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### CONTROLLABLE GROWTH AND HEAT-TRANSPORTING PROPERTIES OF TWO-DIMENSIONAL MATERIALS

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### **Controllable Growth and Heat-transporting Properties of Two-dimensional Materials**

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A thesis submitted in partial fulfillment of the requirements for the degree of Master of Philosophy

July 2016

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### Abstracts

In this study, we first fabricate monolayer  $MoS_2$  by chemical vapor deposition. The morphology evolution from triangular flakes to continuous thin films by changing the distance between source and growth substrates is observed. The effects of the nuclei density on the domain size and surface coverage are then systematically investigated. By optimizing the growth conditions, we successfully grow single-crystalline  $MoS_2$  flake with the size larger than 300 µm. We also develop a transfer process assisted with a Cu thin film, which allows us to transfer  $MoS_2$  onto arbitrary substrates. The characterizations on transferred  $MoS_2$  confirm observable residues and wrinkles are absent on the surface and that the  $MoS_2$  maintains the properties as the as-grown one.

To develop a deeper understanding of  $MoS_2$  growth, we further observe the dynamic growth of  $MoS_2$  in TEM by heating the solid precursor. The evolution process of *in-situ*  $MoS_2$  growth is identified including the initial formation of vertically aligned layers, then a grain rotation towards a horizontally layered structure, precipitation and growth of nanocrystals, and formation of hexagonal  $MoS_2$  nanoflakes by facet development. We also investigate the *in-situ* growth of  $Mo_xC$ .

By adjusting the carbon concentration in the precursor, we attain MoC and  $Mo_2C$  in different phases and the growth pathways are also different. The developed understanding of  $MoS_2$  and MXene formation using in-situ TEM technique provides fundamental knowledge in synthesis of the emerging 2D materials.

Lastly, taking advantages of thermal conducting and electrical insulating h-BN, we develop the local thermal management for high electron mobility transistors, utilizing few-layer h-BN as heat spreaders and thick counterpart as heat sinks. Few-layer h-BN fully covers the transistors and rapidly dissipates the heat from the hotspot, while thick h-BN stores the intensive heat flux from few-layer h-BN and withdraws to the ambient without affecting the devices. With the developed thermal management, the performance of the transistor is enhanced. The simulations indicates ~40 °C temperature reduction when the transistor is operated at 4 W/mm on sapphire substrates after the application of the thermal management. The developed heat dissipation is highly potentially applicable in thermal management of power devices and integrated circuits because of the characteristics of thermal-conducting and electrical-insulating of h-BN.

II

### **List of Publications**

#### Journal

1. <u>Ziyuan Lin</u>, Yuda Zhao, Changjian Zhou, Ren Zhong, Xinsheng Wang, Yuen Hong Tsang and Yang Chai, Controllable growth of large-size crystalline MoS<sub>2</sub> and resist-free transfer assisted with a Cu thin film, *Scientific Reports*, **2015**, 5, 18596.

<u>Ziyuan Lin</u>, Chunru Liu and Yang Chai, High Thermally conductive and electrically insulating 2D boron nitride nanosheet for efficient heat dissipation of high-power transistors, 2D Materials, 2016, 3(4), 041009.

3. Huajing Fang, <u>Ziyuan Lin</u>, Xinsheng Wang, Chun Yin Tang, Yan Chen, Fan Zhang, Yang Chai, Qiang Li, Qingfeng Yan, H.L.W.Chan and Jiyan Dai, Infrared light gated MoS<sub>2</sub> field effect transistor, *Optics Express*, **2015**, 23(25), 31908.

4. Ling Zhang, Yuda Zhao, <u>Ziyuan Lin</u>, Fangyuan Gu, Shu Ping Lau, Li Li and Yang Chai, Kinetically controlled synthesis of large-scale morphology-tailored silver nanostructures at low temperature, *Nanoscale*, **2015**, 7(32), 13420.

Changjian Zhou, Xinsheng Wang, Salahuddin Raju, <u>Ziyuan Lin</u>,
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Chan, and Yang Chai, Low voltage and high ON/OFF ratio field-effect transistors based on CVD MoS<sub>2</sub> and ultrahigh-k gate dielectric PZT, *Nanoscale*, **2015**, 7(19), 8695.

Yuda Zhao, Jingsi Qiao, Peng Yu, Zhixin Hu, <u>Ziyuan Lin</u>, Shu Ping Lau, Zheng Liu, Wei Ji and Yang Chai, Extraordinarily strong interlayer interaction in 2D layered PtS<sub>2</sub>, *Advanced Materials*, 2016, 28, 2399.

7. Changjian Zhou, Yuda Zhao, Salahuddin Raju, Yi Wang, <u>Ziyuan</u> <u>Lin</u>, Mansun Chan, and Yang Chai, Carrier type control of WSe<sub>2</sub> field–effect transistors by thickness modulation and MoO<sub>3</sub> layer doping, *Advanced Functional Materials*, **2016**, 26, 4223.

8. Linfeng Fei, Shuijin Lei, Weibing Zhang, Wei Lu, <u>Ziyuan Lin</u>, Yang Chai and Yu Wang, Direct TEM observations on growth mechanisms of two-dimensional  $MoS_2$  flakes, *Nature Communications*, **2016**, 7, 12206.

#### Conference

1. <u>Ziyuan Lin</u>, Xinsheng Wang, Yuda Zhao and Yang Chai, Resist-free and wrinkle-free transfer of two-dimensional layered materials, The IEEE Student Symposium on Electron Devices and



Solid-State Circuits, 5 December 2014, Hong Kong, China.

2. Ziyuan Lin, Chunru Liu, and Yang Chai, Improved performance of

HEMTs with BN as heat dissipation, The 7th IEEE International

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# **Chapter 1 Introduction**

### 1.1 Background

Two dimensional(2D) materials have attracted much research interest since the discovery of graphene in 2004.<sup>1</sup> Graphene is a single layer of carbon atoms packed in a honeycomb crystal lattice with long-range  $\pi$ -conjugation.<sup>2-3</sup> This new-emerging material exhibits exceptional electrical, thermal and mechanical properties including high carrier mobility, excellent thermal conductivity and high Young's modulus, which leads to an explosion of research interest on applications based on graphene.<sup>1, 4-5</sup> Single layer of mechanical exfoliated graphene was found to possess a carrier mobility of more than 200,000 cm<sup>2</sup>V<sup>-1</sup>s<sup>-1</sup>, because the high-quality 2D graphene lattice has low density of defects which serve as the scattering centers and impede charge transport.<sup>6-7</sup> With such high carrier mobility and ballistic charge transport, graphene is of great potential for the next generation semiconductor industry.<sup>8</sup> Another outstanding feature of graphene is the massless Dirac Fermions which is attributed to its unique electronic band structure.9-10 The carriers can be tuned from holes to electrons and the doping levels can be entirely controlled by electrical gating or chemical gating, which facilitates the applications

of graphene in sensors or detectors.<sup>10-11</sup>

Mechanical exfoliation is the first reported method to produce graphene, which enables fundamental investigation on graphene.<sup>1</sup> However, the output is low and hinders the following device fabrication and study. To fulfill the potential of graphene, it is highly desirable to synthesize large-area and high-quality graphene. Chemical vapor deposition (CVD) assisted with metal catalyst (nickel or copper) has been reported to synthesize wafer-scale graphene with high uniformity and low defects.<sup>12-13</sup> The effects of various factors including catalyst properties (the carbon solubility, lattice parameter, crystal structure, etc.) and process parameters (temperature, pressure, source gas flow, etc.) on the final product have received substantial research efforts, which allows the controllable growth of large-area and high-quality graphene and promotes the development of applications based on graphene.<sup>14-15</sup>

The unprecedented properties of graphene inspire researchers to expand the 2D materials family. Various 2D materials with similar layered structure but versatile properties are explored in recent years. Hexagonal boron nitride (h-BN),<sup>16</sup> transition metal chalcogenides (TMDs),<sup>17-20</sup> metal-organic frameworks (MOFs),<sup>21</sup> covalent-organic frameworks (COFs),<sup>22</sup> MXene,<sup>23</sup> layered double hydroxides (LDHs),<sup>24</sup>

transition metal oxides,<sup>25</sup> layered metals<sup>26</sup> and black phosphorus (BP)<sup>27</sup> have greatly enriched the 2D materials family. Due to the extraordinary properties, these 2D materials are promising for various applications, such as electronics, sensors, energy storage, etc.<sup>28-32</sup>



Figure 1.1 Illustration of different kinds of typical ultrathin 2D nanomaterials.<sup>3</sup>

### 1.2 Molybdenum Disulfide

#### **1.2.1 Introduction to MoS<sub>2</sub>**

In the TMD family, monolayer  $MoS_2$  has received the most intensive research efforts.<sup>17, 19, 33-34</sup> Each  $MoS_2$  crystal layer consists of a plane of molybdenum atoms Mo sandwiched by two planes of

chalcogenide atoms S, which is shown in Figure 1.2A.<sup>29</sup> These atom planes within the layer are bound by covalent bonds while the interaction between layers are van der Waals forces. Two-dimensional  $MoS_2$  shows different properties compared to bulk counterpart. As presented in Figure 1.2B, when the thickness of  $MoS_2$  is reduced to monolayer, the indirect bandgap of ~1.3 eV in the bulk  $MoS_2$  can change to a direct bandgap of ~ 1.8 eV.<sup>35</sup> Monolayer  $MoS_2$  also have theoretical mobility of 200 to 400 cm<sup>2</sup>V<sup>-1</sup>s<sup>-1</sup> and high on/off ratios up to  $10^{10}$ , making itself a promising material for future electronic device.<sup>36-37</sup> In addition, monolayer  $MoS_2$  possesses excellent mechanical strength and high Young's modulus, which facilitates its application in flexible electronics.<sup>38-39</sup>



Figure 1.2 (A) The layer structure of  $MoS_2$ .<sup>19</sup> (B) Band structure of bulk, quadrilayer, bilayer and monolayer  $MoS_2$ .<sup>35</sup>

It has been suggested to utilize monolayer MoS<sub>2</sub> in field-effect transistors (FETs),<sup>36</sup> phototransistors,<sup>40</sup> nonvolatile memory,<sup>41</sup>

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heterostructure devices,<sup>42</sup> biosensor,<sup>43</sup> solar cells,<sup>44</sup> etc. Novoselov et al. fabricated the very first FETs with few-layer  $MoS_2$  as the channel material and  $SiO_2$  as the gate dielectric layer.<sup>7</sup> The achieved mobility was only  $3 \text{ cm}^2 \text{V}^{-1} \text{s}^{-1}$ , which is much lower than the theoretical value. Multiple following studies have significantly improved the transistor performance by various methods including the application of high-k gate dielectric or dual-gated configuration, promoting the application of monolayer MoS<sub>2</sub> on FET.<sup>28, 45</sup> Monolayer MoS<sub>2</sub> is also a competitive candidate in optoelectronic applications for its direct transition optical gap.<sup>35</sup> The first  $MoS_2$  optoelectronic device was fabricated by Yin et al.46 The phototransistor exhibited substantial photocurrent, short switching times and high photosensitivity. Taking advantaging of the extraordinary properties of monolayer  $MoS_2$ , various applications based on MoS<sub>2</sub> is still receiving intensive research efforts.35

