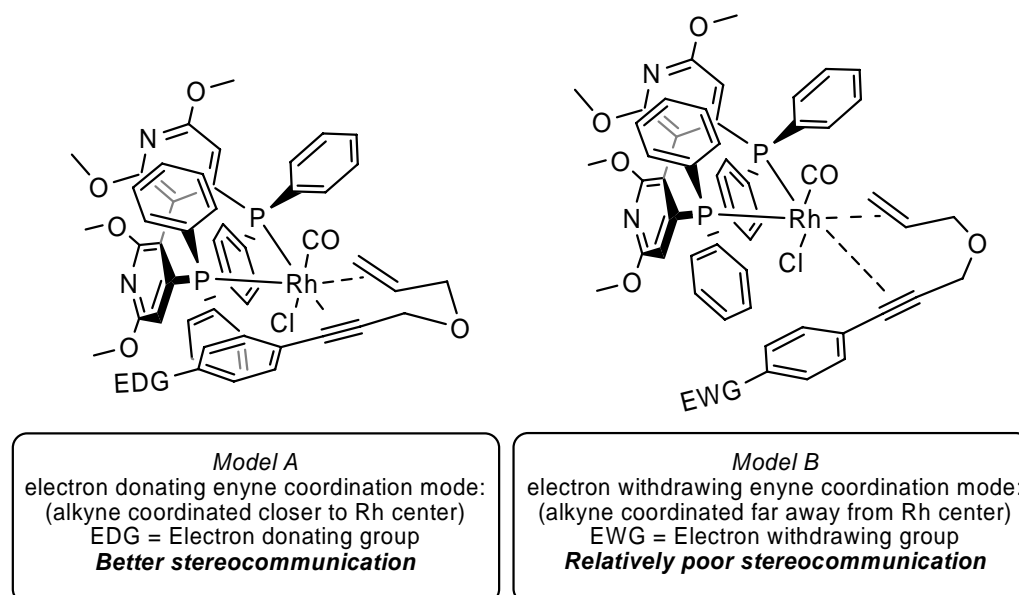
To further study the PKR, Kwong and co-workers performed an electronic effect investigation on the eyne. They showed the electron-donating aromatic enynes provided high enantioselectivity when electron-withdrawing substituents produced low enantioselective products. The enantioselectivity of products and electronic properties of substrates can be demonstrated from a Hammett Plot.



(Figure 1: Hammett Plot between enantioselectivity of products and electronic properties of substrates)

It showed that substrates with *para* and *meta* substitutions formed a linear free energy relation while *ortho*-substituted enynes was outside the trend as the steric

factor dominate the electronic factor in this case. The electronic effect can be accounted by stereochemical communication between the enyne and rhodium catalyst (Figure 2).



(Figure 2: Proposed stereochemical communication between enyne and rhodium catalysts)

Electron-rich enyne (**A**) may coordinate more closely to the rhodium center through more  $\pi$  interaction, stereochemical communication was then improved and led to high enantioselectivity, vice versa electron-poor enyne (**B**) may coordinate far away from the metal so lower enantioselectivity was obtained.

### 1.3.2. Electronic effect of ligands on stereoselectivity and reaction rate of

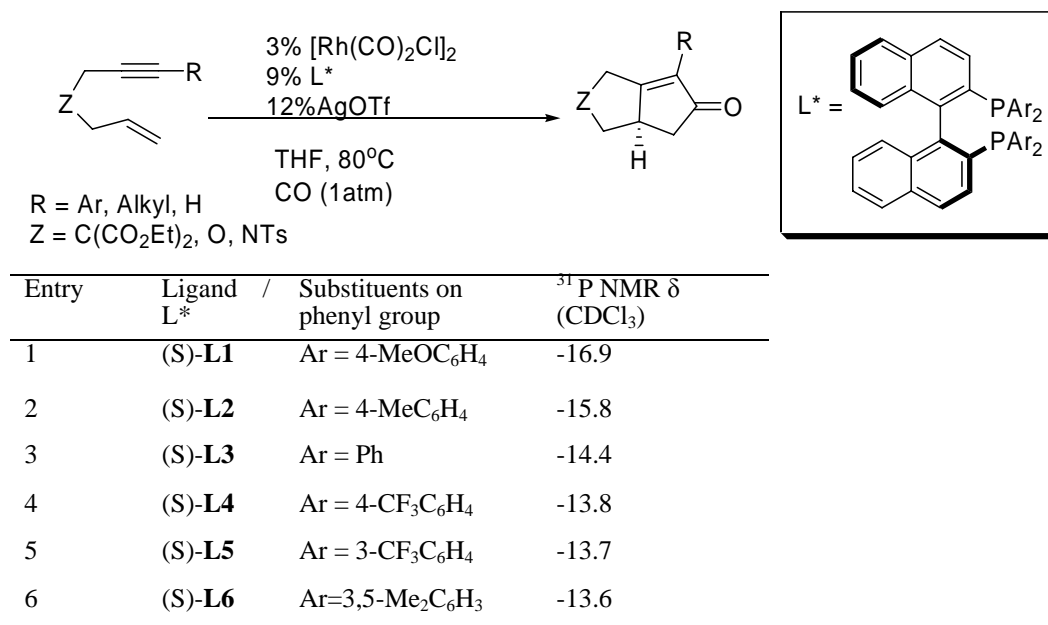
#### PKR

Electronic effect of ligands was believed to affect stereoselectivity in PKR. Recently, Genêt *et al.* and Jeong *et al.* reported electronic effect of ligands on stereoselectivity and reaction rate.<sup>43</sup> They reported that an electron-donating



ligand made the metal center more electron rich so that the  $\pi$ -back-bonding from metal to carbon monoxide became stronger and hence more energy was required for decarbonylation. Therefore electron-deficient ligand weakened the  $\pi$ -back-bonding so as to accelerate the decarbonylation. However the oxidative formation of metallacyclopentene, are accelerated by the electron-donating ligand. Hence ligands with different substituents on the phosphorus were screened (Table 4).

Table 4: Electronic effect of ligands with different substituents on phenyl group



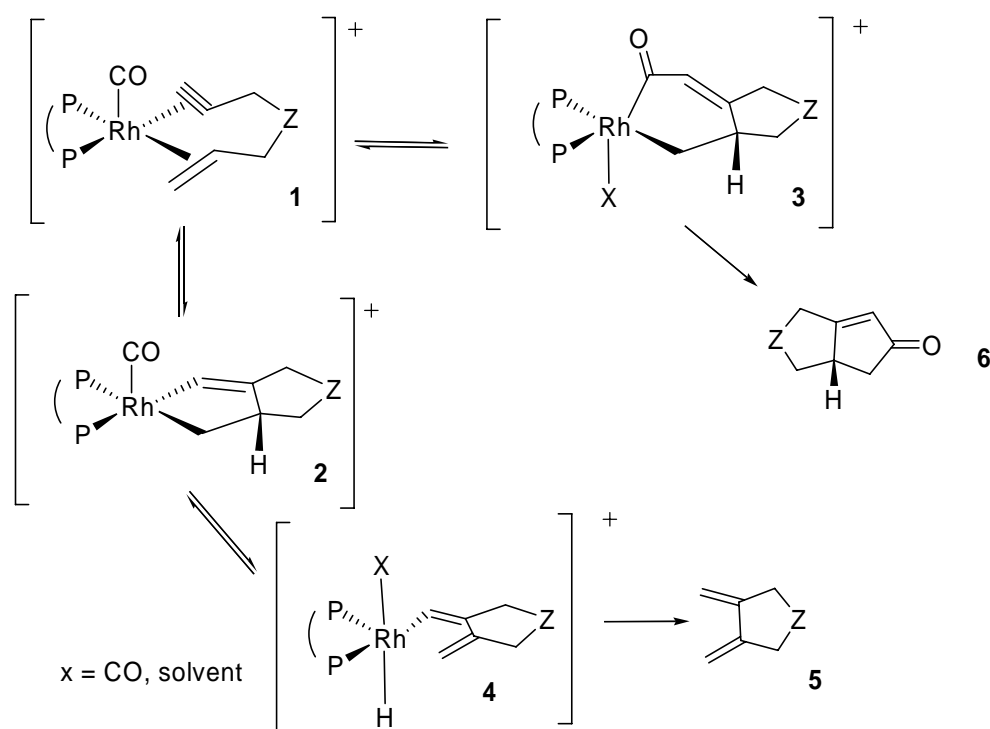
And they suggested that product yield was improved by using electron-donating ligand **L1** while enantiomeric excess can be improved by using electron-deficient ligand **L6** for the same substrate. Furthermore they also reported that the degree of enantioselectivity improvement was dependent on the substrate.

Tosylamino-tethered substrates were relatively insensitive to the electron character of ligand when oxygen-tethered substrates responded significantly.

Malonate-tethered substrates did not show any trend as the electron character of ligand changed.

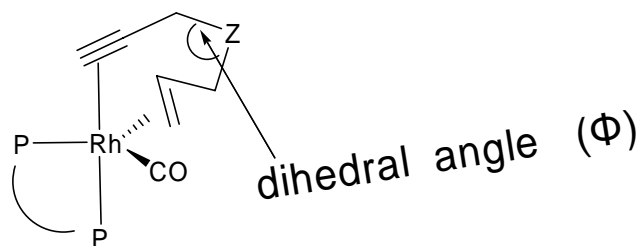
Malonate-tethered substrates were known to give worse yield and enantiomeric excess than tosylamino-tethered and oxygen-tethered substrates. It was believed that  $\beta$ -H elimination of metallacyclopentene would give diene side product

(Scheme 25, complex 5).



(Scheme 25: Proposed mechanisms of Pauson-Khand-type reaction)

And the  $\beta$ -H elimination was influenced by the electron density of metal and the dihedral angle of enynes. The smaller dihedral angle of enynes would favour  $\beta$ -H elimination (Figure 3).



(Figure 3: Dihedral angle,  $\Phi$  of enyne)

Malonate-tethered substrates had smaller dihedral angle ( $\Phi$ ) due to Thorpe-Ingold effect by the two ester groups and hence diene side product would be formed easier. Moreover the tighter binding of the electron-rich enynes would also make the dihedral angle ( $\Phi$ ) smaller and lead to lower yield but with improved enantiomeric excess as stereochemical communication increased.<sup>38</sup>

In the view of economic and environmental friendly processes, high priority should be given to the application and development of catalytic PKR to broaden the substrate scopes especially for the intermolecular systems as they are rare studied. Furthermore it is necessary to find out other CO sources in PKR instead of carbon monoxide as it is a highly toxic, odorless gas and difficult to handle in the reaction.

## 1.4. Summary on catalyst activity and average turnover number

Table 5: Catalyst activity and practicability

Entry	Catalyst system	$p(\text{CO})[\text{atm}]$	TON <sup>a</sup>	Cost	Sensitivity grade <sup>b</sup>
1	[Rh(dppp) <sub>2</sub> Cl]	0	20	—	—
2	[Rh(COD)Cl] <sub>2</sub>	0	10	High	1
3	[Rh(CO) <sub>2</sub> Cl] <sub>2</sub>	1	82	High	3
4	[Cp <sub>2</sub> Ti(CO) <sub>2</sub> ]	1.22	19	High	4
5	[Co <sub>2</sub> (CO) <sub>8</sub> ] + R <sub>3</sub> PS	1	16	Low	3
6	[Co <sub>2</sub> (CO) <sub>7</sub> PPh <sub>3</sub> ]	1.05	11	—	1
7	[Co <sub>2</sub> (CO) <sub>8</sub> ] + hv	1	10	Low	3
8	[Co <sub>2</sub> (CO) <sub>8</sub> ] + CyNH <sub>2</sub>	1	10	Low	3
9	[Co <sub>2</sub> (CO) <sub>8</sub> ] + DME	7	50	Low	3
10	[Co <sub>3</sub> (CO) <sub>9</sub> (μ <sup>3</sup> -CH)]	7	17	—	2
11	[Co <sub>4</sub> (CO) <sub>12</sub> ]	5	7	Medium	2
12	[Co <sub>4</sub> (CO) <sub>11</sub> P(OPh) <sub>3</sub> ]	5	7	—	1
13	[Co(acac) <sub>2</sub> ]+NaBH <sub>4</sub>	30-40	100	Low	0
14	Indenyl cobalt(I)	15	97	—	0
15	[Co <sub>4</sub> (CO) <sub>12</sub> ]	10	50	Medium	2
16	[Ru <sub>3</sub> (CO) <sub>12</sub> ]	15	16	Medium	1

<sup>a</sup> TONs calculated with respect to the number of moles of Co, Ti, Rh, Ru in the catalyst

<sup>b</sup> 0 = stable, 4 = highly sensitive

Table 6: TONs observed with different metal-based complexes

Entry	Substrate	TON Co	TON Ti	TON Rh	TON Ru	TON Ir
1		12	4	14	8	—
2		10	18	20	13	4
3		10	19	7	12	—
4		2	18	14	12	4
5		10	16	16	15	4

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## CHAPTER 2

# Rhodium-BisbenzodioxanPhos complex-Catalyzed Enantioselectivity Pauson- Khand- Type Cyclization in Alcoholic Solvents

### 2.1.Introduction

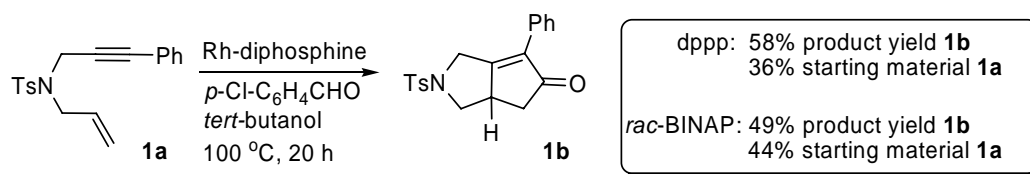
Following the good results of cobalt-catalyzed PKR, several research groups turned their attention on rhodium. In 1998, Narasaka *et al.* employed  $[\text{Rh}(\text{CO})_2\text{Cl}]_2$  to catalyze PKR between electron-deficient alkynes and alkenes under 1 atm of carbon monoxide to afford the corresponding cyclopentenones in good yield. In 2000, Jeong *et al.* reported that using  $[\text{Rh}(\text{CO})_2\text{Cl}]_2$  and (*S*)-BINAP in the presence of silver salt (e.g. AgOTf) under carbon monoxide atmosphere to afford chiral cyclopentenones with good to excellent *ee* values. (Ch 1, scheme 12) Although major improvements were achieved on PKR to enhance its product yields and enantiomeric excess, use of highly toxic carbon monoxide signifies a drawback to the PKR. Hence it is urgent to find out other CO surrogates to replace carbon monoxide in PKR.

Decarbonylation of aldehydes was first discovered by Tsuji and Ohno in 1965<sup>1(a)</sup> and a couple of years later a rhodium-catalyzed decarbonylation of aldehydes

was reported.<sup>1(b)</sup> Hence decarbonylation of carbonyl compounds (eg. aldehydes) as the CO surrogate may provide an attractive protocol for the PKR.<sup>2,3,4</sup> Later on two independent research groups (Kakiuchi/Morimoto)<sup>2(d)</sup> and Shibata<sup>3(a)</sup> offer a convenient “carbon monoxide” reagent for asymmetric carbonylation reactions. It is operational simply to run a reaction in a solventless reaction conditions or aid with a relatively non-toxic solvent medium. Shibata *et al.* used aldehyde as both CO source as well as the solvent medium in asymmetric PKR with good *ee*. However, no successful homogeneous catalytic asymmetric PKR systems that enable the use of relatively less toxic alcoholic solvents have been reported yet. Therefore I would like to catalyze the asymmetric PKR in less toxic alcoholic medium.

## 2.2. Results and discussion

The feasibility of the Rh-catalyzed decarbonylation of aldehyde under alcoholic solvents was examined in the initial studies. The reaction of enyne **1a** and *para*-chlorobenzaldehyde in the presence of catalytic amount of [Rh(COD)Cl]<sub>2</sub> and dppp<sup>5</sup> (or *rac*-BINAP) in *tert*-butanol at 100 °C for 20 hours afforded the desired cyclopentenone **1b** in 58% yield according to GC analysis, along with 36% of unreacted **1a** (scheme 1).

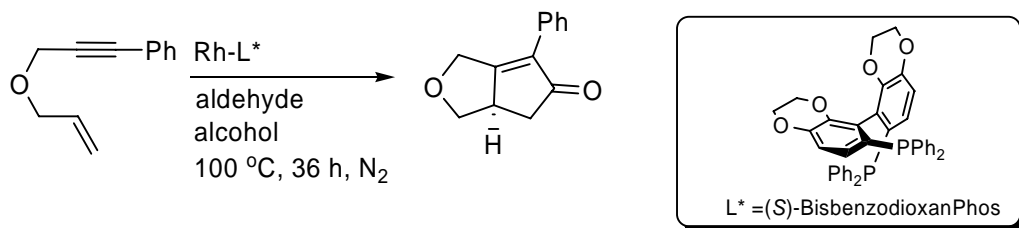


(Scheme 1: Initial results of decarbonylation of *para*-chlorobenzaldehyde and cascade PKR process)

The promising results showed the potential of catalytic decarbonylation in alcoholic medium. Next I would like to explore this reaction into asymmetric version to provide chiral cyclopentenones and hence different kinds of chiral ligands were subjected to the reaction (Table1).

*Rh-BisbenzodioxanPhos complex-Catalyzed  
Enantioselectivity PKR in Alcoholic Solvents*

Table1: Effects of ligand, solvent and aldehyde in enantioselective Pauson-Khand-type reaction in alcoholic medium.<sup>a</sup>



Entry	Ligand	Aldehyde	Solvent	%yield <sup>b</sup>	%ee <sup>c</sup>
1	( <i>S</i> )-BINAP	Benzaldehyde	<i>t</i> -BuOH	22	67
2	( <i>S</i> )-BisbenzodioxanPhos	Benzaldehyde	<i>t</i> -BuOH	58	81
3	( <i>S</i> )-BisbenzodioxanPhos	Benzaldehyde	<i>t</i> -amyl alcohol	70	86
4	( <i>S</i> )-BisbenzodioxanPhos	Benzaldehyde	<i>n</i> -BuOH	44	79
5	( <i>S</i> )-BisbenzodioxanPhos	<i>p</i> -OMe-benzaldehyde	<i>t</i> -amyl alcohol	12	33
6	( <i>S</i> )-BisbenzodioxanPhos	<i>p</i> -Cl-benzaldehyde	<i>t</i> -amyl alcohol	72	80
7	( <i>S</i> )-BisbenzodioxanPhos	<i>n</i> -nonylaldehyde	<i>t</i> -amyl alcohol	39	77
8	( <i>S</i> )-BisbenzodioxanPhos	cinnamylaldehyde	<i>t</i> -amyl alcohol	81	85
9	( <i>S</i> )-BINAP	cinnamylaldehyde	<i>t</i> -amyl alcohol	49	73
10	( <i>S</i> )-tol-BINAP	cinnamylaldehyde	<i>t</i> -amyl alcohol	66	78
11 <sup>d</sup>	( <i>S</i> )-P-Phos	cinnamylaldehyde	<i>t</i> -amyl alcohol	79	84
12 <sup>e</sup>	( <i>R,R</i> )-Et-DuPhos	cinnamylaldehyde	<i>t</i> -amyl alcohol	5	n.d.

<sup>a</sup> Reaction conditions: [Rh(COD)Cl]<sub>2</sub> (3 mol%), ligand (6 mol%), enyne (0.3 mmol), aldehyde (0.45 mmol) and magnetic stirrer-bar were charged to a screw-capped vial at RT. Unpurified solvent (0.2 mL, 1.5 M, prior bubbled with nitrogen for 2 mins) was added under nitrogen and the reaction was stirred at 100 °C for 36 hours.

<sup>b</sup> Isolated yield.

<sup>c</sup> Average of two runs from chiral HPLC analysis using Daicel Chiracel<sup>®</sup> AD-H columns (0.46 cm × 25 cm).

<sup>d</sup> (*S*)-P-Phos: (*S*)-2,2',6,6'- Tetramethoxy- 4,4'-bis(diphenylphosphano)- 3,3'-bipyridine.

<sup>e</sup> (*R,R*)-Et-DuPhos: (-)-1,2-Bis((2*R*,5*R*)-2,5-diethylphospholano)benzene.

### **2.2.1. Ligands screening**

Several axially chiral ligands such as (*S*)-BINAP, (*S*)-tol-BINAP, (*S*)-P-Phos, (*S*)-BisbenzodioxanPhos<sup>6</sup> were screened (Table 1, entries 8-12), (*S*)-BINAP was found to give moderate yield and enantioselectivity of the PKR product when (*S*)-BisbenzodioxanPhos gave high yield and good enantioselectivity. The better results may due to better solubility of (*S*)-bisbenzodioxanPhos in alcoholic medium and hence provided a better homogenous reaction conditions for catalysis.

### **2.2.2. Solvents screening**

To optimize the catalytic system, several alcohols were screened (Table 1, entries 2-4), branched alcohol were found to give better yield than that of aliphatic alcohol obtained and *tert*-amyl alcohol gave highest yields among the solvents. Unpurified bench grade (4L bottle) *tert*-amyl alcohol solvent gave the same good results as those obtained from using a purified solvent.

### **2.2.3. Electronic effects of aldehyde on decarbonylation**

In order to increase the efficiency of the newly developed Rh-bisbenzodioxanPhos system, we examined different aldehydes as the CO surrogates (Table 1, entries 3, 5-8). The electrochemical nature of aromatic aldehydes was found to be responsible for both CO-transfer catalysis and

asymmetric carbonylative cyclization (Table 1, entries 3, 5 and 6). Electron-poor *p*-chlorobenzaldehyde can provide CO moiety more efficiently than the electron-rich *p*-methoxybenzaldehyde, which was supported by Hammett plot study for the decarbonylation of *para*-substituted benzaldehydes by Fristrup *et al.*<sup>7</sup> later. Aliphatic aldehyde gave the lowest product yield whereas  $\alpha, \beta$ -unsaturated aldehyde gave the best results in terms of both yield and enantioselectivity (Table 1, entry 8) and it may be due to its conjugated system to facilitate the CO donation. To further probe the effectiveness of the Rh-BisbenzodioxanPhos system, various oxygen-tethered 1,6-enynes for the enantioselective PKR were examined (Table 2).

## 2.2.4. Scope and limitation of

### *Rhodium-BisbenzodioxanPhos catalyzed asymmetric Pauson-Khand-type reaction*

Table 2: Rh-BisbenzodioxanPhos-catalyzed enantioselective Pauson-Khand-type cyclization in *tert*-amyl alcohol.<sup>a</sup>

entry	substrate	product	% yield <sup>b</sup>	% ee <sup>c</sup>	entry	substrate	product	% yield <sup>b</sup>	% ee <sup>c</sup>
1			61	96	9			trace	n.d.
2			69	91	10			94	80
3			78	91	11			97	88
4			83	89	12			90	77
5			81	85	13			91	69
6			79	70	14			trace	n.d.
7			72	49	15			trace	n.d.
8			78	84					

<sup>a</sup> Reaction conditions: [Rh(COD)Cl]<sub>2</sub> (3 mol%), (*S*)-BisbenzodioxanPhos (6 mol%), enyne (0.3 mmol), cinnamaldehyde (0.45 mmol) and magnetic stirrer-bar were charged to a screw-capped vial at room temperature. Unpurified *tert*-amyl alcohol (0.2 mL, 1.5M, prior bubbled with nitrogen for 2 min) was added under N<sub>2</sub> and the reaction mixture was stirred at 100°C for 36h.

<sup>b</sup> Yield of isolated product.

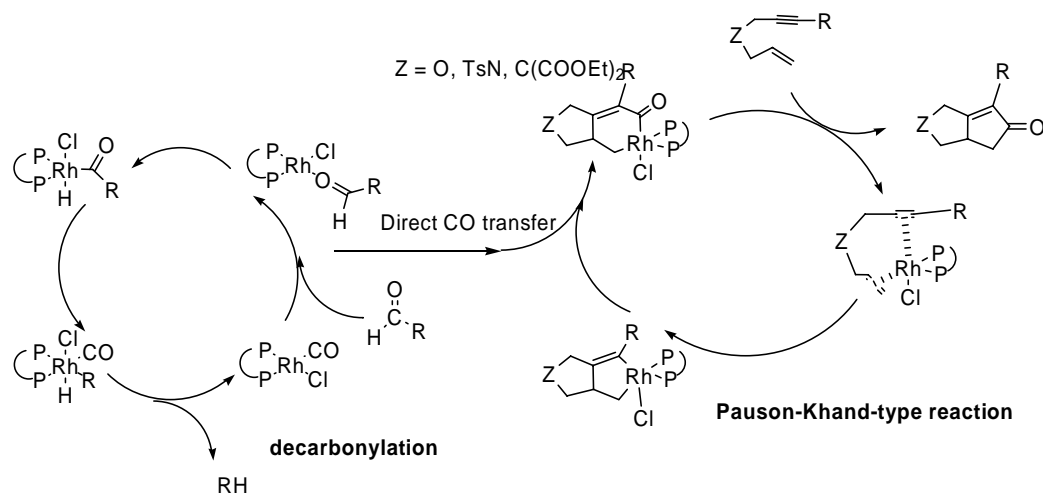
<sup>c</sup> Determined by chiral HPLC analysis using Daicel Chiralcel® AD-H, AS-H, OD-H columns (0.46 x 25cm)

Alkyl-substituted alkynes gave excellent enantioselectivities (up to 96 %ee) of



the corresponding products (Table 2, entry 1). 1,1-Disubstituted alkene reacted smoothly to give high enantioselectivity product (Table 2, entry 2). Various aromatic enynes were subjected to PKR (Table 2, entries 3-8) and interestingly electron-donating aromatic enynes gave higher enantioselectivity whereas electron-withdrawing aromatic enynes gave lower enantioselectivity cycloadducts. However the Hammett Plot relationship between the electronic properties of the substrates and its cycloadducts *ee* values which suggested by Kwong *et al.* cannot be demonstrated in this catalytic system. Surprisingly sterically hindered *ortho*-substituted enyne can also give moderate yield and high *ee* (84 %*ee*) value (Table 2, entry 8). This catalytic condition can also applied to nitrogen-tethered and carbon-tethered enynes (Table 2, entries 10-13), excellent yields and high *ee* values were observed in substrates with alkyl substitution in stead of a phenyl group. This catalytic condition cannot provide cycloadduct with thiophenyl-substituted substrate (Table 2, entry 9), it may probably due to the coordination of the thiophene moiety to the metal center which rendered the metal-complex coordinative saturated. Furthermore this catalytic system also cannot catalyze both 1,7 and 1,8-enynes (Table 2, entries 14,15)

### 2.2.5. Proposed mechanisms



(Scheme 2: Proposed mechanisms for Rh-catalyzed Pauson-Khand-type reaction and decarbonylation of aldehyde)

Aldehyde firstly approached the rhodium catalyst, followed by insertion, migration of CO and elimination to provide CO moiety and alkane. The CO moiety was then transferred to the metallacycle intermediate and enabled effective carbonylative coupling to form desired cyclopentenone. It was proposed that no free CO gas existed in the reaction

## 2.3. Conclusion

In summary, a homogeneous system was developed for the efficient decarbonylation of aldehyde and cascaded asymmetric Pauson-Khand-type cyclization in relatively non-toxic alcoholic medium. In the presence of axially chiral (*S*)-BisbenzodioxanPhos ligand, *O*-, *N*- and *C*-tethered cyclopentenones were obtained with good to excellent *ees* in this cooperative dual catalysis. Interestingly, the electronic influence of the substrate was found to be responsible for the enantioselectivity of the product.

## 2.4. Experimental section

*General procedures for asymmetric Pauson-Khand-type cyclization of various enynes:* [Rh(COD)Cl]<sub>2</sub> (4.4 mg, 9.0 μmol), (*S*)-BisBenzodioxanPhos (11.5 mg, 18.0 μmol), aldehyde (0.45 mmol, 1.5 equivalents with respected to enyne) and Teflon-coated magnetic stirrer bar (3 mm × 10 mm) were charged to a Teflon-lined screw-capped vials on bench-top at room temperature with continuous stirring. Enynes (0.3 mmol) was then added. These vials were evacuated and backfilled with nitrogen (3 cycles), followed by the addition of unpurified *tert*-amyl alcohol (0.2 mL, 1.5 M, from bench grade 4L bottle, prior bubbled with nitrogen for 2 mins). The reaction mixtures were magnetically stirred in a preheated 100 °C (± 3 °C) oil bath for 36 hours (reaction times were unoptimized for each substrate). The vials were allowed to reach room temperature. Diethyl ether or ethyl acetate (~2 mL) was added. The crude reaction mixtures were directly purified by column chromatography on silica gel using hexane/ethyl acetate mixture as the eluent to afford chiral bicyclic cyclopentenones. The enantiomeric excess of the products were determined by chiral HPLC analysis using Chiralcel<sup>®</sup> columns..

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## **CHAPTER 3**

### **Iridium-Catalyzed Cascade**

### **Decarbonylation/Highly Enantioselective**

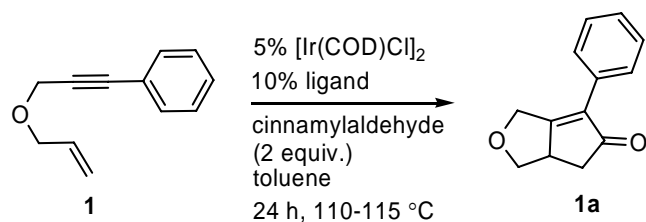
### **Pauson-Khand-type Cyclization Processes**

#### **3.1. Introduction**

Rhodium-catalyzed asymmetric Pauson-Khand-type reaction had drew much attention in recent decade however the iridium-catalyzed enantioselective Pauson-Khand-type reaction remains only sporadically studied<sup>1</sup>. Apart from the rhodium catalyst, iridium complexes such as [Cp\*IrMe<sub>2</sub>(DMSO)] was realized can be effective in decarbonylation of aldehydes to give iridium-carbonyl complexes.<sup>2</sup> Hence I would like to explore the applicability of chiral iridium complex in the cooperative decarbonylation/ enantioselective cyclization sequential processes

### 3.2. Results and discussion

To probe the feasibility of Ir-catalyzed cooperative decarbonylation/Pauson-Khand-type reaction were performed with  $[\text{Ir}(\text{COD})\text{Cl}]_2$  complex (Scheme 1).



(Scheme 1)

Table 1: Initial results on Ir-catalyzed decarbonylation of aldehyde and cascade Pauson-Khand-type reaction<sup>a</sup>

Entry	Ligand	Aldehyde	Solvent	Yield/% <sup>b</sup>
1	Nil	cinnamylaldehyde	toluene	11
2	$\text{PPh}_3$	cinnamylaldehyde	toluene	15
3	dppb	cinnamylaldehyde	toluene	49
4	rac-BINAP	cinnamylaldehyde	toluene	58

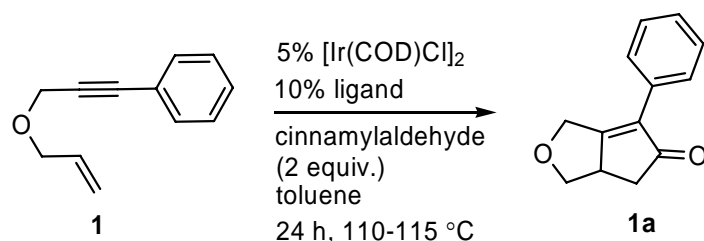
<sup>a</sup>Reaction conditions: 5 mol%  $[\text{Ir}(\text{COD})\text{Cl}]_2$ , 10 mol% L, enyne **1** (0.3 mmol), cinnamylaldehyde (0.6 mmol) and toluene (4.0 mL) were stirred at 110-115 °C for 24 h under nitrogen atmosphere.

<sup>b</sup>Isolated yield.

Initial studies revealed that phosphine ligands were required for this catalytic transformation (Table 1, entry 1). Bidentate phosphines (e.g. dppb<sup>3</sup> and

*rac*-BINAP) provided significantly higher yield of the cyclopentenone **1a** than triphenylphosphine (Table 1, entries 2-4). Next I would like to explore the capability of the enantioselective version of this dual catalysis hence several commercially available chiral diphosine ligands were examined (Table 2).

Table 2: Effects of chiral phosphine ligands and solvents on Ir-catalyzed asymmetric Pauson-Khand-type cyclization.<sup>a</sup>



Entry	Chiral ligand	Solvent	% yield <sup>b</sup>	% ee <sup>c</sup>
1	( <i>S</i> )-BINAP	toluene	42	94
2	( <i>S</i> )-xylyl-BINAP	toluene	41	92
3	( <i>S</i> )-P-Phos	toluene	39	90
4	( <i>S</i> )-xylyl-P-Phos	toluene	36	90
5	( <i>S</i> )-BisbenzodioxanPhos	toluene	41	92
6	( <i>R</i> )-PHANEPHOS	toluene	21	56
7 <sup>d</sup>	( <i>R,R</i> )-Et-Duphos	toluene	12	24
8 <sup>d</sup>	( <i>R,R</i> )-Me-Duphos	toluene	14	22
9 <sup>d</sup>	( <i>R,R</i> )-Et-Ferro-TANE	toluene	14	25
10 <sup>e</sup>	( <i>S</i> )- <i>N,N</i> -diMe-Monophos	toluene	21	67
11	( <i>S</i> )-BINAP	DMF	26	89
12	( <i>S</i> )-BINAP	dioxane	57	90
13	( <i>S</i> )-BINAP	DME	42	89
14	( <i>S</i> )-BINAP	THF	40	90
15	( <i>S</i> )-BINAP	[BMIM]NTf <sub>2</sub>	11	81

<sup>a</sup> Reaction conditions: 5 mol% [Ir(COD)Cl]<sub>2</sub>, 10 mol% L, enyne **1** (0.3 mmol), cinnamylaldehyde (0.6 mmol) and toluene (4.0 mL) were stirred at 110-115 °C for 48 h under nitrogen atmosphere.

<sup>b</sup> Isolated yield.

<sup>c</sup> *Ee* values were determined by chiral HPLC analysis using Daicel<sup>®</sup> Chiralcel AS column.

<sup>d</sup> A complex mixture of products were observed.

<sup>e</sup> 20 mol% ligand was used.



### **3.2.1. Ligands screening**

(*S*)-BINAP provided the best result among the ligands screened (Table 2, entry 1).

The sterically more demanding (*S*)-xylyl-BINAP has no beneficial effect for this

[2+2+1] reaction (Table 1, entry 2).<sup>4</sup> Both atropisomeric dipyridyldiphosphine

(*S*)-P-Phos and (*S*)-BisbenzodioxanPhos gave slightly lower *ee* of the product

(Table 1, entry 3-5). Phospholane-type chiral alkylphosphine Duphos and

Ferro-TANE showed significantly lower *ee* and yield of the bicyclic

cyclopentenone (Table 1, entry 7-9). Moderate *ee* and poor yield of the product

were observed when monodentate phosphoramidite ligand (*S*)-Monophos was

applied (Table 1, entry 10).

### **3.2.2. Solvents screening**

In addition to the ligand screening, the solvent effect was investigated. Toluene

as solvent provided the highest *ee* value of the product. We chose dioxane as

solvent for our further study as it gave higher product yield with only slightly

reduced enantioselectivity (Table 1, entry 12). Catalytic cooperative asymmetric

Pauson-Khand-type reaction in ionic liquid remains unprecedented.<sup>5</sup> A good *ee*

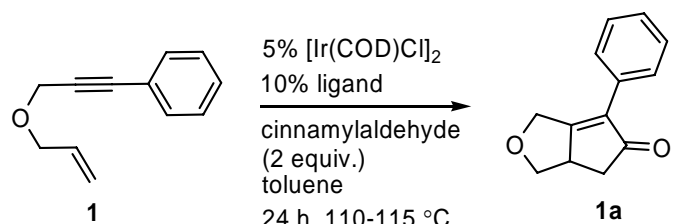
value but poor yield of the cyclopentenone product was observed when room

temperature ionic liquid [BMIM]NTf<sub>2</sub> was used as solvent (Table 1, entry 15).

However, initial attempt in recycling of the catalyst was unsuccessful.

### 3.2.3. Electronic effects of aldehyde on decarbonylation and enantioselective Pauson-Khand-type reaction

Table 3: Aldehyde screening for Ir-catalyzed enantioselective Pauson-Khand-type cyclization.<sup>a</sup>



Entry	Aldehyde	Equiv.	% yield <sup>b</sup>	% ee <sup>c</sup>
1	<i>trans</i> -cinnamylaldehyde	5	68	93
2	benzaldehyde	5	35	92
3	<i>p</i> -chlorobenzaldehyde	5	48	91
4	<i>p</i> -methoxybenzaldehyde	5	21	90
5	<i>n</i> -nonylaldehyde	5	74	94
6	2-pyridylmethylformate	5	30	80
7	<i>n</i> -nonylaldehyde	2	33	94
8	<i>n</i> -nonylaldehyde	10	48	93
9	<i>n</i> -nonylaldehyde	20	34	93

<sup>a</sup> Reaction conditions: 5 mol% [Ir(COD)Cl]<sub>2</sub>, 10 mol% L, enyne **1** (0.3 mmol), cinnamylaldehyde (0.6 mmol) and toluene (4.0 mL) were stirred at 110-115 °C for 48 h under nitrogen atmosphere.

<sup>b</sup> Isolated yield.

<sup>c</sup> *Ee* values were determined by chiral HPLC analysis using Daicel<sup>®</sup> Chiralcel AS column.

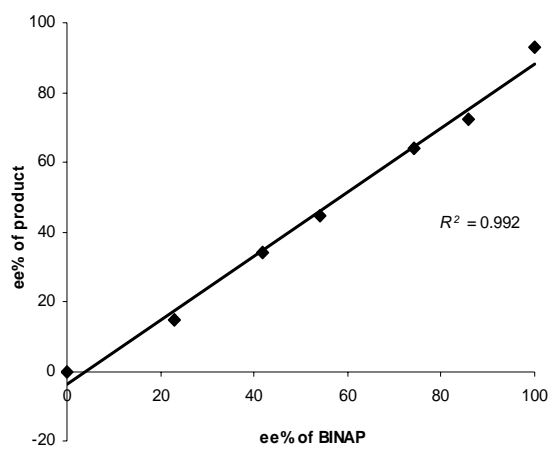
In order to increase the efficiency of the newly developed Ir-BINAP system, we examined different aldehydes as the CO surrogates (Table 3).  $\alpha,\beta$ -Unsaturated *trans*-cinnamylaldehyde gave good results in terms of both yield and enantioselectivity (Table 2, entry 1). The electrochemical nature of aromatic aldehydes was found to be responsible for both CO-transfer catalysis and the enantioselective carbonylative cyclization<sup>6</sup> (Table 3, entries 2-4). Electron-poor

*p*-chlorobenzaldehyde provided the CO moiety more effectively than the electron-rich *p*-methoxybenzaldehyde. The best aldehyde of choice was the *n*-nonylaldehyde (Table 3, entry 5). Notably, it was the first time that formate was shown to be capable for CO transfer in iridium-catalyzed enantioselective Pauson-Khand-type dual catalysis (Table 3, entry 6). Besides electronic effects of aldehyde, aldehyde loading was also found to be crucial to the product yield but the *ee* remained unaffected. The optimal yield was obtained when five equivalents of aldehyde were used. Interestingly, an addition of extra amount of aldehyde lowered the product yield.

#### **3.2.4. Metal to ligand ratio screening**

The relationship of metal to ligand ratio was also investigated. However no significant *ee* variations were observed when the ratio was varied from (*S*)-BINAP : Ir = 1:1 to 2:1. This result indicated that the catalytic complex with a ratio of (*S*)-BINAP : Ir = 1:1 would be involved for the catalytic reaction. To probe this question, the carbonylative cyclization of **1a** was performed using BINAP of varying *ee*. As shown in Figure 1, a linear correlation between the *ee* of the ligand and that of the product was observed, indicating that a 1:1 ligand-to-metal ratio is present in the catalytic complex.<sup>7</sup>

*Ir-Catalyzed Cascade Decarbonylation/Highly Enantioselective PKR*



(Figure 1)

### 3.2.5. Scope and limitation of Ir-BINAP catalyzed asymmetric Pauson-Khand-type reaction

Table 4: Ir-BINAP catalyzed asymmetric Pauson-Khand-type cyclization of various enynes.<sup>a</sup>

entry	substrate	product	% yield <sup>b</sup>	% ee <sup>c</sup>	entry	substrate	product	% yield <sup>b</sup>	% ee <sup>c</sup>
1			69	95	9			trace	n.d.
2			41	96	10			trace	n.d.
3			76	94	11			trace	n.d.
4			75	95	12			31	95
5			74	94	13			39	90
6			24	94	14			35	90
7			60	98	15 <sup>d</sup>			trace	n.d.
8			42	96					

<sup>a</sup> Reaction conditions: 5 mol% [Ir(COD)Cl]<sub>2</sub>, 10 mol% L, enyne **1** (0.3 mmol), cinnamylaldehyde (0.6 mmol) and toluene (4.0 mL) were stirred at 100-105 °C for 48 h under nitrogen atmosphere.

<sup>b</sup> Isolated yield.

<sup>c</sup> *Ee* values were determined by chiral HPLC analysis using Daicel<sup>®</sup> Chiralcel AS column.

<sup>d</sup> 100 °C, 96 h; 120 °C, 72 h.

To test the effectiveness of the Ir-BINAP system, various oxygen-tethered 1,6-enynes were examined for the enantioselective Pauson-Khand-type cyclization (Table 4). Alkyl-substituted alkyne gave excellent enantioselectivity

(95% *ee*) of the corresponding product (Table 4, entry 1). Enyne with 1,1-disubstituted alkene reacted smoothly to give the chiral quaternary carbon center bicyclic cyclopentenone in good enantioselectivity (Table 4, entry 2). Remarkably, excellent enantioselectivity (98% *ee*) was attained for the reaction with *para*-chloro substituted enyne (Table 4, entry 7). To the best knowledge, this is the highest *ee* value reported so far for cascade iridium-catalyzed Pauson-Khand-type transformations. Various new aromatic enynes, which possessed different electronic properties were prepared and subjected to carbonylative cyclizations (Table 4, entries 3-8). Kwong *et al.* have reported an electronic effect in Rh-catalyzed asymmetric Pauson-Khand-type reaction.<sup>6(a)</sup> A linear free energy relationship in Hammett study was observed. Aromatic enyne with larger Hammett constant usually provided higher enantioselectivity of the cyclopentenone. In contrast, no significant electronic relationship between the enyne substrates and the *ee* of the bicyclic cyclopentenones was observed in the Ir-BINAP catalyzed carbonylative cyclization. Aromatic enynes with different electronic properties generally provided enantioselectivities greater than 94% *ee*. Although the electronic effect is insignificant, steric factor plays an important role. Sterically congested *ortho*-substituted enyne gave only a trace amount of product as indicated from GC analysis (Table 4, entry 9). The coordination of

hindered enyne to iridium metal center seemed to be problematic hence nearly no conversion was observed and a quantitative amount of starting material was recovered after the reaction. Heterocyclic enyne with pyridyl or thiophenyl moiety were also found to be unsuccessful (Table 4, entries 10-11). Presumably, the heteroatom bound to the metal center more tightly than  $\pi$ -interaction of enyne and rendered the metal complex coordinative saturated. The Ir-BINAP system was also applied to other nitrogen- and carbon-tethered enynes (Table 4, entries 12-14). Surprisingly, cycloadducts with excellent enantioselectivities were obtained (up to 95% *ee*). Besides this catalytic system was also attempted to convert 1,8-enyne into its corresponding cycloadducts, however, only a trace amount of product was observed from continuous GC-MS analysis after prolonged heating at higher temperature (120 °C)

### **3.3. Conclusion**

In summary, Ir-diphosphine complex-catalyzed decarbonylation of aldehydes was successfully demonstrated. This versatile reaction was found to be a suitable cooperative partner in the sequential decarbonylation and highly enantioselective [2+2+1] carbonylative cyclization. Additionally, apart from aldehydes, initial result showed that formate was also capable as the CO source in Ir-catalyzed asymmetric carbonylative cyclization, which may provide an opportunity to use other readily available carbonyl compounds as CO surrogates in iridium catalysis. In the presence of easily accessible chiral Ir-BINAP catalyst and nonylaldehyde, various 1,6-enynes were transformed to optically active bicyclic cyclopentenones with excellent enantioselectivities that is generally higher than those obtained in rhodium-catalyzed asymmetric Pauson-Khand-type reaction.