#### 1.2.2 Vapor deposition of MoS<sub>2</sub>

For the exploration of the applications based on monolayer  $MoS_2$ , the reliable and facile preparation of monolayer  $MoS_2$  is of great importance. Monolayer  $MoS_2$  can be prepared by mechanical exfoliation.<sup>47-48</sup> Although the exfoliated  $MoS_2$  flakes possess perfect lattice and are the beat for the pristine properties study, the output is



extremely low. The obtained  $MoS_2$  flakes with a size ranging from few micrometers to tens of micrometers, cannot fit the industry requirements. Recently, vapor deposition methods have been significantly advanced in producing large-area and high-quality monolayer  $MoS_2$ . There are three main kinds of vapor deposition methods to produce  $MoS_2$  film: vapor sulfurization, vapor reaction and vapor transport (shown in Figure 1.3).<sup>49</sup>



Figure 1.3 Schematic of (A) vapor sulfurization, (B) vapor reaction and (C) vapor

transport method.49

Vapor sulfurization produce MoS<sub>2</sub> films by simply sulfurizing the metal or metal oxide films. Zhan et al. reported MoS<sub>2</sub> vapor deposition by sulfurization of molybdenum (Mo) film.<sup>50</sup> The metal Mo film was first deposited on silicon substrates followed by annealing in the sulfur vapor. The  $MoS_2$  was grown by the direct chemical reaction between the metal and the sulfur. The size and the layer number of  $MoS_2$  film was determined by the size and the thickness of the pre-deposited Mo films. Although the prepared  $MoS_2$  film can be wafer-scale, the  $MoS_2$ may exhibit metallic properties with a low on/off ratio because of the incomplete sulfurization of the metal impurities. An alternative Mo source is the molybdenum trioxide (MoO<sub>3</sub>) films.<sup>51</sup> In this method, the MoO<sub>3</sub> layers coated on growth substrates were first reduced to MoO<sub>2</sub> in a hydrogen/argon atmosphere at 500 °C. The substrates were then annealed in the sulfur environment at 1000 °C. The reaction between the  $MoO_2$  and sulfur can lead to the formation of  $MoS_2$  thin films. The vapor sulfurization provides a rapid and simple process to prepare wafer-scale MoS<sub>2</sub> thin films. However, it has several limitations: it is difficult to control the uniformity of the pre-deposited Mo or MoO<sub>3</sub> layers, which will degrade the uniformity of the prepared  $MoS_2$  films. The thickness of pre-deposited layers also needs to delicate control to grow MoS<sub>2</sub> films with desired layer number. Additionally, the prepared  $MoS_2$  films are mostly polycrystalline with small and uncontrollable grain size.

In vapor sulfurization methods, only sulfur is evaporated into vapor phase. Vapor reaction methods requires both Mo source and sulfur source react in the vapor phase. Lee et al. adopted vapor deposition with similar setup in Figure 1.3B.52 They successfully synthesized MoS<sub>2</sub> thin films on SiO<sub>2</sub>/Si substrates using MoO<sub>3</sub> and sulfur powders as precursors. Although the monolayer, bilayer and few-layer  $MoS_2$  coexisted, the prepared  $MoS_2$  films were highly crystalline with a size up to millimeters. They asserted the importance of the substrate treatment before the growth. The adopted graphene-like molecules, such as reduced graphene oxide, for the substrate treatment severed as nuclei and facilitated the layer growth of MoS<sub>2</sub>. Similar vapor deposition was conducted by Najmaei *et al.*<sup>53</sup> They replaced  $MoO_3$  powder with  $MoO_3$  nanoribbons to grow  $MoS_2$ . They found the  $MoS_2$  flakes preferred to form at step edges because the nucleation energy at step edges is lower than that at flat substrates. By patterning the substrates, they were able to control the nucleation of MoS<sub>2</sub> flakes. Zande et al. further developed the vapor deposition method.<sup>54</sup> Without small molecules or step edges as nucleation sites, they grew highly crystalline monolayer MoS<sub>2</sub> triangular flakes with a lateral size of 120  $\mu$ m. Except MoO<sub>3</sub> as precursor, molybdenum chloride (MoCl<sub>5</sub>) was also reported as a Mo source by Cao's group.<sup>55</sup> They grew centimeters scale monolayer MoS<sub>2</sub> films with excellent uniformity and controllability.

MoO<sub>3</sub> and sulfur have been the most common used precursors for the MoS<sub>2</sub> CVD growth. Two possible growth process for the monolayer MoS<sub>2</sub> growth is proposed and shown in Figure 1.4.<sup>56</sup> The MoO<sub>3</sub> is partially reduced to MoO<sub>x</sub> by sulfur vapor when the MoO<sub>3</sub> vapor is conveyed to the growth zone. The MoO<sub>x</sub> may adsorb on the substrate followed by the reaction with sulfur to form MoS<sub>2</sub>, or directly react with sulfur in the vapor phase and then the resulting MoS<sub>2</sub> precipitate on the substrates. In both understandings, the adsorption of MoO<sub>x</sub> or MoS<sub>2</sub> cluster is essential which determines the nucleation density and grow rate and subsequently the final domain size of the MoS<sub>2</sub>.



Figure 1.4 Growth process of MoS<sub>2</sub> by the reaction between the MoO<sub>3</sub> and S.<sup>56</sup>

Vapor transport is quite different from the vapor sulfurization and vapor reaction as no chemical reactions take place in the furnace. This method involves the evaporation and recrystallization of the TMDs. Wu *et al.* developed the vapor transport to synthesize high-quality MoS<sub>2</sub> monolayer.<sup>57</sup> The MoS<sub>2</sub> powder was first evaporated at the up-steam region of the furnace. The vapor phase of MoS<sub>2</sub> was transported to the down-steam where the growth substrate was heated at a lower temperature than that at the up-steam. The vapor phase of MoS<sub>2</sub> precipitated and formed monolayer MoS<sub>2</sub> on the growth substrate. Although the prepared monolayer MoS<sub>2</sub> has excellent optical quality and high crystallinity, it is more frequent to find thicker layers.

Vapor deposition is demonstrated as an efficient method to

produce large-area and high-quality monolayer  $MoS_2$ . To fabricate electronic device based on monolayer  $MoS_2$ , it is favorable to grow continuous  $MoS_2$  thin films with large domain size and high surface coverage. To attain the favorable  $MoS_2$ , it is essential to investigate the effect of grow parameters and control the morphology of monolayer  $MoS_2$  by adjusting the growth conditions.

#### 1.2.3 Transfer of MoS<sub>2</sub>

Vapor deposition methods for  $MoS_2$  preparation typically require high temperature (up to ~800 °C), which cannot meet the requirements of integrated circuit technology, and restricts the substrate selection. To fulfill the potential of the  $MoS_2$ , it is important to transfer  $MoS_2$ from the growth substrate to another without compromising the quality of  $MoS_2$ . Conventionally, the as-grown  $MoS_2$  on the growth substrates like SiO<sub>2</sub>/Si or sapphire substrates is transferred with PMMA as the carrier material shown in Figure 1.5.<sup>51</sup> The transfer process for 2D materials can be roughly divided into two steps: to lift off 2D materials from the growth substrates and to remove the carrier materials after 2D materials are attached to the target substrates. This PMMA-assisted transfer method for MoS<sub>2</sub> is translated from the PMMA transfer method for graphene.<sup>58</sup> As graphene emerged in an earlier time, the transfer methods for graphene have been extensively studied.<sup>58-59</sup> It is



reasonable to adapt the process of graphene transfer.





However, there are many problems when we simply apply graphene transfer processes in  $MoS_2$  transfer due to their different growth mechanism. For graphene, to lift graphene off the growth substrates (Ni or Cu) can be easily achieved by etching the substrate. In contrast, the growth substrate for  $MoS_2$  films is normally  $SiO_2/Si$ substrate or sapphire which cannot be simply etched. Although the  $SiO_2/Si$  substrate can be etched by hot base solution, bubbles are easily generated in the etching process and can be trapped by the PMMA thin film, which will induce capillary force and give rise to wrinkles or even cracks in the 2D  $MoS_2$ . Additionally, the complete removal of polymer residues still remains a challenge even in graphene transfer.<sup>58-62</sup> These problem significantly degrade the properties of  $MoS_2$  and the performance of the devices based on  $MoS_2$ .

Gurarslan et al. demonstrated a surface-energy-assisted transfer method which allows one to lift off the centimeter-scale  $MoS_2$  films without etching the growth substrates.<sup>63</sup> Polystyrene (PS) replaced the PMMA as the carrier materials. They found the growth substrate, sapphire, is hydrophilic, while the prepared  $MoS_2$  film is hydrophobic. When a droplet of water was placed on the PS layer, due to the different surface energy of MoS<sub>2</sub> and the growth substrates, the water droplet penetrated between the  $MoS_2$  film and the growth substrates and mildly peeled the PS with  $MoS_2$  off the substrates. Because of the gentle lift off by water penetration, this method reduces the mechanical force induced by bubble and the impurities from chemical etchants, which are difficult to be avoided when hot base solution is etching the growth substrates of MoS<sub>2</sub>. Li *et al.* also developed similar transfer method for nanostructures.<sup>64</sup> They coated the nanostructures with the hydrophobic polymer layer. The water droplet penetrated between the polymer and the SiO<sub>2</sub> substrates can peeled off the polymer layer with the nanostructures.

However, these developed methods continue to use polymer as carrier materials, which are the resource of residuals on the surface of 2D materials. It is reported that the residuals are still observed on the surface of transferred graphene even after several-hour treatment with acetone.<sup>65-66</sup> During the PMMA cleaning process, long and heavy molecular fragments can attach to the formed free radical site and interact with the adjacent polymer chain, making the residuals difficult to remove.<sup>61</sup> These residuals significantly degrade the electrical properties of graphene.65-66 Several techniques are developed to remove polymer contaminations, such as, toluene or chloroform treatments, plasma exposure and annealing under the mixed gases (hydrogen and argon) or high vacuum conditions.<sup>65, 67-70</sup> Although these techniques exhibited some degrees of success in graphene transfer, they involve complicated process flows or specific chemicals. These techniques require a harsh environment to completely remove polymer residuals.<sup>58</sup> Unfortunately, the harsh environment has uncertain influence on monolayer MoS<sub>2</sub>, which is still subject to further investigation.<sup>64</sup> Therefore, it remains a challenge to develop a resist-free and wrinkle-free transfer method for 2D MoS<sub>2</sub>.

### **1.3 Hexagonal Boron Nitride**

#### **1.3.1 Introduction to h-BN**

Two-dimensional h-BN, also known as white graphene, consists of a similar crystal structure with graphene, but the carbon atoms are replaced with boron and nitrogen atoms.<sup>71</sup> Although the structure is similar to that of graphene, 2D h-BN exhibits some different properties. The pristine h-BN is a typical 2D insulator with a wide band gap of ~ 5.9 eV.<sup>72</sup> It is stated that the insulating 2D h-BN can be utilized as dielectric layers in graphene transistors and significantly improve the performance of devices.<sup>73</sup> Two dimensional h-BN has comparable dielectric property and breakdown field with SiO<sub>2</sub> and an atomically smooth surface without dangling bonds and charge traps, which making itself a competitive candidate as gate dielectric layer in transistors based on 2D materials.<sup>74</sup> In addition, 2D h-BN has an excellent thermal conductivity (200 ~ 400 Wm<sup>-1</sup>K<sup>-1</sup>),<sup>75</sup> which is almost two orders of magnitude higher than SiO<sub>2</sub> and is another advantage for heat spreading in devices.

There are three common used methods to prepare 2D h-BN: mechanical exfoliation, liquid exfoliation and CVD. The mechanical exfoliated h-BN has a perfect crystalline structure and fewer defects than those prepared by other methods, which is the most suitable for the exploration of the intrinsic properties of 2D h-BN.<sup>76</sup> However, the mechanical exfoliation has an extremely low yield and limits the applications of 2D h-BN. Liquid-phase exfoliation uses several surfactant solutions assisted with sonication to break the van der Waals interaction between layers and produce 2D h-BN.<sup>77</sup> Although it can produce large quantities of 2D h-BN, the layer number and the grain size are difficult to be controlled using this method. The CVD method is the most promising methods to synthesize 2D h-BN with control over layer number and crystalline structures, which can meet the requirement for the industrial process.<sup>78-79</sup>

The CVD method for h-BN growth has been extensively studied. Precursors including BF<sub>3</sub>/NH<sub>3</sub>, B<sub>2</sub>H<sub>6</sub>/NH<sub>3</sub> and BCl<sub>3</sub>/NH<sub>3</sub> can be used to grow h-BN nanosheets.<sup>71</sup> In such system containing two precursors, controlling the ratio of boron source and nitrogen source (NH<sub>3</sub>) is crucial to attain stoichiometric h-BN films. The synthesis via the pyrolysis of the single precursor can be simplified because the utilized precursors, such as ammonia borane, have a 1:1 B/N stoichiometry in the precursor.<sup>78</sup> Large-area monolayer h-BN has been reported to be grown on nickel (Ni) and copper (Cu) substrates using single precursor.<sup>78-79</sup> Ni and Cu substrates serve as a catalyst in the h-BN growth and determine the morphology and properties of the final product.<sup>74</sup> In the CVD growth, the borazine gas introduced into the chamber was decomposed on the metal substrates and formed the monolayer h-BN. It is reported that the pre-growth treatment to Cu substrates like thermal annealing and chemical polishing can reduce the impurity particles and produce pure h-BN films with an atomically smooth surface and improved crystallinity.<sup>80</sup> The precursor pressure and the hydrogen partial pressure are also key factors for the growth of 2D h-BN and was investigated by Sutter et al.<sup>81</sup> The research shows that low partial pressure precursor can lower the density of individual h-BN domains and help these domains grow to a size of tens of micrometers. The increased hydrogen partial pressure is also found to reduce the nucleation density and growth rate as the atomic H will etch the h-BN edges.



Figure 1.6 (A) Crystal structure of 2D h-BN.<sup>71</sup> (B) CVD setup for h-BN preparation.<sup>78</sup>
# 1.3.2 Two-dimensional materials for thermal management

The rapid development of modern electronics has enhanced the performance of power circuits including microprocessor and power amplifiers.<sup>82</sup> However, the increasing power density in these electronic devices creates thermal challenges that may limit the reliability and feasibility of the devices. In some processors modules, the power for excellent computing performance can reach higher than 250 W, leading to at least 1 kW heat flux in a four-socket computing system.<sup>82</sup> High electron mobility transistors (HEMTs) based on AlGaN/GaN heterojuction have been widely used for high-frequency and high-power communication applications, of which the power can reach an extremely high density (approximately 19 W/mm).<sup>83-84</sup> The increasing power dissipation generates massive heat flux in the devices or chips and elevates the average temperature of the whole system.<sup>85-86</sup> While the high value of power has certain influence on the devices or chips, the spatial distribution of the power is also of concern. The power dissipation in the devices or chips can be highly non-uniform. The local functional areas can reach a power density of one order magnitude higher than the average.<sup>87</sup> These regions are regarded as hotspots where the local temperature is much higher than

the average temperature. In HEMTs, for example, most of applied bias drop in the channel takes place at the gate electrode edge near the drain side, where the electric field is strongest.<sup>88-89</sup> Most quantities of heat are generated from this location, which serves as the heat source and has the maximum temperature for the whole device.

The thermal management is of great importance for the electronic devices operated at high power, because the elevated temperature induced by inefficient power dissipation will degrade the performance and reliability of the devices. It is stated that the increased temperature in the HEMTs can decrease the electron mobility, reduce the maximum drain current, degrade the RF performances, and adversely affect the reliability.<sup>90</sup> The effective thermal management should provide sufficient cooling rate to keep the temperature of the whole chips including the hotspots under the standard working temperature. To withdraw the massive heat flux from the chips, efforts to decrease the thermal resistance between the chips and the ambient have been attempted, which help to spread the heat to the ambient and reduce the temperature of the chips.<sup>91</sup> In commercial HEMTs, silicon carbide (SiC) and diamond substrates with higher thermal conductivity (K= ~350  $Wm^{-1}K^{-1}$  and ~1200  $Wm^{-1}K^{-1}$ , respectively) have replaced the conventional sapphire substrates ( $K = ~30 \text{ Wm}^{-1}\text{K}^{-1}$ ).<sup>91</sup>

Except dealing with the massive heat flux in the whole chip at the chip-level or package-level, there is a great desire to have efficient heat dissipation at the local functional area. The chip-level or package-level thermal management fails to address the hotspots at micro/nanoscale. The development of 2D materials provides new solution to microscale heat dissipation. Yan et al. reported exfoliated few-layer graphene was utilized as lateral heat spreaders on top of HEMTs and the developed thermal management successfully reduced the temperature at hotspots of the devices.<sup>92</sup> The graphene was transferred onto the drain electrode with exceptionally delicate control and connected the electrode with heat sinks. The heat sinks used in this work is graphite, which can be replaced with other metallic sink. The superior in-plane thermal conductivity of graphene allows itself to effectively remove large heat flux from the hotspots. The heat flux is then transported to the heat sink and can be withdrawn to the ambient without affecting the transistors. The simulations also show the maximum temperature is decreased by more than 20 °C on the devices operated at  $\sim 13$  W/mm with developed thermal management. When the substrate for devices was changed from SiC to sapphire, the temperature reduction becomes more significant, indicating the importance of thermal management in power devices. It is worth

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noticing that the anisotropic cross-plane thermal conductivity of graphene enables spreaders dissipate the heat in the lateral direction instead of in the vicinity of the devices. Gao *et al.* further investigated the effectiveness of graphene as heat spreaders.<sup>93</sup> They utilized the graphene with controlled layer number and evaluated the thermal performance by Platinum thermal evaluation chips. The hotspot with a heat flux of 430 Wcm<sup>-2</sup> was covered by graphene with different layer number. With higher thermal conductivity, monolayer graphene heat spreaders decreased the temperature at the hotspot by ~ 13 °C while only ~ 8 °C temperature reduction was observed at the hotspot with multilayer graphene as heat spreaders.

Although graphene has a superior thermal conductivity and is applicable to reduce the temperature at hotspots in the power devices, graphene is also electrical conducting,<sup>94</sup> which may forbid itself directly contacting the hotspots located at functional regions. The excellent electrical conductivity of graphene can give rise to short circuit, and cause the malfunction of the whole circuits. This feature requires additional photolithography step to pattern graphene and complicates the processing for thermal management in the industry process. Therefore, the ideal material for thermal management will be highly thermal conducting and electrical insulating. Two-dimensional



h-BN, as an intrinsic insulating, is also a highly thermal conducting materials. While the thermal conductivity of bulk h-BN is around 400 Wm<sup>-1</sup>K<sup>-1</sup>, the theoretical calculation reveals that the room temperature thermal conductivity of the single layer h-BN can reach 600 Wm<sup>-1</sup>K<sup>-1</sup>.<sup>95</sup> This higher thermal conductivity results from the decrease of phonon-phonon scattering in the monolayer h-BN. Although large area few-layer h-BN can be grown by CVD now, limited studies show the application of h-BN for thermal management of power devices at micro-scale level.

#### 1.4 MXenes

MXenes, also regarded as transition metal carbides (TMCs), are a large 2D materials family with many intriguing properties including high hardness, high melting point, high stability, low chemical reactivity and excellent electrical conductivity.<sup>96</sup> Several MXenes like molybdenum carbide (Mo<sub>2</sub>C or MoC) and tungsten carbide (W<sub>2</sub>C or WC) even possess superconductivity.<sup>97</sup> MXenes have a crystal structure of stacking planes of transition metal atoms and carbon atoms.<sup>98</sup> With different stacking sequences of planes with transition metal atoms and carbon atoms, the MXenes may exhibit different properties. For instance, MoC in WC phase is calculated to have a higher superconducting transition temperature than that in NaCl phase.<sup>99</sup>

MXenes can be prepared by selectively etching the layered bulk compounds MAX phases, where M denotes transition metal, X is carbon and A is mainly an element from group IIIA or IVA.<sup>23</sup> The plane of A atoms in MAX phase connect two adjacent MXene layers by forming the metallic bond with the metal atom plane.<sup>100</sup> The interaction is so strong, which is different from the weak van der Waals interaction in graphite or bulk TMDs. The MXene can be liquid exfoliated by selectively etching the A atom layers using hydrofluoric acid (HF) instead of mechanical exfoliation.<sup>23</sup> Recently, Ren's group reported the very first CVD growth of ultrathin crystal Mo<sub>2</sub>C sheet.<sup>97</sup> They utilized methane as the carbon source and a Mo foil covered by a Cu foil. At a high temperature of ~ 1085 °C, the Cu foil was melted and a Cu-Mo alloy formed at the interface, which allowed the Mo atoms to diffuse to the Cu surface and to react with carbon atom decomposed from methane. The prepared ultrathin Mo<sub>2</sub>C has a thickness of few nanometers with a lateral size of 100 µm. The development of CVD growth of MXenes triggers the investigation of facile growth of MXenes.

### **1.5 Research Objectives**

## 1.5.1 Controllable Growth and Resist-free Transfer of Monolayer MoS<sub>2</sub>

Various applications based on monolayer  $MoS_2$  has been developed.<sup>28-29, 36</sup> The reliable and facile preparation of monolayer  $MoS_2$  is of great importance for the exploration of the applications. Chemical vapor deposition (CVD) has been demonstrated as an efficient method to produce large-area and high-quality monolayer  $MoS_2$ .<sup>52-53, 101</sup> To fabricate electronic device based on monolayer  $MoS_2$ , it is favorable to grow continuous  $MoS_2$  thin films with large domain size and high surface coverage. The first aim of this study is to investigate the effect of grow parameters on the morphology of final product and to control the morphology of monolayer  $MoS_2$  by adjusting the growth conditions.

The vapor deposition of monolayer  $MoS_2$  require high growth temperature (up to ~800 °C), which highly restricts the substrate selection. To fulfill the potential of the monolayer  $MoS_2$ , it is important to transfer monolayer  $MoS_2$  from the growth substrate to another without compromising the quality. However, the conventional PMMA-mediated transfer technique has several drawbacks, which will significantly degrade the properties of transferred  $MoS_2$ .<sup>63-64</sup> It is crucial to develop a facile transfer method allowing the transferred  $MoS_2$  to maintain the properties, which is the second aim of this study.