### **3.4. Experimental section**

*General procedures for Ir-catalyzed asymmetric Pauson-Khand-type cyclization of various enynes:* [Ir(COD)Cl]<sub>2</sub> (10.0 mg, 0.015 mmol), (*S*)-BINAP (18.9 mg, 0.03 mmol) and Teflon-coated magnetic stirrer bar (3 mm × 10 mm) were charged into a Rotaflo<sup>®</sup> (England) resealable screw-cap Schlenk flask on bench-top at RT. The flask was evacuated and backfilled with nitrogen (3 cycles). Anhydrous dioxane (3.0 mL) was added under nitrogen atmosphere with continuous stirring for 10 minutes. Distilled aldehyde (1.5 mmol, 5 equivalents with respect to enyne) and enynes (0.3 mmol) were added sequentially *via* micro-syringe. Additional dioxane (1.0 mL, total = 4.0 mL) was used to rinse the inner-wall of the Schlenk flask. The flask was resealed and the reaction mixtures were magnetically stirred in a preheated 100 °C (± 3 °C) oil bath for 48 hours (reaction times were unoptimized for each substrate). The flask was allowed to cool to RT. Diethyl ether or CH<sub>2</sub>Cl<sub>2</sub> (~5 mL) was added (tiny amount of the aliquot was taken out for GC and TLC analysis) and then concentrated under reduced pressure. The crude reaction mixtures were directly purified by column chromatography on silica gel using hexane/ethyl acetate as the eluent to afford optically active bicyclic cyclopentenones. The enantiomer ratios were determined by chiral HPLC analysis.

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## CHAPTER 4

# Rh-Catalyzed Cooperative Decarbonylation and Asymmetric Pauson-Khand-type Cyclization Reactions using Formate as CO Surrogate

### 4.1. Introduction

Although major improvements on PKR conditions (such as temperature, catalyst loading and partial pressure of carbon monoxide required) have been achieved, the use of highly toxic carbon monoxide gas is still a concern. Hence there has been a considerable interest in the catalytic decarbonylation of carbonyl compounds to provide “CO” moiety for the PKR. In 2002, Kakiuchi/Morimoto<sup>1</sup> and Shibata<sup>2</sup> offered a convenient “carbon monoxide” reagent for asymmetric carbonylation reactions. Kwong *et al.* also utilized aldehyde as CO surrogate for the asymmetric PKR in both aqueous<sup>3</sup> and alcoholic<sup>4</sup> media to obtain optical active cyclopentenones with good yields and *ee* values. I believed that not only aldehyde can be CO source in PKR, it is possible to find out others carbonyl compounds that can provide CO moiety for the carbonylative cyclization.

Chemistry of formate ester had drawn much attention in recent years. The conversion of methyl formate to methanol and carbon monoxide is particularly

desirable in the generation of easily handled CO moiety. However, drastic reaction conditions are usually employed (eg. >180 °C and/or >1 atm. pressure).<sup>5</sup>

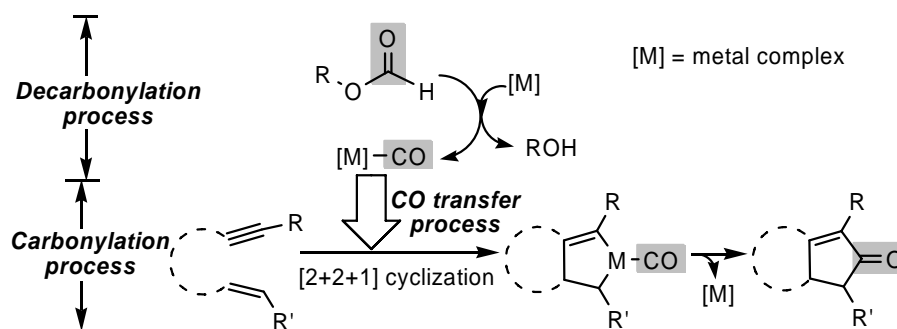
However Chung and co-workers demonstrated that decarbonylation of formates could be attained under mild reaction conditions (THF, 130 °C) with the suitable choice of metal complexes.<sup>6</sup>

During my study of Ir-catalyzed decarbonylation of aldehyde and cascade asymmetric Pauson-Khand-type reaction, formate ester can be decarbonylated and the CO moiety was successfully transferred for the carbonylative cyclization.

Therefore I would like to examine the feasibility of cooperative decarbonylation of formate ester and Pauson-Khand-type transformation.

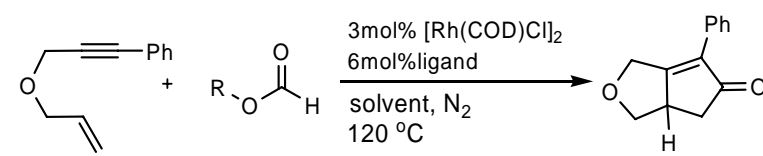
## 4.2. Results and discussion

Initial studies were focused on the feasibility of the Rh-catalyzed CO transfer process in alcoholic medium since the complimentary decarbonylation product of formate is ROH. 2-Pyridylmethyl formate was initially chosen to be the CO surrogate as its chelating property of pyridyl ring was supposed to assist the intramolecular RO(O)C–H oxidative addition, and thus facilitate the generation of [M]-CO species for the CO transfer hypothesis. (Scheme 1)



(Scheme 1: Pauson-Khand-type reaction using formate ester as CO surrogate)

Table 1: Investigations on catalytic cascade decarbonylation-PKR with formate as CO surrogate <sup>a</sup>



entry	R	ligand	solvent	% yield <sup>b</sup>
1	2-pyridylmethyl	dppe	<i>t</i> -amyl alcohol	23
2	2-pyridylmethyl	dppp	<i>t</i> -amyl alcohol	15
3	2-pyridylmethyl	dppf	<i>t</i> -amyl alcohol	33
4	2-pyridylmethyl	(±)-BINAP	<i>t</i> -amyl alcohol	38
5	2-pyridylmethyl	(±)-BINAP	dioxane	47
6	2-pyridylmethyl	(±)-BINAP	DMF	25
7	2-pyridylmethyl	(±)-BINAP	toluene	37
8	<i>n</i> -butyl	(±)-BINAP	dioxane	20
9	<i>t</i> -butyl	(±)-BINAP	dioxane	12
10	<i>n</i> -octyl	(±)-BINAP	dioxane	23
11	benzyl	(±)-BINAP	dioxane	42
12	benzyl <sup>c</sup>	(±)-BINAP	dioxane	18
13	benzyl <sup>d</sup>	(±)-BINAP	dioxane	20
14	benzyl <sup>e</sup>	(±)-BINAP	dioxane	40
15	<i>p</i> -Cl-benzyl	(±)-BINAP	dioxane	60

<sup>a</sup> Reaction conditions: [Rh(COD)Cl]<sub>2</sub> (3 mol%), ligand (6 mol%), enyne (0.3 mmol), formate (1.5 mmol) and magnetic stirrer-bar were charged to a screw-capped vial at RT. Unpurified solvent (0.5mL bubbled with nitrogen for 2 mins) was added under nitrogen and the reaction was stirred at 120 °C for 36 hours.

<sup>b</sup> Isolated yield.

<sup>c</sup> formate (1 equiv., 0.3mmol) was used

<sup>d</sup> formate (1.5 equiv., 0.45mmol) was used

<sup>e</sup> formate (10 equiv., 3mmol) was used

#### 4.2.1. Ligands and solvents screening

Bidentate diphosphine ligands were found to be suitable for the cascade process and the rigid binaphthyl scaffold gave a better cycloadduct yield (Table 1, entries 1-4). Solvent with different polarities were screened to test whether solvent

polarity may affect the rate of reaction however no significant observation was achieved and dioxane was the best solvent of choice among the solvents screened (Table1, entries 4-7).

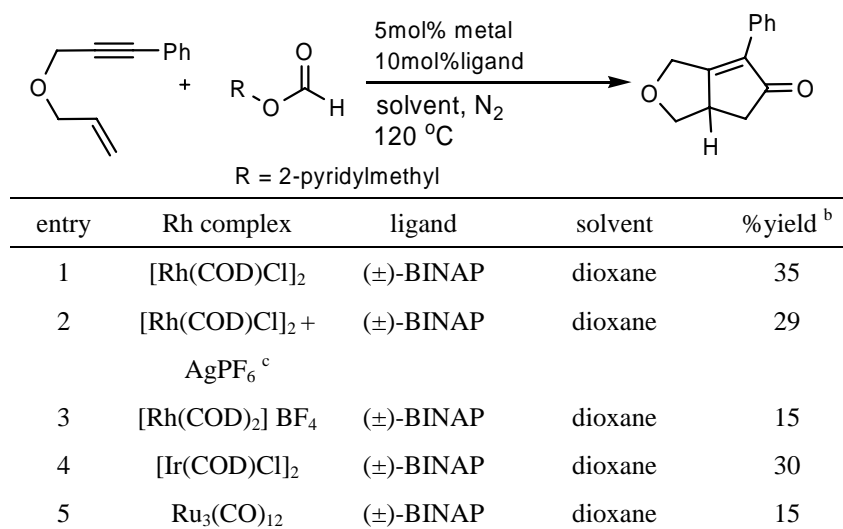
#### ***4.2.2. Electron effects of formate ester on decarbonylation***

Commercially available alkyl formates were also investigated (Table 1, entries 8-15). Benzyl formate provided higher yield than other alkyl analogs. In order to further probe the efficacy of the formates, the electron-withdrawing *para*-chlorobenzyl formate donated a CO moiety more effectively (Table 1, entry 15). This is consistent with the general tendency for alkyl groups to migrate from acyl complexes leading to the formation of metal-carbonyls.<sup>7</sup> Besides the electronic property of benzylformate, amount of formate added also affected the product yield of cyclopentenones (Table 1, entries 11-14). Interestingly, for all formates examined, the catalyst abstracted a CO moiety from formates and transferred them to enyne, without hydroacylating the alkene and/or the alkyne portions of enyne as judged by GC-MS analysis.

Although 2-pyridylmethyl and *para*-chlorobenzyl formates afforded better yields of the cyclopentenone product, the inexpensive and commercially available benzyl formate as the CO source for further investigation. In fact, the electronic properties

of the substituted benzylformates did not affect the *ee* of the cyclopentenone products.

Table 2: Rhodium complex screening for catalytic cascade decarbonylation-PKR with formate as CO surrogate <sup>a</sup>



<sup>a</sup> Reaction conditions: Metal complex (5 mol%), ligand (10 mol%), enyne (0.3 mmol), formate (1.5 mmol) and magnetic stirrer-bar were charged to a screw-capped vial at RT. Unpurified solvent (0.5mL bubbled with nitrogen for 2 mins) was added under nitrogen and the reaction was stirred at 120 °C for 36 hours.

<sup>b</sup> Isolated yield.

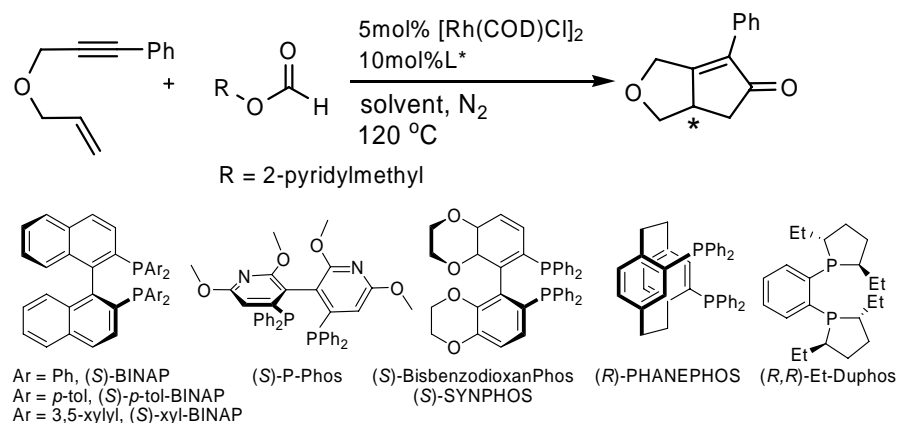
<sup>c</sup> [Rh(COD)Cl]<sub>2</sub> (5mol%), AgPF<sub>6</sub> (20mol%), Ligand (10mol%)

### 4.2.3. Metal precursors screening

Cationic Rh(COD)<sub>2</sub>BF<sub>4</sub> and [Rh(COD)Cl]<sub>2</sub>/AgPF<sub>6</sub> were inferior than that of neutral [Rh(COD)Cl]<sub>2</sub> in the cooperative process. When Ru and Ir complexes were applied for the cascade catalysis, CO transfer process from formate was also observed. However the effectiveness was inferior to that found from the Rh complexes. Hence neutral [Rh(COD)Cl]<sub>2</sub> was chosen as metal precursor for further optimization.



Table 3: investigations on chiral ligands on decarbonylation of formate and asymmetric PKR



entry	ligand	solvent	%yield <sup>b</sup>	%ee <sup>c</sup>
1	(S)-BINAP	dioxane	45	70
2	(S)-tol-BINAP	dioxane	43	72
3	(S)-xyl-BINAP	dioxane	48	75
4	(S)-PPhos	dioxane	29	23
5	(S)-BisbenzodioxanPhos	dioxane	38	41
6	(R)-PHANPHOS	dioxane	trace	n.d.
7	(R,R)-Et-DuPhos	dioxane	33	10

<sup>a</sup> Reaction conditions: [Rh(COD)Cl]<sub>2</sub> (5 mol%), ligand (10 mol%), enyne (0.3 mmol), formate (1.5 mmol) and magnetic stirrer-bar were charged to a screw-capped vial at RT. Unpurified solvent (0.5mL bubbled with nitrogen for 2 mins) was added under nitrogen and the reaction was stirred at 120 °C for 3 days.

<sup>b</sup> Isolated yield.

<sup>c</sup> Determined by chiral HPLC analysis using Daicel® AS-H, AD-H and OD-H columns

#### 4.2.4. Effects of chiral ligands on product yield and enantiomeric excess

To explore this catalytic system into asymmetric version, various kind of chiral ligands were screened for purpose. BisbenzodioxanPhos/SYNPHOS and P-Phos afforded the desired cycloadduct in low enantioselectivities, 41% *ee* and 23% *ee*, respectively. However, the state-of-the-art BINAP class ligand showed

significant better product enantioselectivity. Among the enantiomerically pure BINAPs have examined, steric effect of the ligands improves the enantioselectivities of cycloadducts and the sterically demanding (*S*)-xyl-BINAP provided the best stereo-communication in the [2+2+1] cycloaddition (75% *ee*). Planar chiral (*R*)-PHANPHOS failed to convert the starting enyne into its cycloadduct. (*R,R*)-Et-DuPhos can catalyze the reaction but both product yield and %*ee* value were inferior to those obtained by axially chiral biaryldiphosphine ligands.

### 4.2.5. Scope and limitation of Rh-xyl-BINAP catalyzed asymmetric Pauson-Khand-type reaction

Table 4: Rh-catalyzed cascade decarbonylation asymmetric Pauson-Khand type reaction<sup>a</sup>

X = O, NTs, C(COOEt)<sub>2</sub>

entry	substrate	product	% yield <sup>b</sup>	% ee <sup>c</sup>	entry	substrate	product	% yield <sup>b</sup>	% ee <sup>c</sup>
1			43	75	10			59	80
2			16	92	11			65	74
3			20	94	12			40	86
4			44	81	13			trace	n.d.
5			37	84					
6			65	84					
7			61	76					
8			58	77					
9			11	65					

<sup>a</sup> Reaction conditions: [Rh(COD)Cl]<sub>2</sub> (5 mol%), ligand (10 mol%), enyne (0.3 mmol), formate (1.5 mmol) and magnetic stirrer-bar were charged to a screw-capped vial at RT. Unpurified solvent (0.5mL bubbled with nitrogen for 2 mins) was added under nitrogen and the reaction was stirred at 120 °C for 3 days

<sup>b</sup> Isolated yield.

<sup>c</sup> Determined by chiral HPLC analysis using Daicel® AS-H, AD-H and OD-H columns

To further test the effectiveness of the Rh-xyl-BINAP catalytic system, we studied a variety of oxygen-tethered 1,6-enynes for the enantioselective PKR (Table 4).

Alkyl-substituted alkynes gave excellent enantioselectivities (94% ee) of the corresponding products (Table 4, entries 2-3). Various aromatic enynes, which

possessed different electronic properties were prepared and subjected to carbonylative cyclizations (Table 4, entries 5-8). The substituents on the enyne with different electronic natures apparently did not affect the *ee* of the carbonylative cycloadducts which is not similar to that observed in rhodium-catalyzed asymmetric PKR using aldehyde as CO source. Sterically hindered *ortho*-substituted aromatic enyne afforded low yield of the product presumably the *ortho*-methyl group hindered the coordination of yne moiety to the Rh center (Table 4, entry 9). Particularly noteworthy is that heterocyclic-substituted enyne was firstly successful transformed to its corresponding cyclopentenone (Table 4, entry 10).

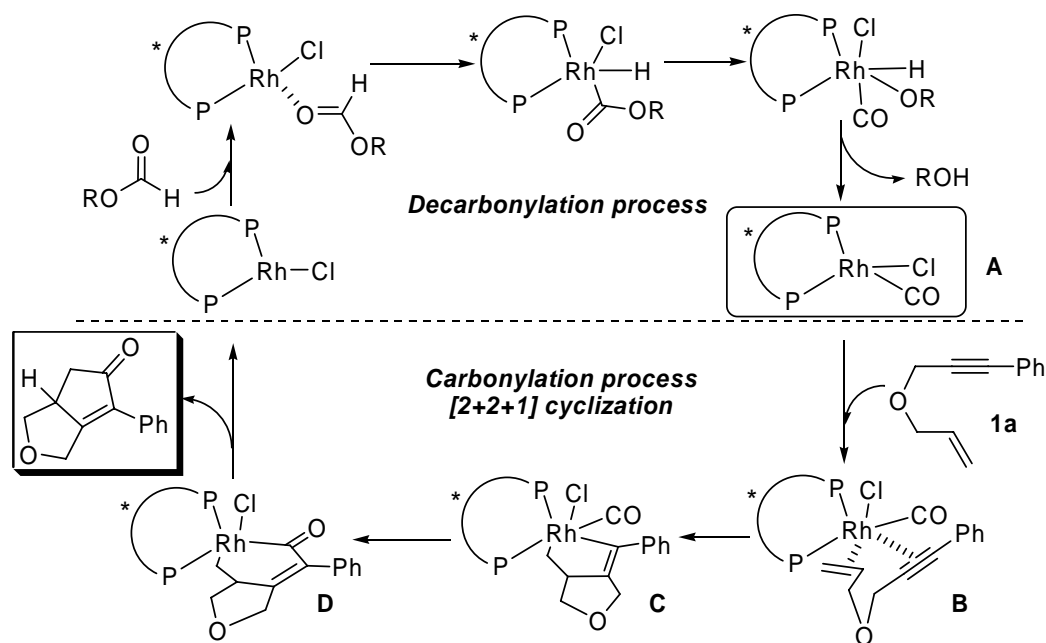
These reaction conditions were also applied to other nitrogen- and carbon-tethered enynes (Table 4, entries 11-12). Moderate to good enantioselectivities of the product were observed. However, when 1,8-enyne was applied in this cascade process, only trace amount of the desired product was obtained as judged by GC-MS analysis

#### **4.2.6. Proposed mechanism**

Figure 1 shows the suggested mechanism for Rh-catalyzed cascade decarbonylation–Pauson-Khand-type reaction. The coordination of formate followed by subsequent C-H oxidative addition generates the hydrido-Rh-acyl

*Rh-Catalyzed Cooperative Decarbonylation and Asymmetric PKR using Formate as CO Surrogate*

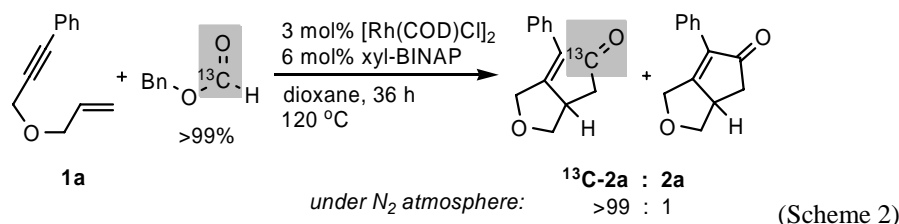
complex. After reductive elimination of ROH, the Rh carbonyl complex **A** is generated which presumably is the key intermediate of PKR. The carbonylation cycle starts from the coordination of enyne **1a** to complex **A** giving the Rh-enyne complex **B**. The chiral diphosphine ligand exerts stereo-induction to the coordinated enyne and finally gives the stereo-determining rhodacycle **C**. After insertion of CO moiety and reductive elimination of complex **D**, the desired cyclopentenone is obtained with the regenerated Rh(I) complex.



(Figure 1: Proposed mechanism for asymmetric PKR using formate as CO surrogate)

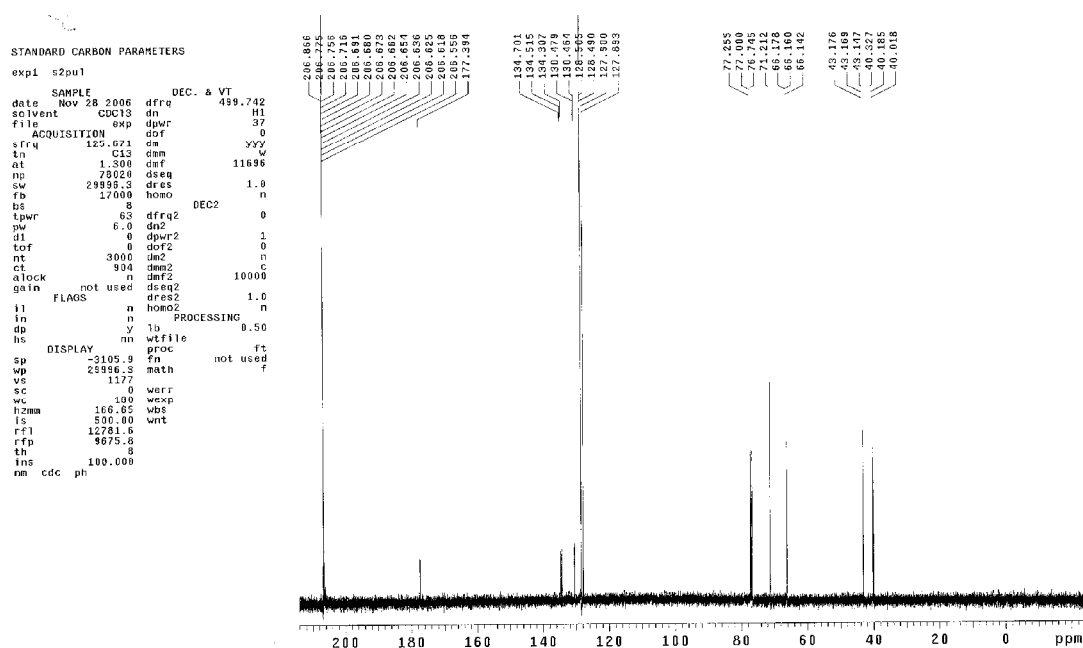
### 4.2.7. <sup>13</sup>C-Labeling experiment

In order to originate the CO transfer process, the <sup>13</sup>C-labeled benzyl formate (from benzyl alcohol and acetic anhydride with <sup>13</sup>C-labeled formic acid) was prepared for the decarbonylation–carbonylation sequence (Scheme 2).



It is obvious that under the nitrogen atmosphere, over 99% of the corresponding <sup>13</sup>C-cyclopentenone was obtained as judged by NMR spectroscopy (Figure 2).

These informative results show that the CO moiety for carbonylative coupling is mainly provided by the Rh-carbonyl complex **A** (Figure 1). Thus, it is feasible to apply formate as a convenient CO surrogate in direct CO transfer process



(Figure 2: <sup>13</sup>C NMR of <sup>13</sup>C-enriched 2-phenyl-7-oxabicyclo[3.3.0]oct-1-en-3-one)

### **4.3. Conclusion**

In summary, formate was demonstrated its capability in replacing “external” gaseous carbon monoxide by transfer carbonylation using suitable Rh-diphosphine complexes. This versatile reaction was found to be a suitable cooperative partner in the cascade decarbonylation–Pauson-Khand-type cyclization. Notably, apart from the initial achiral synthesis, the asymmetric version of this dual catalysis has also been successfully achieved. Various 1,6-enynes were transformed to corresponding cyclopentenones in good enantioselectivities (up to 94% *ee*) in the presence of Rh-(*S*)-xyl-BINAP catalyst. <sup>13</sup>C-labeling experiments indicated that the CO moiety generated by the decarbonylation of formate is directly incorporated into carbonylative cyclization. To the best knowledge, it is the first example of asymmetric CO transfer carbonylation using formate ester as CO surrogate.

#### ***4.4. Experimental section***

*General procedures for asymmetric Pauson-Khand-type cyclization of various enynes:* [Rh(COD)Cl]<sub>2</sub> (4.4 mg, 9.0 μmol), (*S*)-xyl-BINAP (16 mg, 18.0 μmol) and Teflon-coated magnetic stirrer bar (3 mm × 10 mm) were charged to a Teflon-lined screw-capped vials (or Schlenk flasks) on bench-top at room temperature. These vials were evacuated and backfilled with nitrogen (3 cycles), followed by the addition of anhydrous dioxane (0.5 mL) under nitrogen with continuous stirring for 30 mins. Enynes (0.3 mmol) and benzyl formate (1.5 mmol) were then added. The reaction mixtures were magnetically stirred at a preheated 120 °C (± 3 °C) oil bath for 3 days (reaction times were unoptimized for each substrate). The vials were allowed to reach room temperature. Diethyl ether or ethyl acetate (~2 mL) was added. The crude reaction mixtures were directly purified by column chromatography on silica gel using hexane/ethylacetate as the eluent to afford chiral bicyclic cyclopentenones. The enantiomeric excess of the products were determined by chiral HPLC analysis using Chiralcel® columns



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## **CHAPTER 5**

# **Microwave-Assisted Rhodium Complex-Catalyzed Cascade Decarbonylation and Asymmetric Pauson-Khand-Type Cyclizations**

### **5.1. Introduction**

Microwave assisted organic synthesis (MAOS) drew much attractions in recent years, more than 2000 articles have been published since the first report on the use of microwave heating to accelerate reaction by the groups of Gedye and Giguere/Majetich in 1986<sup>1,2</sup>. There was small amount of publications about MAOS in the late 1980s and early 1990s because of the lack of controllability, reproducibility and couples with lack of basic understanding about dielectric heating. The risks associated with the flammability of organic solvents in microwave field, lack of control on the temperature and pressure produced during reaction led to slow down the development of MAOS. As our technology is becoming more advanced, scientists switched to use dedicated microwave instruments instead of modified domestic microwave in the late 1990s and publications increased dramatically.

It is believed that microwave not only able to (1) reduce chemical reaction time

but also can (2) reduce the side reaction, (3) increase product yield and (4) improve reproducibility.

## **5.2. Microwave theory**

Microwave irradiation is electromagnetic irradiation in the frequency range of 0.3 to 300GHz. Almost all microwave reactors operate at a frequency of 2.45GHz (corresponds to a wavelength of 12.24cm) to avoid interference with telecommunication and cellular phone frequencies. Because energy of the microwave photon produced is only around 0.0016eV, even lower than the energy of Brownian motion so that it is too low to break chemical bonds and induce chemical reactions<sup>3,4,5</sup>.

Microwave assisted organic synthesis is based on efficient heating of materials by microwave dielectric heating. It is dependent on the specific material such as solvent or reagent to absorb microwave energy and convert it into heat. If materials have dipole moment or ionic property, they will align in the applied electric field and oscillate with microwave frequency. As they are oscillated, energy will be loss in form of heat through molecular friction and dielectric loss. The amount of heat generated is directly related to ability of the matrix to align. If the dipole does not have enough time to realign, or reorients too fast with the applied field, no heating occurs<sup>6</sup>.

The ability of substance to convert microwave irradiation into heat is commonly expressed as loss factor  $\tan\delta$ .  $\tan\delta = \epsilon''/\epsilon'$  where  $\epsilon''$  = dielectric loss which means the efficiency that electromagnetic radiation is converted to heat.  $\epsilon'$  = dielectric constant which means the ability of molecules to be polarized by the electric field. And the loss factor of some common reagents was shown in Table 1.

Table 1: Loss factors ( $\tan\delta$ ) of different solvents<sup>7</sup>

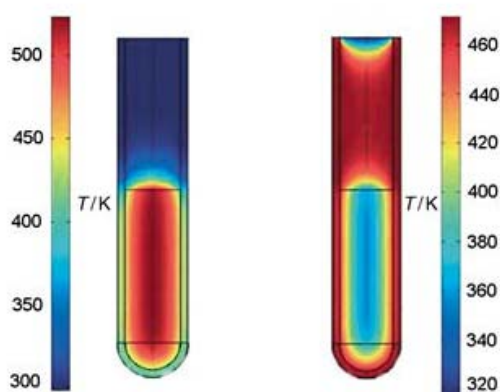
solvent	$\tan\delta$	solvent	$\tan\delta$
Ethylene glycol	1.350	DMF	0.161
Ethanol	0.941	1,2-dichloroethane	0.127
DMSO	0.825	Water	0.123
2-propanol	0.799	Chlorobenzene	0.101
Formic acid	0.722	Chloroform	0.091
Methanol	0.659	Acetonitrile	0.062
Nitrobenzene	0.589	Ethylacetate	0.059
1-butanol	0.571	Acetone	0.054
2-butanol	0.447	Tetrahydrofuran	0.047
1,2-dichlorobenzene	0.280	dichloromethane	0.042
NMP	0.275	Toluene	0.040
Acetic acid	0.174	hexane	0.020

A high  $\tan\delta$  reaction medium is needed for efficient absorption and rapid heating.

In general, solvents can be classified as high ( $\tan\delta > 0.5$ ), medium ( $\tan\delta 0.1-0.5$ ) and low microwave absorbing ( $\tan\delta < 0.1$ ). Although a low  $\tan\delta$  solvent is not suitable for microwave irradiation, polar additives such as ionic liquids can be added to increase the absorbance level of the medium.

Organic synthesis is carried out by conductive heating with an external heat source such as oil bath, it is comparatively slow and inefficient method for

energy transfer as the transfer depends on the thermal conductivity of various materials such as containers, it causes temperature of reaction vessel being higher than that of reactants. Microwave irradiation transfers energy by direct coupling of microwave energy with the reactants and produces efficient internal heating within the reaction vessels. The differences can be shown in Figure 1.



(Figure 1: Differences in temperature profiles after 1 min of MW irradiation (left) and treatment in oil bath (right). Microwave irradiation raises the temperature of the whole volume simultaneously (bulk heating) whereas in the oil-heated tube, reactants in contact with the vessel wall is heated up first)

Microwave effects are generally classified into thermal and non-thermal effects nowadays.

### ***5.2.1. Thermal effects***

It is mainly due to the superheating effect produced by microwave irradiation. Microwave heating can rapidly superheat methanol ( $\tan\delta = 0.659$ ) up to  $100^{\circ}\text{C}$  which is far above its boiling temperature in a sealed vessel. The superheat effect

is much more pronounced in some high  $\tan\delta$  solvents such as ionic liquid. And such rapid heating temperature profiles are very difficult to obtain by conventional heating. By simply applying the Arrhenius law  $k=Ae^{-E_a/RT}$ . Baghurst and Mingos demonstrated that the higher the reaction temperature, faster reaction rate was produced and the shorter reaction time was obtained. They reported that a transformation which required 68 days to reach 90% conversion at 27°C can be obtained within 1.61 seconds under superheating at 227°C. (Table 2, entry 1 versus entry 5)

Table 2: Relationship between temperature and time for a typical first order reaction

Entry	T/°C	k/s <sup>-1</sup>	Time/90% conversion
1	27	1.55x10 <sup>-7</sup>	68days
2	77	4.76x10 <sup>-5</sup>	13.4h
3	127	3.49x10 <sup>-2</sup>	11.4min
4	177	9.86x10 <sup>-2</sup>	23.4
5	227	1.43	1.61s

A = 4 x 10<sup>10</sup> mol<sup>-1</sup>s<sup>-1</sup>, E<sub>a</sub> = 100kJmol<sup>-1</sup>

### **5.2.2. Non-thermal effects**

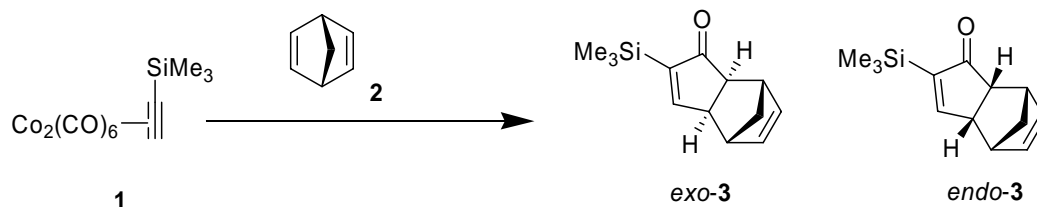
Reaction rate enhancement sometimes cannot be explained by thermal effect and some scientists pointed out that the presence of an electric field leads to orientation effects of dipolar molecules and change the pre-exponential factor, A or activation energy, E<sub>a</sub> in Arrhenius equation.<sup>8</sup> Although microwave effects

were classified into two main effects, they are still the subject of debate and controversy and extensive research will be needed to further investigate them and related phenomena.

### 5.3. Microwave assisted Pauson-Khand-type reactions

Evans *et al.* reported microwave-promoted Pauson-Khand reactions in 2002<sup>9</sup>.

Trimethylsilylacetylene-dicobalthexacarbonyl complex (**1**) and norbornadiene (**2**) were put in sealed vessels to perform intermolecular PKR and various conditions were tested (scheme 1).



(Scheme 1: Trimethylsilylacetylene-dicobalthexacarbonyl complex-catalyzed Pauson-Khand reaction under microwave irradiation by Evans *et al.*)

Table 1: Effect of solvents on the product yields and stereoselectivities of PKR

Entry	Solvent	Temp./°C	Time	% yield <sup>c</sup>	exo-3: endo-3 <sup>d</sup>
1 <sup>a</sup>	Toluene	90	5 min	97	95 : 5
2 <sup>a</sup>	DCE	90	20 min	98	> 95 : 5
3 <sup>a</sup>	DCE	120	200 s	93	> 95 : 5
4 <sup>b</sup>	DCE	180	100 s	91	95 : 5

<sup>a</sup> Method: **2** (5 equiv.) was added to a solution (0.25M) of **1**

<sup>b</sup> Method: trimethylsilylacetylene (1 equiv.), cobalt octacarbonyl (0.5 equiv.) and **2** (5 equiv.) were dissolved in DCE (0.25M)

<sup>c</sup> Isolated yields

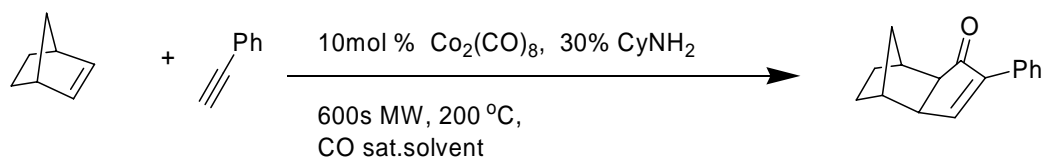
<sup>d</sup> Ratio determined by <sup>1</sup>H NMR spectroscopy

Toluene was tested to be the best solvent to give excellent yields of corresponding cyclopentenone (**3**) within only 5 minutes of microwave irradiation (Table1, entry1). However purification was very difficult because of the presence of norbornadiene derived by-products and *endo*-diastereoisomer.



Changing the toluene to 1,2-dichloroethane (DCE) increased the irradiation from 5 minutes to 20 minutes however less *endo*-diastereoisomers were produced so as to make purification easier (Table 1, entries 2,3). Toluene which has no dipole moment is known to be “transparent” to microwave energy, it means that toluene does not absorb microwave energy and hence reactants absorb microwave energy more efficiently. In the case of using DCE as solvent, it has dipole moment so that parts of the microwave energy will be absorbed and lost in solvent and hence reaction in DCE is less sensitive and clean than that in toluene. Furthermore the reaction time can be shortened by increasing temperature but concomitant pressure increases proved problematic.

Groth *et al.* reported cobalt-catalyzed Pauson-Khand reaction in CO saturated solvent under microwave irradiation<sup>10</sup> in 2002. They used 10 mol% of  $\text{Co}_2(\text{CO})_8$  with addition of cyclohexylamine, fivefold excess of norbornene to phenylacetylene to produce cyclopentenone (scheme 2).



(Scheme 2: Co-catalyzed microwave promoted intermolecular Pauson-khand reaction in CO saturated solvent by Groth et al.)

Various solvents were screened, product yields increased as the polarity of solvents decreased and they concluded that non-polar solvents were

“transparent” to microwave irradiation so that energy can be transferred to the reactants easier (Table 2).

Table 2: Effect of solvents on product yields

Entry	Solvent	Dielectric constant	%yield <sup>a</sup>
1	DMSO	46.7	5
2	Acetonitrile	37.5	16
3	Dichloromethane	9.0	21
4	THF	7.6	38
5	1,2-dimethoxyethane	7.2	41
6	Diglyet <sup>b</sup>	5.7	44
7	Toluene	2.4	44
8	Toluene	2.4	43 <sup>c</sup>
9	Dioxane	2.2	41
10	Heptane	1.9	20

<sup>a</sup> Isolated yield

<sup>b</sup> Diethylene glycol diethyl ether

<sup>c</sup> No CO saturation

Interestingly, they observed the decomposition of cobalt catalyst at higher temperature, lowering the reaction temperature led to increased yields and the improvement was much more significant in microwave transparent solvent.

The cyclohexylamine additive was believed to replace carbon monoxide as a ligand and promoted additional ligand liberation by stabilizing the corresponding coordinative unsaturated complex so that later on the free site was able to coordinate with olefin to perform cycloaddition. Therefore concentration of cyclohexylamine should affect the product yields. And they demonstrated that using 20 mol%  $\text{Co}_2(\text{CO})_8$  and 1.2 equivalents of cyclohexylamine can afford the corresponding adducts in good yield (81%) within 5 minutes microwave

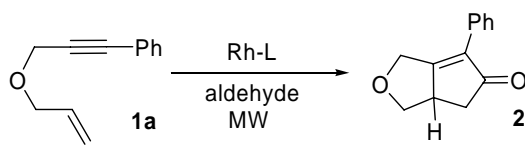
irradiation.

Although considerable interests were drawn to microwave assisted organic synthesis and enantioselective Pauson-Khand-type reaction in recent decades, the microwave-assisted catalytic enantioselective Pauson-Khand-type reaction is still sporadically studied. Hence I would like to examine the capability of microwave irradiation on asymmetric Pauson-Khand-type cyclization.

## **5.4. Results and discussion**

### ***5.4.1. Microwave-assisted decarbonylation of aldehyde and cascade asymmetric Pauson-Khand-type reaction***

To examine the feasibility of decarbonylation of aldehyde under microwave irradiation to generate “CO” moiety for further carbonylative cyclization. Stoichiometric amount of  $[\text{Rh}(\text{COD})\text{Cl}]_2/\text{dppp}$  complex with cinnamaldehyde were placed in the microwave reactor at 100 °C for 10 mins. Styrene (>90% from GC-MS, by decarbonylation of cinnamaldehyde) was observed. These preliminary results showed the potential of catalytic decarbonylation in microwave-assisted reaction conditions.

Table 1: Investigations on ligand and CO source effects in microwave-assisted cascade PKR<sup>a</sup>


Entry	Ligand	CO source	% yield <sup>b</sup>
1	Nil	cinnamylaldehyde	trace
2	dppe	cinnamylaldehyde	22
3	dppp	cinnamylaldehyde	75
4	dppp <sup>c</sup>	cinnamylaldehyde	83
5	dppf	cinnamylaldehyde	12
6	Ph <sub>3</sub> P	cinnamylaldehyde	<5
7	dppp	CO gas <sup>d</sup>	15
8	dppp	<i>p</i> -Cl-benzaldehyde	44
9	dppp	benzaldehyde	55
10	dppp	<i>p</i> -OMe-benzaldehyde	48
11	dppp	<i>n</i> -nonylaldehyde	36

<sup>a</sup> Reaction conditions: [Rh(COD)Cl]<sub>2</sub> (3 mol%), ligand (6 mol%), enyne (0.3 mmol), aldehyde (0.45 mmol) and *tert*-amyl alcohol (1.0 mL) were placed in MW-vials under nitrogen and the reaction were subjected at 120 °C for 45 mins under microwave-conditions.

<sup>b</sup> Isolated yield.

<sup>c</sup> [Rh(COD)Cl]<sub>2</sub> (3 mol%), ligand (6.6mol%) were used

<sup>d</sup> 1 atm pressure CO was applied.

### 5.4.1.1. Ligands screening

Followed the encouraging results of decarbonylation of aldehyde, I would like to examine the feasibility of microwave-assisted Pasvon-Khand-type cyclization.

Various kinds of commercially available phosphine ligands were subjected to the reaction and the oxygen-tethered enyne **1a** was chosen as the prototypical substrate (Table 1). Control experiment revealed that phosphine ligand was necessary for the successful tandem transformation (Table 1, entries 1-5).

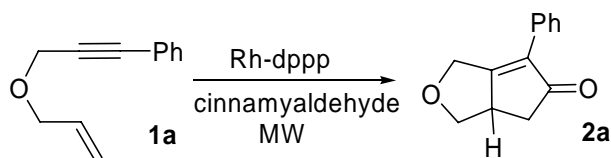
Diphosphine ligands (e.g. dppp) were found to be superior to monophosphine

ligand, PPh<sub>3</sub> (Table 1, entries 3 and 6).

### 5.4.1.2. Aldehydes screening

It is interesting to show that the CO surrogates (aldehydes) were even better than gaseous CO as the CO source in the microwave-assisted carbonylative reactions (Table 1, entries 7-11).  $\alpha,\beta$ -Unsaturated aldehyde gave the best results (entry 3) which is similar to the results obtained by conventional heating<sup>11</sup>. Though the decarbonylation of aliphatic aldehyde was observed, the efficiency was inferior to that found in aromatic aldehydes (Table 1, entry 11).

Table 2: Effect of solvent on product yield



Entry	Solvent	% yield <sup>b</sup>
1	cyclohexane	43
2	toluene	67
3	dioxane	70
4	<i>tert</i> -amyl alcohol	75
5	acetonitrile	58
6	solventless	52

<sup>a</sup> Reaction conditions: [Rh(COD)Cl]<sub>2</sub> (3 mol%), ligand (6 mol%), enyne (0.3 mmol), aldehyde (0.45 mmol) and solvent (1.0 mL) were placed in MW-vials under nitrogen and the reaction were subjected at 120 °C for 45 mins under microwave-conditions.

<sup>b</sup> Isolated yield.

### 5.4.1.3. Solvents screening

To further optimize the catalytic system, solvent with different polarities and loss factor ( $\tan\delta$ ) were examined to see if their polarities affect the rate and yield of

reaction. However no significant differences were observed. Non-polar cyclohexane gave poor yield (Table 2, entry 1) when toluene, dioxane and acetonitrile gave moderate yields (Table 2, entries 2, 3 and 5). Branched *tert*-amyl alcohol gave the best yield among screened solvents (Table 2, entry 4). Interestingly, this catalytic system afforded desired cyclopentenone in moderate yield (Table 2, entry 6) under solventless condition and it is presumably due to the polar aldehyde can oscillate with the microwave irradiation and transfer the energy to the catalytic system.

## 5.4.1.4. Scope and limitation of substrates on Rh-dppp

## catalyzed decarbonylation of aldehyde and cascade

## PKR

Table 3: Microwave assisted Rh-catalyzed cascade Pauson-Khand-type reaction<sup>a</sup>

Reaction conditions: Rh-dppp, aldehyde, *tert*-amyl alcohol, 120 °C, MW 45mins. X = O, NTs.

entry	substrate	product	% yield <sup>b</sup>	entry	substrate	product	% yield <sup>b</sup>
1			83	11			36
2			81	12 <sup>c</sup>			trace
3			85	13			34
4			78	14			trace
5			87				
6			66				
7			78				
8			16				
9			73				
10 <sup>c</sup>			trace				

<sup>a</sup> Reaction conditions: [Rh(COD)Cl]<sub>2</sub> (3 mol%), ligand (6.6 mol%), enyne (0.3 mmol), cinnamaldehyde (0.45 mmol) and *tert*-amyl alcohol (1.0 mL) were placed in MW-vials under nitrogen and the reaction were subjected at 120 °C for 45 mins under microwave-conditions.

<sup>b</sup> Isolated yields.

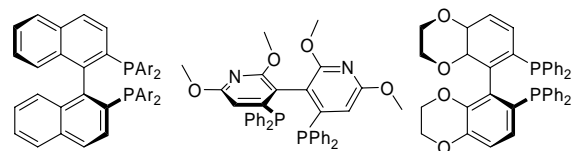
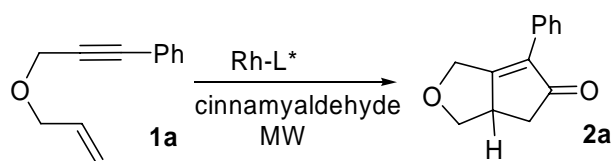
<sup>c</sup> Under conventional heating at 120 °C for 36 h.

To further probe the effectiveness of the microwave-assisted dual catalysis system. Various oxygen-tethered 1,6-enynes were subjected to the

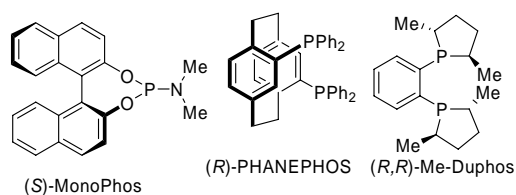


Pauson-Khand-type cyclization (Table 3). Electronically different aromatic enynes were found to be compatible in these reaction conditions to furnish the corresponding cyclopentenones (Table 3, entries 1-7). Sterically hindered *ortho*-substituted aromatic enyne afforded low yield of the product presumably the *ortho*-methyl group hindered the coordination of yne moiety to the Rh center (Table 3, entry 8). Surprisingly, heterocyclic thiophenyl-enyne was firstly transformed to desired product under microwave-assisted conditions using aldehyde as CO surrogate (entry 9). In contrast, only trace amount of product was obtained under conventional oil-bath heating (Table 3, entry 10). Moreover, significant amount of substrate decomposition was observed from GC-MS analysis. Besides heterocyclic enyne, allyloxy-enyne was also first transformed to its desired cycloadducts (Table 3, entry 11). However 1,8-enyne was still unsuccessful in this transformation and ~80% of starting material was recovered (Table 3, entry 14).

Table 4: Investigations on chiral ligands on microwave assisted asymmetric PKR



Ar = Ph, (S)-BINAP  
 Ar = *p*-tol, (S)-*p*-tol-BINAP  
 Ar = 3,5-xyllyl, (S)-xyl-BINAP



entry	ligand	% yield <sup>b</sup>	% ee <sup>c</sup>
1	(S)-BINAP	30	85
2	(S)-tol-BINAP	12	90
3	(S)-xyl-BINAP	trace	nd
4	(R,R)-Me-DuPhos	trace	nd
5	(S)-PHANEPHOS	trace	nd
6	(S)-PPhos	trace	nd
7	(S)-BisbenzodioxanPhos	63	86
8 <sup>d</sup>	(S)-MonoPhos	trace	nd

<sup>a</sup> Reaction conditions: [Rh(COD)Cl]<sub>2</sub> (3 mol%), ligand (6.6 mol%), enyne (0.3 mmol), cinnamaldehyde (0.45 mmol) and *tert*-amyl alcohol (1.0 mL) were placed in MW-vials under nitrogen and the reaction were subjected at 100 °C for 45 mins under microwave-conditions.

<sup>b</sup> Isolated yields.

<sup>c</sup> Average of two runs from chiral HPLC analysis using Daicel Chiracel<sup>®</sup> AS-H and AD-H columns (0.46 cm × 25 cm).

<sup>d</sup> [Rh(COD)Cl]<sub>2</sub> (3 mol%), (S)-MonoPhos (12 mol%) were used

#### 5.4.1.5. Effect of chiral ligands on product yields and enantiomeric excess

With promising results on achiral microwave assisted Pasuon-Khand-type reaction, it is nature to explore to the enantioselective version of this

transformation. Commonly use chiral phosphine ligands were examined in place of dppp (Table 4). (*S*)-BINAP and (*S*)-tol-BINAP can catalyze the cyclization to afford desired products, steric effect of ligands was crucial to increase the stereochemical communication to increase the enantioselectivities of the products (85% to 90%). (*S*)-PPhos, (*R,R*)-Me-DuPhos failed to catalyze the reaction to give the cycloadducts. Monodentate (*S*)-MonoPhos failed to afford the cyclopentenone which resembles to those results in conventional heating, chiral bidentate phosphine ligands generally gave better yields and %*ee* values in Pauson-Khand-type reaction. (*S*)-BisbenzodioxanPhos provided the best results in terms of product yield and enantioselectivity (63%, 86%*ee*) among those examined ligands.

Table 5: Microwave assisted asymmetric Rh-BisbenzodioxanPhos-catalyzed cascade Pauson-Khand-type reaction <sup>a</sup>

X = O, NTs, C(COOEt)<sub>2</sub>

entry	substrate	product	% yield <sup>b</sup>	% ee <sup>c</sup>
1			73	89 85 <sup>[d]</sup>
2			52	87
3			73	82 49 <sup>d</sup>
4			58	90
5			53	80 65 <sup>d</sup>
6			40	89 77 <sup>d</sup>

<sup>a</sup> Reaction conditions: [Rh(COD)Cl]<sub>2</sub> (3 mol%), ligand (6.6 mol%), enyne (0.3 mmol), cinnamaldehyde (0.45 mmol) and *tert*-amyl alcohol (1.0 mL) were placed in MW-vials under nitrogen and the reaction were subjected at 100 °C for 45 mins under microwave-conditions.

<sup>b</sup> Isolated yields.

<sup>c</sup> Average of two runs from chiral HPLC analysis using Daicel Chiracel<sup>®</sup> AS-H and AD-H columns (0.46 cm × 25 cm).

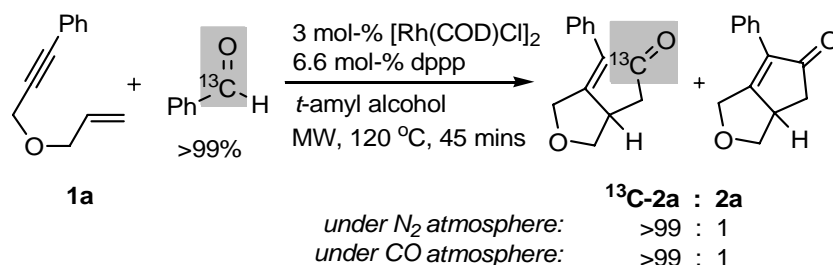
<sup>d</sup> Reactions were performed under conventional heating at 100 °C for 36 h.

Electronically different aromatic enynes were subjected to the reaction and corresponding cyclopentenones were obtained in moderate yields and %*ee* values.

Heterocyclic thiophenyl-enyne was first transformed to its optical active cycloadducts in good %*ee* value (up to 90%*ee*). Besides oxygen-tethered

substrates, nitrogen and ester-tethered substrates were also converted to their corresponding products in moderate to good %*ee* values. Surprisingly, microwave wave assisted asymmetric Pauson-Khand reaction generally provided cyclopentenones with better enantiomeric excess than those obtained in conventional heating.

#### 5.4.1.6. <sup>13</sup>C-labeling experiment



(Scheme 3: <sup>13</sup>C-labeling Rh-dppp catalyzed asymmetric PKR)

In order to originate the microwave-promoted CO transfer process, <sup>13</sup>C-labeled benzaldehyde was applied for the decarbonylation–carbonylation sequence (Scheme 3). When the reactions were placed either under the nitrogen or CO atmosphere, over 99% of the corresponding <sup>13</sup>C-cyclopentenone was obtained as judged by MS and NMR spectroscopy. These informative results indicated that the CO moiety for carbonylative coupling is mainly provided by the decarbonylation of aldehyde.

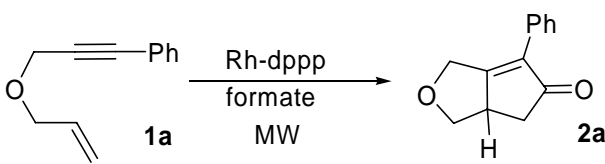
### **5.4.1.7. Conclusion**

The first successful microwave-assisted cooperative decarbonylation of aldehyde and enantioselective Pauson-Khand-type cyclization was demonstrated. The microwave energy considerably increased the rate of reaction in both decarbonylation and carbonylative cyclization so that can shorten the reaction time from 36 hours into 45 minutes. Substrate such as thienyl enyne significantly showed better yield in microwave conditions. Notably, the reaction conditions in this sequential process can be rendered enantioselectivity. Higher enantioselectivities were observed than conventional heating with product %*ee* up to 90%.

## **5.4.2. Microwave-assisted aqueous Pauson-Khand-type cycloaddition using formate as CO surrogate**

Follow the promising results of catalytic decarbonylation of aldehyde and cooperative Pauson-Khand-type cycloaddition under microwave irradiation. I attempted to apply microwave irradiation to fasten the Pauson-Khand reaction using formate as CO surrogate as some drawbacks reported that it is not a considerable catalytic system in terms of reaction time.

Initial screenings were triggered to accomplish the cascade decarbonylation-carbonylation sequence under an aqueous medium in the presence of microwave energy. 1,3-Bis(diphenylphosphino)propane (dppp) showed the best result in both of the sequential processes. Hence the Rh-dppp complex was applied to further examine the efficiency of the condensed CO source (Table 1).

Table 1: Initial screening of aqueous PKR with formate esters as CO source <sup>a</sup>


Entry	Formate	Solvent	Temp./°C	% yield <sup>b</sup>
1 <sup>c</sup>	benzyl	H <sub>2</sub> O	130	33
2	benzyl	H <sub>2</sub> O	130	45
3 <sup>d</sup>	benzyl	H <sub>2</sub> O	130	30
4	benzyl	H <sub>2</sub> O	100	13
5	<i>p</i> -MeObenzyl	H <sub>2</sub> O	130	35
6	<i>p</i> -Clbenzyl	H <sub>2</sub> O	130	60
7	<i>p</i> -Clbenzyl	nil	130	trace
8	<i>p</i> -CF <sub>3</sub> benzyl	H <sub>2</sub> O	130	32

<sup>a</sup> Reaction conditions: [Rh(COD)Cl]<sub>2</sub> (5 mol%), ligand (11 mol%), enyne (0.3 mmol), formate (1.5 mmol) and water (1.0 mL) were placed in MW-vials under nitrogen and the reaction were subjected at 130 °C for 50 mins under microwave irradiation.

<sup>b</sup> Isolated yield.

<sup>c</sup> benzyl formate (0.9 mmol) was used.

<sup>d</sup> Water (0.5 mL) was used

#### 5.4.2.1. *Electronic effects of formates on decarbonylation*

To demonstrate the electronic effect of formate on the decarbonylation, electronically different benzyl formates were prepared according to literature procedures <sup>12</sup> and subjected to the reaction. Electron-donating *para*-Methoxybenzyl formate can be decarbonylated to provide CO moiety for the carbonylative cyclization but its product yield was inferior to the benzyl formate vice versa electron-withdrawing *para*-Chlorobenzyl formate provided the highest isolated yield (60%) of the desired product among screened formates



(entry 6). The reaction temperature at 130 °C was required to drive the tandem reaction successfully (entries 2 vs. 4). In the absence of water solvent, only a trace amount of the product was obtained (entries 6 vs. 7).

### 5.4.2.2. Scope and limitation of substrates on microwave-assisted aqueous Pauson-Khand-type reaction

Table 2: Rh-catalyzed aqueous PKR with formate esters as CO source <sup>a</sup>

X = O, NTs, C(COOEt)<sub>2</sub>

entry	substrate	product	% yield <sup>b</sup>	entry	substrate	product	% yield <sup>b</sup>
1			60	11			72
2			43 <sup>c</sup>	12			59 <sup>c</sup>
3			70	13			trace
4			61 <sup>c</sup>	14			53
5			52	15			48
6			41	16			40 <sup>c</sup>
7			47	17			trace
8			27				
9			73				
10			20				

<sup>a</sup> Reaction conditions: [Rh(COD)Cl]<sub>2</sub> (5 mol%), ligand (11 mol%), enyne (0.3 mmol), *p*-chlorobenzyl formate (1.5 mmol) and water (1.0 mL) were placed in MW-vials under nitrogen and the reactions were subjected at 130 °C for 50 mins under microwave irradiation.

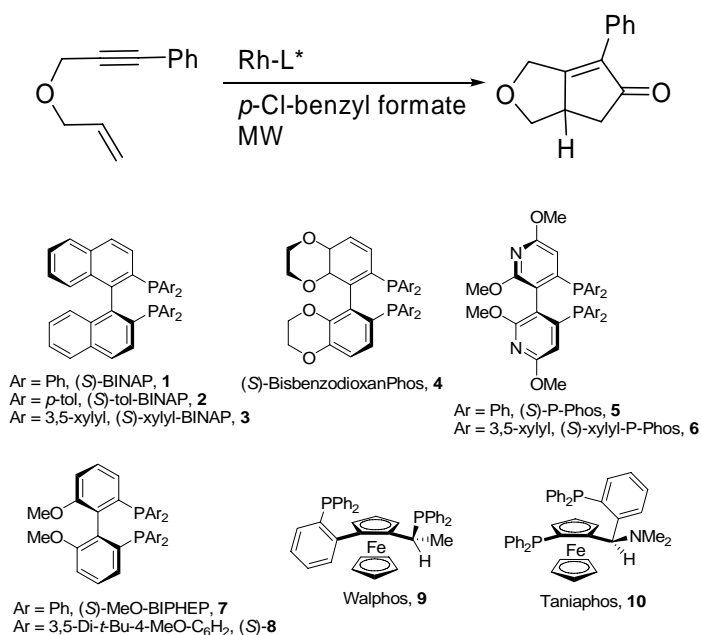
<sup>b</sup> Isolated yield.

<sup>c</sup> Reactions performed under conventional heating (120 °C, 3 days).

To investigate effectiveness of the microwave-assisted aqueous dual catalysis, we surveyed various oxygen-tethered 1,6-enynes for the Pauson-Khand-type

cycloaddition (Table 2). Substituted aromatic enynes were tolerable under these reaction conditions to furnish the corresponding cyclopentenones (Table 2, entries 1-9). Although no significant electronic effect of aromatic enynes on the product yield was observed, performances of electron-withdrawing aromatic enynes were generally inferior to electron-donating aromatic enynes (Table 2, entries 6-8). Sterically congested *ortho*-tolyl enyne afforded low yield of the product presumably the *ortho*-methyl group hindered the coordination of yne moiety to the metal center (Table 2, entry 10). Thiophenyl-enyne was successfully transformed to the desired product under aqueous microwave-assisted conditions (Table 2, entry 11). In contrast, only a trace amount of desired product was obtained under conventional oil-bath heating (Table 2, entry 12), along with significant amount of substrate decomposition as judged by GC-MS analysis. Dienyne and 1,8-enyne were unsuccessful in this transformation and ~80% of starting material were recovered (Table 2, entries 10 and 13). This catalytic system can be also applied to *N*- and *C*-tethered enynes and moderate cyclopentenones were obtained. (Table 2, entries 14-16)

Furthermore this catalytic system can be also explore to the asymmetric Pauson-Khand-type cyclization by changing dppp to chiral diphosphine ligands<sup>13</sup> (Table 3).

Table 3: Microwave-assisted asymmetric aqueous Pauson-Khand-type cyclization<sup>a</sup>


entry	ligand, L*	yield/% <sup>b</sup>	ee/%
1	(S)-BINAP, <b>1</b>	23	89
2	(S)-tol-BINAP, <b>2</b>	30	89
3	(S)-xylyl-BINAP, <b>3</b>	32	87
4	(S)-BisBenzodioxanPhos, <b>4</b>	42	93
5	(S)-Phos, <b>5</b>	<5	60
6	(S)-xyl-PPhos, <b>6</b>	72	84
7	(S)-MeO-BIPHEP, <b>7</b>	55	91
8	(S)- <b>8</b>	27	98
9	(R,R)-Walphos, <b>9</b>	no rxn	n.d.
10	(R,S)-Taniaphos, <b>10</b>	no rxn	n.d.

<sup>a</sup> Reaction conditions: [Rh(COD)Cl]<sub>2</sub> (5 mol%), L\* (11 mol%), enyne (0.3 mmol), *p*-chlorobenzyl formate (1.5 mmol) and water (1.0 mL) were placed in MW-vials under nitrogen and the reaction were subjected at 130 °C for 50 mins under microwave-conditions.

<sup>b</sup> Isolated yield.

BINAPs provided good enantioselectivities, although poor yields were obtained (entries 1-3). BisbenzodioxanPhos<sup>14</sup> **4** (SYNPHOS)<sup>15</sup> showed better yield and enantioselectivity than BINAPs (entry 4). Xyl-PPhos<sup>16</sup> **6** gave the highest yield

of the cyclopentenone product (entry 6). The modified MeO-BIPHEP **8** furnished the product in excellent enantioselectivity (98%), which is the highest *ee* achieved so far for the *O*-tethered aromatic enyne (entry 8). Chiral ferrocenyl ligands such as Walphos **9** and Taniaphos **10** were found to be inferior in these aqueous cascade process (entries 9-10).

### **5.4.2.3. Conclusion**

In summary, various kind of oxygen-, nitrogen- and carbon-tethered enynes were successfully transformed to their corresponding cyclopentenones. The decarbonylation of formate and cooperative carbonylative cyclization was successfully accelerated under microwave irradiation and the reaction time was shortened from 3 days to 50 minutes. Furthermore excellent product enantioselectivities (up to 98%) were obtained with axially chiral bidentate diphosphine ligand, (*S*)-xyl-PPhos.

#### **5.4.2.4. Experimental Section**

*General procedures for Pauson-Khand-type cyclization of various enynes:*

[Rh(COD)Cl]<sub>2</sub> (7.4 mg, 15.0 μmol), DPPP (13.6 mg, 33.0 μmol) were charged into the reaction vial on bench-top at room temperature. The reaction vial was then transferred to the dry box for being evacuated and backfilled with nitrogen (3 cycles). *p*-Cl-benzylformate (255 mg, 1.5 mmol, 5 equivalents with respect to enyne) was added under nitrogen atmosphere and the reaction mixture was stirred for 1 hour. Enynes (57mg, 0.3 mmol) and water (1.0 mL) were charged into the reaction vial. The vial was air-tighten by a special designed lid and transferred to the microwave oven. The reaction mixtures were heated to 130 °C by microwave irradiation with power of 500 watts for 50 minutes. The vials were allowed to reach room temperature. Diethyl ether or ethyl acetate (~2 mL) was added. The crude reaction mixtures were directly purified by column chromatography on silica gel using hexane/ethyl acetate as the eluent to afford chiral bicyclic cyclopentenones. For the asymmetric catalytic Pauson-Khand-type cyclization.. The enantiomeric excess of the products were determined by chiral HPLC analysis using Chiralcel<sup>®</sup> columns.

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## CHAPTER 6

### Summary

In conclusion, aldehyde and formate were found to be carbon monoxide surrogate for Pauson-Khand-type carbonylative cyclization process. With using biaryl diphosphine ligand, BisbenzodioxanPhos (SYN-Phos), rhodium can decarbonylate aldehyde effectively in homogenous conditions to provide CO moiety for the carbonylative cyclization with enynes to provide cyclopentenones in good enantiomeric excess (% *ee*) values and chemical yields. Meanwhile rhodium-xylylene-BINAP complex can also decarbonylate formate and transfer the CO moiety to the Pauson-Khand-type cyclization to provide cyclopentenones in moderate yields and good *ee* values however it takes quite long time to complete the reaction. To the best knowledge it is the first example of rhodium-catalyzed cooperative decarbonylation of formate and asymmetric Pauson-Khand-type cycloaddition.

Following the encouraging results of rhodium-catalyzed Pauson-Khand reaction, iridium was examined and iridium-BINAP complex was found to catalyze decarbonylation of aldehyde (*n*-nonylaldehyde) and cascade carbonylative cycloaddition, results in excellent *ee* values but the reactivity was lower than rhodium-catalyzed Pauson-Khand reaction.

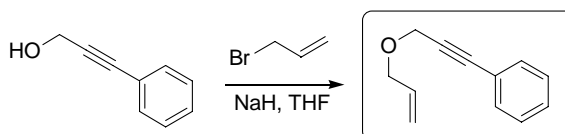
Although aldehyde and formate were found to substitute carbon monoxide for the Pauson-Khand reaction, it generally takes several days to complete the reaction (36 hours for aldehyde, 3 days for formate) and hence makes the cooperative decarbonylation of carbonyl compounds and Pauson-Khand-type cyclization become impractical and undesirable processes. Therefore microwave was attempted to accelerate the reactions and satisfactory results were obtained. Under microwave irradiation, both decarbonylation of aldehyde and formate/cascade asymmetric Pauson-Khand reaction can be completed within an hour to provide cycloadducts in moderate product yields and the enantioselectivities were retained.

Although the decarbonylation of carbonyl compounds now provides us an attractive protocol to generate safe CO sources for carbonylative reactions, examples of carbonylative cyclization between diynes, alkynals and allenes were rarely studied. It is generally believed that biaryl diphosphine ligands (such as BINAP, P-Phos and BisbenzodioxanPhos) were necessary to obtain good product yields and *ee* values in Pauson-Khand-type reaction. Recently P,S and P,N ligands were examined for the asymmetric Pauson-Khand reaction and it may be one of the developing areas in future.

## Appendix

### Preparation and characterization data of enyne substrates

#### 3-(Allyloxy)-1-phenyl-1-propyne<sup>1</sup>

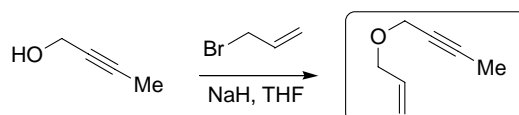


*General procedures of condensation of arylpropargyl alcohol with allyl bromide:*

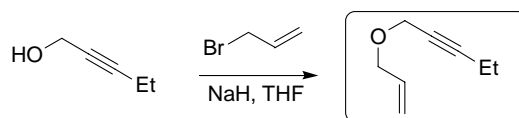
To a solution of 3-phenyl-2-propyn-1-ol (5.28 g, 40 mmol) in freshly distilled THF (80 mL) was added NaH (1.44 g, 60 mmol, freshly pre-washed with dry hexane) portionwise under nitrogen atmosphere at 0 °C. The white suspension was slowly warmed to room temperature and stirred for 2 hours. The reaction mixture was then cooled to 0 °C and allyl bromide (6.8 mL, 80 mmol) was added dropwise. After complete addition, the reaction was warmed to room temperature and further stirred for 2 hours. Water (~30 mL) was slowly added and the aqueous layer was extracted with diethyl ether (3 × ~100 mL). The combined organic layers were washed with water (~50 mL), brine (~50 mL) and dried over sodium sulfate. Solvent was removed by rotary evaporation and the light yellow crude product was purified by vacuum distillation (bp. 101-102 °C, 5 mmHg) to give title compound as a colorless liquid (6.53 g, 95% yield).  $R_f = 0.2$

(hexane);  $^1\text{H NMR}$  ( $\text{CDCl}_3$ , 500 MHz)  $\delta$  7.30-7.43 (m, 5H), 6.02 (tdd,  $J = 17.0$  Hz, 10.0 Hz, 5.5 Hz, 1H), 5.38 (dd,  $J = 17.0$  Hz, 2.0 Hz, 1H), 5.27 (dd,  $J = 10.0$  Hz, 2.0 Hz, 1H), 4.39 (s, 2H), 4.17 (dd,  $J = 5.5$  Hz, 1.5 Hz, 2H); IR (neat,  $\text{cm}^{-1}$ ) 3080, 3019, 2982, 2938, 2849, 2237, 1954, 1881, 1647, 1598, 1571, 1489, 1442, 1424, 1354, 1256, 1124, 1081, 1027, 991, 964, 925, 757, 691, 626, 549, 585, 538, 525; MS(EI)  $m/z$  (relative intensity) 172 ( $\text{M}^+$ , 20), 131 (100).

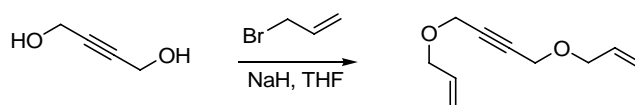
#### 4-(Allyloxy)-2-butyne<sup>2</sup>



The general procedures of condensation of arylpropargyl alcohol with allyl bromide were followed: 2-Butyn-1-ol (4.0 g, 57.1 mmol), NaH (2.1 g, 87.5 mmol, prewashed with dry hexane), allyl bromide (9.7 mL, 115 mmol) and freshly distilled THF (200 mL) were used to obtain the title compound as colorless liquid (5.5 g, 88% yield). General procedure workup and purified by vac-transfer.  $R_f = 0.2$  (hexane);  $^1\text{H NMR}$  ( $\text{CDCl}_3$ , 500 MHz)  $\delta$  5.90 (tdd,  $J = 17.0$  Hz, 10.0 Hz, 5.5 Hz, 1H), 5.28 (dd,  $J = 17.0$  Hz, 2.0 Hz, 1H), 5.19 (dd,  $J = 10.0$  Hz, 2.0 Hz, 1H), 4.10 (q,  $J = 2.5$  Hz, 2H), 4.04 (d,  $J = 5.5$  Hz, 2H), 1.85 (t,  $J = 2.0$  Hz, 3H);  $^{13}\text{C NMR}$  ( $\text{CDCl}_3$ , 125 MHz)  $\delta$  134.1, 117.5, 82.3, 75.0, 70.4, 57.6, 3.5; MS(EI)  $m/z$  (relative intensity) 110 ( $\text{M}^+$ , 10), 69 (100).

**5-(Allyloxy)-3-pentyne<sup>2</sup>**

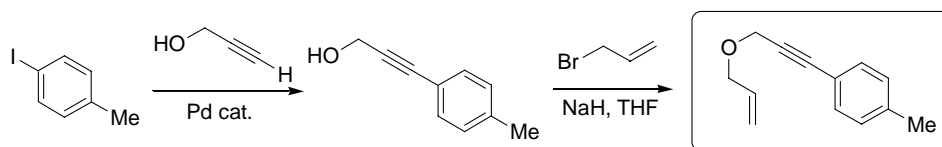
The general procedures of C-O bond formation were followed: 3-Pentyn-1-ol (4.2 g, 50 mmol), NaH (1.8 g, 75 mmol, prewashed with dry hexane), allyl bromide (8.5 mL, 100 mmol) and freshly distilled THF (150 mL) were used to obtain the title compound as a colorless liquid (5.3 g, 85% yield). Purification was conducted by distillation under reduced pressure (30-33 °C, 5 mmHg).  $R_f$  = 0.2 (hexane);  $^1\text{H}$  NMR ( $\text{CDCl}_3$ , 500 MHz)  $\delta$  5.91 (tdd,  $J$  = 17.0 Hz, 10.0 Hz, 5.5 Hz, 1H), 5.31 (dd,  $J$  = 17.0 Hz, 2.0 Hz, 1H), 5.20 (dd,  $J$  = 10.0 Hz, 2.0 Hz, 1H), 4.12 (t,  $J$  = 2.5 Hz, 2H), 4.04 (d,  $J$  = 5.5 Hz, 2 H), 2.21-2.25 (m, 2H), 1.14 (t,  $J$  = 7.5 Hz, 3H);  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 125 MHz)  $\delta$  133.1, 117.6, 82.5, 75.1, 70.5, 57.7, 11.8, 9.5; IR (neat,  $\text{cm}^{-1}$ ) 3078, 2978, 2938, 2851, 2289, 2223, 1649, 1454, 1424, 1357, 1316, 1137, 1084, 999, 926, 748, 650, 563; MS(EI)  $m/z$  (relative intensity) 125 ( $\text{M}^+$ , 15), 84 (100).

**1,3-Diallyloxy-2-butyne**

2-Butyne-1,4-diol (0.5 g, 6.0 mmol), NaH (288 mg, 12.0 mmol, prewashed with

dry hexane), allyl bromide (1.12 mL, 13.0 mmol) and freshly distilled THF (10 mL) were used to afford the desired product as a colourless liquid (1.16 g, 94% yield). Purification of crude product was conducted by filtered over a short silica pad followed by flash column chromatography on silica gel using hexane/ethyl acetate (2:1) as eluent.  $R_f = 0.5$  (hexane/ethyl acetate = 2:1);  $^1\text{H}$  NMR ( $\text{CDCl}_3$ , 500 MHz)  $\delta$  5.90 (m, 2H), 5.30 (dt,  $J = 1.5$  Hz, 17Hz, 2H), 5.21 (dt,  $J = 1.5$  Hz, 10.5, 2H), 4.20 (d,  $J = 1.5$  Hz, 4H), 4.05 (dd,  $J = 1.5$  Hz, 6 Hz, 4H); MS(EI)  $m/z$  (relative intensity) 166 ( $\text{M}^+$ , 10), 107 (100);

### 3-(Allyloxy)-1-(4-methylphenyl)-1-propyne<sup>3</sup>



The procedure of Sonogashira coupling of propargyl alcohol with ArI were used: 4-Iodotoluene (10.9 g, 50 mmol), Pd(PPh<sub>3</sub>)<sub>2</sub>Cl<sub>2</sub> (3 mol%), CuI (6 mol%), piperidine (8.4 g, 100 mmol), propargyl alcohol (3.07 mL, 52 mmol) and freshly distilled toluene (50 mL) were charged into a round-bottom flask with Teflon inter-key under nitrogen. The resulting dark brown reaction mixture was stirred at 30-35 °C for 3 hours under nitrogen (ArI was completely consumed as judged by GC analysis). The 3-(4-methylphenyl)-2-propyn-1-ol<sup>4</sup> (5.26 g, 72% yield)

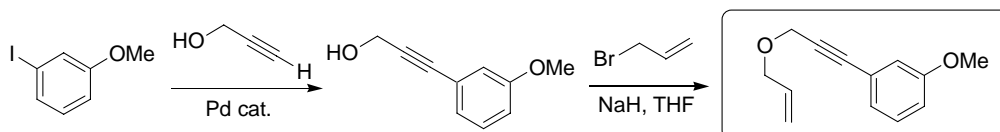
was afforded as a light brown solid. Purification was conducted by filtered the reaction mixture over a silica pad (5 cm × 5 cm), and purified by flash column chromatography on silica gel using dichloromethane as eluent.  $R_f = 0.5$  (dichloromethane);  $^1\text{H NMR}$  ( $\text{CDCl}_3$ , 500 MHz)  $\delta$  7.33 (d,  $J = 8.0$  Hz, 2H), 7.12 (d,  $J = 8.0$  Hz, 2H), 4.49 (s, 2H), 3.23 (brs, 1H), 2.35 (s, 3H);  $^{13}\text{C NMR}$  ( $\text{CDCl}_3$ , 125 MHz)  $\delta$  138.2, 131.3, 128.6, 119.3, 86.5, 85.3, 51.2, 21.0; MS(EI)  $m/z$  (relative intensity) 146 ( $\text{M}^+$ , 100); HRMS calcd. for  $\text{C}_{10}\text{H}_{10}\text{O}$  146.07316, found 146.07311.

The general procedures of condensation of arylpropargyl alcohol with allyl bromide were followed: 3-(4-Methylphenyl)-2-propyn-1-ol<sup>4</sup> (1.46 g, 10 mmol), NaH (360 mg, 15 mmol, prewashed with dry hexane), allyl bromide (1.7 mL, 20 mmol) and freshly distilled THF (20 mL) were used to afford 3-(allyloxy)-1-(4-methylphenyl)-1-propyne as a light yellow liquid (1.78 g, 96% yield). Purification was conducted by distillation under reduced pressure (130-133 °C, 3 mmHg).  $R_f = 0.2$  (hexane);  $^1\text{H NMR}$  ( $\text{CDCl}_3$ , 500 MHz)  $\delta$  7.35 (d,  $J = 8.5$  Hz, 2H), 7.12 (d,  $J = 8.0$  Hz, 2H), 5.92-6.00 (m, 1H), 5.33 (dd,  $J = 17.0$  Hz, 1.0 Hz, 1H), 5.23 (dd,  $J = 17.5$  Hz, 1.0 Hz, 1H), 4.38 (s, 2H), 4.13 (d,  $J = 5.0$  Hz, 2H), 2.35 (s, 3H);  $^{13}\text{C NMR}$  ( $\text{CDCl}_3$ , 125 MHz)  $\delta$  137.5, 137.4, 132.0, 128.8, 119.3, 115.1, 89.4, 85.5, 72.2, 57.4, 20.1; IR (neat,  $\text{cm}^{-1}$ ) 3080,



3028, 2982, 2921, 2851, 2243, 1910, 1649, 1509, 1442, 1424, 1354, 1260, 1123, 1080, 991, 926, 817, 666, 558, 526; MS(EI)  $m/z$  (relative intensity) 186 ( $M^+$ , 15), 145 (100); HRMS calcd. for  $C_{13}H_{14}O$  186.10447, found 186.10451.

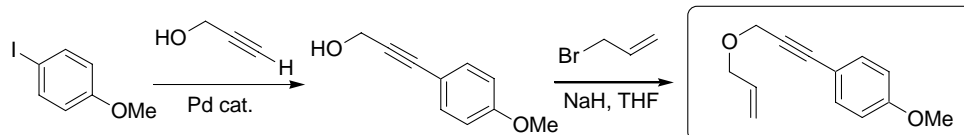
### 3-(Allyloxy)-1-(3-methoxyphenyl)-1-propyne<sup>3</sup>



The general procedures for Sonogashira coupling of propargyl alcohol with ArI were used: 3-Iodoanisole (11.7 g, 50 mmol),  $Pd(PPh_3)_2Cl_2$  (3 mol%), CuI (6 mol%), piperidine (8.4 g, 100 mmol), propargyl alcohol (3.07 mL, 52 mmol) and freshly distilled toluene (50 mL) were used to afford 3-(3-methoxyphenyl)-2-propyn-1-ol<sup>5</sup> (5.91 g, 73% yield) as a light yellow viscous liquid. Purification was conducted by filtered the reaction mixture over a silica pad (5 cm  $\times$  5 cm), and purified by flash column chromatography on silica gel using dichloromethane as eluent.  $R_f$  = 0.4 (dichloromethane);  $^1H$  NMR ( $CDCl_3$ , 500 MHz)  $\delta$  7.22 (t,  $J$  = 8.0 Hz, 1H), 7.03 (d,  $J$  = 7.5 Hz, 1H), 6.97 (m, 1H), 6.89 (m, 1H), 4.50 (d,  $J$  = 6.5 Hz, 2H), 3.80 (s, 3H), 1.72 (t,  $J$  = 6.5 Hz, 1H);  $^{13}C$  NMR ( $CDCl_3$ , 125 MHz)  $\delta$  138.9, 131.1, 128.6, 119.6, 86.5, 85.3, 51.2, 44.8; MS(EI)  $m/z$  (relative intensity) 162 ( $M^+$ , 100); HRMS calcd. for

$C_{10}H_{10}O_2$  162.06808, found 162.06829.

The general procedures of condensation of arylpropargyl alcohol with allyl bromide were followed: 3-(3-Methoxyphenyl)-2-propyn-1-ol<sup>5</sup> (1.0 g, 6.2 mmol), NaH (223 mg, 9.3 mmol, prewashed with dry hexane), allyl bromide (1.05 mL, 12.4 mmol) and freshly distilled THF (10 mL) were used to afford 3-(allyloxy)-1-(3-methoxyphenyl)-1-propyne as a light yellow liquid (1.16 g, 94% yield). Purification of crude product was conducted by filtered over a short silica pad followed by flash column chromatography on silica gel using hexane/ethyl acetate (10:1) as eluent.  $R_f = 0.5$  (hexane/ethyl acetate = 10:1);  $^1H$  NMR ( $CDCl_3$ , 500 MHz)  $\delta$  7.21 (t,  $J = 8.0$  Hz, 1H), 7.02 (d,  $J = 7.5$  Hz, 1H), 6.96 (m, 1H), 6.89 (m, 1H), 5.94 (m, 1H), 5.32 (dd,  $J = 17.0$  Hz, 1.0 Hz, 1H), 5.23 (dd,  $J = 17.5$  Hz, 1.0 Hz, 1H), 4.37 (s, 2H), 4.13 (d,  $J = 5.0$  Hz, 2H), 3.81 (s, 3H);  $^{13}C$  NMR ( $CDCl_3$ , 125 MHz)  $\delta$  159.7, 134.1, 133.2, 117.8, 114.7, 113.9, 86.2, 83.6, 70.6, 57.9, 55.2; IR (neat,  $cm^{-1}$ ) 3077, 3004, 2939, 2911, 2840, 2228, 1644, 1600, 1572, 1483, 1419, 1353, 1318, 1289, 1204, 1165, 1124, 1046, 992, 927, 855, 784, 687, 584, 512; MS(EI)  $m/z$  (relative intensity) 202 ( $M^+$ , 10), 161 (100); HRMS cald. for  $C_{13}H_{14}O_2$  202.09938, found 202.09923.

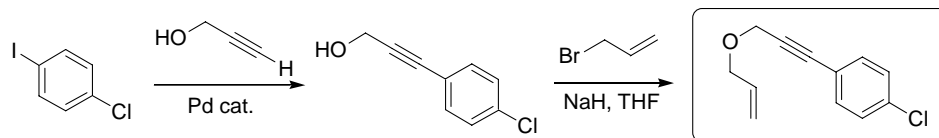
**3-(Allyloxy)-1-(4-methoxyphenyl)-1-propyne<sup>6</sup>**

*General procedure for Sonogashira coupling of propargyl alcohol with ArI:*

4-Iodoanisole (11.7 g, 50 mmol), Pd(PPh<sub>3</sub>)<sub>2</sub>Cl<sub>2</sub> (3 mol%) and CuI (6 mol%) were dissolved in freshly distilled toluene (50 mL) under nitrogen at room temperature. Piperidine (8.4 g, 100 mmol) was added, followed by slow addition of propargyl alcohol (3.07 mL, 52 mmol) via syringe (*Caution:* exothermic reaction, when propargyl alcohol was added). The resulting dark brown reaction mixture was stirred at 30-35 °C for 3 hours under nitrogen (ArI was completely consumed as judged by GC analysis). The reaction was allowed to reach room temperature and the dark brown crude product was filtered over a silica pad (5 cm diameter × 5 cm height) and rinsed with dichloromethane (~200 mL). Solvent was removed by rotary evaporation to give a viscous brown liquid, which was purified by flash column chromatography on silica gel using dichloromethane as eluent to afford 3-(4-methoxyphenyl)-2-propyn-1-ol<sup>7</sup> as a yellow solid (6.07 g, 75% yield).  $R_f = 0.4$  (dichloromethane); <sup>1</sup>H NMR (CDCl<sub>3</sub>, 500 MHz)  $\delta$  7.37 (d,  $J = 8.5$  Hz, 2H), 6.83 (d,  $J = 8.5$  Hz, 2H), 4.47 (d,  $J = 6.0$  Hz), 3.81 (s, 2H),

1.75 (t,  $J = 5.5$  Hz, 1H);  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 125 MHz)  $\delta$  138.8, 131.3, 128.8, 119.3, 86.5, 85.4, 51.2, 43.6; MS(EI)  $m/z$  (relative intensity) 162 ( $\text{M}^+$ , 100).

The general procedures of condensation of arylpropargyl alcohol with allyl bromide were followed: 3-(4-Methoxyphenyl)-2-propyn-1-ol (1.0 g, 6.2 mmol), NaH (223 mg, 9.3 mmol, prewashed with dry hexane), allyl bromide (1.05 mL, 12.4 mmol) and freshly distilled THF (10 mL) were used to afford 3-(allyloxy)-1-(4-methoxyphenyl)-1-propyne as pale yellow liquid (1.18 g, 95% yield). Purification of crude product was conducted by filtered over a short silica pad followed by flash column chromatography on silica gel using hexane/ethyl acetate (10:1) as eluent.  $R_f = 0.5$  (hexane/ethyl acetate = 10:1);  $^1\text{H}$  NMR ( $\text{CDCl}_3$ , 500 MHz)  $\delta$  7.39 (d,  $J = 8.5$  Hz, 2H), 6.84 (d,  $J = 8.5$  Hz, 2H), 5.92-5.98 (m, 1H), 5.33 (dd,  $J = 17.0$  Hz, 1.0 Hz, 1H), 5.23 (dd,  $J = 17.5$  Hz, 1.0 Hz, 1H), 4.37 (s, 2H), 4.13 (d,  $J = 5.0$  Hz, 2H), 3.81 (s, 3H);  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 125 MHz)  $\delta$  159.7, 134.1, 133.2, 117.8, 114.7, 113.9, 86.2, 83.6, 70.6, 57.9, 55.2; IR (neat,  $\text{cm}^{-1}$ ) 3077, 3039, 3006, 2935, 2901, 2839, 2541, 2235, 2049, 1967, 1885, 1648, 1606, 1568, 1509, 1462, 1442, 1354, 1291, 1251, 1175, 1085, 1032, 927, 833, 800, 675, 567, 536, 417; MS(EI)  $m/z$  (relative intensity) 202 ( $\text{M}^+$ , 10), 161 (100).

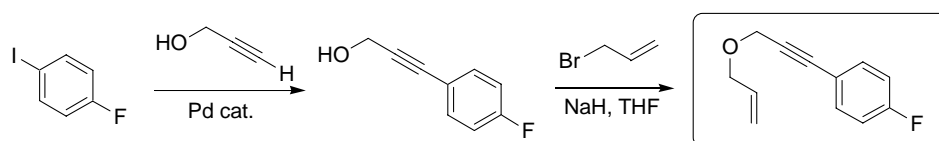
**3-(Allyloxy)-1-(4-chlorophenyl)-1-propyne<sup>3</sup>**

The general procedures for Sonogashira coupling of propargyl alcohol with ArI were used: 4-Chloriodobenzene (11.9 g, 50 mmol), Pd(PPh<sub>3</sub>)<sub>2</sub>Cl<sub>2</sub> (3 mol%), CuI (6 mol%), piperidine (8.4 g, 100 mmol), propargyl alcohol (3.07 mL, 52 mmol) and freshly distilled toluene (50 mL) were used to afford 3-(4-chlorophenyl)-2-propyn-1-ol<sup>8</sup> (5.91 g, 73% yield) as a light yellow viscous liquid. Purification was conducted by filtered the reaction mixture over a silica pad (5 cm × 5 cm), and purified by flash column chromatography on silica gel using dichloromethane as eluent. *R<sub>f</sub>* = 0.5 (dichloromethane); <sup>1</sup>H NMR (CDCl<sub>3</sub>, 500 MHz) δ 7.36 (d, *J* = 8.0 Hz, 2H), 7.27 (d, *J* = 8.0 Hz, 2H), 4.45 (s, 2H), 1.98 (brs, 1H); <sup>13</sup>C NMR (CDCl<sub>3</sub>, 125 MHz) δ 133.2, 131.3, 128.2, 119.3, 86.5, 85.1, 51.2; MS(EI) *m/z* (relative intensity) 168 (M<sup>+</sup>, 30), 166 (M<sup>+</sup>, 100); HRMS calcd. for C<sub>9</sub>H<sub>7</sub>ClO 166.01854, found 166.01850.