# **1.5.2 Understanding on Growth Mechanism of MoS<sub>2</sub> and MXene**

Large-area and high-quality ultrathin MoS<sub>2</sub> and MXene both can be grown by CVD method.<sup>52-53, 97, 101</sup> However, it still remains a challenge how to control the grain size and orientation of ultrathin MoS<sub>2</sub> and MXene due to the lack of direct and insightful observations. A microscopic understanding on growth pathways of 2D materials is of great importance for controllable synthesis and rational design of functional nanostructures. Technical advances in transmission electron microscopy (TEM) with high spatial and temporal resolution allow us to monitor the real-time growth processes at atomic scale and understand the growth mechanism for low-dimensional materials. The third aim of this study is to understanding the dynamic growth 2D materials with direct TEM observations.

#### 1.5.3 Insulating h-BN as Heat dissipation

The thermal management is important in power devices as the

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operation power can reach extremely high value.<sup>83, 102</sup> Without efficient heat dissipation, the elevated temperature in the devices will degrade the performance and reliability of the devices.<sup>90</sup> The ideal material for thermal management are desired to be highly thermal-conducting but electrical-insulating. Two-dimensional h-BN has high resistivity and high in-plane thermal conductivity (200 ~ 400 Wm<sup>-1</sup>K<sup>-1</sup>),<sup>75</sup> which is of great potential in the thermal management. Although large area 2D h-BN can be grown by CVD now,<sup>78-79</sup> limited study shows the application of h-BN in thermal management. The effectiveness of 2D h-BN in heat dissipation requires to be further investigated. The last aim of this study is to explore the application of 2D h-BN in thermal management of power devices and integrated circuit.

### **1.6 Structure of Thesis**

The chapters of this thesis are organized as follows:

Chapter 1: Introduction. In this chapter, the structures preparation and properties of 2D materials including graphene,  $MoS_2$ , h-BN and MXenes are introduced. This chapter also systematically review the vapor deposition of monolayer  $MoS_2$  and the facile transfer methods for nanomaterials. The applications of thermal management utilizing unique thermal-transporting properties of 2D materials is shown.

Chapter 2: Controllable Growth and Resist-Free Transfer of Large Size  $MoS_2$ . This chapter will investigate the controllable CVD growth of monolayer  $MoS_2$ . The effects of the nuclei density on the  $MoS_2$  domain size and surface coverage will be systematically studied. Afterwards, this chapter will demonstrate a facile process of transferring the monolayer  $MoS_2$  assisted with a copper thin film. This transfer process will be compared with the conventional PMMA-assisted method.

Chapter 3: Direct *in-situ* TEM Observations on Growth Mechanisms of Two-Dimensional  $MoS_2$  and MXene Flakes. This chapter will present the dynamic growth process of 2D materials,  $MoS_2$  and MXene, as the evolution of growth temperature. The understanding on growth mechanism of 2D material will be also developed and discussed in this chapter.

Chapter 4: Two-dimensional Boron Nitride Nanosheet for Efficient Heat Dissipation of High Electron Mobility Transistors. In this chapter, a method for local thermal management utilizing thermal-conducting but electrical insulating h-BN will be presented. The effectiveness of the developed heat dissipation will be studied.



Chapter 5: Conclusions and outlooks. In this chapter, the thesis will be summarized and some personal perspective for the future of 2D materials will be proposed.

# Chapter 2 Controllable Growth and Resist-Free Transfer of Large Size MoS<sub>2</sub>

### **2.1 Introduction**

Two dimensional (2D) layered materials have attracted much attention due to their unique properties.<sup>17, 19, 29</sup> The existence of a semiconductor bandgap in transitional metal dichalcogenides (TMDs), including MoS<sub>2</sub>, WS<sub>2</sub>, MoSe<sub>2</sub> and WSe<sub>2</sub>, makes them promising for future logic devices and circuits beyond graphene. Chemical vapor deposition (CVD) has been demonstrated as a deterministic method in producing large-area and high-quality monolayer 2D layered TMD materials.<sup>50-51, 103</sup> According to the method of placing the source materials in the furnace, the CVD growth can be divided into vapor sulfurization and vapor reaction. In the vapor sulfurization, the source materials, e.g., Mo, MoO<sub>3</sub> or (NH<sub>4</sub>)<sub>2</sub>MoS<sub>4</sub>, are coated on a substrate, which is followed by sulfurization in a sulfur vapor environment at high temperature.<sup>50-55, 103</sup> The TMDs grown by sulfurization method usually have large area and good uniformity but poor crystalline quality, small domain size, and uncontrollable layer number. In contrast, the source materials, e.g., MoO<sub>3</sub> or MoCl<sub>5</sub>, are evaporated in the vapor deposition method.<sup>52-55</sup> The TMDs grown on a substrate usually have relative large domain size but poor surface coverage. These two kinds of CVD methods both require high growth temperature (up to ~800 °C), which cannot meet the requirements of the back-end processing of integrated circuit technology and restricts the substrate selection, e.g., the plastic substrate for flexible electronics applications.

To fulfill the potential of the 2D layered TMD materials, it is important to grow large domain-size and high surface-coverage TMDs and transfer TMDs from the growth substrate to another without compromising the quality of the TMDs. The conventional PMMA-mediated transfer technique suffers from the failure of completely removing polymeric residues and the formation of the wrinkles in the TMDs. These drawbacks significantly degrade the performance of the TMD-based devices. Recently, Gurarslan et al. and Li *et al.* reported that monolayer  $MoS_2$  can be peeled off from the growth substrates based on different surface properties between the polymers and the growth substrates.<sup>63-64</sup> These methods, compared to conventional PMMA transfer, prevent the crack of the monolayer MoS<sub>2</sub> during substrate etching process, but fail to avoid the use of polymer carriers, which is the main reason for residues and wrinkles. It

is highly desirable to develop a resist-free and wrinkle-free transfer method.

In this chapter, we first controllably grow monolayer MoS<sub>2</sub> from triangular islands to continuous thin film using vapor deposition method. By tuning the distance between the source and the growth substrates, we demonstrate the growth of monolayer  $MoS_2$  thin film with large domain size and high surface coverage. To avoid the polymeric residue and wrinkles generated in the PMMA-mediated transfer method, we present a facile transfer process using thermal release tape (TRT) assisted with a Cu thin film, preventing the  $MoS_2$ from directly contacted to the glue and giving rise to the resist-free  $MoS_2$  surface. The tape and Cu thin film also provide mechanically robust supports for the monolayer  $MoS_2$ , reducing the wrinkles generated during the transfer process. Our method allows us to transfer  $MoS_2$  from the growth substrate onto arbitrary substrates without observable polymeric residues or wrinkles.

#### 2.2 Methods

#### 2.2.1 MoO<sub>3</sub>-coated substrate preparation

MoO<sub>3</sub> was first prepared by conventional hydrothermal synthesis

and fully spread in ethanol.<sup>104</sup> The mixture was then dropped onto a Si substrate with 300-nm-thick  $SiO_2$  and the substrate was heated to approximately 110 °C on a hot plate to evaporate ethanol. The procedure was repeated until the remaining MoO<sub>3</sub> completely covered the substrate.

#### 2.2.2 CVD method

 $MoS_2$  was grown on a Si substrate with 300-nm-thick SiO<sub>2</sub> by CVD method. The growth substrate faced downwardly above a quartz boat. Directly below the growth substrate, a 5 mm  $\times$  5 mm MoO<sub>3</sub>-coated substrate was placed as the Mo precursor. The mass of  $MoO_3$  on the substrate is 10 ~ 30 mg. The quartz boat was then loaded into a 1-inch-diameter quartz tube and centered in the furnace. A porcelain boat with sulfur was located in the upstream region outside the furnace, approximately 25 cm away from the middle. Before the  $MoS_2$  growth, the system was flushed with 200 sccm of argon (Ar) gas for 10 min. Afterwards, the MoO<sub>3</sub> was heated from room temperature to ~ 800 °C at a rate of 20 °C/min and then maintained at 800 °C for 10 min. Meanwhile, the sulfur was sublimated at 160 °C and carried by Ar gas flow to the growth zone. The Ar gas flow was initially 150 sccm, and later reduced to 60 sccm for stabilizing the  $MoS_2$  growth when the growth region reaches 700 °C. The growth ended with natural cooling



of the system.

#### 2.2.3 Thermal release tape transfer

The substrate with as-grown  $MoS_2$  sample was first coated with a ~60-nm-thick Cu thin film by thermal evaporation. A thermal release tape was then pressed onto the SiO<sub>2</sub>/Si substrate and was gently peeled off. As a result, the Cu/MoS<sub>2</sub> stack was separated from the SiO<sub>2</sub>/Si substrate and attached to the tape. Secondly, the tape carrying Cu/MoS<sub>2</sub> was pressed onto a targeted substrate. The whole stack was heated to approximately 120 °C. The adhesiveness between thermal release tape and Cu was weaken. The tape could be easily peeled off, leaving the Cu/MoS<sub>2</sub> on the target substrate. Finally, the Cu thin film was etched using the mix of 15% ammonium persulphate and deionization water.

#### 2.2.4 Field-effect transistor fabrication

The FET device was fabricated based on the TRT-transferred  $MoS_2$  on the 300 nm SiO<sub>2</sub>/Si substrates. The pattern of the electrodes was defined by standard photolithography. A 100-nm thick Au thin film was evaporated by thermal evaporation as metal electrodes and followed lift-off. The channel length and width of the obtained FET were identified by optical microscopy.

### 2.3 Results and discussion

#### 2.3.1 Controllable growth of monolayer MoS<sub>2</sub>

We developed a CVD setup at atmospheric pressure similar to that reported in ref<sup>105</sup> (Figure 2.1A). The temperatures of the locations for placing MoO<sub>3</sub> and S precursors were controlled by the furnace and the heating belt, respectively. The sulfur gas was carried into the growth zone by Ar gas flow. Excessive amount of S was used to ensure MoO<sub>3</sub> precursor react completely. In the previous works,<sup>106-107</sup> the MoO<sub>3</sub> powder and the growth substrate were placed in the middle and downstream of the furnace, respectively. In our study, we replaced commonly-used MoO<sub>3</sub> powder with a MoO<sub>3</sub>-coated substrate as the precursor to quantitatively control the amount of MoO<sub>3</sub> by the size of the MoO<sub>3</sub>-coated substrates. The growth substrate was placed on top of the quartz boat, facing downwardly towards the MoO<sub>3</sub> precursor substrate, as schematically illustrated in Figure 2.1B.

The MoS<sub>2</sub> grown by vapor reaction methods are usually triangular flakes with low surface coverage, in agreement with those reported in existing literatures.<sup>52, 106</sup> For the fabrication of electronic device, it is highly desired to grow continuous and large domain size MoS<sub>2</sub> thin films up to wafer scale. The growth of the continuous MoS<sub>2</sub> thin film is presumed to include five major steps:<sup>55, 107-108</sup> (1) the sublimation of the precursor (MoO<sub>3</sub> and S) and the mass transport of the precursor to the region close to growth substrate, (2) the reaction of MoO<sub>3</sub> and S to form gaseous MoS<sub>2</sub>, (3) the precipitation of supersaturated MoS<sub>2</sub> vapor onto the substrate to produce solid-phase MoS<sub>2</sub> nuclei, (4) the MoS<sub>2</sub> growth on the substrate controlled by kinetic factors, and (5) the coalescence of the adjacent MoS<sub>2</sub> domains to merge into continuous thin films.