The general procedures of condensation of arylpropargyl alcohol with allyl bromide were followed: 3-(4-Chlorophenyl)-2-propyn-1-ol (1.67 g, 10 mmol), NaH (360 mg, 15 mmol, prewashed with dry hexane), allyl bromide (1.7 mL, 20 mmol) and freshly distilled THF (30 mL) were used to afford

3-(allyloxy)-1-(4-chlorophenyl)-1-propyne as a light yellow liquid (1.84 g, 89% yield). Purification of crude product was conducted by filtered over a short silica pad followed by flash column chromatography on silica gel using hexane/ethyl acetate (30:1) as eluent.  $R_f = 0.4$  (hexane/ethyl acetate = 30:1);  $^1\text{H}$  NMR ( $\text{CDCl}_3$ , 500 MHz)  $\delta$  7.38 (d,  $J = 8.5$  Hz, 2H), 7.27 (d,  $J = 8.5$  Hz, 2H), 5.94 (tdd,  $J = 17.0$  Hz, 10.0 Hz, 5.5 Hz, 1H), 5.34 (dd,  $J = 17.0$  Hz, 1.0 Hz, 1H), 5.24 (dd,  $J = 17.5$  Hz, 1.0 Hz, 1H), 4.36 (s, 2H), 4.12 (d,  $J = 6.0$  Hz); IR (neat,  $\text{cm}^{-1}$ ) 3078, 3011, 2980, 2939, 2850, 2243, 1895, 1644, 1583, 1488, 1353, 1260, 1124, 1089, 1015, 991, 927, 828, 753, 526; MS(EI)  $m/z$  (relative intensity) 208 ( $\text{M}^+$ , 10), 206 ( $\text{M}^+$ , 40); 167 (30), 165 (100); HRMS calcd. for  $\text{C}_{12}\text{H}_{11}\text{ClO}$  206.04984, found 206.04989.

### 3-(Allyloxy)-1-(4-fluorophenyl)-1-propyne<sup>3</sup>



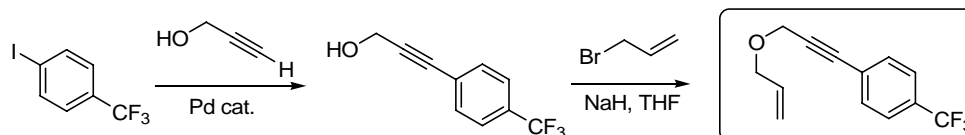
The general procedures for Sonogashira coupling of propargyl alcohol with ArI were used: 4-Iodofluorobenzene (11.1 g, 50 mmol),  $\text{Pd}(\text{PPh}_3)_2\text{Cl}_2$  (3 mol%), CuI (6 mol%), piperidine (8.4 g, 100 mmol), propargyl alcohol (3.07 mL, 52 mmol) and freshly distilled toluene (50 mL) were used to afford

3-(4-fluorophenyl)-2-propyn-1-ol<sup>9</sup> (5.85 g, 78% yield) as light yellow viscous liquid. Purification was conducted by filtered the reaction mixture over a silica pad (5 cm × 5 cm), and purified by flash column chromatography on silica gel using dichloromethane as eluent.  $R_f = 0.5$  (dichloromethane); <sup>1</sup>H NMR (CDCl<sub>3</sub>, 500 MHz)  $\delta$  7.39 (d,  $J = 8.5$  Hz, 2H), 6.97 (d,  $J = 8.5$  Hz, 2H), 4.78 (s, 2H), 2.69 (brs, 1H); <sup>13</sup>C NMR (CDCl<sub>3</sub>, 125 MHz)  $\delta$  163.5, 161.5, 133.5 (d,  $J_{CF} = 8.3$  Hz), 118.5 (d,  $J_{CF} = 3.0$  Hz), 115.5 (d,  $J_{CF} = 22.1$  Hz), 86.9, 84.5, 51.3; MS(EI)  $m/z$  (relative intensity) 150 ( $M^+$ , 100); HRMS cald. for C<sub>9</sub>H<sub>7</sub>FO 150.04809, found 150.04820.

The general procedures of condensation of arylpropargyl alcohol with allyl bromide were followed: 3-(4-Fluorophenyl)-2-propyn-1-ol<sup>9</sup> (1.5 g, 10 mmol), NaH (360 mg, 15 mmol, prewashed with dry hexane), allyl bromide (1.7 mL, 20 mmol) and freshly distilled THF (30 mL) were used to afford 3-(allyloxy)-1-(4-fluorophenyl)-1-propyne as colorless liquid (1.42 g, 75% yield). Purification was conducted by distillation under reduced pressure (89-90 °C, 2 mmHg).  $R_f = 0.2$  (hexane); <sup>1</sup>H NMR (CDCl<sub>3</sub>, 500 MHz)  $\delta$  7.41 (d,  $J = 8.5$  Hz, 2H), 7.00 (t,  $J = 8.5$  Hz), 5.95 (tdd,  $J = 17.0$  Hz, 10.0 Hz, 5.5 Hz, 1H), 5.34 (dd,  $J = 17.0$  Hz, 1.0 Hz, 1H), 5.24 (dd,  $J = 17.5$  Hz, 1.0 Hz, 1H), 4.36 (s, 2H), 4.13 (d,  $J = 6.0$  Hz); <sup>13</sup>C NMR (CDCl<sub>3</sub>, 125 MHz)  $\delta$  163.5, 161.6, 134.0, 133.6 (d,  $J_{CF}$ ,

= 8.4 Hz), 118.7, (d,  $J_{CF} = 3.0$  Hz), 117.9, 115.5 (d,  $J_{CF} = 22.0$  Hz), 85.1, 84.7, 70.7, 57.8; IR (neat,  $\text{cm}^{-1}$ ) 3073, 3016, 2986, 2934, 2851, 2248, 1885, 1649, 1601, 1506, 1354, 1229, 1156, 1088, 992, 928, 837, 815, 563, 529; MS(EI)  $m/z$  (relative intensity) 190 ( $M^+$ , 10), 149 (100); HRMS calcd. for  $C_{12}H_{11}FO$  190.07939, found 190.07923.

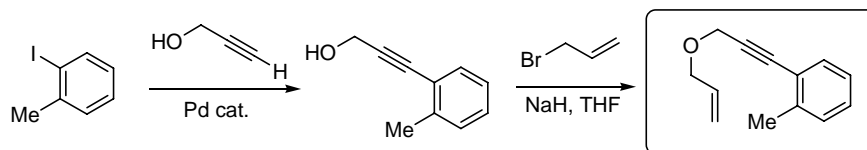
### 3-(Allyloxy)-1-(4-trifluoromethylphenyl)-1-propyne<sup>10</sup>



The general procedure for Sonogashira coupling of propargyl alcohol with ArI was used: 4-Trifluoromethyliodobenzene (13.6 g, 50 mmol),  $\text{Pd}(\text{PPh}_3)_2\text{Cl}_2$  (3 mol %), CuI (6 mol %), piperidine (8.4 g, 100 mmol), propargyl alcohol (3.07 mL, 52 mmol), and freshly distilled toluene (50 mL) were used to afford 3-(4-trifluoromethylphenyl)-2-propyn-1-ol (7.50 g, 75% yield) as a light yellow solid. Purification was conducted by filtering the reaction mixture over a silica pad (5 cm  $\times$  5 cm), and purified by flash column chromatography on silica gel using dichloromethane as eluent.  $R_f = 0.5$  (dichloromethane);  $^1\text{H}$  NMR ( $\text{CDCl}_3$ , 500 MHz):  $\delta$  7.56 (d,  $J = 8.0$  Hz, 2H), 7.52 (d,  $J = 8.0$  Hz, 2H), 4.52 (d,  $J = 6.0$  Hz, 2H), 2.10 (t,  $J = 6.0$  Hz, 1H); MS(EI)  $m/z$  (relative intensity) 200 ( $M^+$ , 100).



The general procedure of the condensation of arylpropargyl alcohol with allyl bromide was followed: 3-(4-Trifluoromethylphenyl)-2-propyn-1-ol (2.0 g, 10 mmol), NaH (360 mg, 15 mmol, pre-washed with dry hexane), allyl bromide (1.7 mL, 20 mmol), and freshly distilled THF (30 mL) were used to afford 3-(allyloxy)-1-(4-trifluoromethylphenyl)-1-propyne as a colorless liquid (1.82 g, 91% yield). Purification of the crude product was conducted by filtering over a short silica pad followed by flash column chromatography on silica gel using hexane/ethyl acetate (30:1) as eluent.  $R_f = 0.4$  (hexane/ethyl acetate = 30:1);  $^1\text{H}$  NMR ( $\text{CDCl}_3$ , 500MHz):  $\delta$  7.56 (d,  $J = 9.0$  Hz, 2H), 7.53 (d,  $J = 8.0$  Hz, 2H), 5.37 – 5.97 (m, 1H), 5.35 (dd,  $J = 17.0, 1.0$  Hz, 1H), 5.25 (dd,  $J = 17.5, 1.0$  Hz, 1H), 4.39 (s, 2H), 4.13 (d,  $J = 6.0$  Hz);  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 125 MHz):  $\delta$  133.8, 131.9, 130.3 (q,  $J_{\text{CF}} = 32.3$  Hz), 126.7, 125.2 (q,  $J_{\text{CF}} = 3.9$  Hz), 124.9 (q,  $J_{\text{CF}} = 270.8$  Hz), 118.2, 87.9, 85.0, 71.1, 57.9; IR (neat,  $\text{cm}^{-1}$ ) 3078, 3016, 2986, 2939, 2853, 1921, 1649, 1615, 1567, 1521, 1441, 1406, 1325, 1259, 1171, 1018, 928, 843, 716, 598; MS(EI)  $m/z$  (relative intensity) 240 ( $\text{M}^+$ , 100); HRMS calcd for  $\text{C}_{13}\text{H}_{11}\text{F}_3\text{O}$  240.07620, found 240.07690.

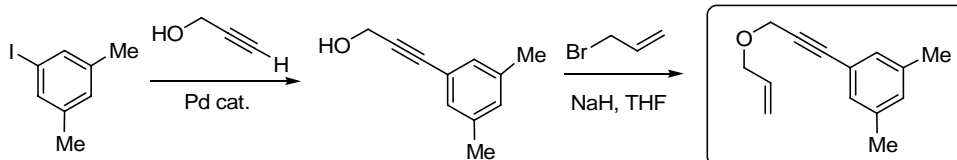
**3-(Allyloxy)-1-(2-methylphenyl)-1-propyne<sup>3</sup>**

The general procedures for Sonogashira coupling of propargyl alcohol with ArI were used: 2-Iodotoluene (10.9 g, 50 mmol), Pd(PPh<sub>3</sub>)<sub>2</sub>Cl<sub>2</sub> (3 mol%), CuI (6 mol%), piperidine (8.4 g, 100 mmol), propargyl alcohol (3.07 mL, 52 mmol) and freshly distilled toluene (50 mL) were used to afford 3-(2-methylphenyl)-2-propyn-1-ol<sup>5</sup> (5.26 g, 72% yield) as a light brown solid. Purification was conducted by filtered the reaction mixture over a silica pad (5 cm × 5 cm), and purified by flash column chromatography on silica gel using dichloromethane as eluent. *R<sub>f</sub>* = 0.5 (dichloromethane); Melting point: 43-44 °C; <sup>1</sup>H NMR (CDCl<sub>3</sub>, 500 MHz) δ 7.41 (d, *J* = 7.5 Hz, 1H), 7.11-7.24 (m, 3H), 4.54 (d, *J* = 6.0 Hz), 2.43 (s, 3H); <sup>13</sup>C NMR (CDCl<sub>3</sub>, 125 MHz) δ 138.2, 131.3, 128.6, 128.1, 119.3, 115.3, 86.5, 85.3, 51.2, 21.2; MS(EI) *m/z* (relative intensity) 146 (M<sup>+</sup>, 100); HRMS calcd. for C<sub>10</sub>H<sub>10</sub>O 146.07316, found 146.07310.

The general procedures of condensation of arylpropargyl alcohol with allyl bromide were followed: 3-(2-Methylphenyl)-2-propyn-1-ol (1.46 g, 10 mmol), NaH (360 mg, 15 mmol, prewashed with dry hexane), allyl bromide (1.7 mL, 20 mmol) and freshly distilled THF (20 mL) were used to afford

3-(allyloxy)-1-(2-methylphenyl)-1-propyne as a light yellow liquid (1.73 g, 94% yield).  $^1\text{H}$  NMR ( $\text{CDCl}_3$ , 500 MHz)  $\delta$  7.43 (d,  $J = 8.0$  Hz, 1H), 7.12-7.25 (m, 3H), 5.95-6.01 (m, 1H), 5.36 (dd,  $J = 17.0$  Hz, 1.0 Hz, 1H), 5.27 (dd,  $J = 17.5$  Hz, 1.0 Hz, 1H), 4.44 (s, 2H), 4.16 (d,  $J = 6.0$  Hz), 2.46 (s, 3H);  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 125 MHz)  $\delta$  137.6, 137.4, 132.0, 128.8, 128.6, 119.6, 119.3, 115.1, 89.4, 85.5, 72.3, 57.4, 20.2; IR (neat,  $\text{cm}^{-1}$ ) 3069, 3020, 2981, 2920, 2850, 2223, 1644, 1603, 1485, 1455, 1425, 1353, 1249, 1117, 1085, 926, 758, 716, 599, 452; HRMS calcd. for  $\text{C}_{13}\text{H}_{14}\text{O}$  186.10447, found 186.10453.

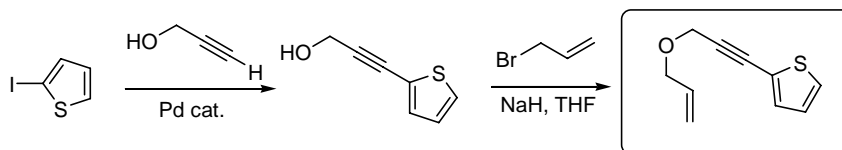
### 3-(Allyloxy)-1-(3,5-dimethylphenyl)-1-propyne<sup>11</sup>



The general procedures for Sonogashira coupling of propargyl alcohol with ArI were used: 5-Iodo-*m*-xylene (2.85 g, 12 mmol),  $\text{Pd}(\text{PPh}_3)_2\text{Cl}_2$  (3 mol%), CuI (6 mol%), Piperidine (1.72 g, 20 mmol), propargyl alcohol (0.62 mL, 10.5 mmol) and freshly distilled toluene (25 mL) were used to afford 3-(3,5-dimethylphenyl)-2-propyn-1-ol (4.1 g, 54% yield) as a light brown solid. Purification was conducted by filtered the reaction mixture over a silica pad (5 cm  $\times$  5 cm), and further purified by flash column chromatography on silica gel

using dichloromethane as eluent.  $R_f = 0.5$  (dichloromethane);  $^1\text{H}$  NMR ( $\text{CDCl}_3$ , 500 MHz)  $\delta$  7.07 (s, 2H), 6.96 (s, 1H), 4.48 (d,  $J = 5.5$  Hz, 2H), 2.28 (s, 6H), 1.79 (t,  $J = 6.5$  Hz, 1H);  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 125 MHz)  $\delta$  137.6, 130.1, 122, 86.5, 85.6, 77.3, 77.2, 77, 76.7, 51.1, 20.8.

The general procedures of condensation of arylpropargyl alcohol with allyl bromide were followed: 3-(3,5-dimethylphenyl)-2-propyn-1-ol (2g, 10 mmol), NaH (360 mg, 15 mmol, prewashed with dry hexane), allyl bromide (1.7 mL, 20 mmol) and freshly distilled THF (20 mL) were used to afford 3-(allyloxy)-1-(3,5-dimethylphenyl)-1-propyne as a light yellow liquid (1.73 g, 82% yield). Purification was conducted by filtering the reaction mixture over a silica pad (5 cm  $\times$  5 cm), and purified by flash column chromatography on silica gel using dichloromethane as eluent.  $R_f = 0.4$  (hexane/ethylacetate = 40:1);  $^1\text{H}$  NMR ( $\text{CDCl}_3$ , 500 MHz)  $\delta$  6.82 (s, 2H), 6.70 (s, 2H), 5.65-5.73 (m, 1H), 5.09 (dd,  $J = 18.5$  Hz, 1.5 Hz, 1H), 4.98 (dd,  $J = 10.25$  Hz, 1.0 Hz, 1H), 4.11 (s, 2H), 3.88 (d,  $J = 5.5$  Hz, 2H);  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 125 MHz)  $\delta$  137.8, 134.1, 129.9, 122.2, 117.8, 86.575, 84.246, 76.9, 70.6, 57.9, 21.1

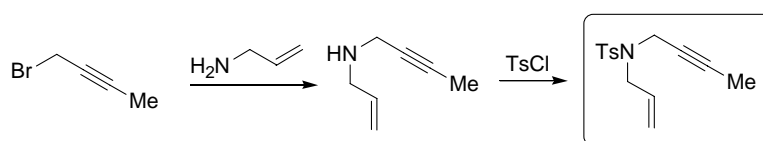
**3-(Allyloxy)-1-(2-thiophenyl)-1-propyne**

The general procedures for Sonogashira coupling of propargyl alcohol with ArI were used: 2-Iodothiophene (10.5 g, 50 mmol), Pd(PPh<sub>3</sub>)<sub>2</sub>Cl<sub>2</sub> (3 mol%), CuI (6 mol%), piperidine (8.4 g, 100 mmol), propargyl alcohol (3.07 mL, 52 mmol) and freshly distilled toluene (50 mL) were used to afford 3-(2-thiophenyl)-2-propyn-1-ol (5.03 g, 70% yield) as an orange-brown liquid. Purification was conducted by filtered the reaction mixture over a silica pad (5 cm × 5 cm), and purified by flash column chromatography on silica gel using dichloromethane as eluent. *R<sub>f</sub>* = 0.4 (dichloromethane); <sup>1</sup>H NMR (CDCl<sub>3</sub>, 500 MHz) δ 7.25 (d, 1H, *J* = 5.0 Hz), 7.21 (d, 1H, *J* = 3.5 Hz), 6.96 (t, 1H, *J* = 5.0 Hz), 4.50 (s, 2H), 2.41 (s, 1H); <sup>13</sup>C NMR (CDCl<sub>3</sub>, 125 MHz) δ 132.7, 127.7, 127.2, 122.7, 91.5, 79.2, 51.8; MS(EI) *m/z* (relative intensity) 138 (M<sup>+</sup>, 100), 121 (40), 109 (60).

The general procedures of condensation of arylpropargyl alcohol with allyl bromide were followed: 3-(2-thiophenyl)-2-propyn-1-ol (1.38 g, 10 mmol), NaH (360 mg, 15 mmol, prewashed with dry hexane), allyl bromide (1.7 mL, 20 mmol) and freshly distilled THF (20 mL) were used to afford

3-(allyloxy)-1-(2-thiophenyl)-1-propyne as a brown liquid (1.69 g, 91% yield).  $R_f$  = 0.2 (hexane/ethyl acetate = 100/1);  $^1\text{H}$  NMR ( $\text{CDCl}_3$ , 500 MHz)  $\delta$  7.25 (d, 1H,  $J$  = 5.5 Hz), 7.22 (d, 1 H,  $J$  = 3.5 Hz), 6.97 (dd, 1H,  $J$  = 4.0 Hz, 5.0 Hz), 5.90-5.98 (m, 1H), 5.34 (dd, 1H,  $J$  = 1.0 Hz, 17.5 Hz), 5.24 (d, 1H,  $J$  = 9.5 Hz), 4.39 (s, 2H), 4.12 (d, 1H,  $J$  = 6.0 Hz);  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 125 MHz)  $\delta$  133.9, 132.4, 127.3, 126.9, 122.5, 117.9, 89.1, 79.5, 70.7, 57.9; IR (neat,  $\text{cm}^{-1}$ ) 3108, 3079, 3011, 2982, 2937, 2847, 2220, 1649, 1518, 1425, 1356, 1263, 1245, 1190, 1124, 1021, 927, 848, 703, 669, 589, 508; MS(EI)  $m/z$  (relative intensity) 178 ( $\text{M}^+$ , 5), 149 (40), 135 (65), 121 (100); HRMS calcd. for  $\text{C}_{10}\text{H}_{10}\text{OS}$  178.04524, found 178.04514.

### ***N*-Allyl-*N*-(2-butyne)-4-tolylsulfonamide<sup>3</sup>**



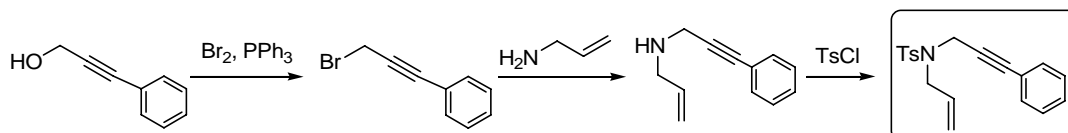
Allylamine (15.0 mL, 200 mmol) was charged into a 3-necked round bottom flask, followed by the addition of freshly distilled diethyl ether (50 mL) at room temperature under nitrogen. 1-Bromo-2-butyne (1.86 mL, 20 mmol) was added dropwise at 0 °C and the reaction mixtures were stirred at room temperature for 2 hours. The reaction was quenched with water and extracted with ethyl acetate

(3 × ~100 mL). The combined organic layers were washed with brine and dried over Na<sub>2</sub>SO<sub>4</sub>. The crude mixture was passed through a short silica pad (5 cm width × 10 cm height). Solvent was removed in *vacuo* and the *N*-allyl-*N*-(2-butylnyl)amine product was used in next step without further purification.

To a mixture of *N*-allyl-*N*-(2-butylnyl)amine (crude), triethylamine (4 mL), and dichloromethane (50 mL) was added a dichloromethane solution of *p*-toluenesulfonyl chloride (4 g, 22 mmol) at 0 °C. The reaction mixture was slowly warmed to room temperature and stirred for 2 hours. Water (~100 mL) was added to quench the reaction, and the aqueous phase was extracted with chloroform (2 × ~100 mL). The combined organic layers were washed with brine and dried over sodium sulfate. Solvent was removed by rotary evaporation and the crude product was purified by column chromatography on silica gel using hexane/dichloromethane (4:1) to afford the title compound as a colorless liquid (2.30 g, 44% yield in two steps).  $R_f = 0.2$  (hexane/dichloromethane = 4:1); <sup>1</sup>H NMR (CDCl<sub>3</sub>, 500 MHz) δ 7.70 (d,  $J = 7.5$  Hz, 2H), 7.27 (d,  $J = 7.5$  Hz, 2H), 5.67-5.73 (m, 1H), 5.25 (d,  $J = 17.0$  Hz, 1H), 5.18 (d,  $J = 10.5$  Hz, 1H), 3.98 (d,  $J = 2.0$  Hz, 2H), 3.77 (d,  $J = 5.0$  Hz, 2H), 2.39 (s, 3H), 1.51 (t,  $J = 6.5$  Hz, 3H); <sup>13</sup>C NMR (CDCl<sub>3</sub>, 125 MHz) δ 143.5,

136.4, 132.4, 129.5, 128.1, 119.7, 81.8, 71.9, 49.2, 36.5, 21.7, 3.4; IR (neat,  $\text{cm}^{-1}$ )  
 3073, 3062, 2980, 2914, 2847, 2294, 2223, 1644, 1593, 1491, 1439, 1349, 1255,  
 1162, 1092, 1055, 899, 814, 735, 663, 572, 545; MS(EI)  $m/z$  (relative intensity)  
 263 ( $\text{M}^+$ , 5), 248 (10), 184 (40), 155 (60), 108 (100); HRMS calcd. for  
 $\text{C}_{14}\text{H}_{17}\text{NO}_2\text{S}$  263.09800, found 263.09809.

***N*-Allyl-*N*-(3-phenyl-2-propynyl)-4-tolylsulfonamide<sup>3</sup>**



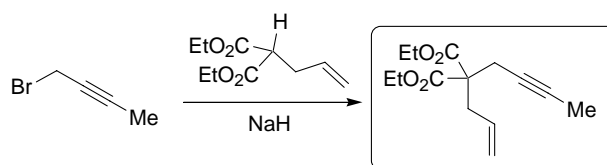
Triphenylphosphine (14.4 g, 55 mmol) was dissolved in dichloromethane (250 mL) at room temperature. Bromine (8.8 g, 2.82 mL, 55 mmol) was then added dropwise at 0 °C, and stirred for 30 min. 3-Phenyl-2-propyn-1-ol was added at 0 °C and the reaction mixture was left to stir for additional 1 hour. Hexane (~800 mL) was added and the white suspension was passed through a short silica pad (~5 cm wide  $\times$  ~10 cm height) and washed with hexane. The crude product was concentrated and distilled under reduced pressure (88 – 90 °C, 1 mmHg) to afford 3-bromo-1-phenyl-1-propyne (9.01 g, 92% yield) as a light yellow liquid.  $R_f = 0.4$  (hexane);  $^1\text{H}$  NMR ( $\text{CDCl}_3$ , 500 MHz):  $\delta$  7.44 – 7.46



(m, 2H), 7.32 – 7.36 (m, 3H), 4.17 (s, 2H);  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 125 MHz):  $\delta$  132.1, 129.1, 128.6, 122.4, 87.0, 84.5, 15.6; MS(EI)  $m/z$  (relative intensity) 196 ( $\text{M}^+$ , 100), 194 ( $\text{M}^+$ , 100). Allylamine (4.0 mL, 53 mmol) was charged into a three-necked round-bottomed flask, followed by the addition of freshly distilled diethyl ether (10 mL) at room temperature under nitrogen. 3-Bromo-1-phenyl-1-propyne (1.0 g, 5.13 mmol) was added dropwise at 0 °C and the reaction mixtures stirred at room temperature for 2 h. The reaction was quenched with water and extracted with ethyl acetate (3  $\times$  ~50 mL). The combined organic layers were washed with brine and dried over  $\text{Na}_2\text{SO}_4$ . The crude mixture was passed through a short silica pad (3 cm wide  $\times$  10 cm height). Solvent was removed in *vacuo* and the *N*-allyl-*N*-(3-phenyl-2-propynyl)amine product used in next step without further purification. To a mixture of *N*-allyl-*N*-(3-phenyl-2-propynyl)amine (crude), triethylamine (0.9 mL), and dichloromethane (5 mL) was added a dichloromethane solution (5 mL) of *p*-toluenesulfonyl chloride (967 mg, 5.07 mmol) at 0 °C. The reaction mixture was slowly warmed to room temperature and stirred for 2 h. Water (~50 mL) was added to quench the reaction, and the aqueous phase was extracted with chloroform (2  $\times$  ~50 mL). The combined organic layers were washed with brine and dried over sodium sulfate. Solvent was removed by rotary

evaporation and the crude product was purified by column chromatography on silica gel using hexane/ dichloromethane (2:1 ) to afford the title compound as a white solid (1.39 g, 83% yield in two steps).  $R_f = 0.3$  (hexane/dichloromethane = 2:1);  $^1\text{H NMR}$  ( $\text{CDCl}_3$ , 500 MHz):  $\delta$  7.78 (d,  $J = 8.0$  Hz, 2H), 7.22 – 7.28 (m, 5H), 7.06 (d,  $J = 7.0$  Hz, 2H), 5.77 – 5.83 (m, 1H), 5.33 (d,  $J = 17.5$  Hz, 1H), 5.26 (d,  $J = 10.0$  Hz, 1H), 4.31 (s, 2H), 3.89 (d,  $J = 6.0$  Hz, 2H), 2.33 (s, 3H);  $^{13}\text{C NMR}$  ( $\text{CDCl}_3$ , 125 MHz):  $\delta$  143.5, 135.9, 132.0, 131.4, 129.5, 128.3, 128.1, 127.7, 122.2, 119.9, 85.6, 81.6, 49.2, 36.7, 21.4; IR (neat,  $\text{cm}^{-1}$ ) 2904, 1460, 1376, 723; MS(EI)  $m/z$  (relative intensity) 325 ( $\text{M}^+$ , 5), 222 (20), 170 (80), 142 (70), 115 (100).

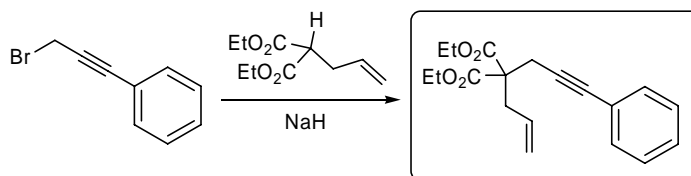
### Diethyl 7-octen-2-yne-5,5-dicarboxylate<sup>12</sup>



Diethyl 1-butene-4,4-dicarboxylate (2.0 g, 10 mmol) was charged to a 3-necked round bottom flask followed by the addition of dry THF (30 mL) under nitrogen at room temperature. NaH (360 mg, 15 mmol, prewashed with dry hexane) was added portionwise to the reaction mixture at 0 °C and stirred for 2 hours. White suspension was observed. 1-Bromo-2-butyne (1.86 mL, 20 mmol) was then

added dropwise at 0 °C, and the reaction mixture was slowly warmed to room temperature with stirring for 3 hours. The reaction was quenched by water (~50 mL), and the aqueous phase was extracted by diethyl ether (3 × ~100 mL). The combined organic phase was washed with water, brine and dried over sodium sulfate. Solvent was removed by rotary evaporation, and the crude mixture was purified by distillation under reduced pressure to afford the title compound as a viscous colorless oil (2.31 g, 92% yield). <sup>1</sup>H NMR (CDCl<sub>3</sub>, 500 MHz) δ 5.63 (m, 1H), 5.15 (d, *J* = 17.0 Hz, 1H), 5.09 (d, *J* = 10.0 Hz, 1H), 4.19 (q, *J* = 7.0 Hz, 4H), 2.78 (d, *J* = 7.5 Hz, 2H), 2.72 (q, *J* = 2.5 Hz, 2H), 1.75 (t, *J* = 2.5 Hz, 3H), 1.24 (t, *J* = 7.5 Hz, 6H); <sup>13</sup>C NMR (CDCl<sub>3</sub>, 125 MHz) δ 169.9, 131.8, 119.3, 78.6, 73.2, 61.3, 56.8, 36.3, 22.7, 13.9, 3.3; IR (neat, cm<sup>-1</sup>) 3646, 3472, 3083, 2982, 2929, 2233, 1739, 1639, 1465, 1441, 1325, 1292, 1218, 1136, 1096, 1036, 912, 855, 661, 574; MS(EI) *m/z* (relative intensity) 252 (M<sup>+</sup>, 20), 194 (100).

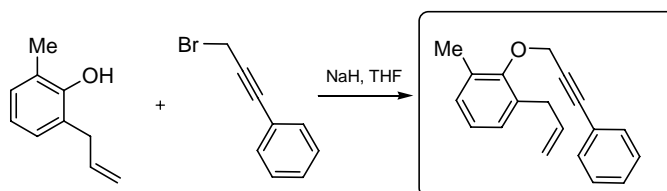
### Diethyl 1-phenyl-6-hepten-1-yne-4,4-dicarboxylate<sup>13</sup>



Diethyl 1-butene-4,4-dicarboxylate (2.0 g, 10 mmol) was charged to a 3-necked round bottom flask followed by the addition of dry THF (30 mL) under nitrogen

at room temperature. NaH (360 mg, 15 mmol, prewashed with dry hexane) was added portionwise to the reaction mixture at 0 °C and stirred for 2 hours. White suspension was observed. 3-bromo-1-phenyl-1-propyne (3.9 mL, 20 mmol) was then added dropwise at 0 °C, and the reaction mixture was slowly warmed to room temperature with stirring for 3 hours. The reaction was quenched by water (~50 mL), and the aqueous phase was extracted by diethyl ether (3 × ~100 mL). The combined organic phase was washed with water, brine and dried over sodium sulfate. Solvent was removed by rotary evaporation, and the crude mixture was purified by distillation under reduced pressure to afford the title compound as a viscous colorless oil (2g, 80% yield). <sup>1</sup>H NMR (CDCl<sub>3</sub>, 500 MHz) δ 7.37-7.23 (m, 5H), 5.71-5.66 (m, 1H), 5.21 (d, *J* = 17.5 Hz, 1H), 5.14 (d, *J* = 9.0 Hz, 1H), 4.22 (q, *J* = 7.0 Hz, 4H), 3.01 (s, 2H), 2.86 (d, *J* = 7.5 Hz, 2H), 1.26 (t, *J* = 7 Hz, 6H)

### 3-Phenyl-1-(2-methyl-6-allyl-1-phenyloxy)propyne

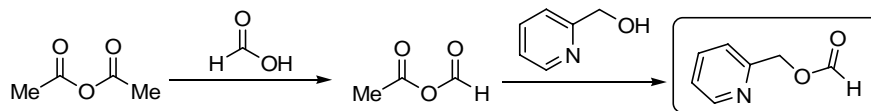


The general procedure for condensation was followed: viscous colorless liquid,  $R_f = 0.4$  (hexane/ethyl acetate = 50/1); <sup>1</sup>H NMR (CDCl<sub>3</sub>, 500 MHz) δ

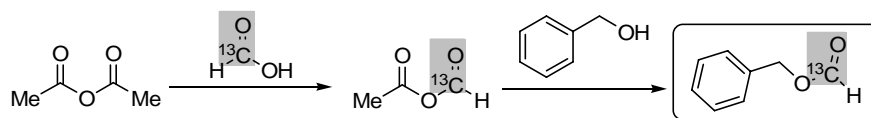
7.43-7.45 (m, 2H), 7.31-7.33 (m, 3H), 7.00-7.09 (m, 3H), 5.98-6.05 (m, 1H),  
5.08-5.13 (m, 2H), 4.74 (s, 2H), 3.55 (d, 2H,  $J = 7.0$  Hz), 2.39 (s, 3H);  $^{13}\text{C}$   
NMR ( $\text{CDCl}_3$ , 125 MHz)  $\delta$  155.3, 137.6, 133.6, 131.9, 131.7, 129.6, 128.8,  
128.5, 128.3, 124.8, 122.8, 116.0, 87.0, 84.9, 61.5, 34.6, 16.9; IR (neat,  $\text{cm}^{-1}$ )  
3078, 3062, 2975, 2914, 2852, 2233, 1639, 1539, 1485, 1465, 1364, 1256, 1184,  
1086, 993, 906, 757, 691, 517; MS(EI)  $m/z$  (relative intensity) 178 ( $\text{M}^+$ , 5), 149  
(40), 135 (65), 121 (100); HRMS calcd. for  $\text{C}_{19}\text{H}_{18}\text{ONa}$  285.1255, found  
285.1260.

## Preparation of pyridylmethyl formate and $^{13}\text{C}$ -labeled benzyl formate

### 2-Pyridylmethyl formate<sup>14</sup>

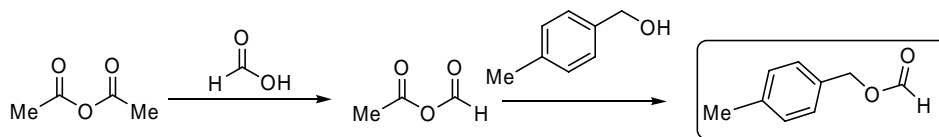


*General procedure:* To a stirring acetic anhydride (6.5 mL, 69 mmol) at 0 °C was added formic acid (2.6 mL, 99%, 69 mmol) dropwise. The reaction mixture was stirred at room temperature for 2 hours. The resulting formic acetic anhydride was cannulated to a stirring mixture of 2-pyridylmethanol (5 g, 46 mmol),  $\text{NaHCO}_3$  (5.7 g, 69 mmol), and THF (25 mL). After stirring at RT for 1 hour, saturated aq.  $\text{NaHCO}_3$  was added to the reaction mixture until gas evolution stopped. The resulting mixture was extracted with EtOAc (3  $\times$  ~50 mL). The organic layers were combined and washed with  $\text{H}_2\text{O}$ , saturated aq  $\text{NaHCO}_3$ , and brine, then were dried over  $\text{Na}_2\text{SO}_4$ , filtered, and concentrated under reduced pressure. The crude residue was purified by flash chromatography on silica gel using 40% EtOAc in hexane as the eluent to give the desired product (6.1 g, 99%).  $^1\text{H}$  NMR (500 MHz,  $\text{CDCl}_3$ )  $\delta$  8.62 (d,  $J$  = 4.0 Hz, 1H), 8.21 (s, 1H), 7.74 (dt,  $J$  = 1.5, 8.0 Hz, 1H), 7.38 (d,  $J$  = 8.0 Hz, 1H), 7.27 (dd,  $J$  = 5.0, 7.5 Hz, 1H), 5.3 (s, 2H).  $^{13}\text{C}$  NMR (125 MHz,  $\text{CDCl}_3$ )  $\delta$  160.4, 154.8, 149.4, 136.9, 123.1, 121.9, 65.9.

**<sup>13</sup>C-Enriched benzyl formate**

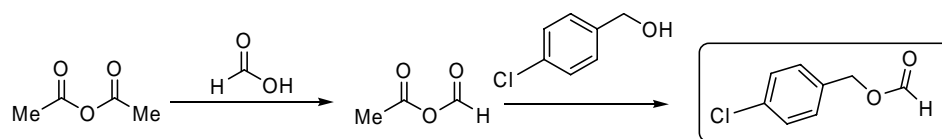
General procedures for the synthesis of 2-pyridylmethyl formate were used.

Colorless liquid (90% yield). <sup>1</sup>H NMR (500 MHz, CDCl<sub>3</sub>) δ 8.14 (d, *J*<sub>CH</sub> = 226.0 Hz, 1 H), 7.38-7.40 (m, 5 H), 5.22 (d, *J* = 3.5 Hz, 2 H); <sup>13</sup>C NMR (125 MHz, CDCl<sub>3</sub>) δ 160.6, 135.1, 128.5, 128.3, 128.2, 65.5 (d, *J*<sub>CC</sub> = 2.3 Hz); MS(EI) *m/z* (relative intensity) 137 (M<sup>+</sup>, 80), 107 (40), 91 (100).

**4-Methyl benzyl formate**

General procedures for the synthesis of 2-pyridylmethyl formate were used.

Colorless liquid (90% yield). <sup>1</sup>H NMR (500 MHz, CDCl<sub>3</sub>) δ 8.13 (s, 1 H), 7.28 (d, *J* = 7.5 Hz, 2 H), 7.19 (d, *J* = 7.5 Hz, 2 H), 5.17 (s, 2 H), 2.37 (s, 3H); <sup>13</sup>C NMR (125 MHz, CDCl<sub>3</sub>) δ 161.6, 138.6, 129.5, 128.7, 65.9, 21.4

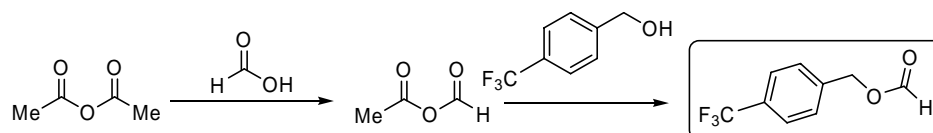
**4-Chlorobenzyl formate**

General procedures for the synthesis of 2-pyridylmethyl formate were used.

Colorless liquid (85% yield). <sup>1</sup>H NMR (500 MHz, CDCl<sub>3</sub>) δ 8.12 (s, 1 H),

7.34-7.25 (m, 4 H), 5.15 (s, 2 H), 2.37 (s, 3H); <sup>13</sup>C NMR (125 MHz, CDCl<sub>3</sub>)

δ 160.5, 138.6, 129.5, 128.7, 64.7

**4-Trifluoromethyl benzyl formate**

General procedures for the synthesis of 2-pyridylmethyl formate were used.

Colorless liquid (87% yield). <sup>1</sup>H NMR (500 MHz, CDCl<sub>3</sub>) δ 8.16 (s, 1 H), 7.64

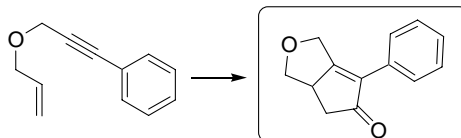
(d, *J* = 8.5 Hz, 2 H), 7.49 (d, *J* = 8.5 Hz, 2H), 5.25 (s, 2 H); <sup>13</sup>C NMR (125 MHz,

CDCl<sub>3</sub>) δ 160.4, 139.1, 130.5 (*J*<sub>CF</sub> = 32.8Hz), 128.2, 125.7 (*J*<sub>CF</sub> = 3.6Hz), 126.7

(*J*<sub>CF</sub> = 269.5Hz), 64.6

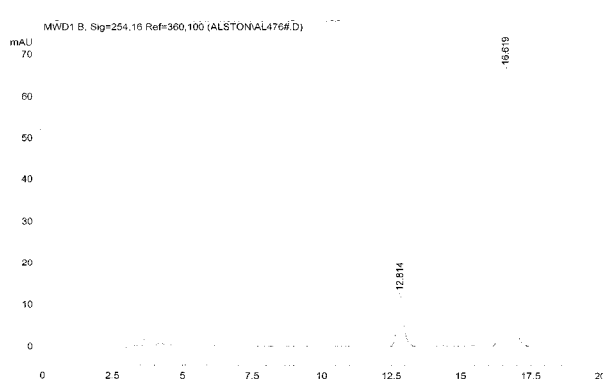


## Characterization data and HPLC chromatograms & conditions of PKR products



### 2-Phenyl-7-oxabicyclo[3.3.0]oct-1-en-3-one<sup>15</sup>

Purified by column chromatography (2 cm diameter  $\times$  ~20 cm height) on silica gel using hexane/ethyl acetate (3:1) as eluent to obtain the title compound as light yellow oil. 43% yield;  $R_f = 0.3$  (hexane/ethyl acetate = 2:1);  $^1\text{H}$  NMR ( $\text{CDCl}_3$ , 500 MHz)  $\delta$  7.52 (d,  $J = 7.5$  Hz, 2H), 7.39-7.42 (m, 2H), 7.33-7.37 (m, 1H), 4.93 (d,  $J = 16.5$  Hz, 1H), 4.59 (d,  $J = 16.0$  Hz, 1H), 4.38 (t,  $J = 8.0$  Hz, 1H), 3.30-3.35 (m, 1H), 3.23 (dd,  $J = 8.0$  Hz, 11.5 Hz, 1H), 2.85 (dd,  $J = 6.5$  Hz, 18.5 Hz, 1H), 2.34 (dd,  $J = 4.0$  Hz, 18.0 Hz, 1H);  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 125 MHz)  $\delta$  206.7, 177.3, 134.5, 130.5, 128.6, 128.5, 127.9, 71.2, 66.2, 43.2, 40.2; MS(EI)  $m/z$  (relative intensity) 200 ( $\text{M}^+$ , 70), 170 (40), 158 (50), 141 (100).



### Chiral HPLC conditions

Column:	Chiralcel AD-H
Solvent:	Hex:IPA = 9:1
Flow rate:	1.0 mL/ min

Signal 1: MWD1 B, Sig=254,16 Ref=360,100

Peak #	RetTime [min]	Type	Width [min]	Area [mAU*s]	Height [mAU]	Area %
1	12.814	BB	0.2523	206.90604	12.55115	12.3344
2	16.619	BB	0.3218	1470.56140	70.36609	87.6656

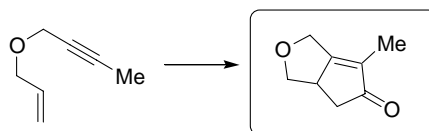
Totals : 1677.46744 82.91724

Results obtained with enhanced integrator!

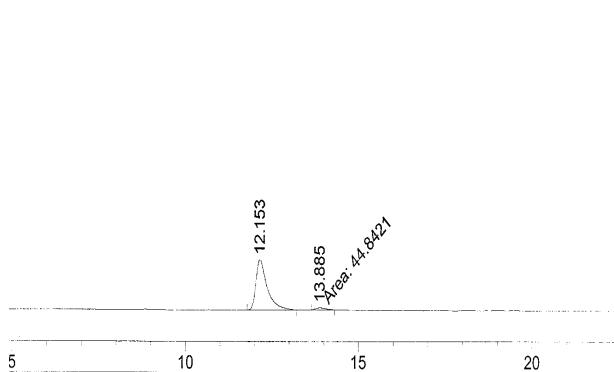
\*\*\* End of Report \*\*\*

UV lamp: 254 nm

Retention time: 12.8, 16.6 min

**2-Methyl-7-oxabicyclo[3.3.0]oct-1-en-3-one<sup>15</sup>**

Purified by column chromatography (2 cm diameter × ~15 cm height) on silica gel using hexane/ethyl acetate (3:1) as eluent to obtain the title compound as colorless oil. 16% yield;  $R_f = 0.3$  (hexane/ethyl acetate = 2:1);  $^1\text{H}$  NMR ( $\text{CDCl}_3$ , 500 MHz)  $\delta$  4.54 (q,  $J = 15.0$  Hz, 2H), 4.30-4.32 (m, 1H), 3.19-3.23 (m, 2H), 2.64-2.71 (dd,  $J = 5.5$  Hz, 18.0 Hz, 1H), 2.09-2.17 (dd,  $J = 2.0$  Hz, 18.0 Hz, 1H), 1.77 (s, 3H);  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 125 MHz)  $\delta$  209.0, 176.1, 132.6, 71.8, 64.7, 43.2, 38.6, 8.9; MS(EI)  $m/z$  (relative intensity) 138 ( $\text{M}^+$ , 100), 123 (60), 105 (30).

**Chiral HPLC conditions**

Column: Chiralcel AS-H

Solvent: Hex:IPA = 9:1

Flow rate: 1.0 mL/min

UV lamp: 210 nm

Signal 1: MWD1 E, Sig=210,16 Ref=360,100

Peak #	RetTime [min]	Type	Width [min]	Area [mAU*s]	Height [mAU]	Area %
1	12.153	BV	0.3366	1147.57092	50.22519	96.2394
2	13.885	MV	0.3436	44.84212	2.17515	3.7606

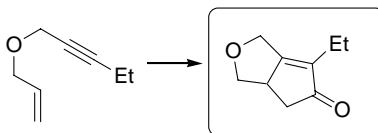
Totals : 1192.41305 52.40034

Results obtained with enhanced integrator!

\*\*\*\*\*  
\*\*\* End of Report \*\*\*

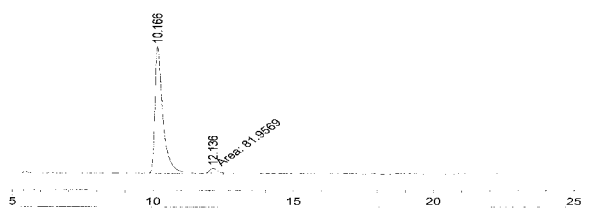
Retention 12.2, 13.9 min

time:



**2-Ethyl-7-oxabicyclo[3.3.0]oct-1-en-3-one<sup>12</sup>**

Purified by column chromatography (2 cm diameter  $\times$  ~20 cm height) on silica gel using hexane/ethyl acetate (3:1) as eluent to obtain the title compound as colorless oil. 20% yield;  $R_f = 0.3$  (hexane/ethyl acetate = 2:1);  $^1\text{H}$  NMR ( $\text{CDCl}_3$ , 500 MHz)  $\delta$  4.61 (q,  $J = 15.5$  Hz, 2H), 4.30-4.34 (m, 1H), 3.19-3.23 (m, 2H), 2.64-2.71 (dd,  $J = 5.5$  Hz, 18.0 Hz, 1H), 2.19-2.33 (m, 2H), 2.10-2.17 (dd,  $J = 2.5$  Hz, 18.0 Hz, 1H), 1.12 (t,  $J = 7.5$  Hz, 3H);  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 125 MHz)  $\delta$  208.0, 175.1, 138.6, 71.8, 64.8, 43.2, 38.6, 17.6, 16.3; MS(EI)  $m/z$  (relative intensity) 152 ( $\text{M}^+$ , 100), 123 (40), 105 (50).



Signal 1: MWD1 E, Sig=210,16 Ref=360,100

Peak #	RetTime [min]	Type	Width [min]	Area [mAU*s]	Height [mAU]	Area %
1	10.166	VB	0.2874	2619.79346	133.23909	96.9665
2	12.136	MM	0.2885	81.95692	4.73482	3.0335

Totals : 2701.75038 137.97391

Results obtained with enhanced integrator!

\*\*\* End of Report \*\*\*

### Chiral HPLC conditions

Column: Chiralcel AS-H

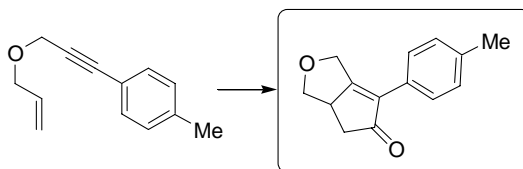
Solvent: Hex:IPA = 9:1

Flow rate: 1.0 mL/ min

UV lamp: 210 nm

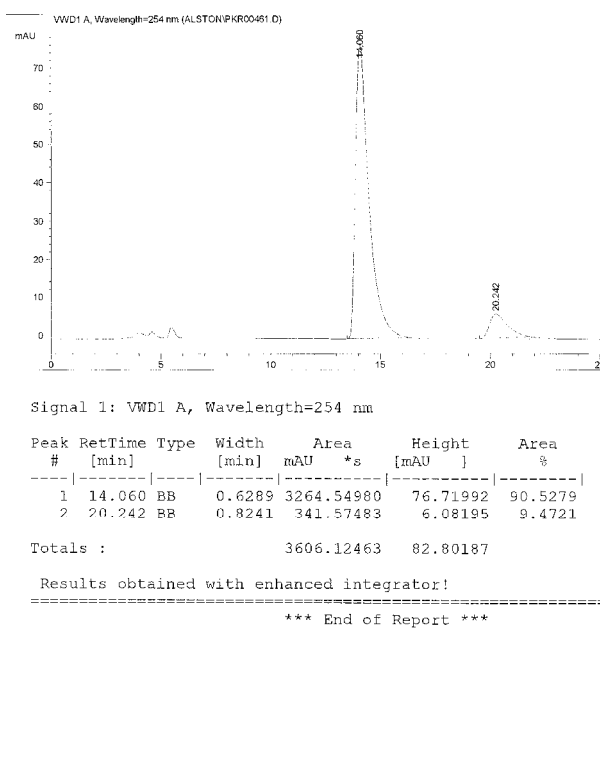
Retention time: 10.2, 12.1 min

time:

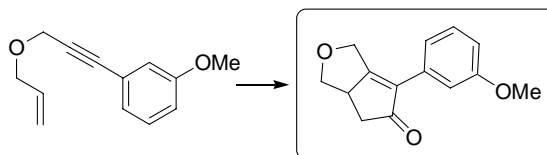


**2-(4-Methylphenyl)-7-oxabicyclo[3.3.0]oct-1-en-3-one<sup>3</sup>**

Purified by column chromatography (2 cm diameter  $\times$  ~20 cm height) on silica gel using hexane/ethyl acetate (3:1) as eluent to obtain the title compound as white solid. 44% yield;  $R_f = 0.4$  (hexane/ethyl acetate = 2:1);  $[\alpha]_D^{25} = +55.9^\circ$  ( $c = 0.10$ ); Melting point: 49-51  $^\circ\text{C}$ ;  $^1\text{H NMR}$  ( $\text{CDCl}_3$ , 500 MHz)  $\delta$  7.42 (d,  $J = 8.0$  Hz, 2H), 7.22 (d,  $J = 8.0$  Hz, 1H), 4.93 (d,  $J = 16.0$  Hz, 1H), 4.59 (d,  $J = 16.0$  Hz, 1H), 4.37 (t,  $J = 7.5$  Hz, 1H), 3.28-3.32 (m, 1H), 3.23 (dd,  $J = 8.0$  Hz, 11.5 Hz, 1H), 2.84 (dd,  $J = 6.5$  Hz, 17.5 Hz, 1H), 2.37 (s, 3H), 2.32 (dd,  $J = 3.5$  Hz, 18.0 Hz, 1H);  $^{13}\text{C NMR}$  ( $\text{CDCl}_3$ , 125 MHz)  $\delta$  202.3, 175.2, 159.8, 134.1, 129.3, 123.2, 114.0, 71.3, 66.3, 43.1, 40.2, 23.8; IR (neat,  $\text{cm}^{-1}$ ) 3020, 2397, 1747, 1511, 1419, 1215, 1040, 922, 756, 669; MS(EI)  $m/z$  (relative intensity) 214 ( $\text{M}^+$ , 100), 184 (30), 169 (40), 156 (45), 141 (70); HRMS cald. for  $\text{C}_{14}\text{H}_{14}\text{O}_2$  214.09938, found 214.09943.

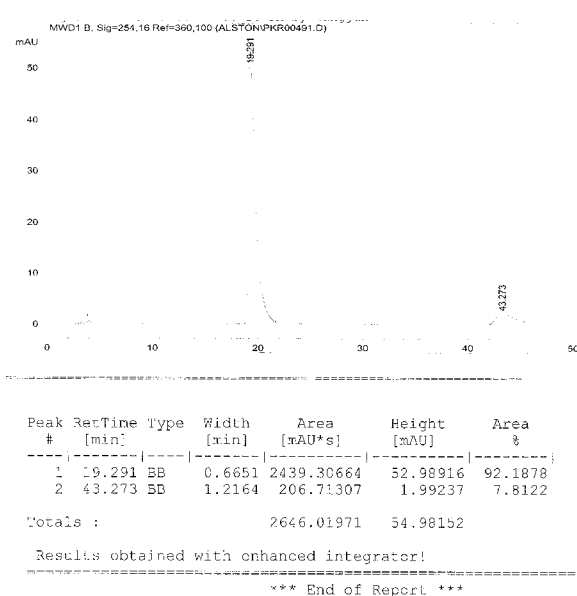
**Chiral HPLC conditions**

Column:	Chiralcel AS-H
Solvent:	Hex:IPA = 9:1
Flow rate:	1.0 mL/ min
UV lamp:	254 nm
Retention time:	14.1, 20.2 min

**2-(3-Methoxyphenyl)-7-oxabicyclo[3.3.0]oct-1-en-3-one<sup>3</sup>**

Purified by column chromatography (1.8 cm diameter × ~15 cm height) on silica gel using hexane/ethyl acetate (3:1) as eluent to obtain the title compound as light yellow viscous oil. 37% yield;  $R_f = 0.3$  (hexane/ethyl acetate = 2:1);  $[\alpha]_D^{25} = +21.4^\circ$  ( $c = 0.011$ );  $^1\text{H NMR}$  ( $\text{CDCl}_3$ , 500 MHz)  $\delta$  7.31 (t,  $J = 7.5$  Hz, 1H), 7.16 (s, 1H), 7.04 (d,  $J = 8.0$  Hz, 1H), 6.90 (dd,  $J = 2.5$  Hz, 8.0 Hz, 1H), 4.92 (d,  $J = 16.0$  Hz, 1H), 4.59 (d,  $J = 16.0$  Hz, 1H), 4.37 (t,  $J = 7.5$  Hz, 1H), 3.82 (s, 3H), 3.29-3.33 (m, 1H), 3.23 (dd,  $J = 7.5$  Hz, 11.5 Hz, 1H), 2.84 (dd,  $J = 6.5$  Hz, 17.5

Hz, 1H), 2.33 (dd,  $J = 4.0$  Hz, 17.5 Hz, 1H);  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 125 MHz)  $\delta$  206.7, 177.7, 159.6, 134.5, 131.8, 129.6, 120.5, 114.3, 113.4, 71.3, 66.3, 55.2, 43.3, 40.3; IR (neat,  $\text{cm}^{-1}$ ) 3019, 2386, 1705, 1511, 1413, 1215, 1045, 1024, 922, 758, 669; MS(EI)  $m/z$  (relative intensity) 230 ( $\text{M}^+$ , 100), 213 (5), 199 (10), 185 (20), 171 (20), 159 (30); HRMS calcd. for  $\text{C}_{14}\text{H}_{14}\text{O}_3$  230.09430, found 230.09422.



### Chiral HPLC conditions

Column: Chiralcel AS-H

Solvent: Hex:IPA = 9:1

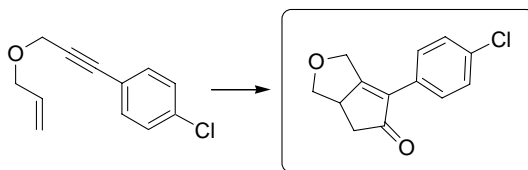
Flow rate: 1.0 mL/ min

UV lamp: 254 nm

Retention time: 19.3, 43.2 min

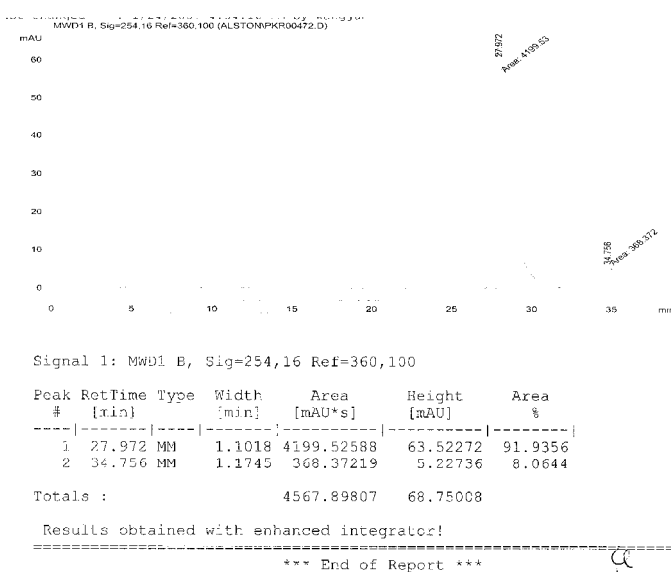
time:





**2-(4-Chlorophenyl)-7-oxabicyclo[3.3.0]oct-1-en-3-one<sup>16</sup>**

Purified by column chromatography (1.8 cm diameter  $\times$  ~15 cm height) on silica gel using hexane/ethyl acetate (3:1) as eluent to obtain the title compound as light yellow oil. 65% yield;  $R_f = 0.3$  (hexane/ethyl acetate = 2:1);  $^1\text{H}$  NMR ( $\text{CDCl}_3$ , 500 MHz)  $\delta$  7.48 (d,  $J = 9.0$  Hz, 2H), 7.38 (d,  $J = 9.0$  Hz, 1H), 4.92 (d,  $J = 16.0$  Hz, 1H), 4.57 (d,  $J = 16.0$  Hz, 1H), 4.38 (t,  $J = 8.0$  Hz, 1H), 3.30-3.37 (m, 1H), 3.25 (dd,  $J = 7.5$  Hz, 11.0 Hz, 1H), 2.85 (dd,  $J = 6.0$  Hz, 18.0 Hz, 1H), 2.33 (dd,  $J = 3.5$  Hz, 18.0 Hz, 1H);  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 125 MHz)  $\delta$  205.3, 175.1, 159.7, 134.0, 129.3, 123.3, 114.1, 71.1, 66.3, 43.2, 40.2; MS(EI)  $m/z$  (relative intensity) 236 ( $\text{M}^+$ , 20), 234 ( $\text{M}^+$ , 60), 204 (15), 192 (25), 169 (95), 141 (100).



### Chiral HPLC conditions

Column: Chiralcel AS-H

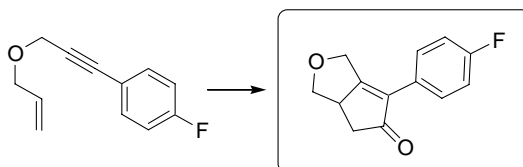
Solvent: Hex:IPA = 98:2

Flow rate: 1.0 mL/ min

UV lamp: 254 nm

Retention 28.0, 34.8 min

time:



### 2-(4-Fluorophenyl)-7-oxabicyclo[3.3.0]oct-1-en-3-one<sup>10</sup>

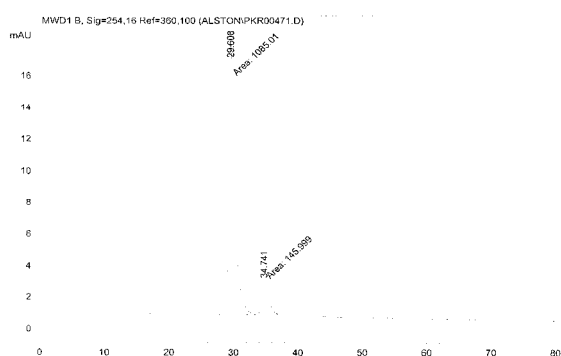
Purified by column chromatography (1.8 cm diameter × ~15 cm height) on silica gel using hexane/ethyl acetate (3:1) as eluent to obtain the title compound as

light yellow oil. 61% yield;  $R_f = 0.3$  (hexane/ethyl acetate = 2:1);  $[\alpha]_D^{25} = +0.7^\circ$

( $c = 0.0083$ );  $^1\text{H NMR}$  ( $\text{CDCl}_3$ , 500 MHz)  $\delta$  7.52 (dd,  $J = 5.5$  Hz, 8.0 Hz,

2H), 7.09 (t,  $J = 8.0$  Hz, 2H), 4.91 (d,  $J = 16.5$  Hz, 1H), 4.56 (d,  $J = 16.5$  Hz, 1H),

4.37 (t,  $J = 7.5$  Hz, 1H), 3.29-3.33 (m, 1H), 3.24 (dd,  $J = 7.5$  Hz, 11.0 Hz, 1H),  
 2.84 (dd,  $J = 6.5$  Hz, 18.0 Hz, 1H), 2.33 (dd,  $J = 3.5$  Hz, 17.5 Hz, 1H),  $^{13}\text{C}$  NMR  
 ( $\text{CDCl}_3$ , 125 MHz)  $\delta$  204.1, 177.0, 161.7, 133.6, 129.8 (d,  $J_{\text{CF}} = 8.4$  Hz), 126.7,  
 115.6 (d,  $J_{\text{CF}} = 22.0$  Hz), 71.3, 66.2, 43.2, 40.1; IR (neat,  $\text{cm}^{-1}$ ) 3021, 2392, 1701,  
 1506, 1215, 1029, 758, 669; MS(EI)  $m/z$  (relative intensity) 218 ( $\text{M}^+$ , 70), 188  
 (50), 176 (50), 159 (100), 146 (60); HRMS cald. for  $\text{C}_{13}\text{H}_{11}\text{FO}_2$  218.07431,  
 found 218.07439.



Signal 1: MWD1 B, Sig=254,16 Ref=360,100

Peak #	RetTime [min]	Type	Width [min]	Area [mAU*s]	Height [mAU]	Area %
1	29.608	MM	1.0585	1085.00500	17.08397	88.1399
2	34.741	MM	1.1456	145.99861	2.12404	11.8601
Totals :				1231.00362	19.20801	

Results obtained with enhanced integrator!

\*\*\* End of Report \*\*\*

### Chiral HPLC conditions

Column: Chiralcel AS-H

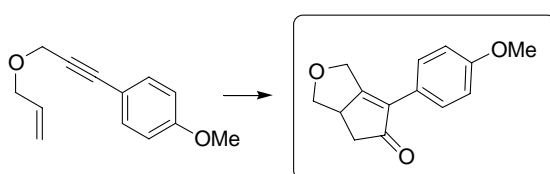
Solvent: Hex:IPA = 98:2

Flow rate: 1.0 mL/ min

UV lamp: 254 nm

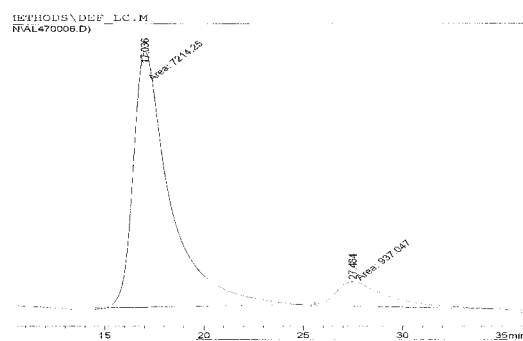
Retention time: 29.6, 34.7 min

time:



**2-(4-Methoxyphenyl)-7-oxabicyclo[3.3.0]oct-1-en-3-one<sup>16</sup>**

Purified by column chromatography (1.8 cm diameter × ~15 cm height) on silica gel using hexane/ethyl acetate (2:1) as eluent to obtain the title compound as light yellow solid. 58% yield;  $R_f = 0.2$  (hexane/ethyl acetate = 2:1);  $^1\text{H}$  NMR ( $\text{CDCl}_3$ , 500 MHz)  $\delta$  7.48 (d,  $J = 9.0$  Hz, 2H), 6.93 (d,  $J = 9.0$  Hz, 1H), 4.89 (d,  $J = 16.0$  Hz, 1H), 4.57 (d,  $J = 16.0$  Hz, 1H), 4.35 (t,  $J = 8.0$  Hz, 1H), 3.82 (s, 3H), 3.26-3.30 (m, 1H), 3.20 (dd,  $J = 7.5$  Hz, 11.0 Hz, 1H), 2.81 (dd,  $J = 6.0$  Hz, 17.5 Hz, 1H), 2.31 (dd,  $J = 3.0$  Hz, 17.5 Hz, 1H);  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 125 MHz)  $\delta$  202.3, 175.2, 159.8, 134.1, 129.3, 123.2, 114.0, 71.3, 66.3, 55.2, 43.1, 40.2; MS(EI)  $m/z$  (relative intensity) 230 ( $\text{M}^+$ , 100), 201 (10), 189 (30), 172 (60).



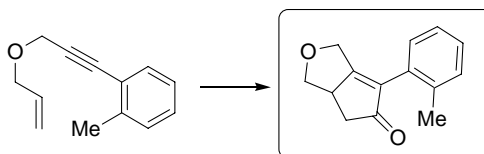
Signal 1: VWD1 A, Wavelength=254 nm

Peak #	RetTime [min]	Type	Width [min]	Area mAU * s	Height [mAU]	Area %
1	17.036	MM	2.1622	7214.25439	55.60995	88.5043
2	27.484	MM	2.9620	937.04688	5.27253	11.4957
Totals :				8151.30127	60.88247	

Results obtained with enhanced integrator!  
 \*\*\* End of Report \*\*\*

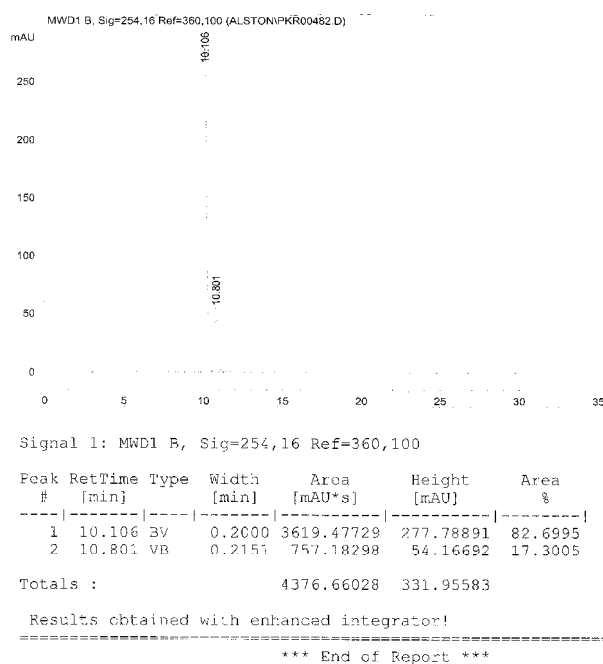
**Chiral HPLC conditions**

Column:	Chiralcel AS
Solvent:	Hex:IPA = 9:1
Flow rate:	1.0 mL/ min
UV lamp:	254 nm
Retention	17.0, 27.5 min
time:	



**2-(2-Methylphenyl)-7-oxabicyclo[3.3.0]oct-1-en-3-one<sup>3</sup>**

Purified by column chromatography (2 cm diameter  $\times$  ~20 cm height) on silica gel using hexane/ethyl acetate (3:1) as eluent to obtain the title compound as colorless oil. 11% yield;  $R_f = 0.4$  (hexane/ethyl acetate = 2:1);  $[\alpha]_D^{25} = +39.1^\circ$  ( $c = 0.12$ );  $^1\text{H NMR}$  ( $\text{CDCl}_3$ , 500 MHz)  $\delta$  7.19-7.29 (m, 3H), 7.10 (d,  $J = 7.5$  Hz, 1H), 4.63 (d,  $J = 16.0$  Hz, 1H), 4.42 (t,  $J = 7.5$  Hz, 1H), 4.36 (d,  $J = 15.5$  Hz, 1H), 3.38-3.42 (m, 1H), 3.34 (dd,  $J = 7.0$  Hz, 11.0 Hz, 1H), 2.85 (dd,  $J = 5.5$  Hz, 17.5 Hz, 1H), 2.35 (dd,  $J = 3.5$  Hz, 17.5 Hz, 1H); 2.18 (s, 3H);  $^{13}\text{C NMR}$  ( $\text{CDCl}_3$ , 125 MHz)  $\delta$  202.3, 175.2, 159.8, 134.1, 129.3, 128.9, 123.2, 114.0, 111.3, 71.3, 66.3, 43.1, 40.2, 23.8; IR (neat,  $\text{cm}^{-1}$ ) 3021, 2397, 1737, 1510, 1419, 1215, 1043, 922, 758, 669; MS(EI)  $m/z$  (relative intensity) 214 ( $\text{M}^+$ , 100), 199 (5), 183 (40), 169 (50), 154 (30), 141 (70); HRMS calcd. for  $\text{C}_{14}\text{H}_{14}\text{O}_2$  214.09938, found 214.09946.



### Chiral HPLC conditions

Column: Chiralcel AD-H

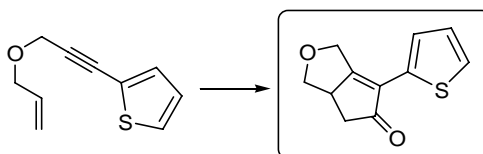
Solvent: Hex:IPA = 9:1

Flow rate: 1.0 mL/ min

UV lamp: 254 nm

Retention time: 10.1, 10.8 min

time:

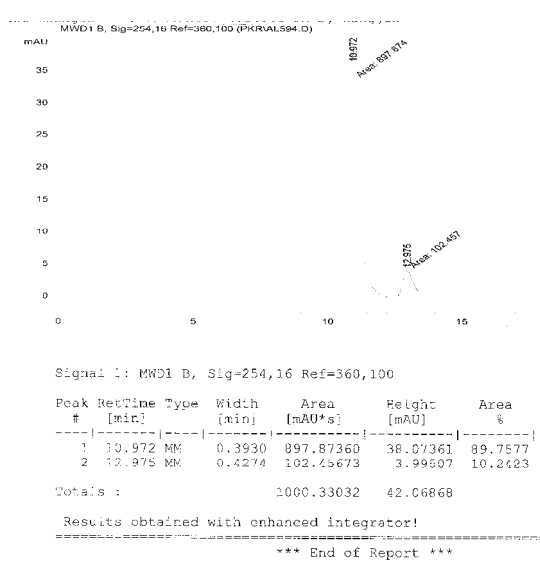


### 2-(2-Thiophenyl)-7-oxabicyclo[3.3.0]oct-1-en-3-one

Purified by column chromatography (2 cm diameter  $\times$  ~15 cm height) on silica gel using hexane/ethyl acetate (2:1) as eluent to obtain the title compound as yellow oil. 49% yield;  $R_f = 0.4$  (hexane/ethyl acetate = 2:1);  $^1\text{H NMR}$  ( $\text{CDCl}_3$ , 500 MHz)  $\delta$  7.44 (d,  $J = 3.5$  Hz, 1H), 7.41 (d,  $J = 5$  Hz, 1H), 7.11 (dd,  $J = 3.5$  Hz, 4.7Hz, 1H), 4.87 (d,  $J = 16.5$  Hz, 1H), 4.72 (d,  $J = 16.5$  Hz, 1H), 4.37 (t,  $J = 7.5$  Hz, 1H) 3.36-3.33 (m, 1H), 3.25 (dd,  $J = 7.5$  Hz, 11Hz, 4.7Hz, 1H), 2.84 (dd,

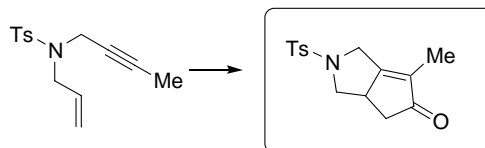
$J = 6.5$  Hz,  $17.5$  Hz,  $1\text{H}$ ),  $2.31$  (dd,  $J = 4$  Hz,  $17.5$  Hz,  $1\text{H}$ );  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ ,  $125$  MHz)  $\delta$   $205.4$ ,  $173.8$ ,  $132.2$ ,  $128.9$ ,  $127.4$ ,  $126.9$ ,  $126.6$ ,  $71.4$ ,  $66.3$ ,  $43.7$ ,  $39.4$ ; MS(EI)  $m/z$  (relative intensity)  $206$  ( $\text{M}^+$ ,  $100$ ),  $176$  ( $60$ ),  $164$  ( $20$ ).

HRMS calcd. for  $\text{C}_{11}\text{H}_{10}\text{O}_2\text{S}$   $206.04015$ , found  $206.04090$ .



### Chiral HPLC conditions

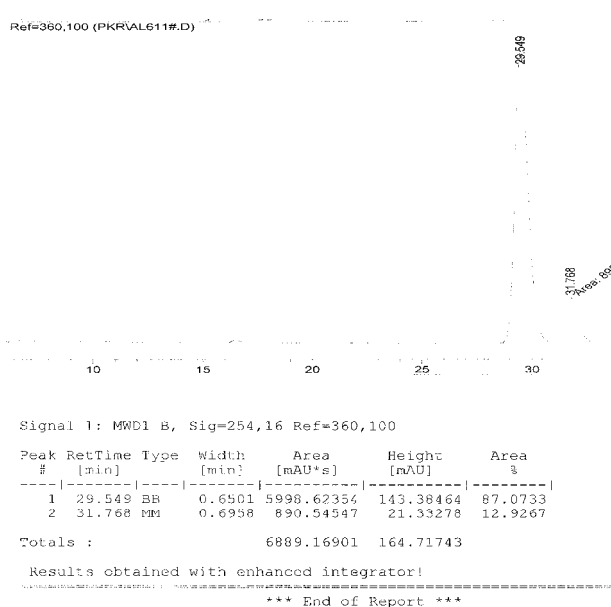
Column:	Chiralcel AS-H
Solvent:	Hex:IPA = 8:2
Flow rate:	1.0 mL/ min
UV lamp:	254 nm
Retention	11.0, 13.0 min
time:	



### 2-Methyl-7-[(4-methylphenyl)sulfonyl]-7-azabicyclo[3.3.0]oct-1-en-3-one<sup>17</sup>

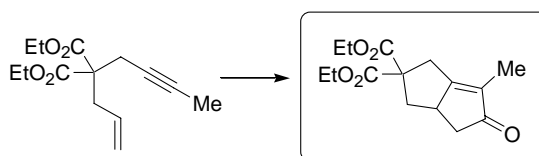
Purified by column chromatography (2.0 cm diameter  $\times$  ~15 cm height) on silica gel using hexane/ethyl acetate (2:1) as eluent to obtain the title compound as

white solid. 65% yield;  $R_f = 0.2$  (hexane/ethyl acetate = 2:1); Melting point: 103-104 °C;  $^1\text{H NMR}$  ( $\text{CDCl}_3$ , 500 MHz)  $\delta$  7.73 (d,  $J = 8.5$  Hz, 2H), 7.34 (d,  $J = 8.5$  Hz, 2H), 4.23 (d,  $J = 16.0$  Hz, 1H), 3.96-4.00 (m, 2H), 2.96-3.06 (m, 1H), 2.54-2.62 (m, 2H), 2.44 (s, 3H), 2.03 (dd,  $J = 3.0$  Hz, 17.5 Hz, 1H), 1.68 (s, 3H);  $^{13}\text{C NMR}$  ( $\text{CDCl}_3$ , 125 MHz)  $\delta$  205.3, 171.0, 144.0, 134.1, 133.9, 129.9, 127.4, 52.6, 46.7, 41.6, 39.2, 21.5, 8.8; MS(EI)  $m/z$  (relative intensity) 291 ( $\text{M}^+$ , 30), 263 (5), 155 (10), 136 (100).



### Chiral HPLC conditions

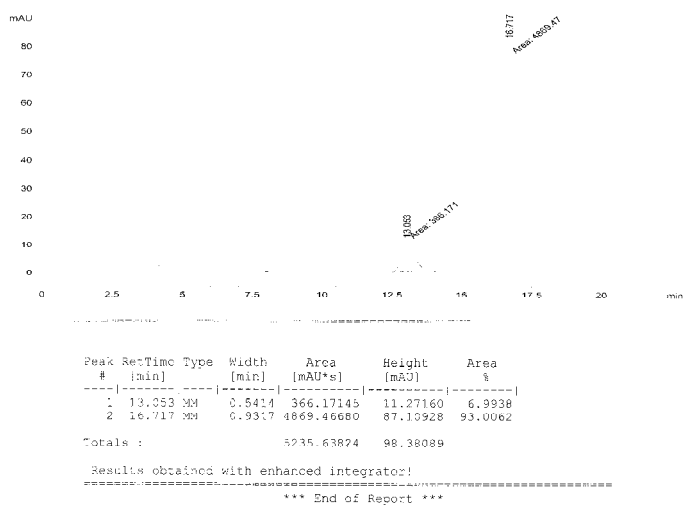
Column: Chiralcel AD-H  
 Solvent: Hex:IPA = 9:1  
 Flow rate: 1.0 mL/ min  
 UV lamp: 254 nm  
 Retention time: 29.5, 31.8 min



**Diethyl 2-methyl-3-oxobicyclo[3.3.0]oct-1-ene-7,7-dicarboxylate<sup>18</sup>**

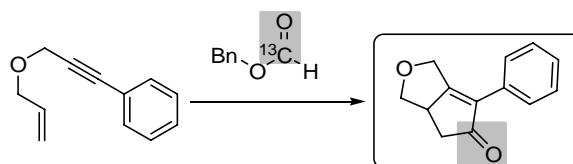


Purified by column chromatography (2 cm diameter × ~20 cm height) on silica gel using hexane/ethyl acetate (4:1) as eluent to obtain the title compound as light yellow viscous oil. 40% yield;  $R_f = 0.3$  (hexane/ethyl acetate = 4:1);  $^1\text{H}$  NMR ( $\text{CDCl}_3$ , 500 MHz)  $\delta$  4.21 (q,  $J = 6.5$  Hz, 2H), 4.17 (q,  $J = 6.5$  Hz, 2H), 3.16 (q,  $J = 14.5$  Hz, 2H), 2.94 (m, 1H), 2.74 (dd,  $J = 7.0$  Hz, 12.5 Hz, 1H), 2.60 (dd,  $J = 6.0$  Hz, 18.0 Hz, 1H), 2.04 (dd,  $J = 3.0$  Hz, 18.5 Hz, 1H), 1.68 (s, 3H), 1.61 (t,  $J = 13.0$  Hz, 3H), 1.24 (t,  $J = 7.0$  Hz, 3H), 1.22 (t,  $J = 7.0$  Hz, 3H);  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 125 MHz)  $\delta$  203.9, 177.7, 171.5, 170.9, 132.8, 61.9, 61.8, 60.8, 42.6, 41.3, 39.0, 33.9, 13.9 (overlapped), 8.4; MS(EI)  $m/z$  (relative intensity) 280 ( $\text{M}^+$ , 40), 235 (20), 206 (80), 178 (30), 133 (100).



### Chiral HPLC conditions

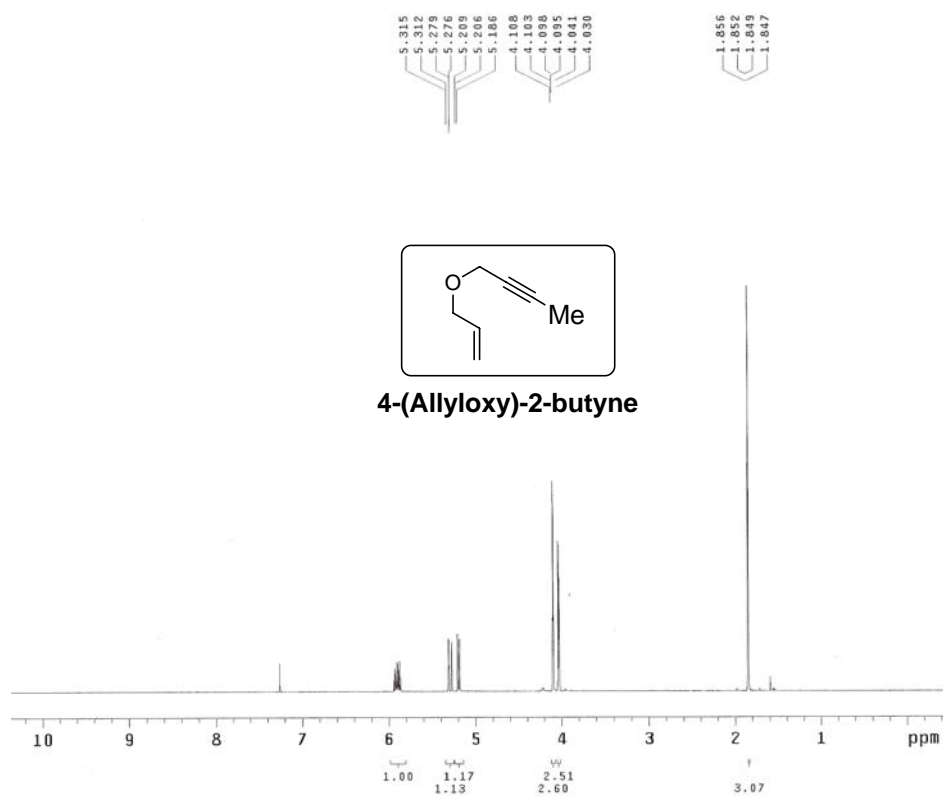
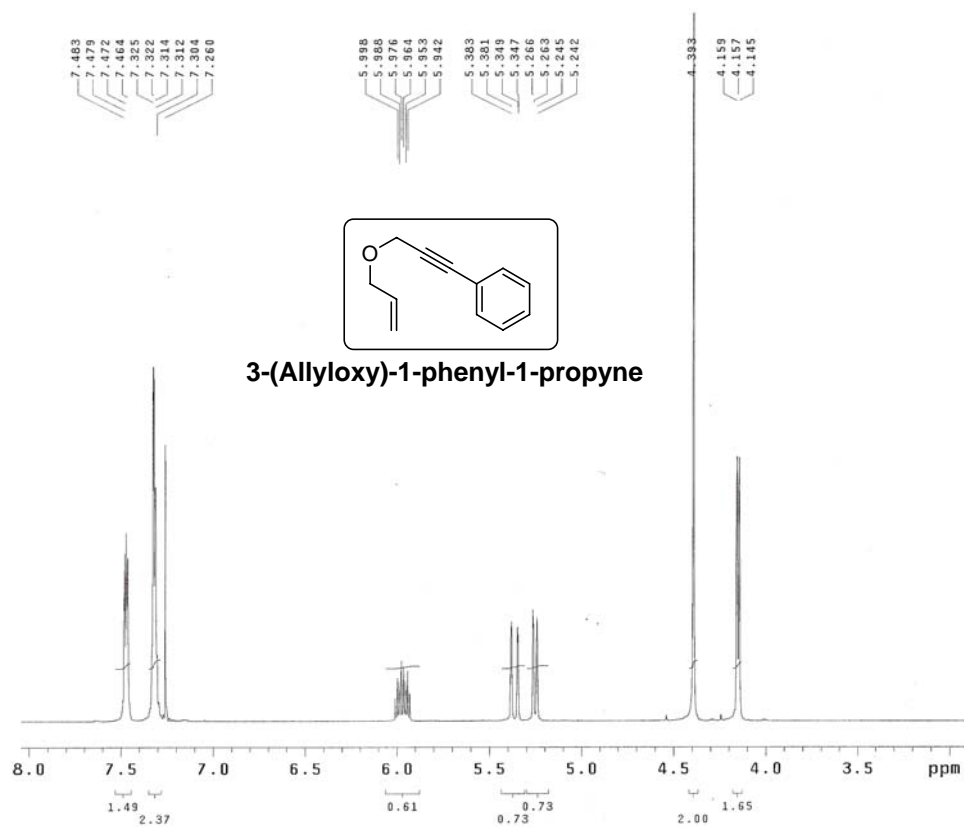
Column:	Chiralcel AS-H
Solvent:	Hex:IPA = 9:1
Flow rate:	1.0 mL/ min
UV lamp:	254 nm
Retention time:	13.1, 16.7 min

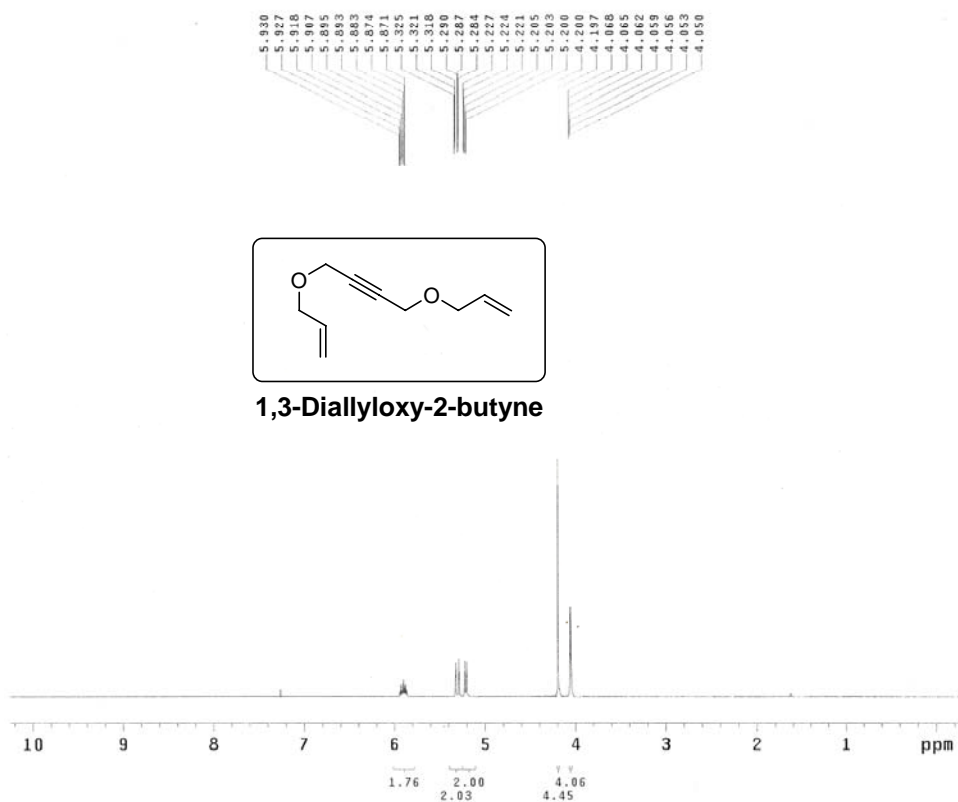
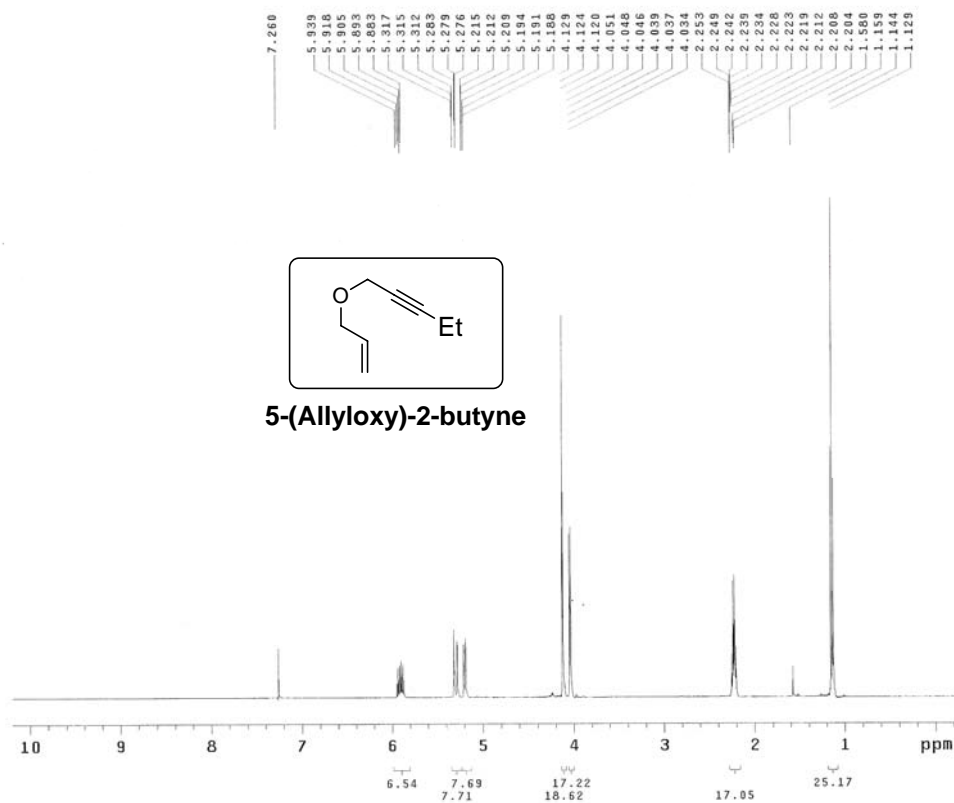