In general, the nuclei density is closely related to the average domain size of MoS<sub>2</sub>. The increase of the nuclei density can improve surface coverage but decrease the average domain size. In contrast, the suppression of nuclei density helps to grow large-size MoS<sub>2</sub> domain,<sup>109</sup> though the product from low-density nuclei usually has poor surface coverage. Therefore, it is quite important to optimize the nuclei density to fabricate continuous MoS<sub>2</sub> thin films with large domain size as well as surface coverage. The concentration of gaseous MoS<sub>2</sub> ( $C_g$ ) has been shown with an important thermodynamics and kinetics factor for the precipitation reaction  $C_g \rightarrow C_s$ , where  $C_s$  is the concentration of the active species at the substrate surface. In our CVD setup, the excessive S precursor outside the furnace is carried by Ar gas. The S concentration almost keeps constant in the middle of the furnace tube, and has a negligible influence on the nuclei density. On the other hand, the MoO<sub>3</sub> precursor is thermally evaporated from the bottom of the quartz boat, reacts with S to form gaseous MoS<sub>2</sub>, and diffuses towards the growth substrate. The distance between the MoO<sub>3</sub> precursor substrate and the MoS<sub>2</sub> growth position is defined as *d*. The relationship between the concentration of gaseous MoS<sub>2</sub> ( $C_g$ ) and the distance *d* can be described according to equation (2.1):<sup>110</sup>

$$Cg(d,t) = Cg(0,t)\exp(-\frac{d^2}{4Dt})$$
 (2.1)

Where  $C_g(d,t)$  and  $C_g(0,t)$  are the gaseous MoS<sub>2</sub> concentration at given distance *d* and the source substrate, *t* is the time, and *D* is the diffusion constant. The equation is roughly plotted in Figure 2.1C. The changes of *d* create a gaseous MoS<sub>2</sub> concentration gradient which significantly affects the final product of MoS<sub>2</sub>.



**Figure 2.1** Schematic illustration of (A) the CVD system for growing  $MoS_2$  and (B) the quartz boat loaded in the furnace. (C) Schematic plot of the relationship between the gaseous  $MoS_2$  concentration and the distance *d* between  $MoO_3$  source and growth substrate.

Figure 2.2A to E show optical images of the typical as-grown  $MoS_2$  at different source/substrate distance. In our setup, when the distance is kept around 5 mm, the concentration of gaseous  $MoS_2 C_g$  is high. Figure 2.2A shows that the substrate surface was 100% covered by  $MoS_2$  thin film. The domain boundaries of the thin film can be easily observed by optical microscopy because the boundaries provide extra step for  $MoS_2$  growth and the thickness near the boundaries reaches several layers which contrast with the monolayer films. The mean domain size was approximately 20 µm and the shape of the  $MoS_2$  domain deviated from triangle or rhomboidal. With the highest

 $C_g$  at short distance, a lot of sites at the substrate surface can satisfy the thermodynamic conditions, resulting in high nuclei density. When the distance increased to 6.5 mm, the MoS<sub>2</sub> thin film 100% covered the growth substrate, as shown in Figure 2.2B. The nuclei density slightly decreases with lower  $C_g$ , which allocates larger space for each nucleus to grow before coalescence. As a result, the average domain size was increased around 40 µm. High nuclei density helps to improve the surface coverage but limits the domain size. Figure 2.2C shows the optical image of the edge of  $MoS_2$  thin film, where the distance d is around 7 mm. Triangular flakes were distributed at the edge of  $MoS_2$ thin films. Some overlapping flakes illustrate the initial process of the formation of the thin film. As the nuclei density is low in the outer place, enough space is spared for MoS<sub>2</sub> domain to grow independently. Therefore, the mean domain size increased to 75 µm. However, the overall surface coverage decreased to 85%. It is highlighted that at this distance we have ever grown the  $MoS_2$  flake with the size of 308  $\mu$ m shown in Figure 2.2F. This large domain size is attributed to the prefect nuclei density and supply transport. With the  $C_g$  continuously declines with the larger distance (7.5 mm), the dominant products were triangular  $MoS_2$  (Figure 2.2D) because the nuclei density is suppressed by the low gaseous MoS<sub>2</sub> concentration. The surface

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coverage and the mean domain size both dropped to 70% and 60  $\mu$ m, respectively. Low-density nuclei guarantee that most flakes can be grown independently without overlapping with adjacent flakes until the end of growth. When the *d* is further increased to 9 mm, the products were triangular flakes with the mean size of ~ 25  $\mu$ m and the surface coverage was only ~ 30%, as shown in Figure 2.2E. The reduced *C<sub>g</sub>* fails to supply sufficient source and the growth of nuclei is consequently limited, resulting in the decrease of mean domain size.



**Figure 2.2** (A) to (E) Optical images of the  $MoS_2$  product at different places of the growth substrate. (F) Optical image of a crystalline  $MoS_2$  flake with the size of 308  $\mu$ m.

The surface coverage and the average domain size as a function of the source/growth distance are roughly summarized in Figure 2.3A. The nuclei density is calculated and plotted in Figure 2.3B. It exhibits two distinct trends in the both two plots with the distance of 7 mm as

the boundary point. In the region where the growth substrate is close to the precursor substrate (less than 7 mm), the continuous  $MoS_2$  thin films are dominant products. The shape of the  $MoS_2$  domain exhibits irregular, deviating from triangle or rhomboidal. The surface coverage remains 100% and slightly decreases near the edge of  $MoS_2$  thin films. Meanwhile, the average domain size increases from 20  $\mu$ m to 75  $\mu$ m. This is because that the high  $C_g$  gives rise to both high-density nuclei and sufficient source supply. Sufficient gaseous MoS<sub>2</sub> supply guarantees that the high-density nuclei can keep growing until the domains merge into continuous thin films. With the distance of 7 mm, the large domain size and high surface coverage MoS<sub>2</sub> thin films are grown. The average domain size of the crystalline  $MoS_2$  is around 75  $\mu$ m in the middle part and larger than 300  $\mu$ m in the edge part. The low-density nuclei help to grow large domain size without comprising the surface coverage, which is attributed to the coincident match between the nuclei density and the source supply. Large-size  $MoS_2$ flakes over 200 µm were reproducibly grown under this condition, as shown in Figure 2.4A to D.

In the range of relatively long distance (more than 7 mm), the surface coverage and average domain size both decrease with the increase of the distance. The low  $C_g$  results in low-density nuclei and

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insufficient source supply for the surface precipitation. Without sufficient gaseous  $MoS_2$  supply, the nuclei can grow independently during the growth procedure but fail to fully cover the surface. The insufficient  $MoS_2$  supply leads to smaller size of domain. Interestingly, the nuclei density is expected to decline with the increase of the distance. However, a slight increase is presented in Figure 2.3B. After the abrupt decrease of nuclei density, the increased spare space for each nuclei growth prevent some nuclei from being absorbed by adjacent domains and allow them to grow to observable size, which gives rise to the increase of the nuclei density.



**Figure 2.3** (A) Surface coverage and average domain size and (B) Nuclei density of the MoS<sub>2</sub> as a function of the source/substrate distance.



Figure 2.4 (A) to (D) Optical images of crystalline  $MoS_2$  flakes with size lager than 200  $\mu$ m.

We measured the thickness of  $MoS_2$  films and flakes with Raman spectroscopy and atomic force microscope (AFM). Figure 2.5C shows the Raman spectra collected from the film and the flake in Figure 2.5A and B. The frequencies difference between the in-plane mode  $E^{1}_{2g}$  and the out-of-plane mode  $A_{1g}$  peaks was 20 cm<sup>-1</sup> at P1 and P2 which represent  $MoS_2$  films and flakes, respectively. This designates films and flakes are both monolayer.<sup>111</sup> The AFM images in Figure 2.6A and B further confirm that the thickness of the  $MoS_2$  flake and film were ~ 0.8 nm and ~ 0.9 nm, which indicates monolayer  $MoS_2$  and is consistent with previous reports.<sup>35, 53</sup> The domain boundaries of  $MoS_2$ film (P3 in Figure 2.5A) are also investigated. The  $E^{1}_{2g}$  peak showed a red shift while the  $A_{1g}$  peak presented a blue shift compared to the spectra of center of the film. The MoS<sub>2</sub> fails to remain monolayer near the boundaries region as the frequencies difference increased to 23 cm<sup>-1</sup>. The AFM image in Figure 2.5D shows the boundary of two domains. A second layer of MoS<sub>2</sub> has been grown in the boundary region and part of MoS<sub>2</sub> was 3~4 layers, which is illustrated in the corresponding height profile in Figure 2.5E. The boundaries where two domains overlap offer extra nucleation point for MoS<sub>2</sub> growth. Rather than the flat MoS<sub>2</sub> films, it is easier to absorb atoms on the steps of the boundaries and begin to grow second layer MoS<sub>2</sub>.



**Figure 2.5** Optical images of the  $MoS_2$  (A) thin film and (B) flake. (C) Raman spectra of the  $MoS_2$  film, flake and boundary pointed in (A and B). (D) AFM images of  $MoS_2$  domain boundary. (E) Height profiles taken across the dash line in (D).



**Figure 2.6** (A and B) AFM images of MoS<sub>2</sub> triangle flake and thin film. (C and D) Height profiles taken across the dash line in (A and B).

#### 2.3.2 Resist-free transfer of MoS<sub>2</sub>

To avoid the high-temperature growth process, we transfer crystalline  $MoS_2$  flakes and thin films in this work. Figure 2.7 illustrates the schematics of the transfer process using thermal release tape (TRT). To prevent the direct contact between the  $MoS_2$  surface and the glue,  $MoS_2$  was first coated with a Cu thin film (~ 60 nm thickness) by thermal evaporation (Figure 2.7B). The TRT has a strong adhesion with the Cu thin film at room temperature, which helped to completely peel  $MoS_2$  off from the growth substrate. The photograph in Figure 2.7D clearly shows that this transfer method is applicable to centimeter-scale sample transfer. After the tape was pressed onto a target substrate, the substrate with the MoS<sub>2</sub>/Cu/TRT was heated from room temperature to 120 °C. The tape lost the adhesion and automatically peeled off (Figure 2.7E), leaving the MoS<sub>2</sub> and Cu thin film onto the target substrate (Figure 2.7F). The MoS<sub>2</sub> on the growth substrate (Figure 2.7A, 2 cm × 2 cm) was transferred onto a 3 cm × 3 cm SiO<sub>2</sub>/Si substrate without notable changes (Figure 2.7G). We also transferred as-grown MoS<sub>2</sub> to SiO<sub>2</sub>/Si substrates by conventional PMMA mediated method as control samples.



**Figure 2.7** Illustration of the transfer process assisted with a Cu thin film. Photographs of the transfer processes: (A) as-grown  $MoS_2$  on  $SiO_2/Si$  substrate; (B) coating the  $MoS_2$  with a Cu thin film; (C) sticking TRT; (D) peeling off TRT together with  $MoS_2$ ; (E) heating the target substrate to peel off TRT; (F) the  $MoS_2$ and Cu thin film on the target substrate; (G) the transferred  $MoS_2$  after Cu etching.

Figure 2.8A and B show the  $MoS_2$  flakes before and after PMMA

transfer. Compared to the as-grown MoS<sub>2</sub>, the PMMA-transferred  $MoS_2$  presents remarkable resist residues although the sample has been cleaned with acetone for long time. It still remains a challenge to completely remove PMMA by acetone without additional cleaning process.<sup>63</sup> These resist residuals will degrade the performance of the electronic and optical devices based on MoS<sub>2</sub>. In contrast, Figure 2.8C and D present the typical optical images of the as-grown and TRT transferred  $MoS_2$  flakes, respectively. There is no significant difference between the as-grown and the TRT transferred samples. The surfaces of the samples were clean, indicating the absence of observable resist residues. It is further confirmed by the EDX result in Figure 2.9. The Cu thin film introduced between  $MoS_2$  and TRT prevents the  $MoS_2$  from directly exposing to the glue. Compared to polymer, Cu thin film is relatively easier to be etched, which has been widely adopted in industry.<sup>59</sup>

The SEM image in Figure 2.8E presents the evidence of generated wrinkles and resulting residuals on  $MoS_2$  surface after the PMMA transfer. Figure 2.8F shows the SEM image of the TRT-transferred continuous  $MoS_2$  thin film. The flat and uniform characteristics of the transferred thin film suggest that no wrinkles were generated during our transfer process. The Cu thin film with

comparable Young's modulus provides mechanically robust support for MoS<sub>2</sub> and reduces wrinkle generation during the transfer process. It also diminishes the strain induced when the TRT was peeled off during the temperature ramp process. On the contrary, PMMA has a low Young's modulus about 22 MPa,<sup>112</sup> far below that of monolayer  $MoS_2$ . This indicates that PMMA cannot provide sufficiently robust support for the MoS<sub>2</sub> during the transfer process. During the PMMA transfer process, the underlying SiO<sub>2</sub> layer was etched by KOH solution. Bubbles are generated in the etching process and can be trapped by the PMMA thin film, which will induce capillary force and give rise to wrinkles or even cracks (Figure 2.8I). Additionally, it is difficult to avoid the PMMA folding when we fish it out of the etching solution with target substrates. Compared with as-grown MoS<sub>2</sub> coated with PMMA (Figure 2.8H), there were observable wrinkles on the PMMA/MoS<sub>2</sub> film after transfer, shown in Figure 2.8J. However, in our technique, the Cu thin film and the TRT with robust support guarantee the flatness and integrality of  $MoS_2$  during the transfer. AFM images in Figure 2.10 further confirm that our TRT-transferred technique allows us to transfer large-area monolayer MoS<sub>2</sub> residual-free and wrinkle-free.



**Figure 2.8** (A and B) Optical images of the MoS<sub>2</sub> before and after PMMA transfer. (C and D) Optical images of MoS<sub>2</sub> before and after TRT transfer. (E and F) SEM images of MoS<sub>2</sub> transferred by PMMA method and TRT method. (G to J) Schematic of wrinkle generation during PMMA transfer process and corresponding photographs.



Figure 2.9 EDX spectra of the  $MoS_2$  transferred by thermal release tape method

with the element quantification results.



Figure 2.10 (A and B) AFM images of  $MoS_2$  transferred by thermal release tape method and PMMA method.

Figure 2.11A shows the Raman spectra of the as-grown and transferred MoS<sub>2</sub>. The frequencies difference between the in-plane mode  $E_{2g}^{1}$  and the out-of-plane mode  $A_{1g}$  peaks remained around 19~20 cm<sup>-1</sup> after the transfer by PMMA and TRT methods, indicating that the layer number remains monolayer.<sup>111</sup> The peak position of the

in-plane mode  $E_{2g}^{1}$  in Raman spectra is sensitive to the strain and can be used as an indicator of the strain.<sup>113</sup> The peak position remains unchanged before and after the TRT transfer. However, this peak redly shifted about 2 cm<sup>-1</sup> after the transfer by PMMA, which is induced by the wrinkle generated during the transfer.<sup>114</sup> This is also in agreement with our morphology characterization. The peak position of the out-plane mode  $A_{1g}$  is less affected by the strain.<sup>113</sup> Figure 2.11B shows the photoluminescence (PL) spectra of the MoS<sub>2</sub> samples. It can be seen that the principal PL peak showed no shift after our process but a redshift after the PMMA transfer, which can be explained by the influence of wrinkles, on top of which the strain accumulates.<sup>114</sup> This strain is introduced by the gap between Young's modulus of the thin film MoS<sub>2</sub> and the support (PMMA), which causes wrinkles lastly.<sup>115</sup>

The back-gate field-effect transistor (FET) based on the TRT-transferred  $MoS_2$  was also fabricated. The transfer and output characteristic are shown in Figure 2.11C and D, respectively. The  $I_{ds}$ - $V_{ds}$  curve shows the good contacts between the transferred  $MoS_2$  and the electrodes, which also indicates the resist-free surface of TRT-transferred  $MoS_2$ .



**Figure 2.11** (A and B) Raman and Photoluminescence (PL) spectra of as-grown, TRT-transferred and PMMA-transferred MoS<sub>2</sub>. (C and D) Transfer and output characteristic for the back-gate FET based on the TRT-transferred MoS<sub>2</sub>.

### 2.4 Summary

We first investigated the morphology change of MoS<sub>2</sub> grown by our CVD method. Optical images presented that the ratio of MoS<sub>2</sub> triangular flakes and continuous films was influenced by the different placement of growth substrates. The underlying reason was the created MoO<sub>3</sub> concentration gradient by the change the distance between source and growth substrates. With sufficient MoO<sub>3</sub>, adequate nuclei generated, grew into triangular and merged into thin films. We also developed a transfer process involving TRT that can transfer  $MoS_2$ onto arbitrary substrates without compromising the properties. The optical and SEM images confirmed the absence of observable residues and wrinkles on the transferred  $MoS_2$ . The properties were measured by Raman and PL, suggesting the transferred  $MoS_2$  maintained qualities as the as-grown one. Although this work focused on the transfer of  $MoS_2$ , this process can be extended to the transfer of other two-dimensional layered materials.
# Chapter 3 in-situObservation onGrowthDynamicsofTwo-dimensionalMoS2 andMXeneFlakes

## **3.1 Introduction**

Technical advances of TEM (i.e. transmission electron microscope) in recent years have permitted an incomparable pathway towards the understanding of real-time processes at atomic scale.<sup>116-117</sup> Significant progresses have been made in figuring out the mechanisms behind sophisticated physicochemical processes using dedicated TEMs, such as the CaCO<sub>3</sub> nucleation, Pt<sub>3</sub>Fe nanoparticles attachment, and Y<sub>2</sub>BaCuO<sub>5</sub> nanowire growth.<sup>118-120</sup> Intriguingly, TEM observation concerning the growth of 2D materials beyond graphene remains rather limited, due to the critical challenge of obtaining images at atomic-resolution while maintaining the 2D materials grow in steps. Herein, in present study, we remedied this situation by using in-situ TEM to document the crystallization behavior of MoS<sub>2</sub> from a solid precursor upon controlled heating. We show that an interesting two-step growth mechanism is operative for growth of 2D structures from visualizing the growth trajectory of MoS<sub>2</sub> nanostructures.

In this chapter, we used a transmission electron microscope fitted with an advanced *in-situ* heating stage to uncover the growth mechanism of 2D  $MoS_2$  and MXene flakes.

# 3.2 Methods

## **3.2.1 Sample preparation**

For MoS<sub>2</sub> growth, high purity of  $(NH_4)_2MoS_4$  was dissolved in dimethylformamide (DMF) to form a 1 wt. % solution, which was then sonicated for 10 min before use. For Mo<sub>x</sub>C growth, 0.01 mol MoO<sub>3</sub> powder was added in 10 ml hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>). The mixture was heated at 60 °C until the MoO<sub>3</sub> completely dissolved in the H<sub>2</sub>O<sub>2</sub>.

#### **3.2.2 TEM observation**

The *in-situ* growth experiment described in this work was conducted on a Protochips AduroTM double-tilted platform using heating E-chip specimen support that provides atomic resolution at thermal ramping rate of up to  $10^6$  °C/s with highly accurate temperature control of specimen inside a TEM. The TEM sample was prepared by drop-casting the above solution onto a Si<sub>3</sub>N<sub>4</sub> membrane (for MoS<sub>2</sub> growth) or carbon membrane (for Mo<sub>x</sub>C growth) supported by a silicon E-Chip, which was then dried in air. TEM observations was conducted on a JEM-2100F field-emission transmission electron microscope operating at 200 kV, equipped with an Oxford INCA x-sight EDS Si(Li) detector. The sample was quickly heated to 100 °C and stayed at this temperature for 15 min to remove any possible organic residuals before subsequent experiments. During the observations, the sample was irradiated by a focused electron beam with a constant current density (ca. 65 pA/cm<sup>2</sup>), and the image was recorded by a Gatan SC1000 ORIUSTM CCD camera with a short exposure time (~ 1 s). The electron beam was blanked whenever possible to minimize beam effects on the sample.

#### **3.2.3 Theoretical calculations**

The density functional theory (DFT) calculations have been performed by using the Vienna ab initio simulation package (VASP) code<sup>121-122</sup> within projector augmented-wave (PAW) method.<sup>123-124</sup> General gradient approximations (GGA) in the Perdew-Burke-Ernzerhof (PBE) implementation<sup>125</sup> were chosen for the exchange correlation function. A plane-wave basis set expanded in energy with a cutoff of 400 eV is used in the calculation. The surfaces have been modeled by a symmetric slab containing eight Mo atom layers and a large vacuum of at least 15 Å. The corresponding sulfur layers are used in the calculation according to different termination

and surface orientation. To compare the stability of surfaces with different Miller index, we calculated their surface free energy following the approach developed by Reuter and Scheffler.<sup>126</sup> Taking hexagonal  $Si_3N_4$  (space group P63) as an illustrative substrate, we also calculated the interfacial energy of both surfaces. The  $(2 \times 1)$  MoS<sub>2</sub> (100) /Si<sub>3</sub>N<sub>4</sub> (001) and (2×2) MoS<sub>2</sub> (001) /Si<sub>3</sub>N<sub>4</sub> (001) structures are used to model the interfaces. And the corresponding interfacial energies are defined by  $(E_{(A+B)}^{interface} - E_A^{surface} - E_B^{surface})/S$ , in which E and S represent the total energy and area of different systems. To determine the relative stability of the particles with different orientations, the energy of  $MoS_2$  particles relative to bulk  $MoS_2$  is also evaluated. The (100) ((001)) and (010) index planes are supposed to expose at the side of the particle with (001) ((100)) orientation. The relative energy density per volume thus can be calculated by

$$\rho^{100(001)} = (lw(2\sigma^{100(001)} + \gamma^{100(001)}) + 2lh\sigma^{100(001)} + 2hw\sigma^{001(100)})/v$$

in which l, w, h and v mean length, width, height and volume of the particles,  $\sigma^{100(001)}$  and  $\gamma^{100(001)}$  represent surface energy and interfacial energy. Note that the miller index (100) ((001)) of MoS<sub>2</sub> particle used here corresponds to the vertical (horizontal) growth in article.

# 3.3 Results and discussion

# 3.3.1 Growth dynamic of MoS<sub>2</sub>

## 3.3.1.1 Selected-area electron diffraction evolution

The ammonium thiomolybdates  $(NH_4)_2MoS_4$  was adopted as our solid precursor and was introduced into a dedicated JEM-2100F transmission electron microscope, by using a protochips Aduro heating stage with a  $Si_3N_4$  membrane as support (shown in Figure 3.1). It has been reported that the thermolysis of  $(NH_4)_2MoS_4$  in an  $N_2$ environment resulted in the production of  $MoS_2$  via the following equations:<sup>103, 127</sup>

$$(NH_4)_2MoS_4 \rightarrow 2NH_3 + H_2S + MoS_3 (120-360 \,^{\circ}C)$$
 (3.1)

$$MoS_3 \rightarrow MoS_2 + S (> 800 \text{ °C}) \qquad (3.2)$$



**Figure 3.1** Schematic illustration of the experimental setup. (A) The preparation of heating E-chip with precursor, and the image of mounted Protochips Aduro heating stage (inset). (B) side-view of the as-prepared E-Chip.