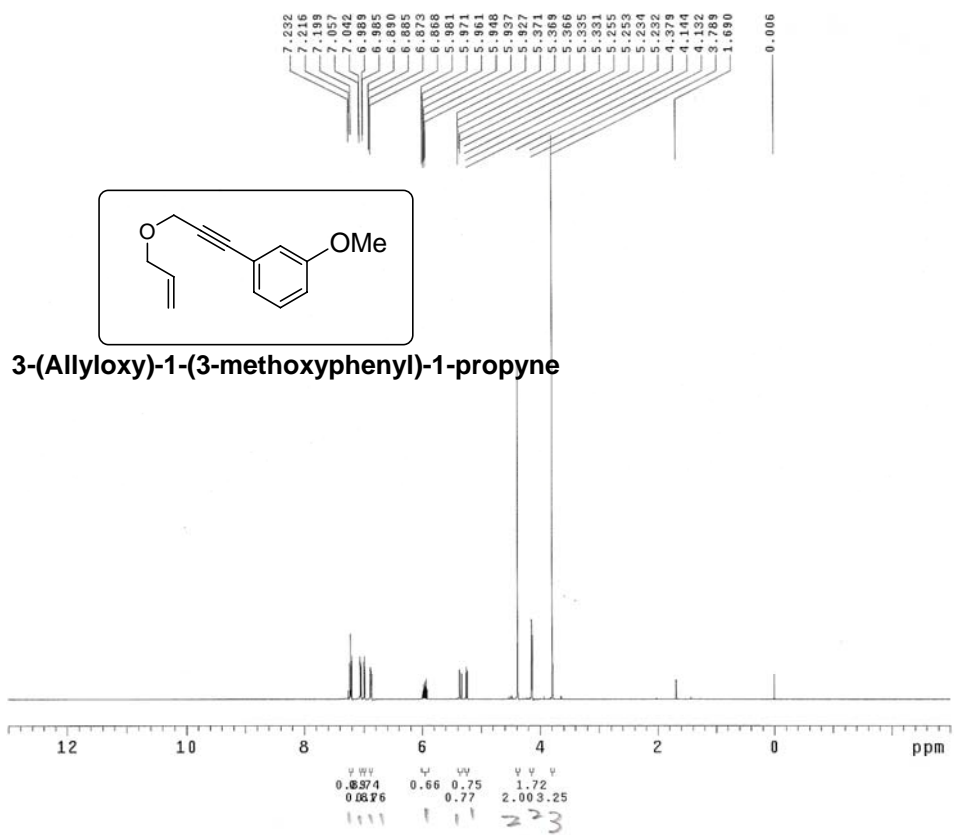
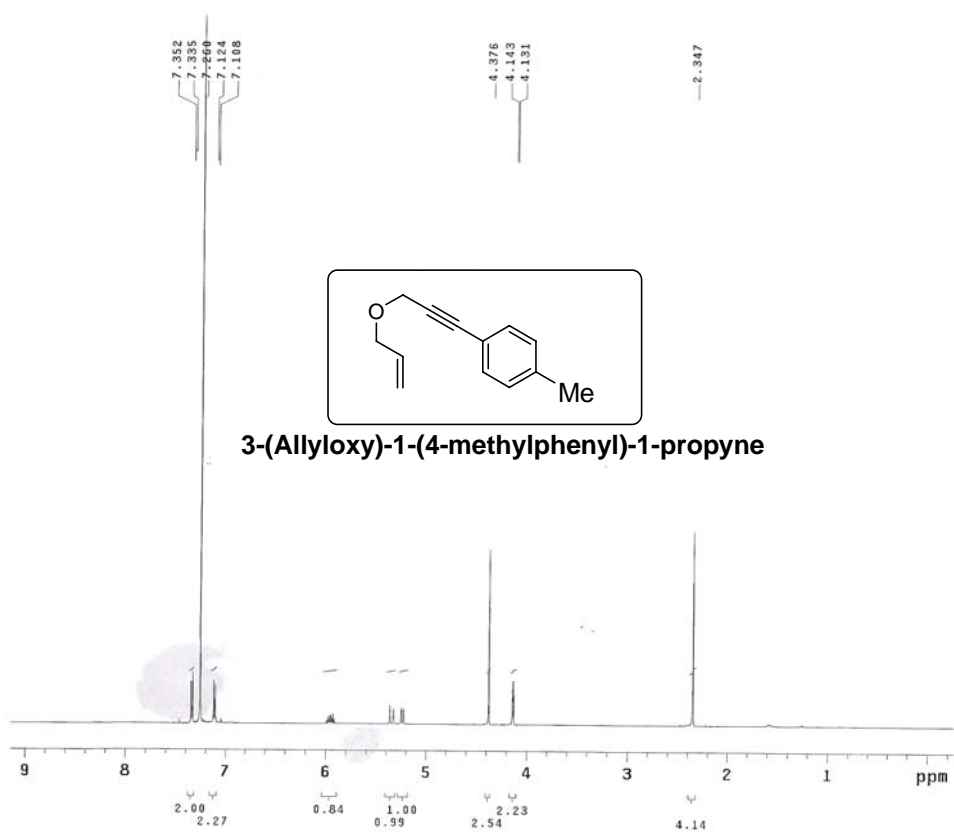
**$^{13}\text{C}$ -Enriched 2-phenyl-7-oxabicyclo[3.3.0]oct-1-en-3-one**

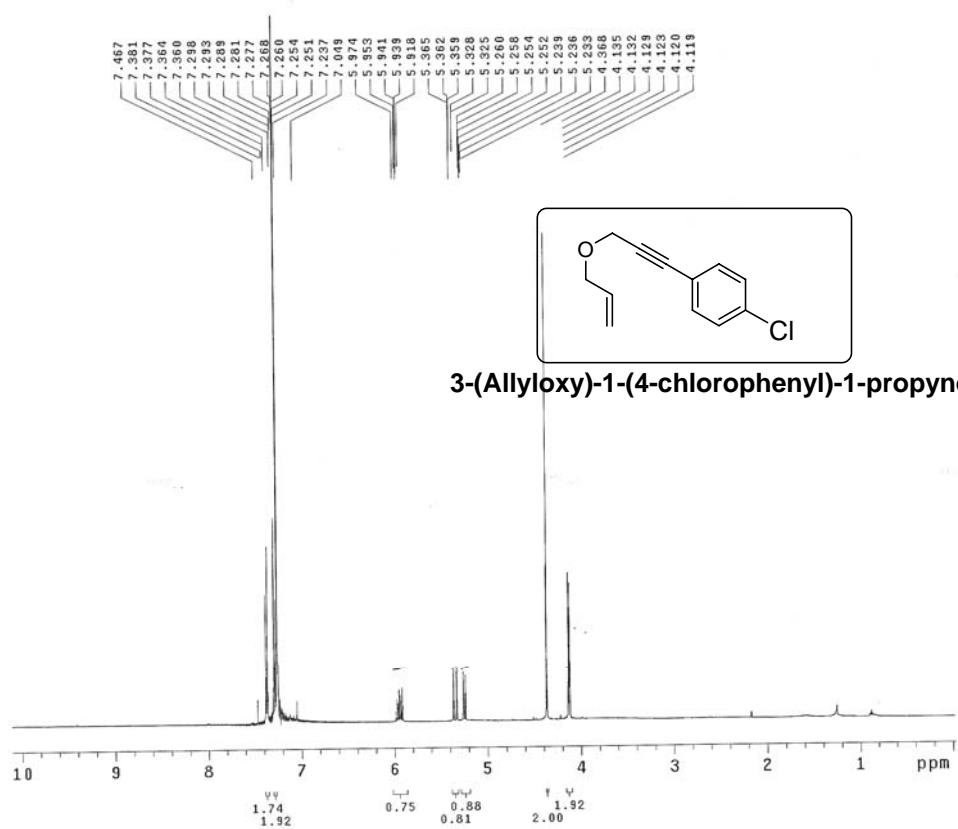
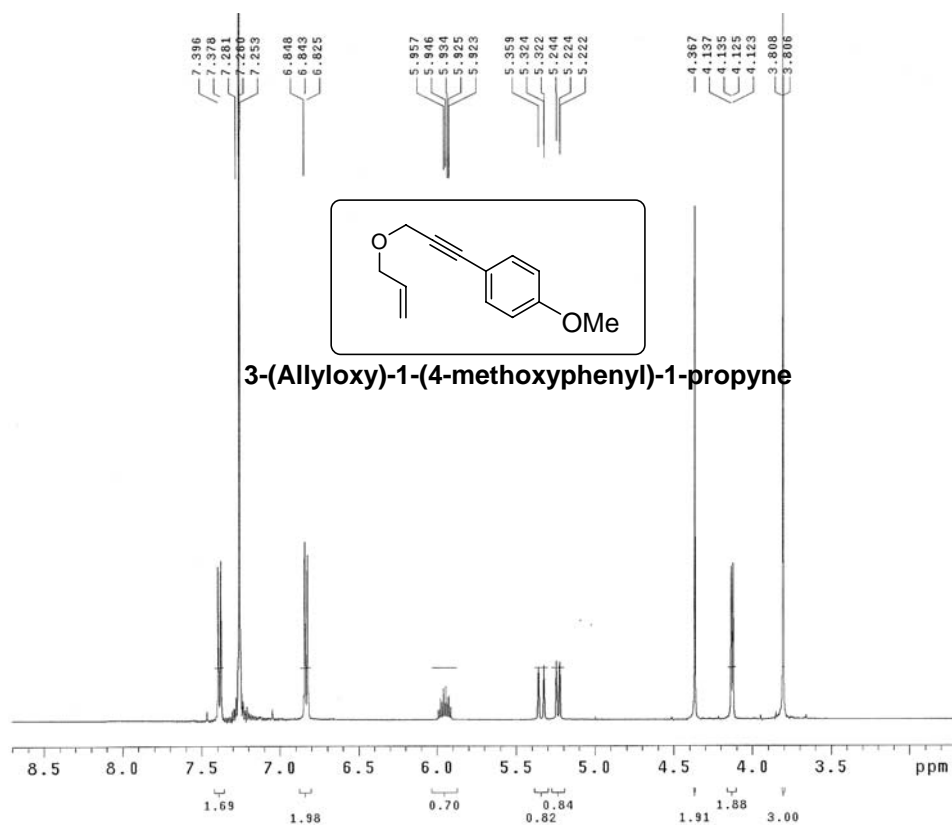
General procedures of PKR were used except  $^{13}\text{C}$ -enriched benzyl formate was added instead of original benzyl formate. Purified by column chromatography (2 cm diameter  $\times$  ~20 cm height) on silica gel using hexane/ethyl acetate (3:1) as eluent to obtain the title compound as light yellow oil. >99%  $^{13}\text{C}$ -labeled.

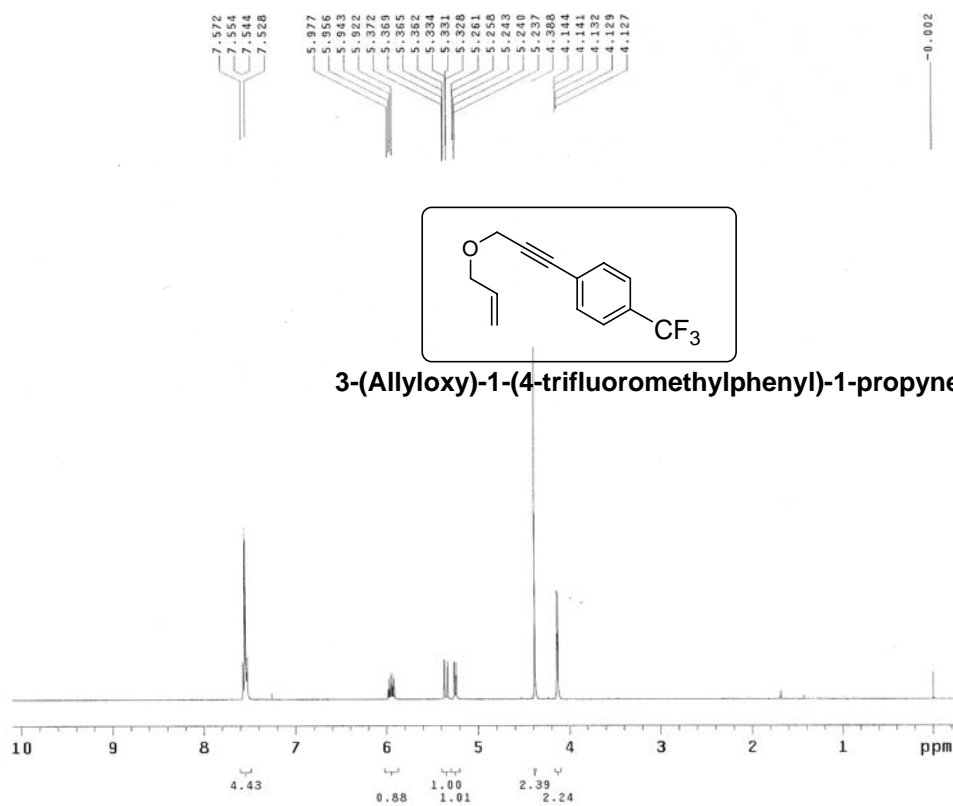
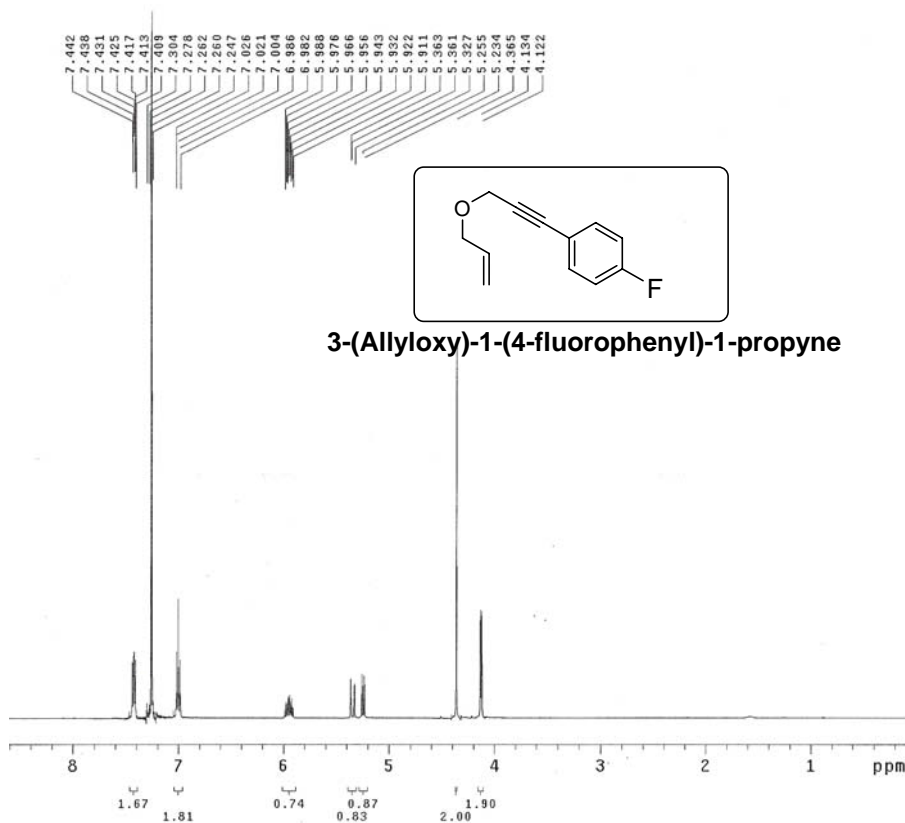
## NMR spectra of enynes

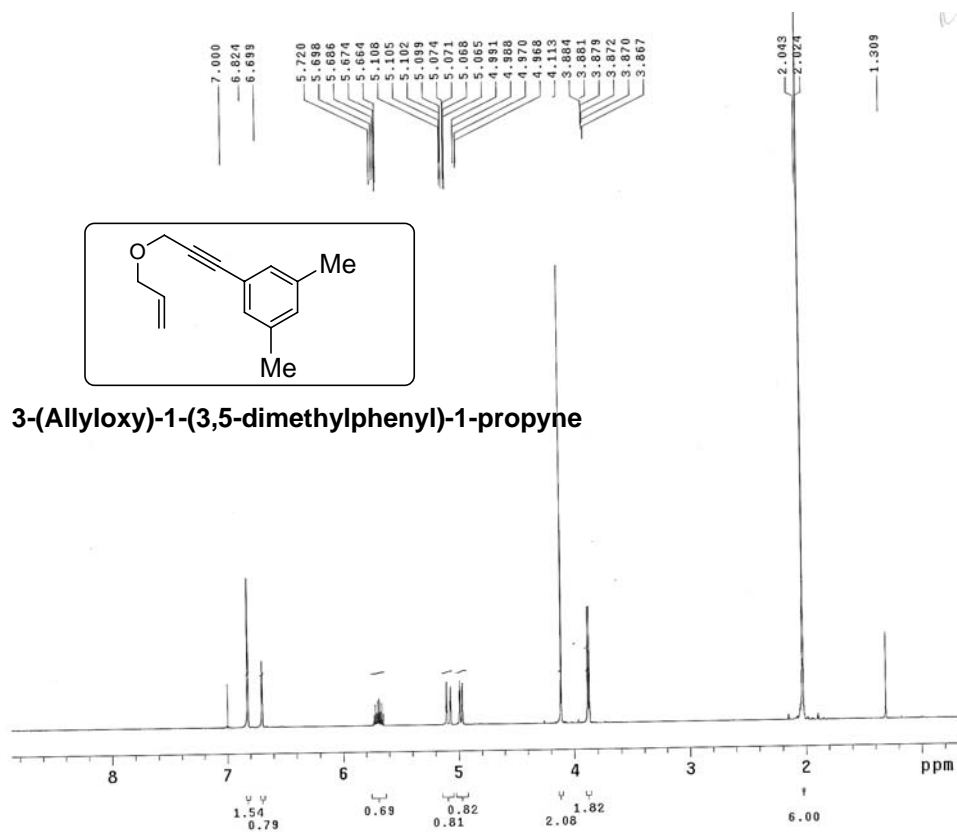
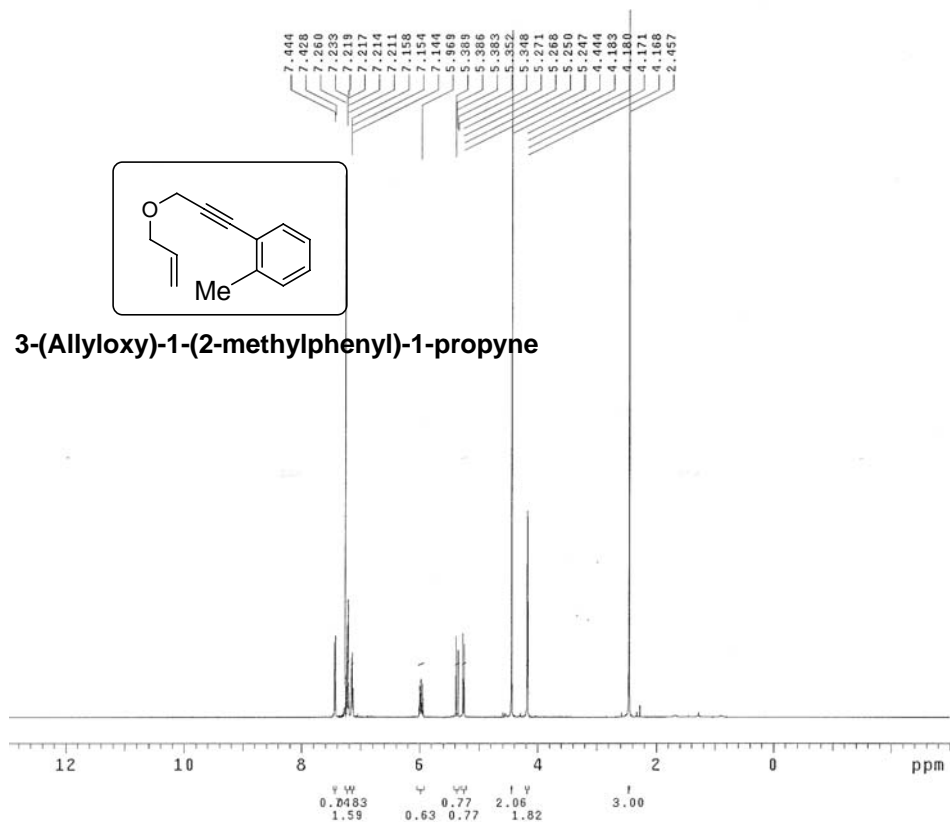




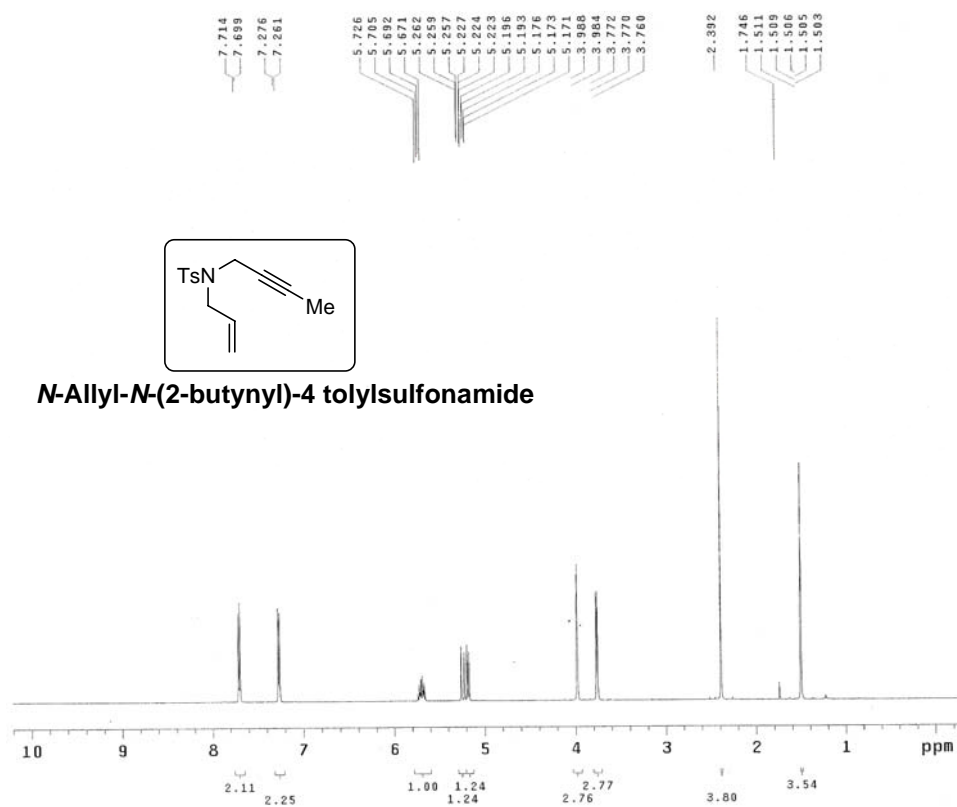
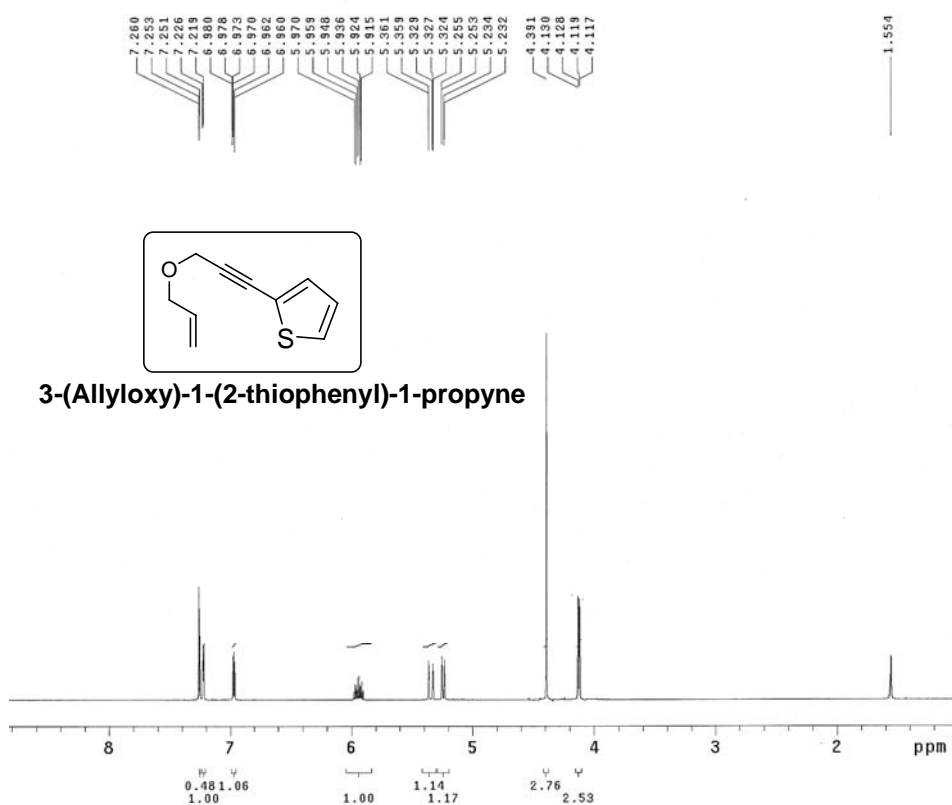


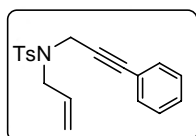
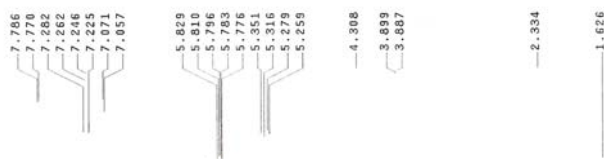




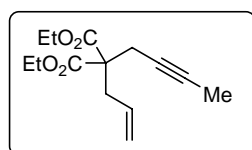
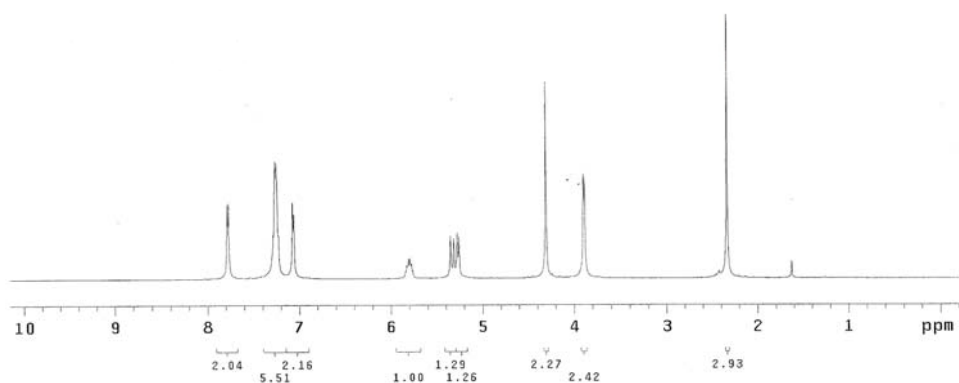




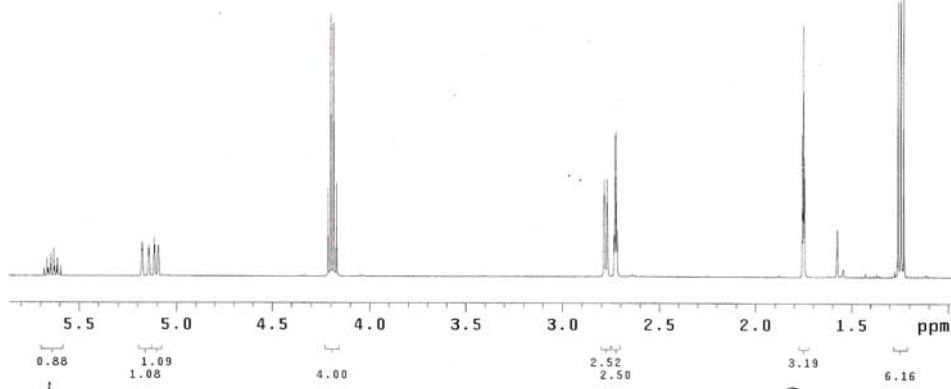


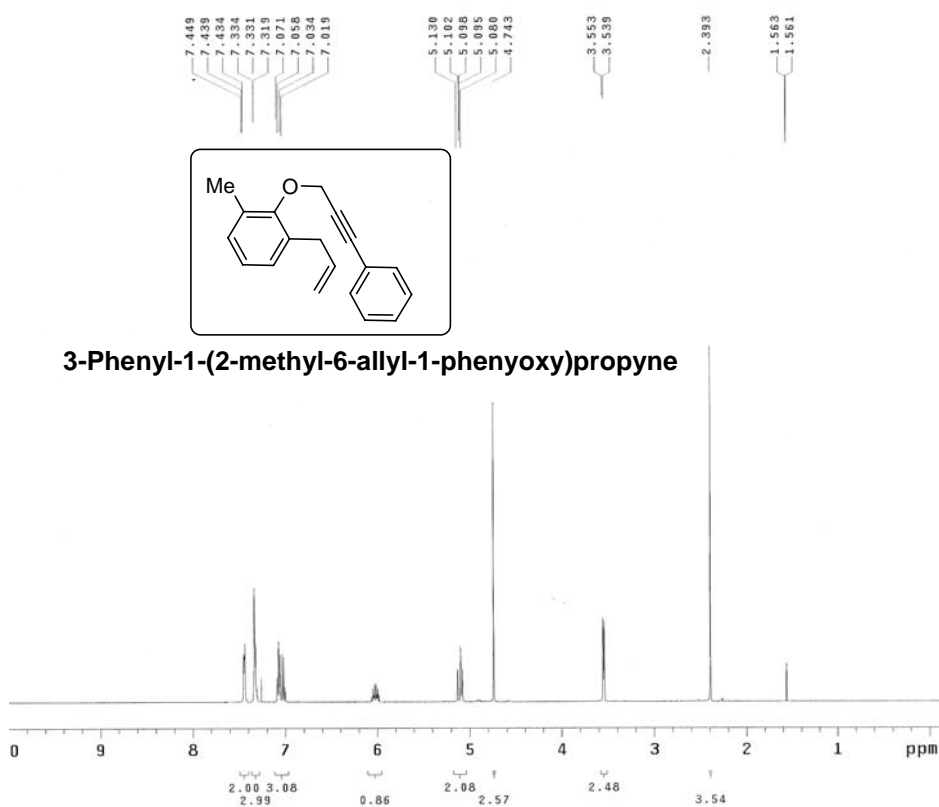
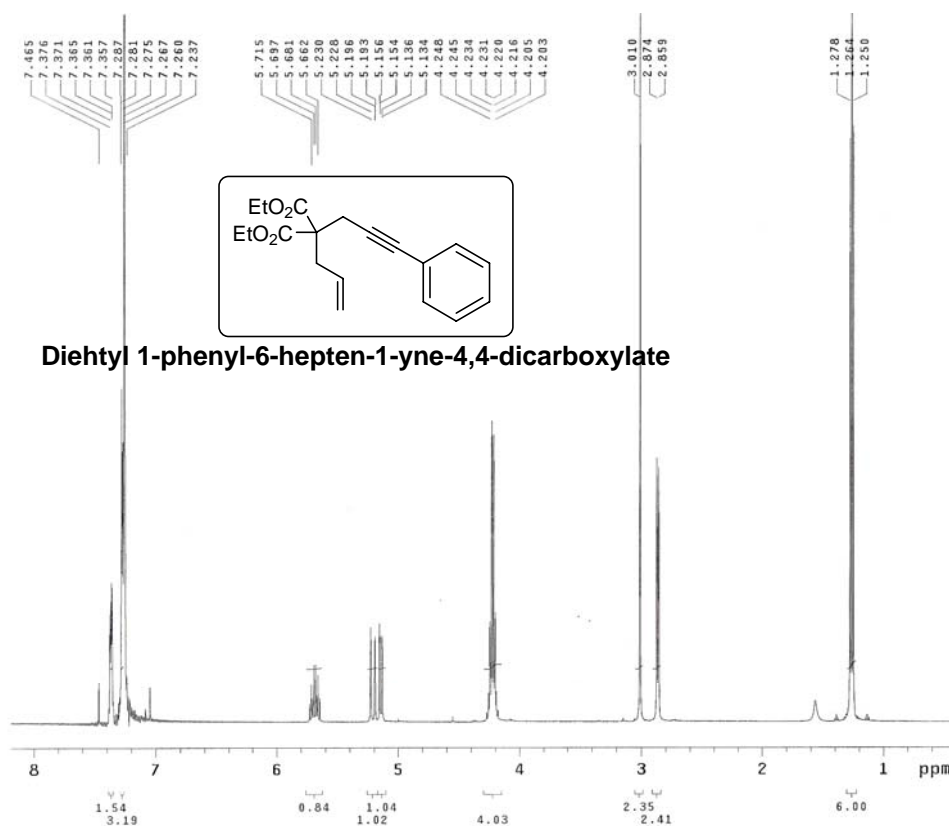


**N-Allyl-N-(3-phenyl-2-propynyl)-4-tolylsulfonamide**

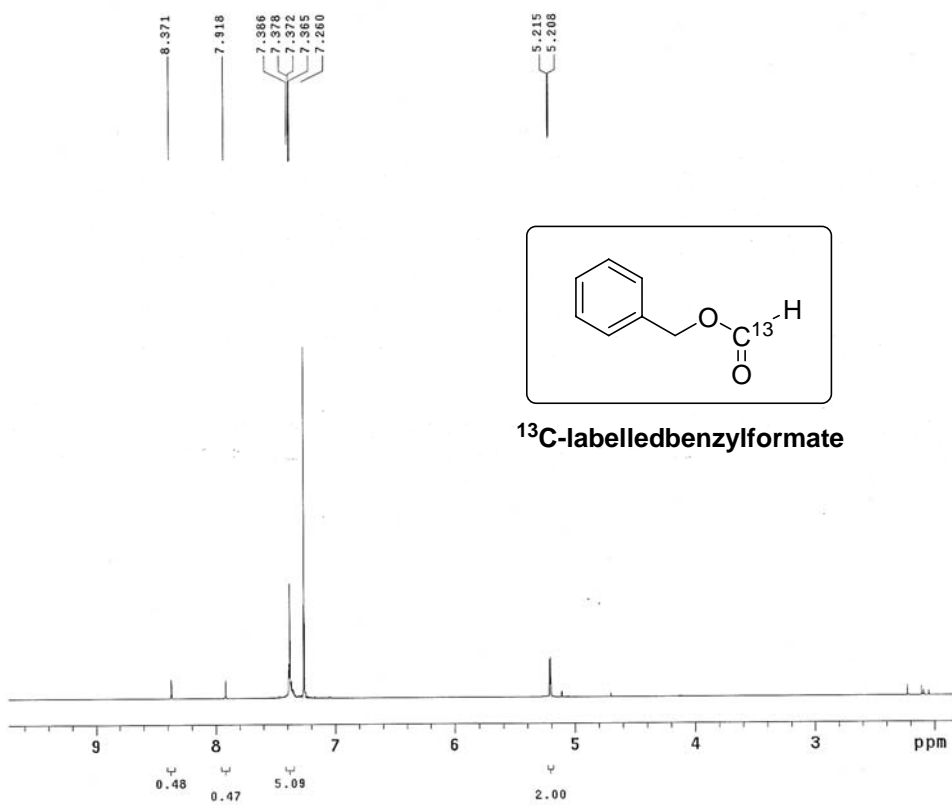
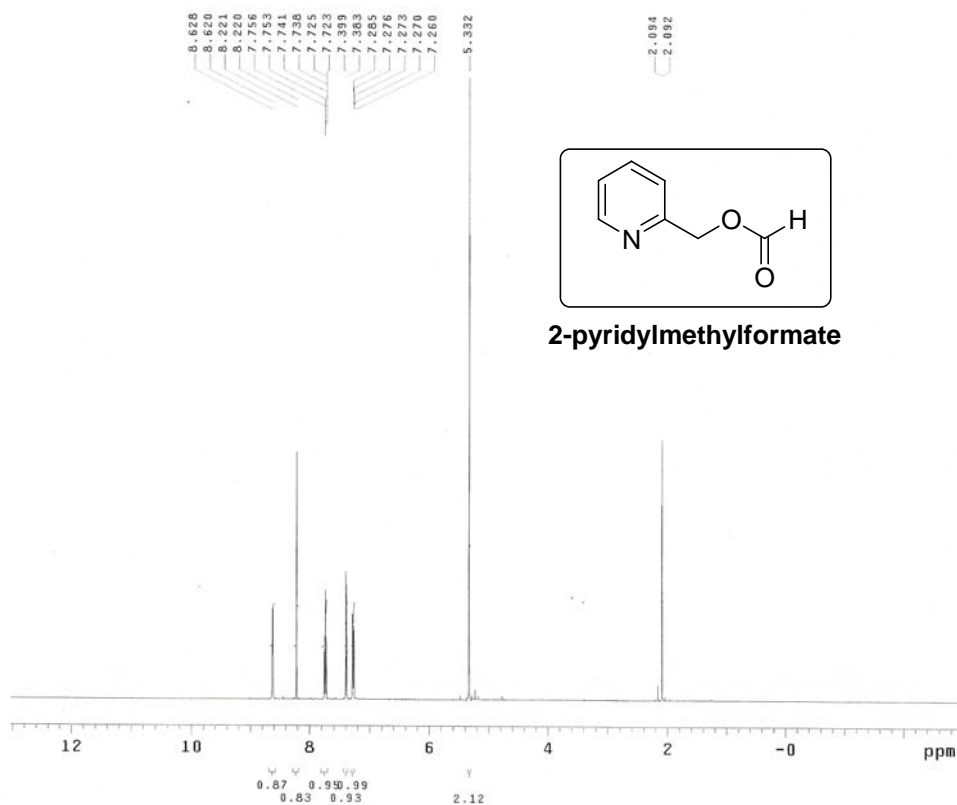


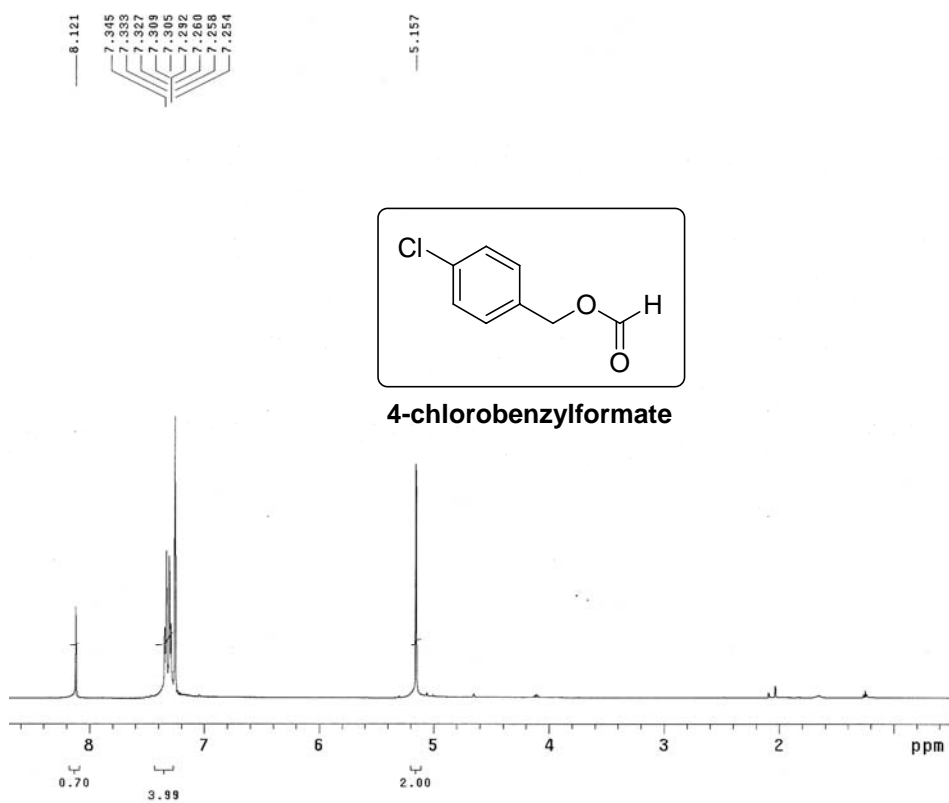
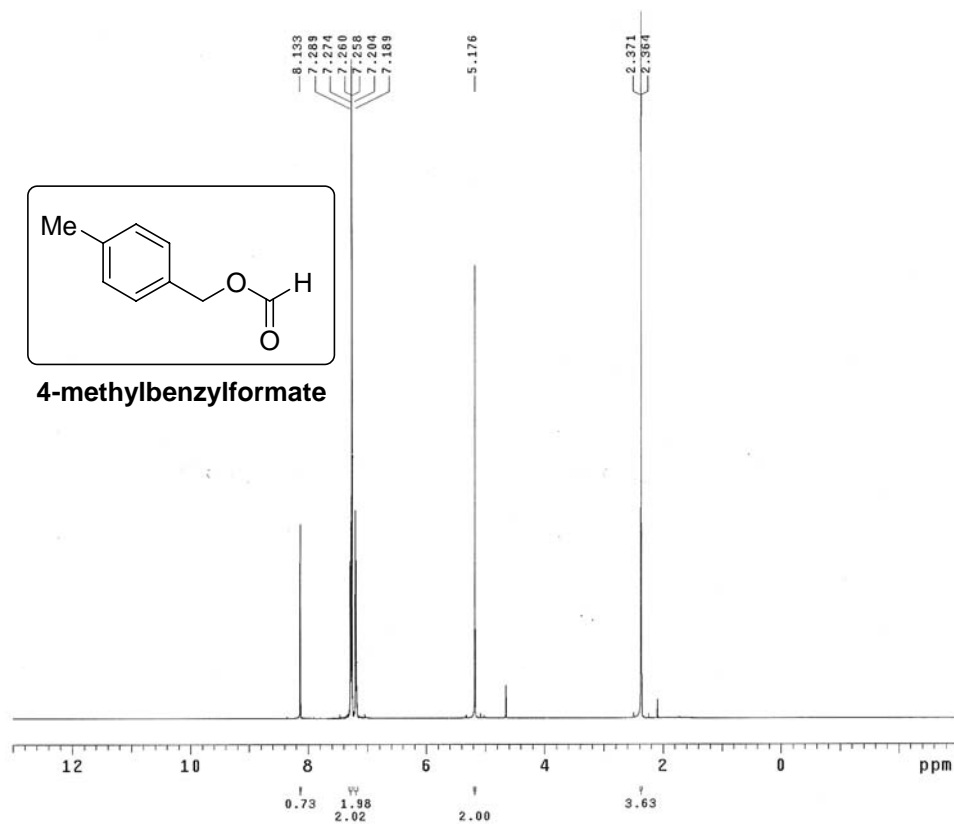
**Diethyl 7-octen-2-yne-5,5-dicarboxylate**

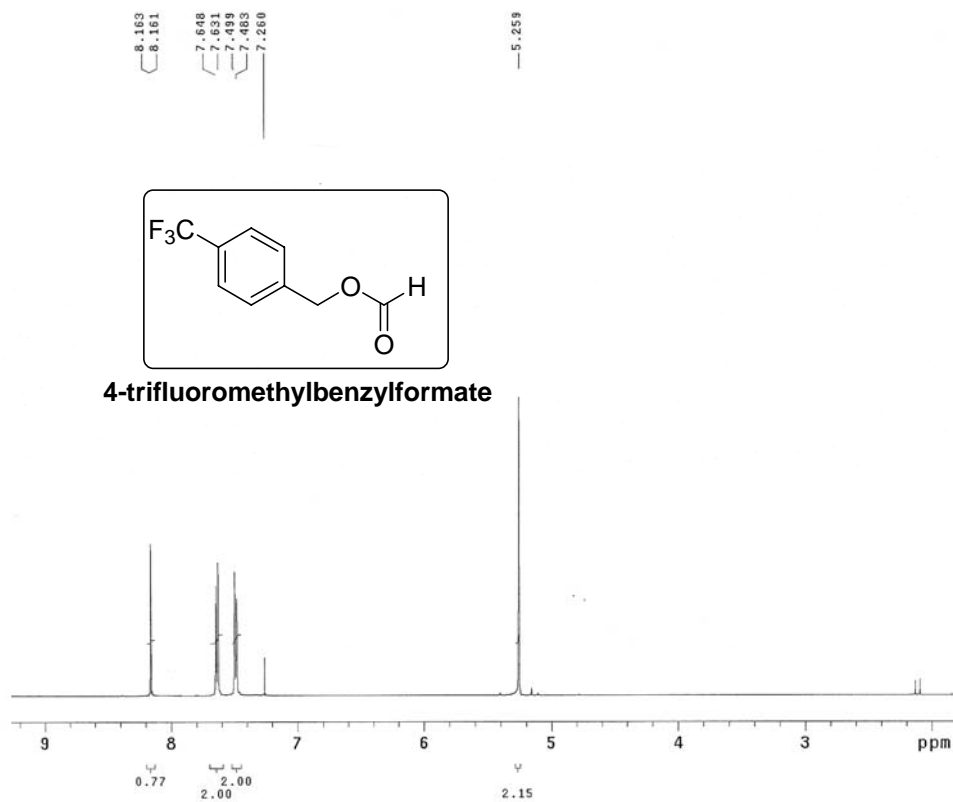




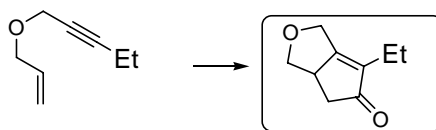
## NMR Spectra of formates



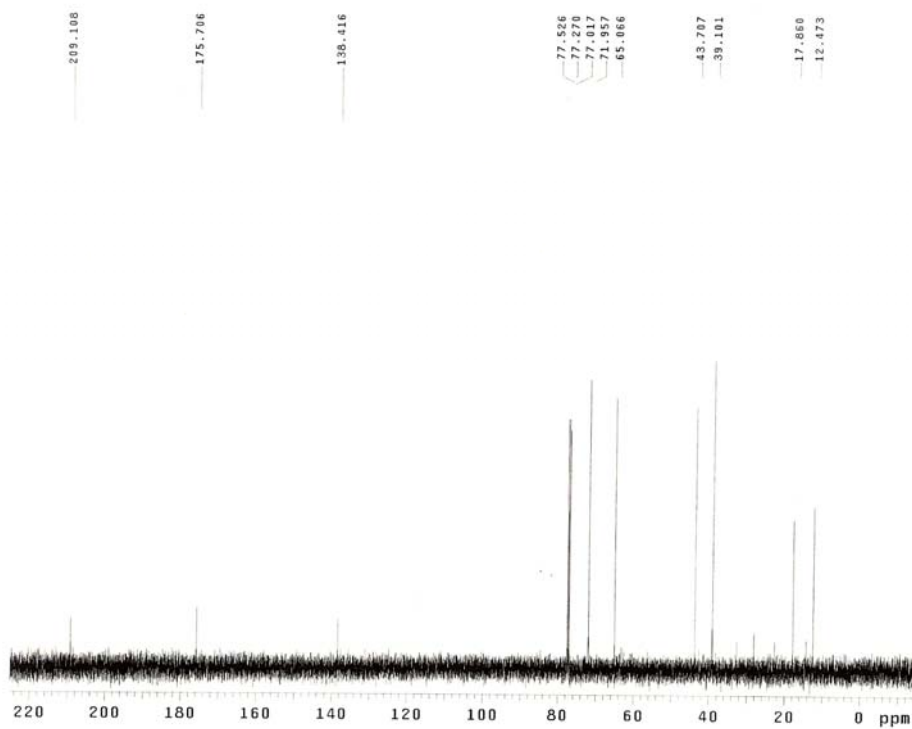
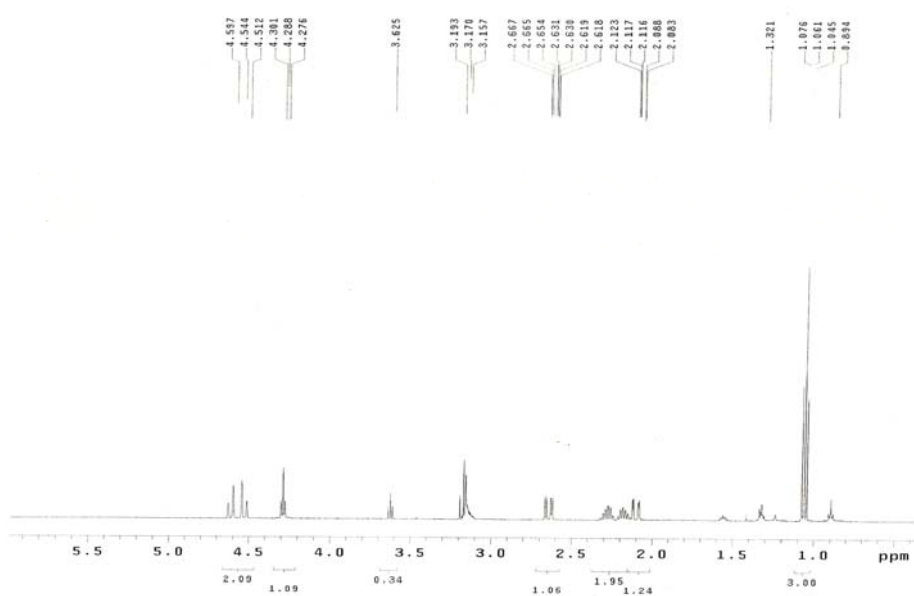


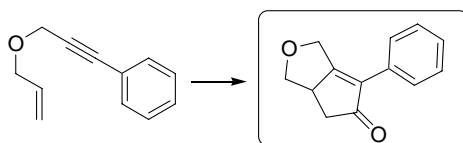


## NMR spectra of cyclopentenones

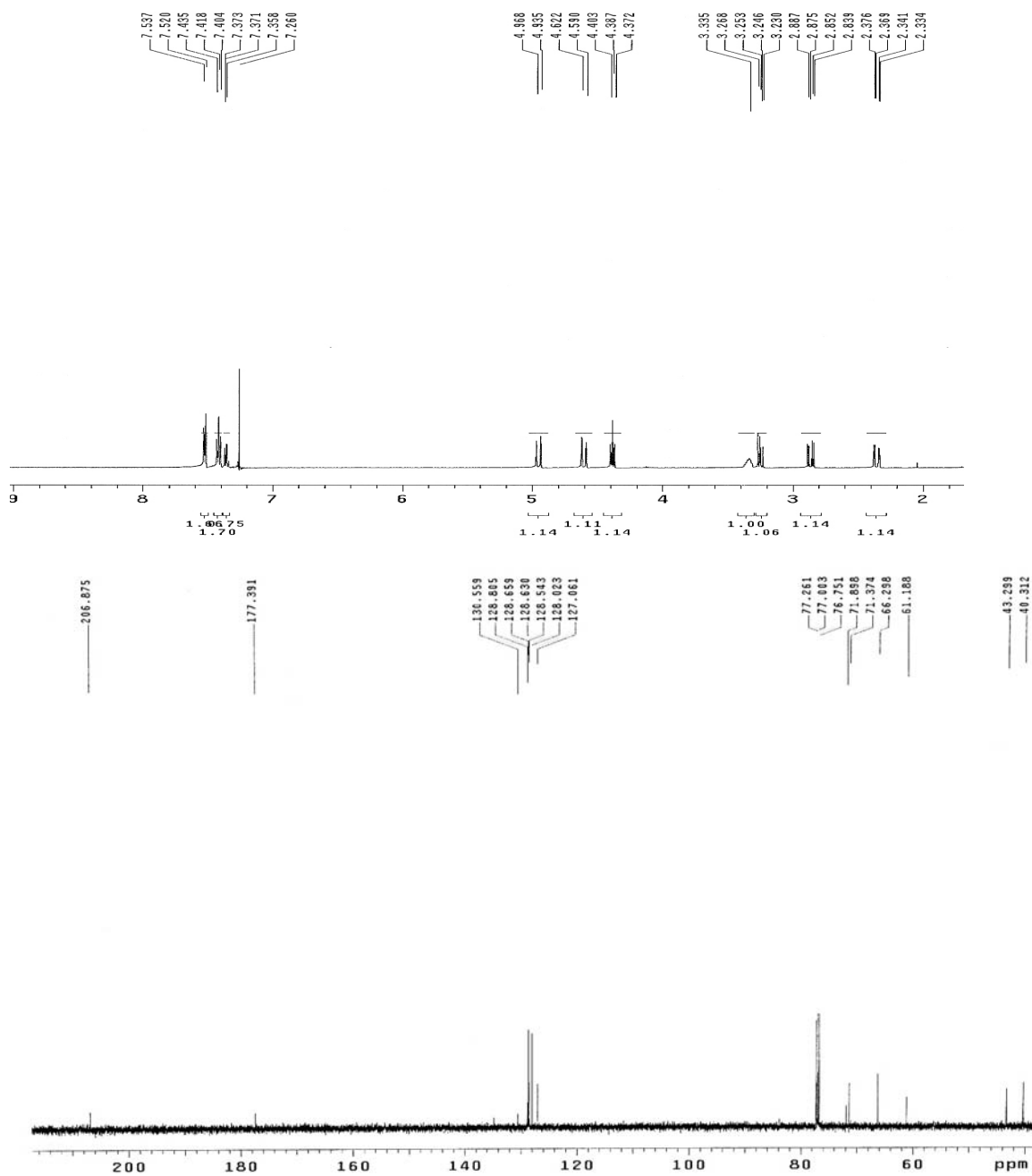


## 2-Ethyl-7-oxabicyclo[3.3.0]oct-1-en-3-one

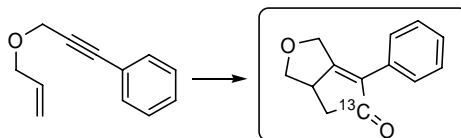




### 2-Phenyl-7-oxabicyclo[3.3.0]oct-1-en-3-one<sup>19</sup>







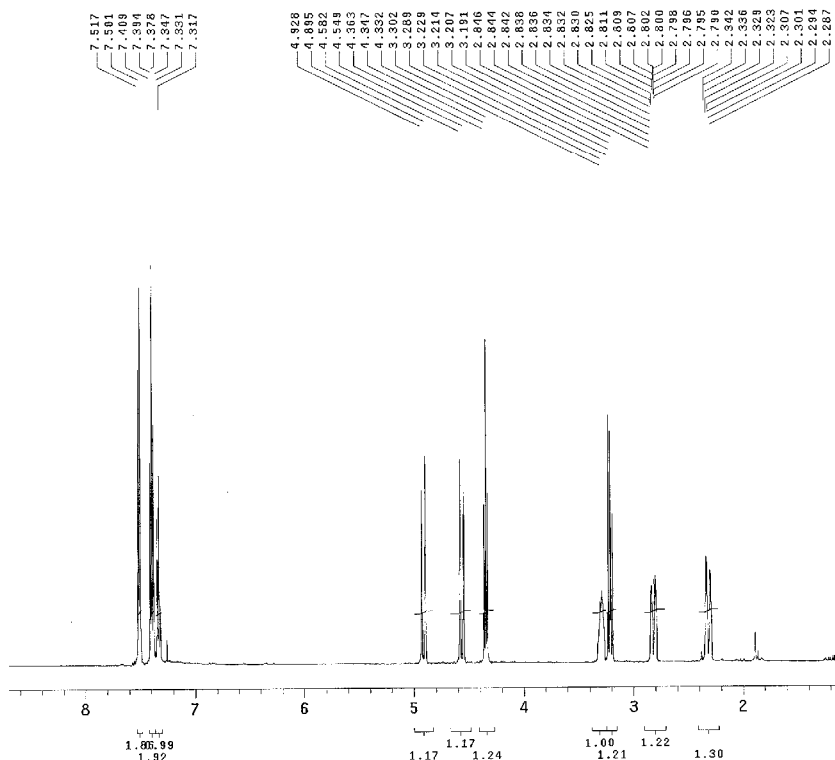
<sup>13</sup>C-enriched 2-phenyl-7-oxabicyclo[3.3.0]oct-1-en-3-one

STANDARD PROTON PARAMETERS

```

exp1 s2pul

SAMPLE          DEC. & VT
date Nov 28 2006 dfrq 499.742
solvent CDC13   dn  H1
file exp       dpwr 30
ACQUISITION    dof  0
sfrq 499.742  dm   nnc
tn H1         dmm  c
at 1.892      dmf  200
np 30272     dseq 1.0
sw 8000.0    dres n
fb 4000      homo n
bs 32       DEC2
tpwr 57     dfrq2 0
pw 5.5     dn2   0
d1 0       dpwr2 1
tof 0      dof2  0
nt 8       dm2   n
ct 8       dmm2  c
alock n    dmf2  200
gain not used dseq2 1.0
FLAGS n     homo2 n
in n       PROCESSING
dp y       wtf file ft
hs nn     pProc  not used f
DISPLAY   fn
sp 245.1   math
wp 4105.2
vs 81     warr
sc 0      wexp
wc 180    wbs
hzmm 22.51 vnt wft
is 33.52
rf1 5129.7
rfp 3828.1
th 7
ins 1.000
nm cdc ph
    
```

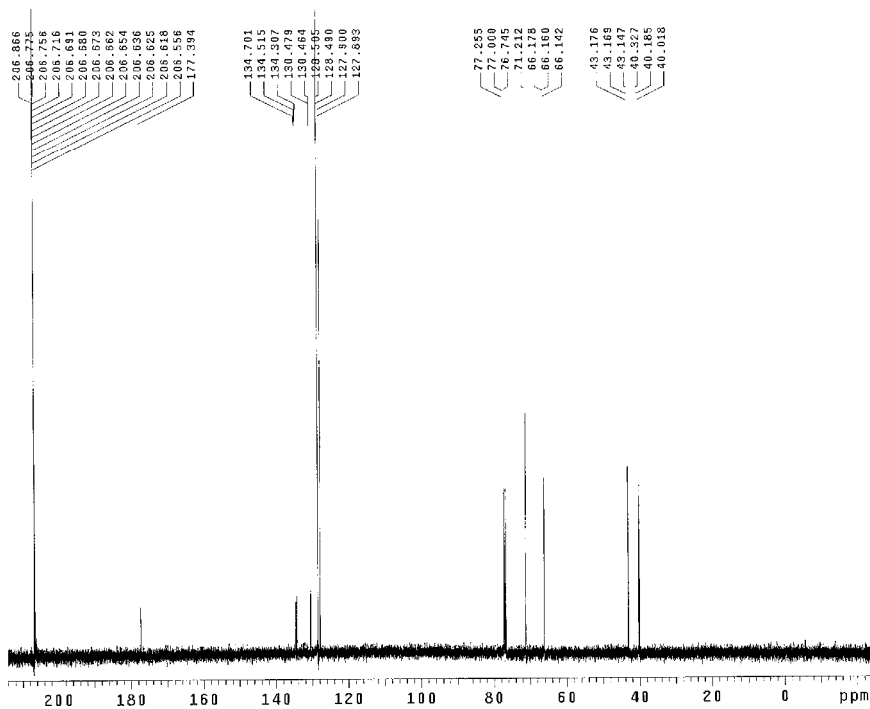


STANDARD CARBON PARAMETERS

```

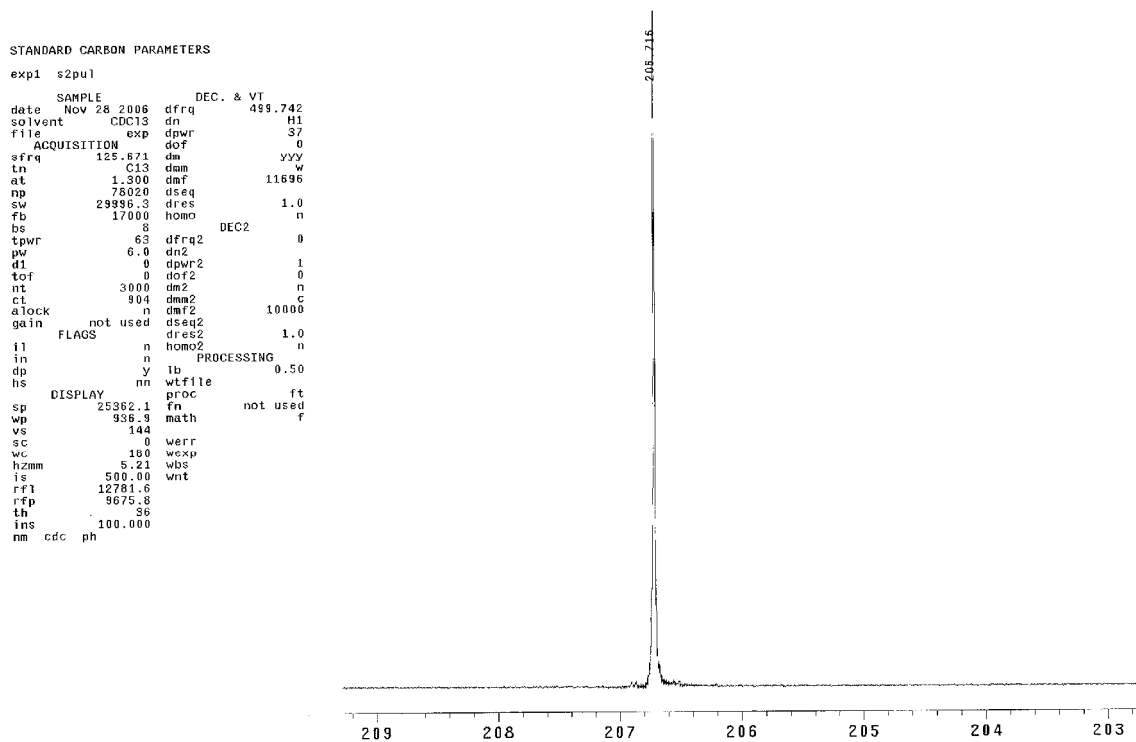
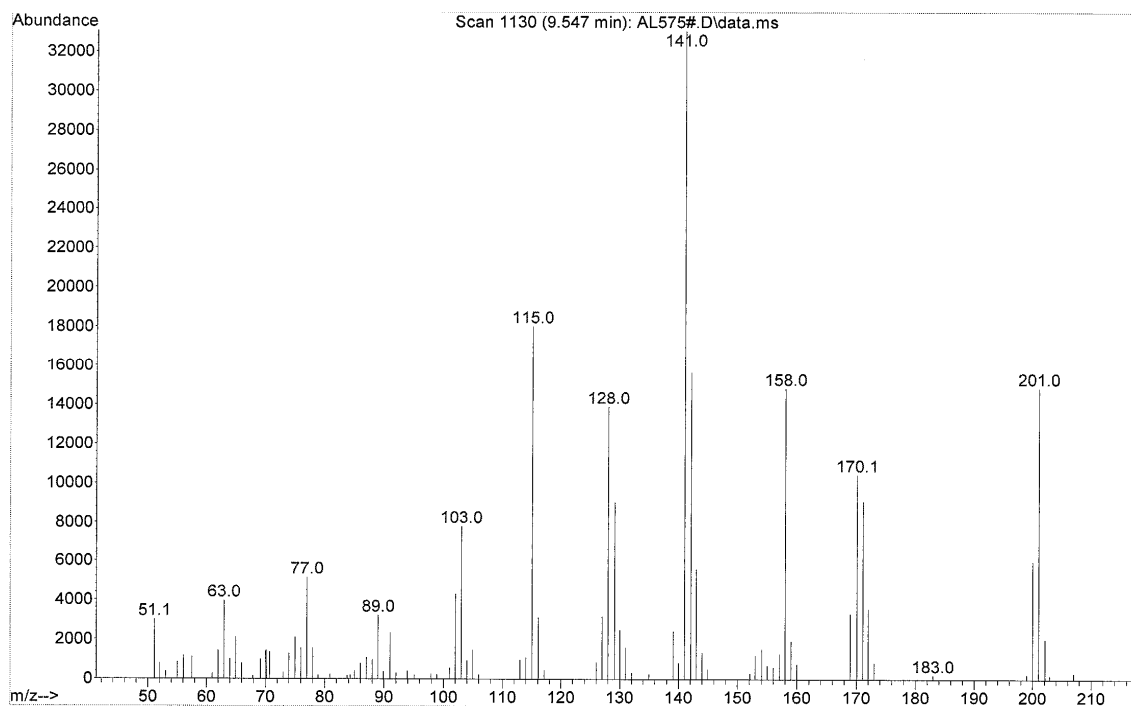
exp1 s2pul

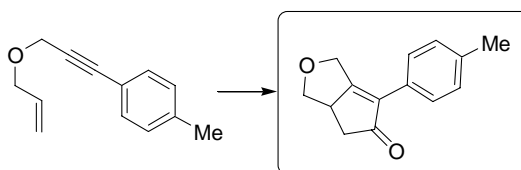
SAMPLE          DEC. & VT
date Nov 28 2006 dfrq 499.742
solvent CDC13   dn  H1
file exp       dpwr 37
ACQUISITION    dof  0
sfrq 125.671   dm   yyy
tn C13        dmm  w
at 1.300      dmf  11696
np 78020     dseq 1.0
sw 29936.3   dres n
fb 17000     homo n
bs 8       DEC2
tpwr 63     dfrq2 0
pw 6.0     dn2   0
d1 0       dpwr2 1
tof 0      dof2  0
nt 3000    dm2   n
ct 904     dmm2  c
alock n    dmf2  10000
gain not used dseq2 1.0
FLAGS n     homo2 n
in n       PROCESSING
dp y       lb  0.50
hs nn     wtf file ft
DISPLAY   fn
sp -8105.9  math
wp 29936.3
vs 1177
sc 0      warr
wc 100    wexp
hzmm 166.65 vnt wft
is 500.00
rf1 12781.6
rfp 9675.8
th 8
ins 100.000
nm cdc ph
    
```



$^{13}\text{C}$  NMR of  $^{13}\text{C}$ -enriched 2-phenyl-7-oxabicyclo[3.3.0]oct-1-en-3-one

(enlarged labeled region)

Mass spectrum of  $^{13}\text{C}$ -enriched 2-phenyl-7-oxabicyclo[3.3.0]oct-1-en-3-one

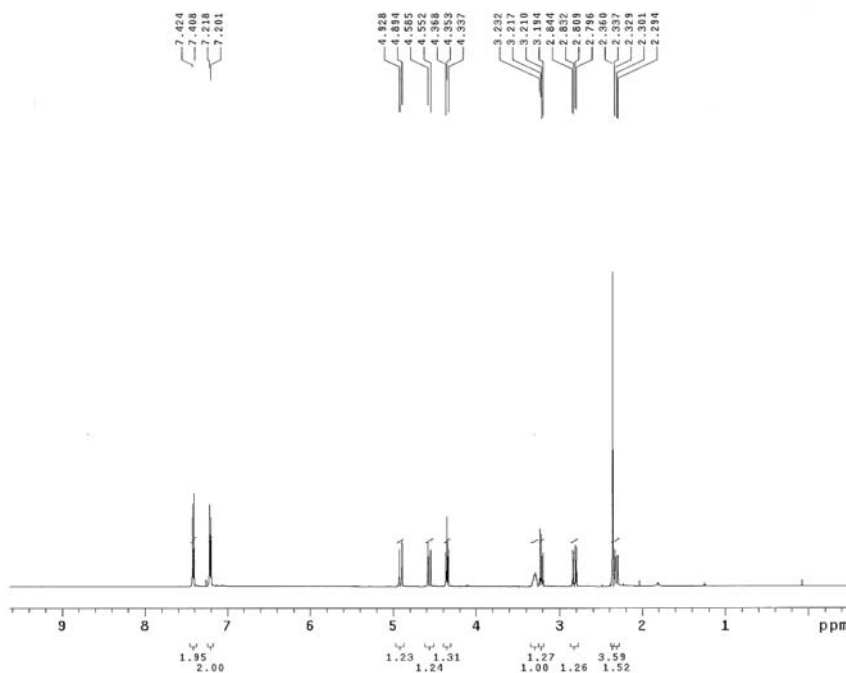


## 2-(4-Methylphenyl)-7-oxabicyclo[3.3.0]oct-1-en-3-one

### STANDARD PROTON PARAMETERS

```

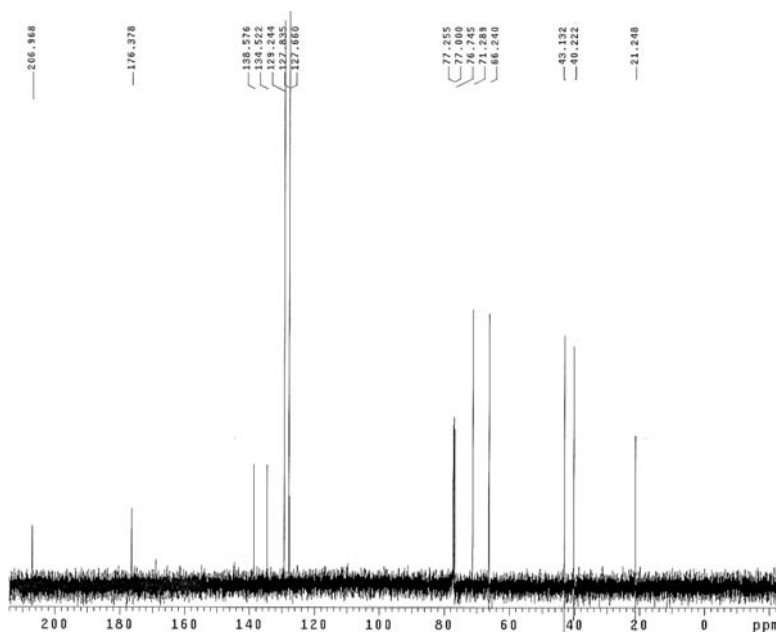
exp1 s2pu1
SAMPLE
date Mar 13 2007 dfrq DEC. & VT 499.742
solvent CDC13 dn H1
file exp dpwr 30
ACQUISITION dof 0
sfrq 499.742 dm nnn
in H1 dmm c
at 1.892 dmf 200
np 30272 dseq
sw 8000.0 dres 1.0
fb 4000 homo n
bs 32 DEC2
tpwr 57 dfrq2 0
pw 5.5 dn2
dl 0 dpwr2 1
tof 0 dof2 0
nt 8 dm2 n
ct 8 dmm2 c
alock n dmf2 200
gain not used dseq2
FLAGS n dres2 1.0
in n homo2 n
in n PROCESSING n
dp y wfile
hs nn proc ft
DISPLAY fn not used f
sp -273.5 math
wp 5088.7
vs 72 verr
sc 0 wexp
wc 180 wbs
hzm 28.27 wnt wft
is 33.57
rf1 5130.7
rfp 3628.1
th 3
ins 1.000
nm cdc ph
  
```

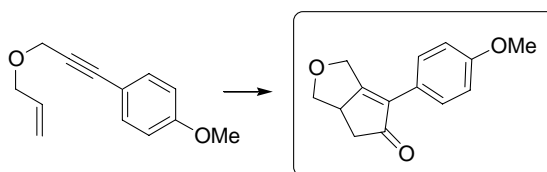
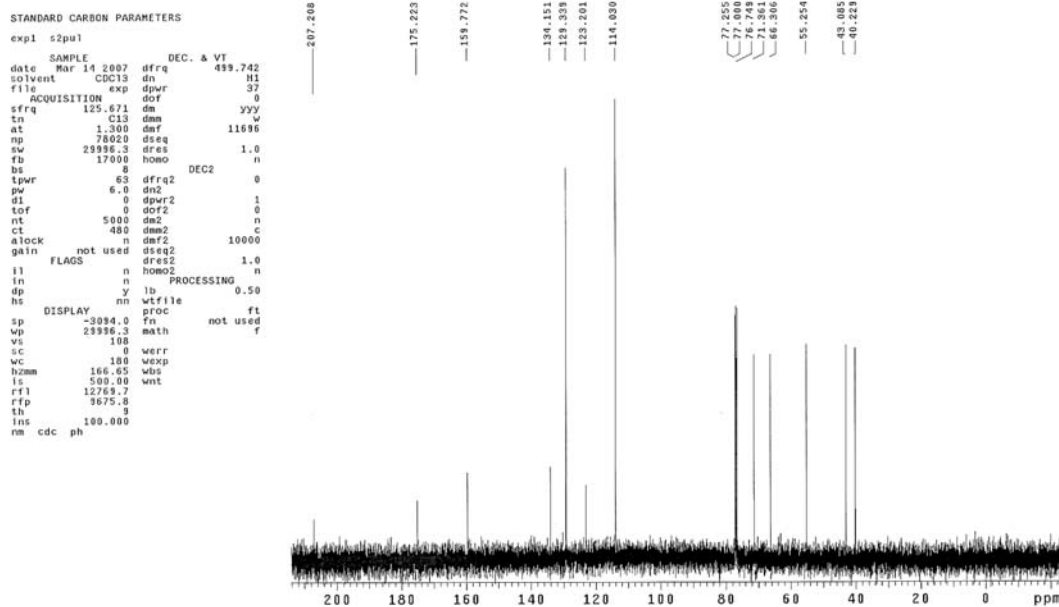
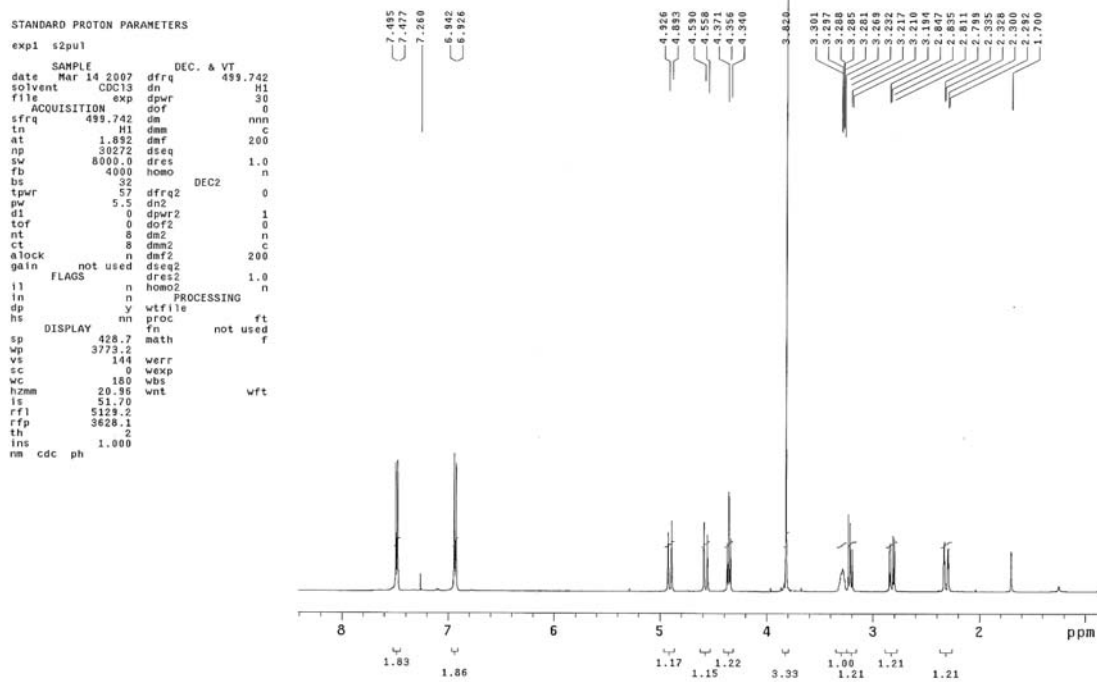


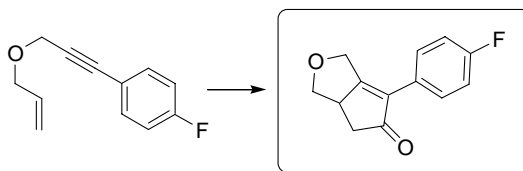
### STANDARD CARBON PARAMETERS

```

exp1 s2pu1
SAMPLE
date Mar 13 2007 dfrq DEC. & VT 499.742
solvent CDC13 dn H1
file exp dpwr 30
ACQUISITION dof 0
sfrq 125.671 dm yyy
in H1 dmm w
at 1.300 dmf 11696
np 78020 dseq
sw 29986.3 dres 1.0
fb 17000 homo n
bs 8 DEC2
tpwr 63 dfrq2 0
pw 6.0 dn2
dl 0 dpwr2 1
tof 0 dof2 0
nt 5000 dm2 n
ct 232 dmm2 c
alock n dmf2 10000
gain not used dseq2
FLAGS n dres2 1.0
in n homo2 n
in n PROCESSING n
dp y lb wfile
hs nn proc ft
DISPLAY fn not used f
sp -3100.4 math
wp 29986.3
vs 141
sc 0 verr
wc 180 wexp
hzm 166.85 wbs
is 500.00 wnt
rf1 12776.2
rfp 9675.8
th 10
ins 100.000
nm cdc ph
  
```



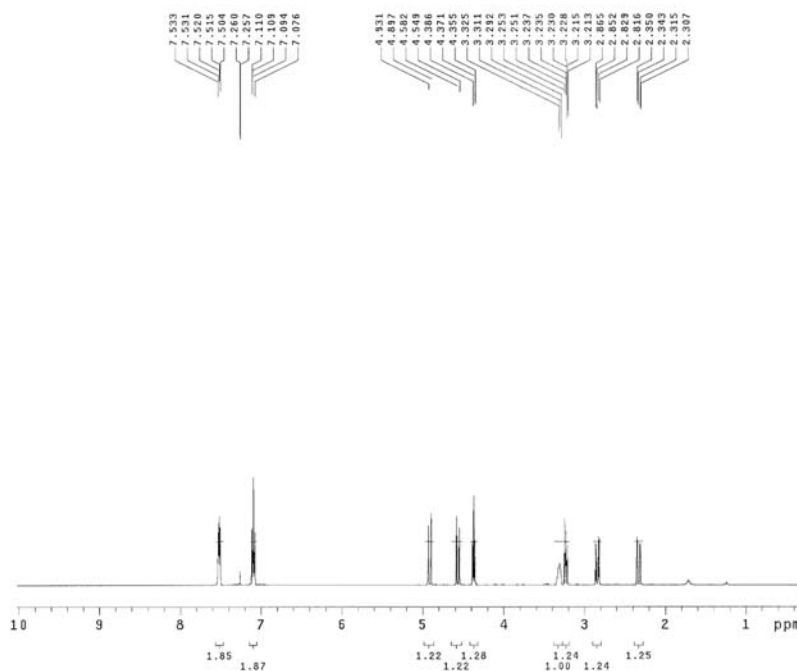
2-(4-Methoxyphenyl)-7-oxabicyclo[3.3.0]oct-1-en-3-one<sup>20</sup>



2-(4-Fluorophenyl)-7-oxabicyclo[3.3.0]oct-1-en-3-one

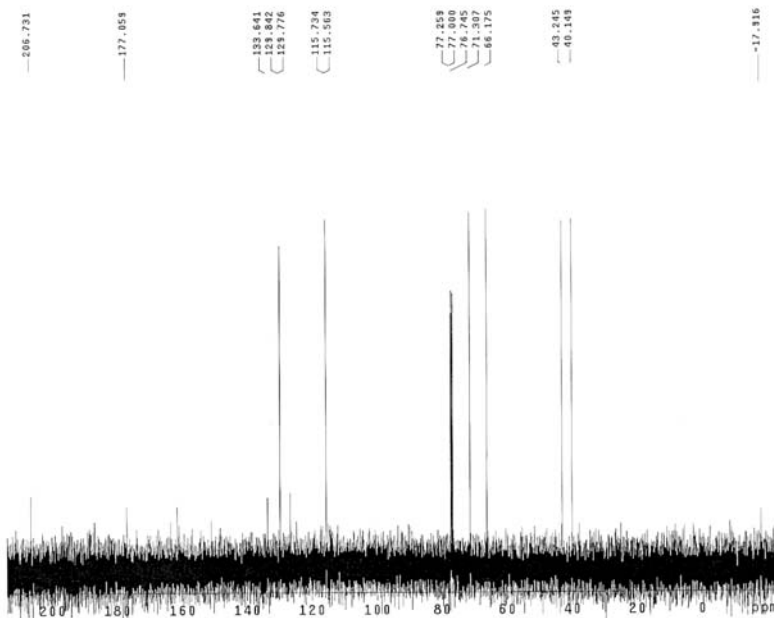
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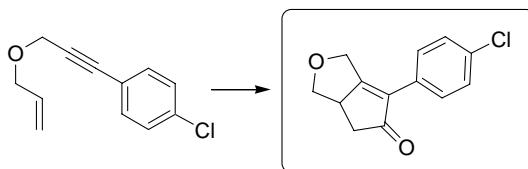
STANDARD PROTON PARAMETERS
exp1 s2pul
SAMPLE             DEC. & VT
date Feb 21 2007   dfrq 499.742
solvent CDCl3      dn     H1
file             exp   dpwr 0
ACQUISITION       dof   0
sfrq 499.742      dm     nmh
tn              H1     dm    c
at 1.892          dmf    200
np 30272          dseq
sw 8000.0         dres 1.0
fb 4000           homo  n
bs 32            DEC2
tpwr 57          dfrq2  0
pw 5.5          dn2   1
d1 0            dpwr2  1
tof 0           dof2  0
nt 8            dm2   n
ct 8            dmw2  c
alock          n     dmf2  200
gain          not used dseq2
FLAGS         n     dres2  1.0
                homo2  n
in           n     PROCESSING
dp           y     wfile
hs          nn     proc   ft
                fn     not used f
DISPLAY 125.0    math
wp 4884.1
vs 25          werr
sc 0          wexp
wc 180        wbs
hzm 27.13     wnt    wft
ls 1.05
rf1 5131.2
rfp 3628.1
th 2
ins 1.000
nm cdc ph
    
```



```

STANDARD CARBON PARAMETERS
exp1 s2pul
SAMPLE             DEC. & VT
date Mar 13 2007   dfrq 499.742
solvent CDCl3      dn     H1
file             exp   dpwr 37
ACQUISITION       dof   0
sfrq 125.671      dm     yyy
tn              C13    dm    v
at 1.300          dmf   11696
np 78020         dseq
sw 29996.3       dres 1.0
fb 17000         homo  n
bs 8            DEC2
tpwr 63          dfrq2  0
pw 6.0          dn2   1
d1 0            dpwr2  1
tof 0           dof2  0
nt 5000         dm2   n
ct 180          dmw2  c
alock          n     dmf2  10000
gain          not used dseq2
FLAGS         n     dres2  1.0
                homo2  n
in           n     PROCESSING
dp           y     lb    0.50
hs          nn     wfile
                fn     not used f
DISPLAY -3084.4   math
wp 29996.3
vs 85          werr
sc 0          wexp
wc 180        wbs
hzm 166.65    wnt
ls 500.09
rf1 12770.2
rfp 9675.8
th 13
ins 100.000
nm cdc ph
    
```



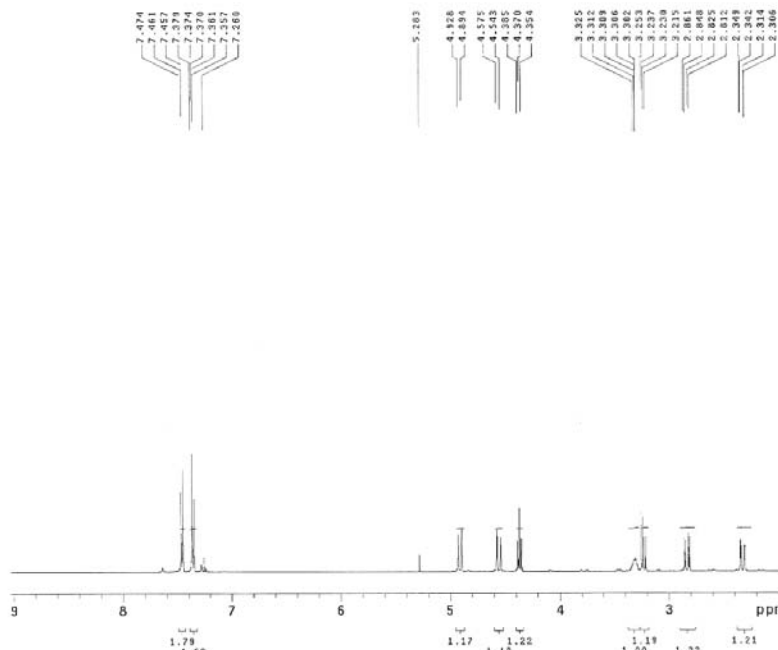


2-(4-Chlorophenyl)-7-oxabicyclo[3.3.0]oct-1-en-3-one

STANDARD PROTON PARAMETERS