To identify the thermolysis temperature of  $(NH_4)_2MoS_4$  and crystallization process of MoS<sub>2</sub> in ultra-high vacuum (~  $10^{-5}$  Pa inside the TEM column), we first carried out the selected-area electron diffraction (SAED) survey over a wide temperature range, and the results were summarized as Figure 3.2. From room temperature to 400 °C, the SAED pattern shown in Figure 3.2A indicates the precursor is kept at its amorphous state. When the temperature reached 400 °C, blurred diffraction rings emerged (Figure 3.2B) and became shaper and brighter along with the rising temperature (Figure 3.2 C to E), indicating the improvement of crystallinity and the continuous size-development of crystallites. The SAED pattern in Figure 3.2E can be well indexed to hexagonal MoS<sub>2</sub> structure with a space group of P63/mmc. However, when the temperature continued to increase, considerable amount of impurities was observed in the SAED pattern at 900 °C (shown in Figure 3.2G), which belongs to metallic Mo and results from the decomposition of  $MoS_2$  at high temperature. Therefore, the crystallization of  $MoS_2$  happened at 400 ~ 900 °C in our experiment (in vacuum), which is of noticeable difference with above-mentioned results from inert gas environment.<sup>127</sup> It is also implied that MoS<sub>2</sub> crystals grow rapidly in size after 800 °C while almost showed no change below 800 °C, as the diffraction patterns



dramatically changed from uniform and continuous rings to discrete bright spots with increasing temperatures. The EDS spectra acquired at room temperature and 400 °C (Figure 3.3) further confirmed the complete conversion of  $(NH_4)_2MoS_4$  to  $MoS_2$  at 400 °C, as indicated by the increased atomic ratio of Mo/S from 1/4 (in  $(NH_4)_2MoS_4$ ) to 1/2 (in  $MoS_2$ ).



**Figure 3.2** The evolution of SAED patterns across a wide temperature range. The scale bar in (A) also applies to (B - G).



Figure 3.3 EDS spectra obtained at room temperature and 400 °C, respectively.

#### 3.3.1.2 Growth process of MoS<sub>2</sub>

Systematical high-resolution TEM (HRTEM) micrographs were sequentially captured to analyze the crystallization and growth process of MoS<sub>2</sub> against increasing temperatures The remarkable intervals are presented in Figure 3.4. At 400 °C, as shown on Figure 3.4A, the sample was found to be slightly crystallized into loosely packed, small clusters (1 - 3 nm) from the original amorphous precursor, with abundant 0.6 nm MoS<sub>2</sub> (002) planes exposed, which indicates the MoS<sub>2</sub> slabs adopt a vertical growth on the Si<sub>3</sub>N<sub>4</sub> support at this stage. Although the surface energy of the edge sites ((002)-exposed) is reported to be larger than that of the terrace sites ((100)-exposed) by two orders of magnitude for MoS<sub>2</sub>,<sup>128</sup> the vertical alignment of MoS<sub>2</sub> is

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in accordance with the previous ex-situ observations on MoS<sub>2</sub> thin-film samples from sulfurization of Mo layers.<sup>129-130</sup> We further captured a movie to vividly describe the vertical growth dynamics of  $MoS_2$  layers, and the snapshot frames are presented as Figure 3.5. At initial step, a new slab (forming from monomer attachment) partially covered on the existing cluster and then was developed to match the size of the underlying ones. It is clear that multi-layer MoS<sub>2</sub> structure forms in a layer-by-layer mode by homogeneous nucleation of additional layers onto old ones, and new layers preferably start from the step-edges of the old ones (see Figure 3.5B & D). Additionally, Figure 3.5 also suggest that formation of new layers is more energetically favorable than elongation of existed layers, as the MoS<sub>2</sub> cluster didn't show obvious in-plane growth throughout Figure 3.5A – D.



**Figure 3.4** The growth dynamics of 2D MoS2 thin-film during heating to 780 °C. (A - E) Image sequences showing the evolution from initial "(002)-exposed" structure to the later stage of "(100)-exposed" structure. The insets in (A), (C) and (E) are the corresponding FFT patterns, respectively. The red arrows in (D) denote the remaining clusters at 700 °C. The scale bar in (A) also applies to (B - E). (F) Statistics of the clusters' counts in frames (A - E).



**Figure 3.5** Time-resolved *in-situ* TEM micrograph series showing the layer growth trajectory at 400 °C. 4L, 5L, and 6L stand for four-layer, five-layer, and six-layer MoS<sub>2</sub> structures, respectively. Colored sketches are included to identify the MoS<sub>2</sub> slabs. The scale bar in (A) also applies to (B - D). Time listed in all figures is relative to the beginning.

During further heating to 780 °C, as displayed in Figure 3.4 (B – E), surprisingly, the exposed MoS<sub>2</sub> (002) planes gradually disappeared instead of getting larger. The insets in Figure 3.4A, C and E are their associated fast Fourier transform (FFT) patterns, showing a continuous brightness decrease of (002) halo ring while an inverse brightness increase of (100) halo ring, which implies the preferred orientation of MoS<sub>2</sub> on Si<sub>3</sub>N<sub>4</sub> has been altered from (002)-oriented towards (100)-oriented. We need to mention here that the orientation of the MoS<sub>2</sub> slabs with respect to the electron beam has a determinative impact on the TEM contrast. The substantial image contrast of MoS<sub>2</sub> (002) basal plane can only be retained while it is  $\pm$ 9 degrees within the electron beam direction. Larger tilting angle will

lead to contrast weaken and the slabs become unrecognizable from the support substrates.<sup>131-132</sup> Therefore, it is reasonable to deduce that there is a "grain rotation" process at this stage; which is, the MoS<sub>2</sub> clusters have rotated from (002)-exposed to (100)-exposed during the heating between 400 °C to 780 °C. Of note, repeated observations at 780 °C have confirmed the formation of large-area polycrystalline MoS<sub>2</sub> (100)-exposed thin-films, matching well with previous CVD results from either gas-phase or solid-phase precursors at similar temperatures.<sup>17</sup>

Starting from 820 °C, MoS<sub>2</sub> particles began to precipitate as shown in Figure 3.6 and 3.7 (the red arrows in Figure 3.7A denote the precipitated MoS<sub>2</sub> crystals.). The MoS<sub>2</sub> crystals experienced a remarkable growth in size at higher temperatures (820 °C - 850 °C, Figure 3.6 & 3.7), which is ~ 3 nm at 820 °C, ~ 7 nm at 840 °C, and ~ 20 nm at 850 °C, respectively (presented in histograms of the change in particle size as a function of increasing temperature in Figure 3.6E), in line with the previous SAED analysis. Moreover, interesting size-growing dynamics was successfully recorded and presented in Figure 3.8. In Figure 3.8A, two isolated particles (I & II), both with exposed (103) planes but different orientations, contacted each other. After that, they both experienced obvious rotation of crystal

orientation to find a perfect lattice match and align their (103) planes (Figure 3.8B) before the disappearance of their contact interface (Figure 3.8C). Finally, as time lasted, the coalescence of particles I and II produced a new particle III with relaxed surface (Figure 3.8D). The process described above refers to typical oriented attachment (OA),<sup>116,</sup> <sup>133</sup> which is an important mechanism for crystal growth in many materials, and differs a lot from classical crystal growth mechanism.<sup>134</sup> However, to the best of our knowledge, this is the first time that such a OA mechanism was in-situ observed in a two-dimensional MoS<sub>2</sub> system. Finally, when the temperature went to 850 °C, the shape of the MoS<sub>2</sub> crystals experienced a dramatic change by facet development via mass redistribution. Majority of the MoS<sub>2</sub> crystals reshaped to closely packed, quasi-hexagonal nanoflakes with faceted outlines (see Figure 3.6D & 3.7C, the red arrows in Figure 3.6D denoted 120° angles), in good consistent with the CVD result using  $(NH_4)_2MoS_4$  in dimethylformamide as gas-phase precursor.<sup>135</sup> The atomic-resolution HRTEM image in Figure 3.7C corresponds to highly crystalline nature of these nanoflakes, and the associated FFT pattern (inset in Figure 3.7C) displayed a typical six-fold symmetry expected for  $MoS_2$  along its [001] direction.



**Figure 3.6** Precipitation and formation of 2D MoS<sub>2</sub> hexagonal nanoflakes during further heating beyond 800 °C. (A - D) Typical image frames showing MoS<sub>2</sub> particle development versus rising temperature, in both size growth and reshape. The scale bar in (A) also applies to (B - D). (E) Statistics of the nanoparticle diameter as a function of heating temperature from (A - D).



**Figure 3.7** Sequential HRTEM images showing the structural transformation from irregular particles to faceted hexagonal nanoflakes. The inset in (C) is the corresponding FFT patterns. The scale bar in (A) also applies to (B - C).



**Figure 3.8** The details of the particle attachment process happened at 840 °C (A - D) Sequences of in-situ TEM images indicating particle I and particle II merge into particle III. The red arrows denote [103] direction for the nearby particles. The scale bar in (A) also applies to (B - D). Time listed in all figures is relative to the first frame.

The complete mechanism concerning the  $MoS_2$  growth from solid precursor at high temperature was thus extracted, and was sketched in Figure 3.9. The whole mechanism can be simply divided into two stages: the low-temperature thin-film stage (< 800 °C, Figure 3.3) and the high-temperature nanocrystals stage (> 800 °C, Figure 3.6). At low-temperature region, the  $MoS_2$  growth generally includes the decomposition of solid precursor (other synthesis methods may not include this step), the formation of (002)-exposed films, and the successive grain-rotation towards large-area (100)-exposed films. Afterwards, at high-temperature region,  $MoS_2$  nanocrystals would like to spontaneously precipitate from the thin-films, grow towards large crystals, and then develop to hexagonal nanoflakes. Although the lateral size of the final nanoflakes in our experiment is only among several tens of nanometers which is resulted from the limited transport ability of  $MoS_2$  species in the all-solid environment, the revealed mechanism is of general applicability in other synthesis approaches (e.g. CVD method) leading to  $MoS_2$  microsized flakes or even large-area thin-layers.



Figure 3.9 Schematic illustration of the structural evolution process during heating. "S" and "T" on orange discs stand for side-view and top-view, respectively.

## 3.3.1.3 Discussion

The initial formation of vertical-aligned layer structure and its

rotation to horizontal-aligned layer structure are driven by reducing total system energy and eliminating crystal defects. To demonstrate the decrease of total system energy during the transition, we performed the following calculations on the surface free energy during transition from (002)-exposed structure to (100)-exposed structure. As expected, the results indicate that the (001) surface has much lower surface energy (0.0277 eV/Å<sup>2</sup>) than (100) surfaces in the rational chemical potential region. The sulfur terminated structure with surface energy of 0.1794 eV/  $Å^2$  is found to be the most stable termination in the surfaces with (100) Miller index. We also considered the interfacial energy at MoS<sub>2</sub>/Si<sub>3</sub>N<sub>4</sub> interface. Since the dangling bonds are present in (100) surface but absent in (001) surface, the distinct interfacial energies of these two surfaces are -0.1173 and -0.0046 eV/  $Å^2$ . Clearly, the binding between  $MoS_2$  (100) surface and the substrate is much stronger than  $MoS_2$  (001).