```

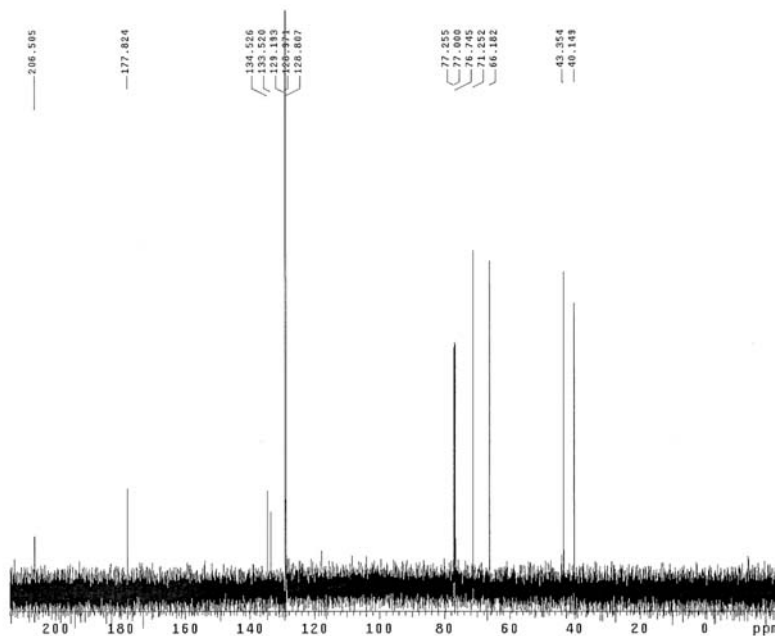
exp1 s2pu1
SAMPLE          DEC. & VT
date Mar 22 2007 dfrq 499.742
solvent CDC13 dn H1
file exp dpur 30
ACQUISITION dof 0
sfrq 499.742 dm nm
tn H1 dnm c
at 1.892 dmf 260
np 30272 dseq n
sw 8000.0 dres 1.0
fb 4000 homo n
bs 32 DEC2
tpwr 57 dfrq2 0
pw 5.5 dn2
d1 0 dpwr2 1
tof 0 dof2 0
nt 8 dn2 n
ct 8 dnm2 c
alock n def2 200
gain not used dseq2
FLAGS n dres2 1.0
n homo2 n
in n PROCESSING
dp y wfile ft
hs nn proc not used
f
sp 859.6 math
vs 3557.8
sc 28 werr
wc 3 wexp
hzm 180 wbs
hzm 19.77 wnt vft
is
rf1 5130.7
rfp 3528.1
tn 2
ins 1.000
nm cdc ph
  
```

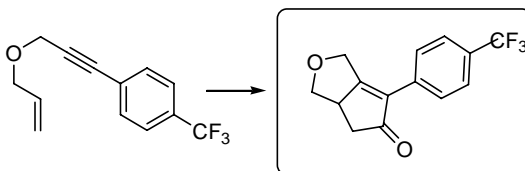


STANDARD CARBON PARAMETERS

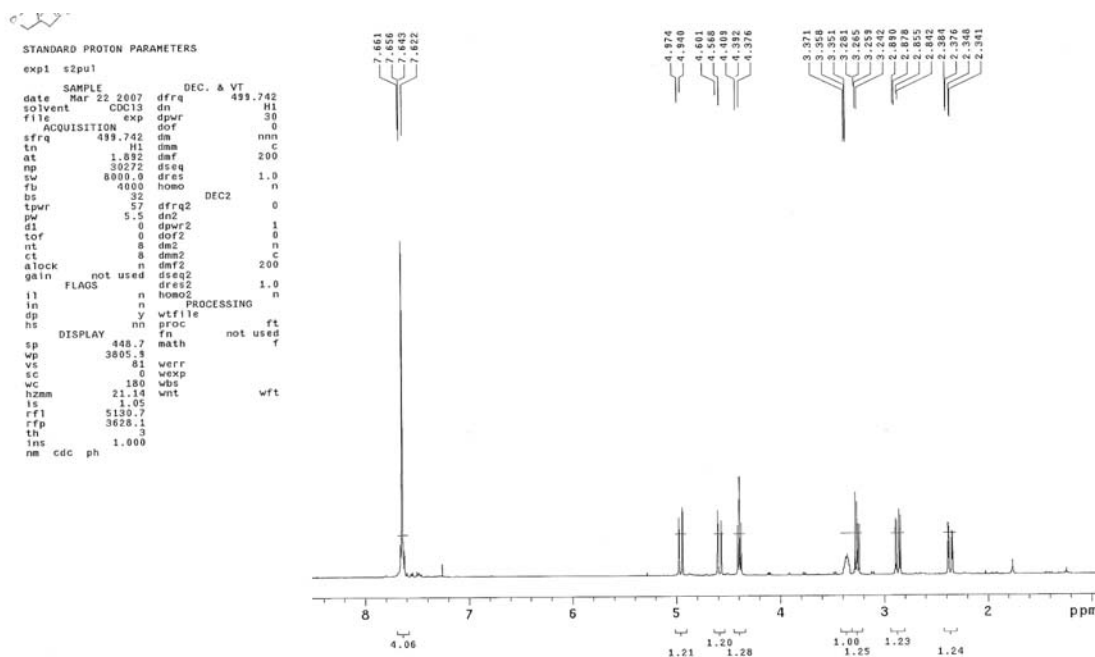
```

exp1 s2pu1
SAMPLE          DEC. & VT
date Mar 22 2007 dfrq 499.742
solvent CDC13 dn H1
file exp dpur 37
ACQUISITION dof 0
sfrq 125.671 dm yyy
tn C13 dnm w
at 1.300 dmf 11696
np 78020 dseq n
sw 29996.3 dres 1.0
fb 17000 homo n
bs 8 DEC2
tpwr 83 dfrq2 0
pw 6.0 dn2
d1 0 dpwr2 1
tof 0 dof2 0
nt 5000 dn2 n
ct 248 dnm2 c
alock n def2 10000
gain not used dseq2
FLAGS n dres2 1.0
n homo2 n
in n PROCESSING
dp y lb 0.50
hs nn proc not used
f
sp -3096.7 math
vs 29996.3
sc 144
wc 180 wexp
hzm 166.65 wbs
is 500.00 wnt
rf1 15772.5
rfp 9675.8
tn 19
ins 100.000
nm cdc ph
  
```





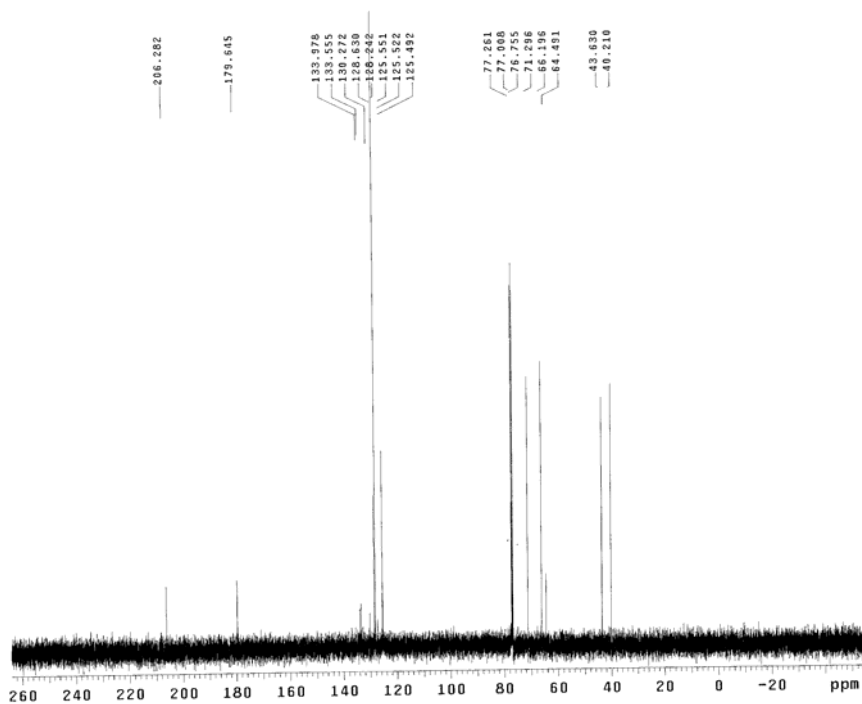
2-(4-Trifluoromethylphenyl)-7-oxabicyclo[3.3.0]oct-1-en-3-one

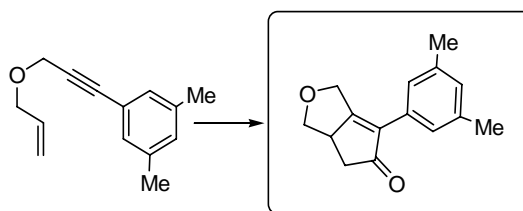


Std proton

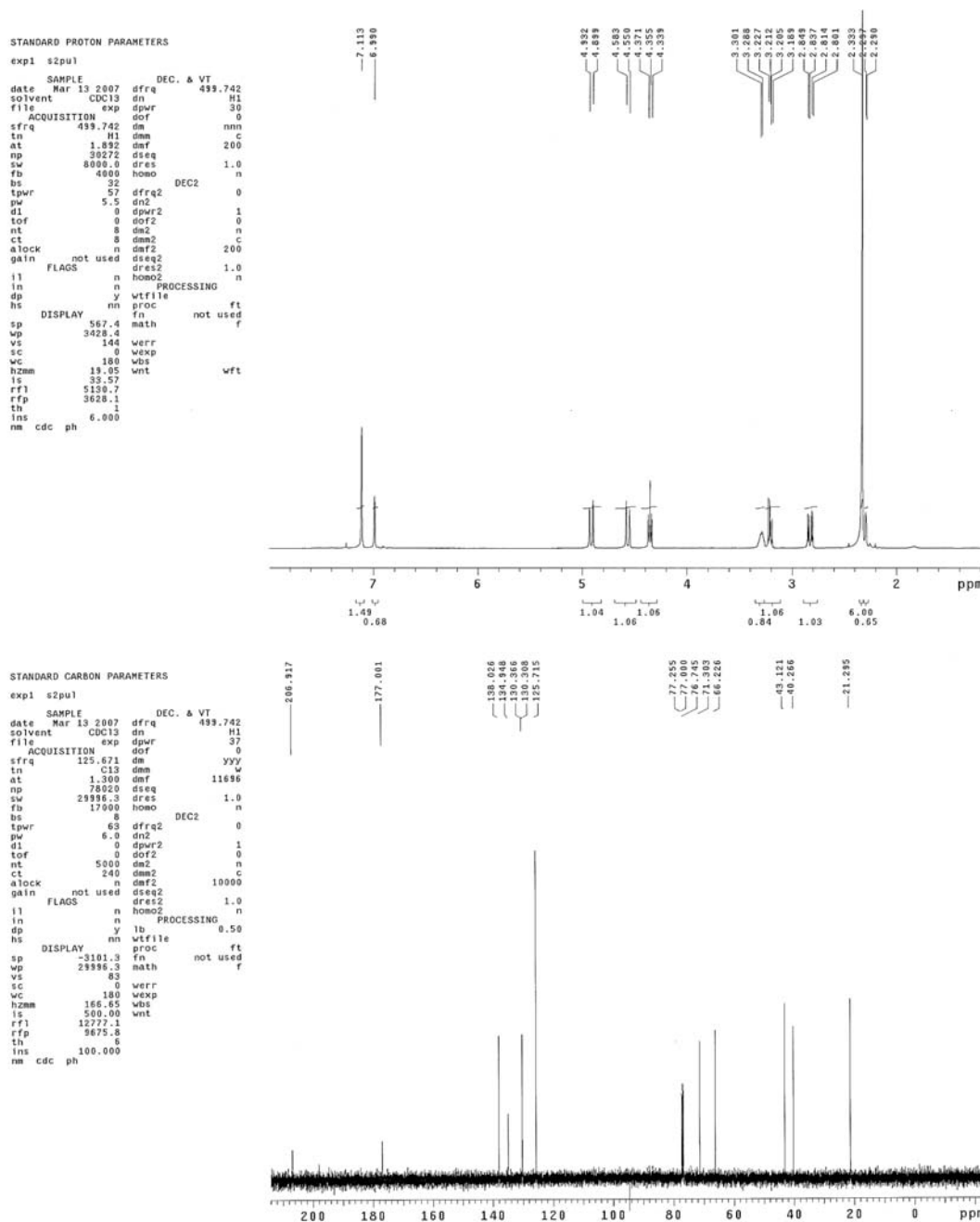
```

expl Carbon
SAMPLE
date Dec 19 2007 temp not used
solvent CDC13 gain 30
file exp spm 20
ACQUISITION
sfrq 40000.0 hst 0.008
sw 1.300 pw90 17.000
np 104038 a1fa 10.000
fb 22000 il n
bs 8 in n
d1 1.000 dp y
nt 500 hs nn
ct 304 PROCESSING 0.50
tn C13 fn not used
sfrq 125.673 DISPLAY
at 125.0 sp -6837.5
tpwr 61 wp 39999.4
pw 8.500 rft 16514.9
d1 DECOUPLER rfp 9876.8
dn H1 rp -32.9
dof 0 lp -857.8
dm yyy PLOT
dmm w wc 180
dpwr 37 sc 0
def 11368 vs vs 18756
ai cdc ph 7
  
```

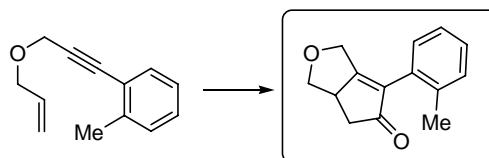




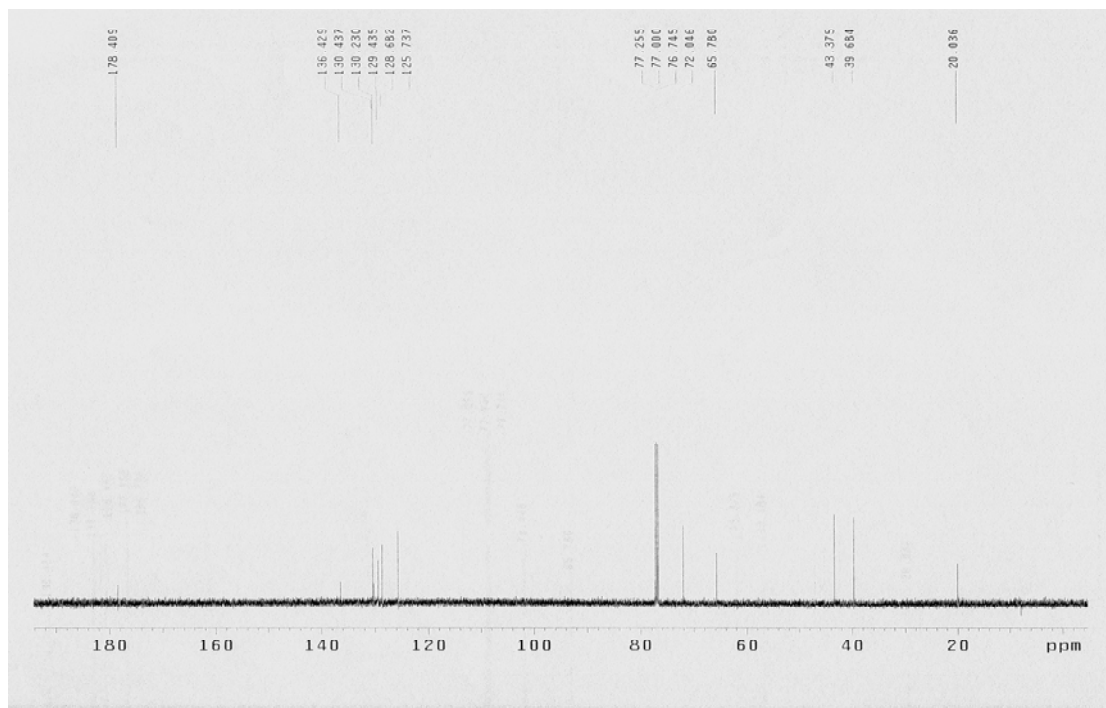
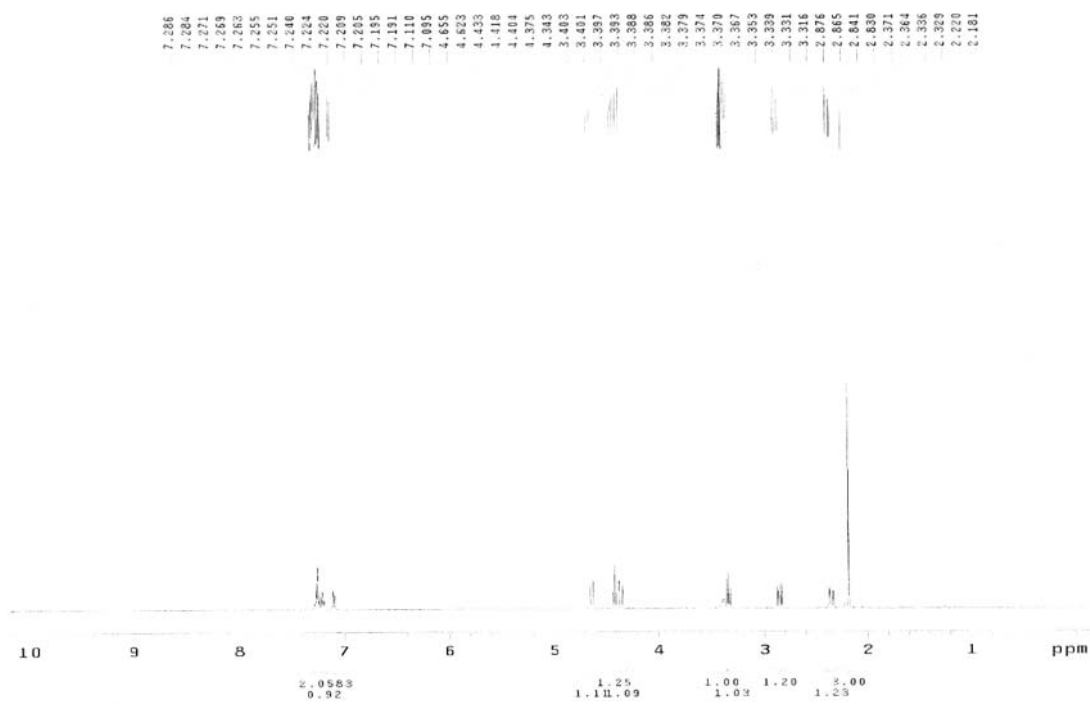
2-(3,5-Dimethylphenyl)-7-oxabicyclo[3.3.0]oct-1-en-3-one

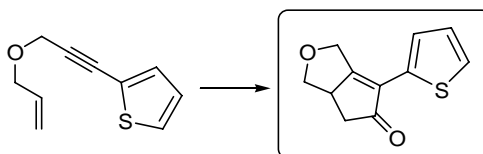






## 2-(2-Methylphenyl)-7-oxabicyclo[3.3.0]oct-1-en-3-one

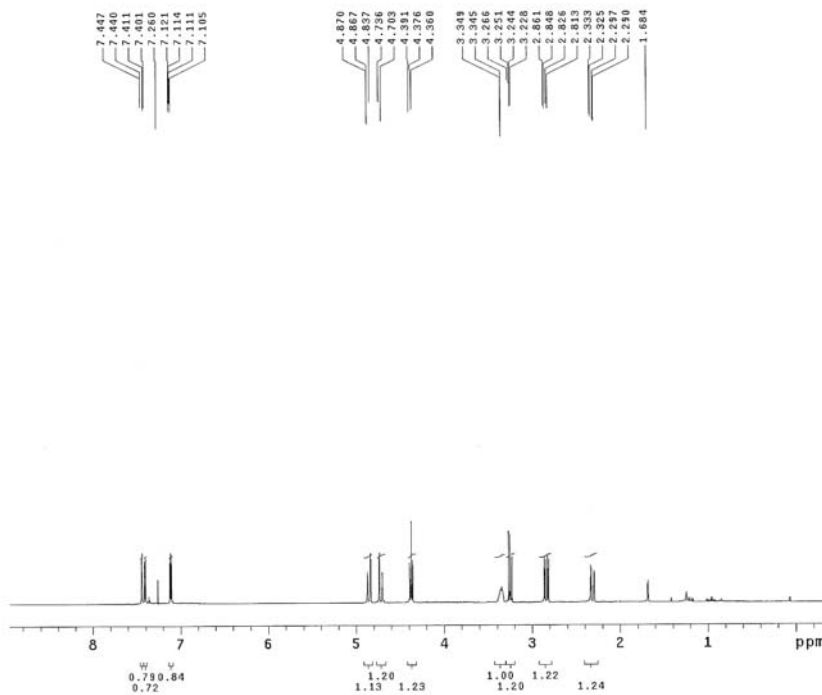




2-(2-Thiophenyl)-7-oxabicyclo[3.3.0]oct-1-en-3-one

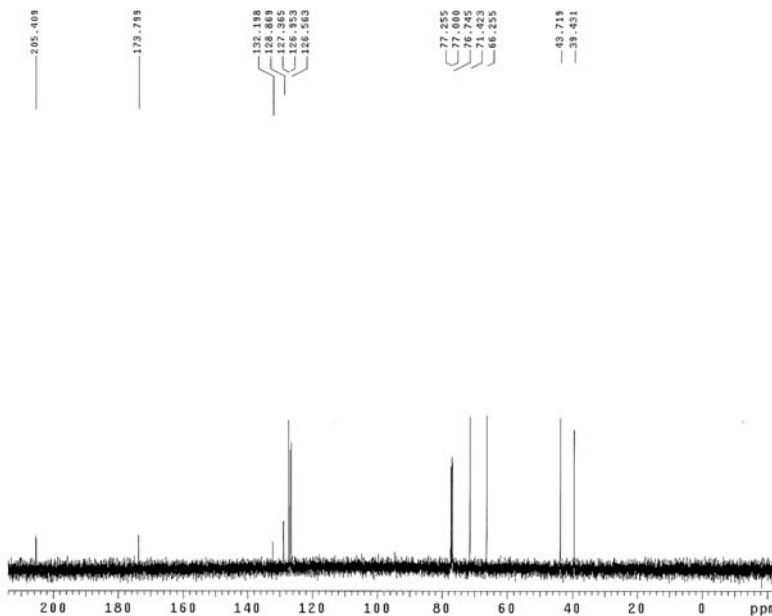
```

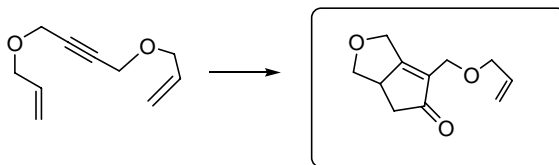
STANDARD PROTON PARAMETERS
exp1 s2pu1
SAMPLE          DEC. & VT
date            Dec 14 2006  dfrq  499.742
solvent         CDC13         dn    H1
file            exp          dpwr   30
ACQUISITION    exp          dof    0
sfrq           499.742      dm     nnn
tn             1.892        dmm    C
at             1.892        def    200
np             30272       dseq   n
sw             8000.0      dres   1.0
fb             4000       homo   n
bs             32          DEC2   0
tpwr           5.5        dfrq2  0
pw            0.5         dn2    1
d1             0          dpwr2  1
tof           0          dof2   0
nt            8          dm2    n
ct            8          dmm2   C
alock         not used   dmf2   200
gain          not used   dseq2  1.0
FLAGS         n         dres2  1.0
                n         homo2  n
                n         PROCESSING
in            y         wtfile  ft
dp            y         proc    not used
hs           nn        math    f
DISPLAY      -189.5     mth    f
wp            4661.9
vs            18         verr   0
sc            0          wexp   0
wc            180        vbs    wft
h2mm         25.99      wnt    0
ls            33.57
rf1           5130.2
rfp           3628.1
th            3
ins           1.000
nm            cdc ph
    
```



```

STANDARD CARBON PARAMETERS
exp1 s2pu1
SAMPLE          DEC. & VT
date            Jan 23 2007  dfrq  499.742
solvent         CDC13         dn    H1
file            exp          dpwr   37
ACQUISITION    exp          dof    0
sfrq           125.671      dm     vvy
tn             C13         dmm    w
at             1.300        dmf    11696
np             78020       dseq   n
sw             29996.3     dres   1.0
fb             17000      homo   n
bs             8          DEC2   0
tpwr           93        dfrq2  0
pw            6.3         dn2    1
d1             0          dpwr2  1
tof           0          dof2   0
nt            3000       dm2    n
ct            488        dmm2   C
alock         not used   dmf2  10000
gain          not used   dseq2  1.0
FLAGS         n         dres2  1.0
                n         homo2  n
                n         PROCESSING
in            n         lb     0.50
dp            y         wtfile  ft
hs           nn        math    not used
DISPLAY      -3897.6     mth    f
wp            29996.3
vs            36
sc            0          verr   0
wc            180        wexp   0
h2mm         166.65     vbs    wft
ls            590.00      wnt    0
rf1           12773.4
rfp           9675.6
th            6
ins           100.000
nm            cdc ph
    
```



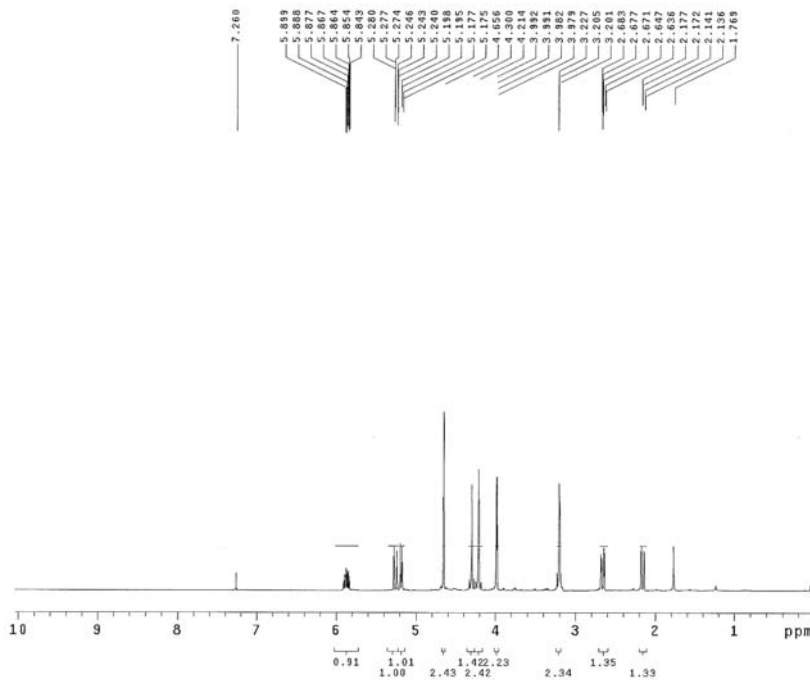


2-(allyloxy)-7-oxabicyclo[3.3.0]oct-1-en-3-one

STANDARD PROTON PARAMETERS

```

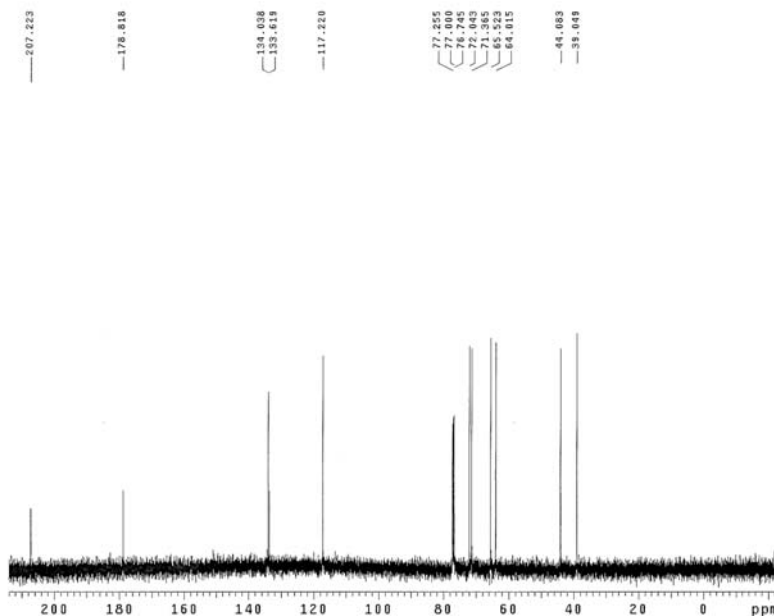
expl s2pu1
SAMPLE          DEC. & VT
date Mar 22 2007 dfrq 499.742
solvent CDC13   dn      H1
file /export/home/~ dpwr 30
alston/a18360.fid dof  0
ACQUISITION
sfrq 499.742  dmm      C
tn      H1      dm2     200
at      1.892  dseq     0
np      30272  dres     1.0
sw      8000.0 homo2    n
fb      4000
bs      32      dfrq2   0
tpwr    57      dn2     0
pw      5.5    dpwr2   1
dl      0      dof2    0
tof     0      dm2     n
nt      8      dm2     C
cl      8      dm2     200
alock   n      dseq2   0
gain   not used dres2   1.0
        FLAGS   homo2   n
        PROCESSING
il      n      wfile   ft
in      y      proc    not used
hs      nn     fn      f
        DISPLAY
sp      -21.5  math
wp      5038.4 werr
vs      47    wexp
sc      0     wbs
wc      180   wnt
hzm     27.99
ls      0.45
rf1     5130.2
rfp     3628.1
th      3
ins     1.000
al cdc ph
  
```

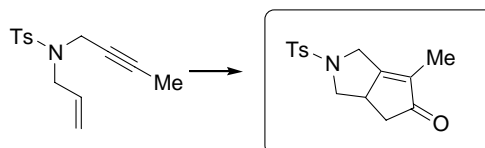


STANDARD CARBON PARAMETERS

```

expl s2pu1
SAMPLE          DEC. & VT
date Mar 22 2007 dfrq 499.742
solvent CDC13   dn      H1
file /export/home/~ dpwr 37
alston/a18360.fid dof  0
ACQUISITION
sfrq 125.671  dmm      W
tn      C13   dm2     11696
at      1.300  dseq     0
np      78020  dres     1.0
sw      29996.3 homo2    n
fb      17000
bs      8      dfrq2   0
tpwr    63      dn2     0
pw      6.0    dpwr2   1
dl      0      dof2    0
tof     0      dm2     n
nt      5000  dm2     C
ct      1000  dm2     10000
alock   n      dseq2   0
gain   not used dres2   1.0
        FLAGS   homo2   n
        PROCESSING
il      n      lb      0.50
in      y      wfile   ft
hs      nn     proc    not used
        DISPLAY
sp      -3096.7 math
wp      29996.3 werr
vs      1552   wexp
sc      0     wbs
wc      180   wnt
hzm     166.65
ls      500.00
rf1     12722.5
rfp     9675.8
th      11
ins     100.000
al cdc ph
  
```

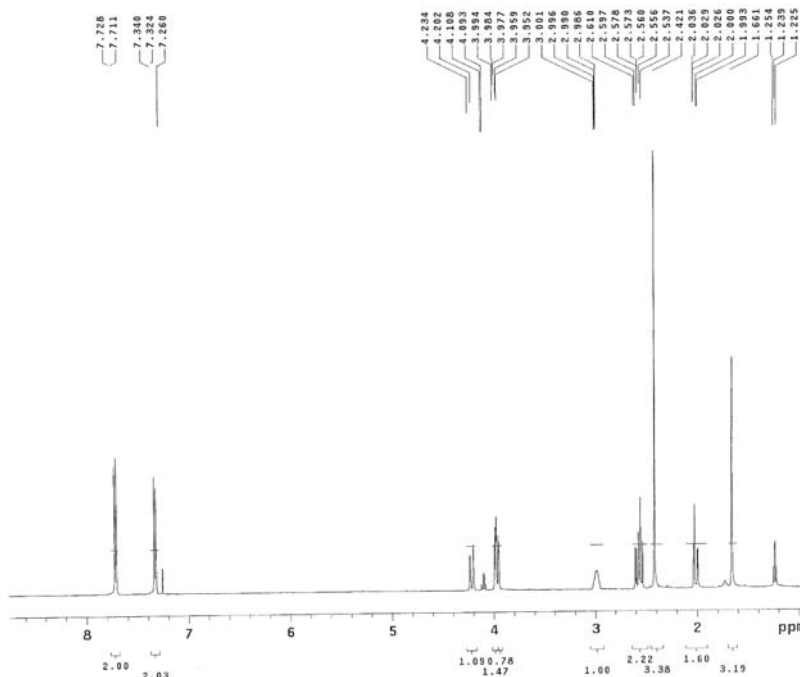




2-Methyl-7-[(4-methylphenyl)sulfonyl]-7-azabicyclo[3.3.0]oct-1-en-3-one<sup>21</sup>

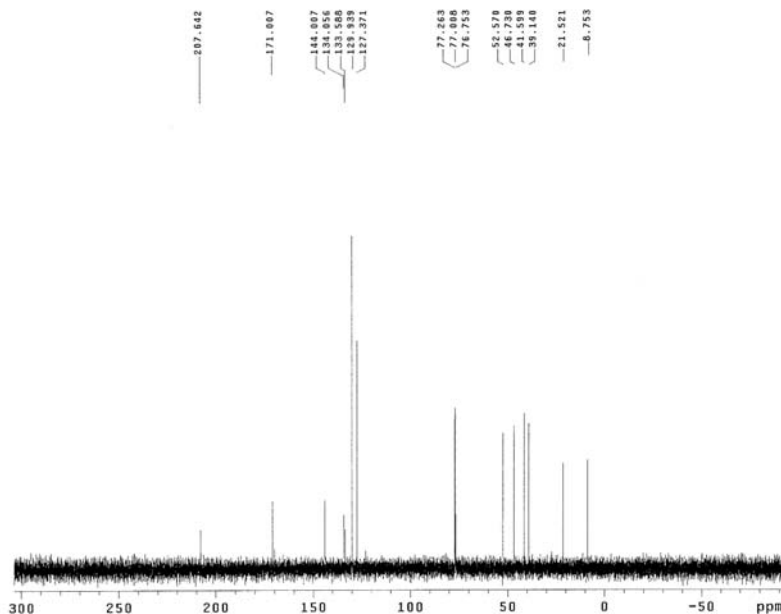
```

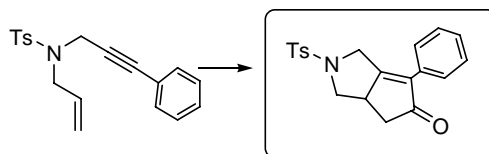
Std proton
exp1 s2pul
SAMPLE          SPECIAL
date Jul 31 2007 temp not used
solvent cdc13 gain not used
file          exp spin not used
ACQUISITION    hst      0.800
sv            7996.0 pw90  8.500
at            2.049 alfa  6.600
np            32762          FLAGS
fb            4000          il      n
bs            32          in      n
ss            2          dp      y
d1            1.000 hs          nn
nt            8          PROCESSING
ct            8          fn      8.20
TRANSMITTER    8          DISPLAY
tn            H1          sp      446.6
sfrq          499.742   wp      3932.6
tof           409.6          rff1   4613.2
tpwr          57          rfp     3620.1
pw            4.250          rp     -163.0
DECOUPLER     C13      1p      16.2
dn            0          PLOT
dm            nnn      wc      180
dmm           C          sc      0
dpwr          50          vs      60
dmt           13766   th      3
ai          cdc ph
  
```



```

Std proton
exp1 Carbon
SAMPLE          SPECIAL
date Jul 31 2007 temp not used
solvent cdc13 gain not used
file          exp spin not used
ACQUISITION    hst      0.800
sv            58000.0 pw90  17.000
at            1.388          alfa  10.000
np            130066          FLAGS
fb            28000          il      n
bs            8          in      n
d1            1.000 dp      y
nt            3000          hs          nn
ct            56          PROCESSING
tn            C13      fn      not used
sfrq          125.673   sp      DISPLAY
tof           1255.0          wp     -11830.1
tpwr          61          rff1   29999.2
pw            8.500          rfp   21515.7
DECOUPLER     H1      rp     -88.0
dn            0          1p     -254.2
dm            yyy          PLOT
dmm           w          wc      180
dpwr          37          vs      0
dmt           11368   th      5266
ai          cdc ph
  
```





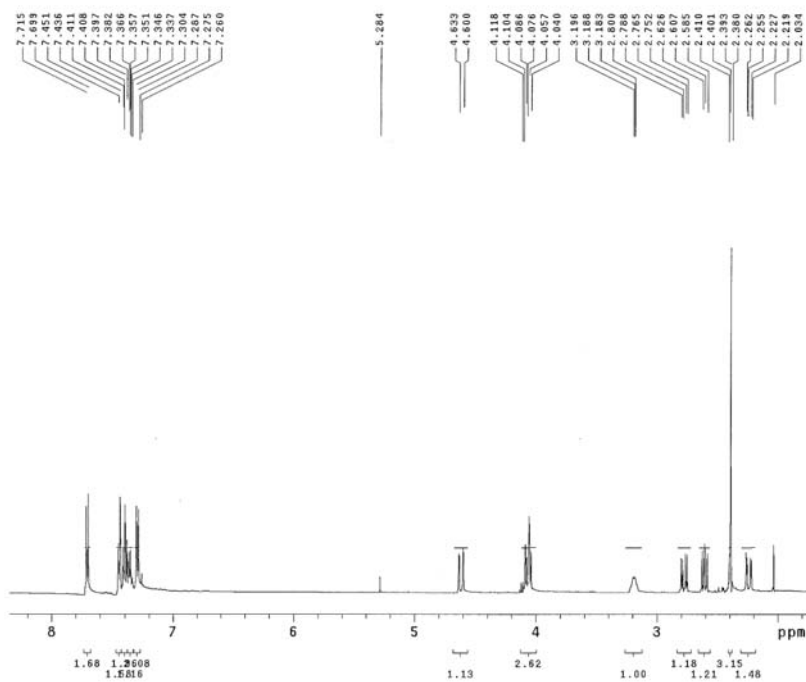
## 2-Phenyl-7-[(4-methylphenyl)sulfonyl]-7-azabicyclo[3.3.0]oct-1-en-3-one<sup>21</sup>

```

STANDARD PROTON PARAMETERS
expl s2pul

SAMPLE
date Feb 14 2007 dfrq DEC. & VT 499.742
solvent CDC13 dn H1
file exp dpwr 30
ACQUISITION dof 0
sfrq 499.742 da nnn
tn H1 dnm c
at 1.882 dmf 200
np 30272 dseq 1.0
sw 8000.0 dres n
fb 4000 homo n
bs 32 DEC2
tpwr 57 dfrq2 0
pw 5.5 dn2
d1 0 dpwr2 1
tof 0 dof2 0
nt 8 dmc n
ct 8 dnm2 c
alock n dmf2 200
gain not used dseq2
FLAGS n dres2 1.0
il n homo2 n
in n PROCESSING n
dp y wfile ft
hs DISPLAY nn proc not used f
sp 862.8 math
wp 3309.8 fn
vs 78 werr
sc 0 wexp
wc 180 wbs
hzam 18.39 wnt wft
ls 2.10
rf1 5130.2
rfp 3628.1
th 2
ins 1.000
nm cdc ph

```

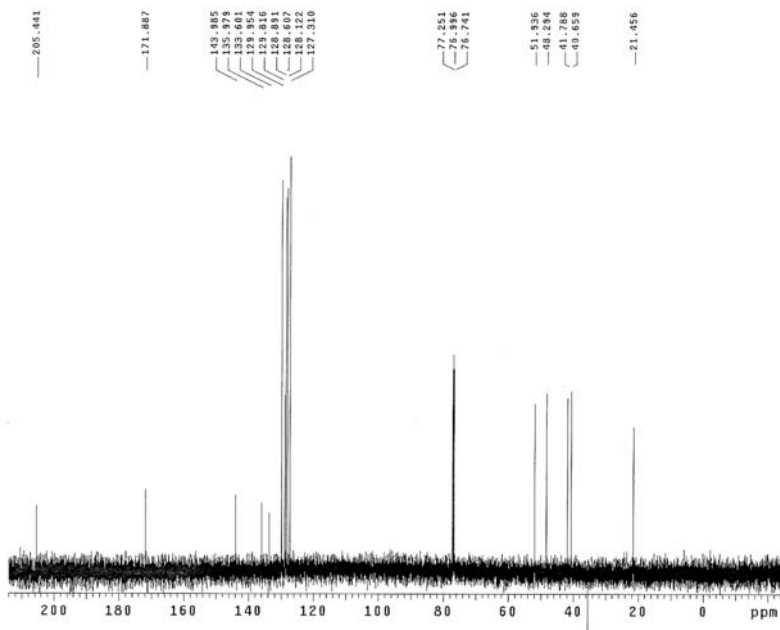


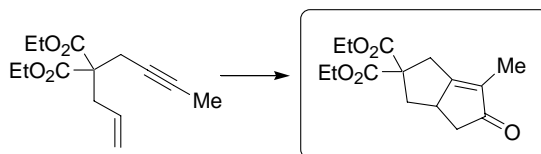
```

STANDARD CARBON PARAMETERS
expl s2pul

SAMPLE
date Feb 14 2007 dfrq DEC. & VT 499.742
solvent CDC13 dn H1
file exp dpwr 37
ACQUISITION dof 0
sfrq 125.671 da yyy
tn C13 dnm w
at 1.300 dmf 11696
np 78020 dseq 1.0
sw 29998.3 dres n
fb 17000 homo n
bs 8 DEC2
tpwr 63 dfrq2 0
pw 6.0 dn2
d1 0 dpwr2 1
tof 0 dof2 0
nt 10000 dm2 n
ct 464 dnm2 c
alock n dmf2 10000
gain not used dseq2
FLAGS n dres2 1.0
il n homo2 n
in n PROCESSING n
dp y lb 0.50
hs DISPLAY nn wfile ft
sp -3098.5 fn not used f
wp 29996.3 math
vs 87 werr
sc 0 wexp
wc 180 wbs
hzam 166.65 wnt wft
ls 500.00
rf1 12774.3
rfp 9675.8
th 10
ins 100.000
nm cdc ph

```

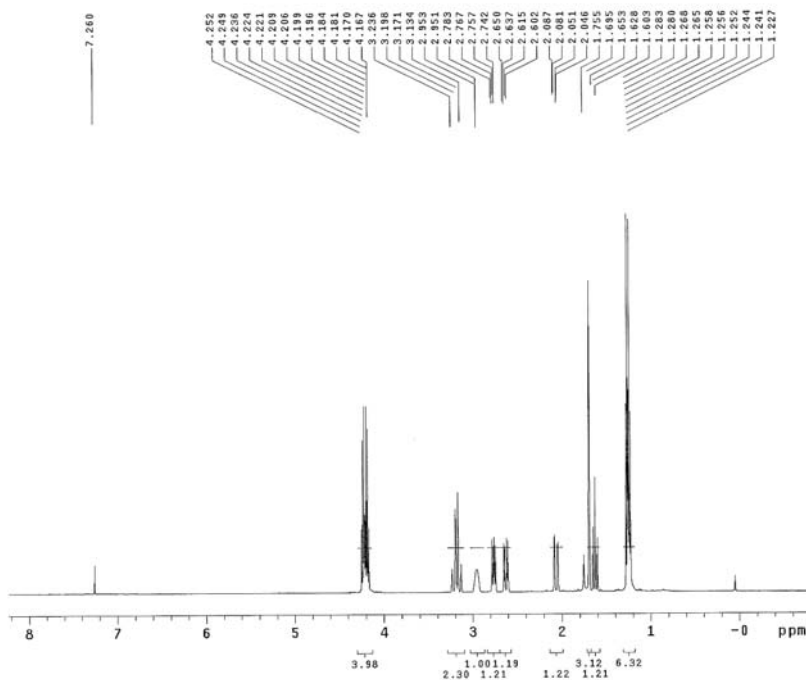




Diethyl 2-methyl-3-oxobicyclo[3.3.0]oct-1-ene-7,7-dicarboxylate<sup>22</sup>

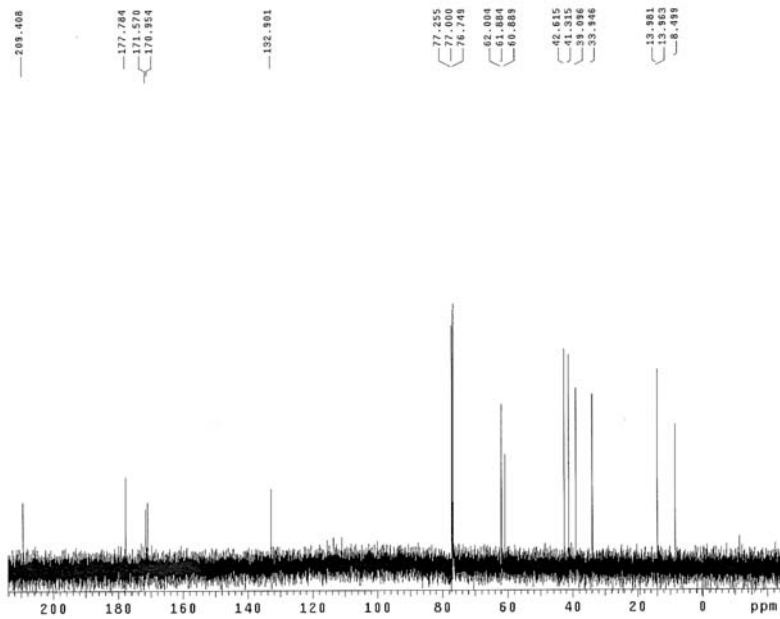
```

STANDARD PROTON PARAMETERS
exp1 s2pu1
SAMPLE          DEC. & VT
date Jan 3 2007 dfrq 499.742
solvent CDC13  dn  H1
file          exp dpwr 30
ACQUISITION    dof  0
sfrq 499.742  da  nmn
tn          H1  dma  c
at          1.892 dmf  200
np          3872 dseq
sw          8000.0 dres 1.0
fb          4000  homo  n
bs          32    DEC2
tpwr       57    dfrq2  0
pw         5.5  dn2    1
di         0    dpwr2  1
tof         0    dof2   0
nt          8    dm2    n
ct          8    dms2   c
alock      n    dmf2   200
gain      not used dseq2 1.0
FLAGS      n homo2  n
il         n      PROCESSING
in         n      ft
dp         y      wfile
hs         nn     proc   ft
DISPLAY   -401.9 math  not used f
sp         4517.4
wp         85    werr
vs         0    wexp
sc         180  wbs
wc         25.10 wnt   wft
hzm         0.16
rf1         5129.7
rfp         3828.1
th          3
ins         1.000
nm cdc ph
    
```



```

STANDARD CARBON PARAMETERS
exp1 s2pu1
SAMPLE          DEC. & VT
date Jan 3 2007 dfrq 499.742
solvent CDC13  dn  H1
file          exp dpwr 37
ACQUISITION    dof  0
sfrq 125.671  da  yyy
tn          C13  dma  w
at          1.380 dmf  11696
np          78020 dseq
sw          28996.3 dres 1.0
fb          17000  homo  n
bs          8    DEC2
tpwr       63    dfrq2  0
pw         6.0  dn2    1
di         0    dpwr2  1
tof         0    dof2   0
nt         3000 dm2    n
ct         440  dms2   c
alock      n    dmf2  10000
gain      not used dseq2 1.0
FLAGS      n homo2  n
il         n      PROCESSING
in         n      ft
dp         y      wfile
hs         nn     proc   ft
DISPLAY   -3882.6 fn  not used f
sp         28996.3 math
wp         82
vs         0    werr
sc         180  wexp
wc         166.65 wbs
wc         560.00 wnt
hzm         12768.4
rf1         9675.8
rfp         10
th          100.000
nm cdc ph
    
```



## References

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- <sup>2</sup> Pearson, A. J.; Dubbert, R. A. *Organometallics* **1994**, *13*, 1656.
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