**Figure 3.10** The competition of total system energy between (002)-exposed structure and (100)-exposed structure as a function of particle size during crystallization. (A) The energy density of particles along two orientations as a function of their volumes. The length, width and height of particles with minimal energy for (B) (002)-exposed and (C) (100)-exposed orientations. Note that the length is equal to width in the case of (100)-exposed orientations. (D) and (E) illustrate the shape and atomic arrangements for the particles along (002)-exposed and (100)-exposed orientations, respectively.

Generally, the interfacial energy will lower the energy of the

system while surface energy will raise it. Surface energy of (100) surface is larger than (001) but the interfacial energy is much smaller. Therefore, the competition of energy contribution from surface energy and interfacial energy in particles with different surfaces will determine the priority of growth orientation. To illustrate the idea, we evaluated the energies of particle in different orientations as a function of volumes. The corresponding energy density, the optimal length, width and height of different surface orientation as a function of volumes are summarized in Figure 3.10. We can find that the (002)-exposed particle prefers to adopt a box with large length and height but small width, whereas the (100)-exposed -MoS<sub>2</sub> particle trend to 2D growth mode, in which the length, equals to width, is much larger than height. As shown in Figure 3.10A, at the beginning of crystallization, the particle size is small, and hence (002)-exposed particle has lower energy than (100)-exposed one. When the volume is larger than the critical value, the (100)-exposed particle becomes more stable than (002)-exposed growth. This simplified thermodynamic mode on the basis of surface energy and interfacial energy from DFT calculation is in well consistent with the above experimental results, that a transition from (002)-exposed to (100)-exposed growth is happened during observation. It is worthy of noting that, although the interfacial energy should be sensitive to substrates but the relative order will keep unchanged due to the dangling bonds in (100) surface.

Furthermore, defects may also play an important role in the aforementioned vertical-to-horizontal transition. At the initial stage (e.g., Figure 3.4A at 400 °C), the twisty MoS<sub>2</sub> fringes in the HRTEM image imply the ample existence of in-plane defects (e.g. dislocations, localized disordering, and amorphization), resulting from a low degree of crystallization. These defects would further increase the (001) surface energy, on the basis of above computation. Upon this circumstance, the (002)-exposed structure will be more energetically stable than (100)-exposed structure. However, accompanied by the rising temperature (i.e., better crystallinity), the amount of defects would consequentially decrease (obviously, the lattice fringes are getting less twisty throughout Figure 3.3 A-D), leading to a synchronized decrease of (001) surface energy, and hence, a vertical-to-horizontal transition.

#### 3.3.2 Growth dynamic of MXene

The MoO<sub>3</sub> in H<sub>2</sub>O<sub>2</sub> solution was utilized as our precursor. It is reported that the MoO<sub>3</sub> can react with hot H<sub>2</sub>O<sub>2</sub> solution and form H<sub>2</sub>MoO<sub>4</sub>, which will decompose to MoO<sub>3</sub> again at 400 °C.<sup>136</sup> Different

from  $MoS_2$  growth, the E-chip we used in the MXene growth is covered with carbon membrane, which serves as the support in TEM and the carbon source for MXene growth.

We first diluted the precursor solution and reduced the amount of MoO<sub>3</sub> introduced into TEM. Figure 3.11 A to D present the SAED evolution from room temperature to 1150 °C. After excluding the diffraction ring of crystalline carbon film, we observe no diffraction pattern when the temperature was below 800 °C, which indicates the precursor is kept at amorphous state. When the temperature continuously increased, a blurred diffraction rings emerged at 1000 °C and became slightly sharper at 1150 °C, which is indicated by the red arrow in Figure 3.11D. This pattern can be well indexed to the (100) surface of hcp Mo<sub>2</sub>C. The intensity of this diffraction ring is even weaker than the adjacent diffraction ring of crystalline carbon film, which means the product Mo<sub>2</sub>C has extremely low yield. The morphology image in Figure 3.11E confirm the low density of Mo<sub>2</sub>C. Shown in Figure 3.11E, the domain size of  $Mo_2C$  flakes was in the range of  $1 \sim 20 \ \mu m$  and some flakes displayed a typical six-fold symmetry. The HRTEM in Figure 3.11F provide details of a  $Mo_2C$ flake. The diffraction pattern in the inserted FFT also exhibits a six-fold symmetry and can be indexed by hcp Mo<sub>2</sub>C phase, assuring



the final product. Figure 3.11 G and H show the beginning and the ending of the orientation attachment of two Mo<sub>2</sub>C flakes. The exposure lattice is indicated by the red line. As presented, after the two flakes with two different orientations attached each other, the smaller flake rotated its orientation at a higher speed than that of the other flakes. Figure 3.11H shows the one flake combined with two flakes has a similar orientation with the larger one.



**Figure 3.11** (A to D) The evolution of SAED patterns of high-concentration precursor across a wide temperature range. The scale bar in (A) also applies to (B - D). (E) Typical image showing the final product of Mo<sub>2</sub>C flakes. (F) HRTEM showing one Mo<sub>2</sub>C flake and the inserted is the FFT pattern. (G and H) The images showing the beginning and the ending of the Mo<sub>2</sub>C attachment.

We attained Mo<sub>2</sub>C with low concentration precursor. When we

increase the amount of MoO<sub>3</sub> introduced into TEM, the product was different. Figure 3.12 A to D present the SAED evolution from room temperature to 1150 °C. Two significant diffraction rings emerged when the temperature was 800 °C. These two rings can be indexed by the fcc cubic MoC (The Miller indices are indexed in Figure 3.12D). The diffraction rings were shaper and brighter along with the rising temperature, indicating the improvement of crystallinity and the continuous size-development of crystallites. From the morphology image in Figure 3.12E, the  $MoO_3$  precursor exhausted the carbon support film. The MoC without underlying carbon film was free-standing supported by adjacent MoC. The MoC network has a size larger than 100 µm. However, large density of defects was observed in the HRTEM shown in Figure 3.12F. Figure 3.12 G and H can explain the reason for large amount of defects. When one MoC flake was attached to another one, it is expected to have an orientation match before combination. However, the large domain size and the strong interaction with the substrate hinder the rotation and require extra energy, which excess the energy for the formation of grain boundary and the followed recrystallization.



**Figure 3.12** (A to D) The evolution of SAED patterns of low-concentration precursor across a wide temperature range. The scale bar in (A) also applies to (B - D). (E) Typical image showing the final product of MoC flakes. (F) HRTEM showing one MoC flake and the inserted is the FFT pattern. (G and H) The images showing the beginning and the ending of the MoC attachment.

To fully explain the different phases produced by different concentration precursor and different growth pathway, the formation energy of  $Mo_xC$  phases and the system energy such as interfacial energy should be considered.

# 3.4 Summary

We have observed the dynamic growth of 2D MoS<sub>2</sub> structure on an amorphous substrate by using TEM upon heating a solid precursor. We have microscopically identified a novel two-step mechanism during the crystallization of  $MoS_2$ . A series of interesting phenomena, which include the initial formation of vertically aligned layers, then a grain rotation process towards a horizontally layered structure, precipitation and growth of nanocrystals, and formation of hexagonal MoS<sub>2</sub> nanoflakes after facet development, are recognized to present in the as-revealed mechanism. Such thorough understanding of 2D formation using in-situ TEM technique provides structure fundamental knowledge in synthesis of the emerging 2D materials and paves the way to rational design of nanostructures with proposed properties.

We further observed the  $Mo_xC$  growth which is highly dependent on the composite pf the precursor. We produced  $Mo_xC$  with different phases by adjusting the precursor concentration, which requires for further understanding.

# **Chapter 4 Two-dimensional Boron Nitride Nanosheet for Efficient Heat Dissipation of High Electron Mobility Transistors**

# **4.1 Introduction**

High electron mobility transistors(HEMTs) have been widely for high-frequency and high-power communication used applications.<sup>90, 102, 137-138</sup> AlGaN/GaN heterojuction device is one promising candidate of the HEMTs due to its large bandgap and high electron saturation velocity.<sup>90</sup> The power in the AlGaN/GaN HEMT can reach an extremely high density (approximately 19 W/mm) and lead to extensive self-heating in the device.<sup>83-84, 88</sup> The inefficient heat dissipation can result in elevated temperature in the devices, which simultaneously decreases the electron mobility, reduces the maximum drain current, degrades the RF performances, and adversely affects the reliability of the HEMTs.<sup>85-86, 90</sup> Efforts to decrease the thermal resistance between the devices and the ambient can help to spread the heat and reduce the temperature in the HEMTs. In commercial products, silicon carbide (SiC) and diamond substrates with higher thermal conductivity (K=  $\sim$ 350 Wm<sup>-1</sup>K<sup>-1</sup> and  $\sim$ 1200 Wm<sup>-1</sup>K<sup>-1</sup>,

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respectively) have replaced the conventional sapphire substrates (K=  $\sim$ 30 Wm<sup>-1</sup>K<sup>-1</sup>).<sup>91</sup> The flip-chip bonding is also utilized to reduce the thermal resistance.<sup>139</sup> These methods provide a solution for the thermal management of chip-level or package-level. However, the power dissipation in HEMTs is not uniform, which will induce the hotspots in the downscaled channels.<sup>88</sup> The chip-level and package-level thermal management fail to effectively dissipate the generated heat from non-uniform hotspots in a short time. To alleviate the problem of the hotspots, it is crucial to establish an efficient thermal management method at the micro/nanoscale.

Recently, the development of 2D materials with high thermal conductivity provides new ways to improve the thermal management of HEMTs. It has been reported that graphene has superior thermal conductivity: approximately 4000 Wm<sup>-1</sup>K<sup>-1</sup> for single-layer and 1000-2000 Wm<sup>-1</sup>K<sup>-1</sup> for few-layer, which suggests potential applications of graphene as thermal management materials.<sup>75, 140-141</sup> Zhong *et al.* demonstrated few-layer graphene (FLG) as heat spreaders and successfully reduced the temperature of hotspots in the high power transistors.<sup>92</sup> The superior in-plane thermal conductivity allows graphene effectively remove large heat flux from the hotspots. However, this thermal management with the FLG requires

exceptionally delicate control. The excellent electrical conductivity of FLG can give rise to short circuit, and cause the malfunction of the whole circuits with HEMTs.94, 142 This feature requires additional photolithography steps to pattern graphene and complicates the processing for thermal management in the industry process. Therefore, the ideal materials for thermal management of integrated circuits (IC) are desired to be highly thermal-conducting but electrical-insulating. Two-dimensional h-BN, with a layered structure similar to graphene, has relatively high in-plane thermal conductivity (approximately 400 Wm<sup>-1</sup>K<sup>-1</sup> for few-layer) but poor electrical conductance.<sup>95, 143-145</sup> Although the thermal conductivity of few-layer h-BN (FLBN) is relative lower than that of FLG, the electrical insulating facilitates the application of FLBN in thermal management of HEMTs or IC. Lin et al. demonstrated composites of h-BN platelet and polymer as encapsulates in IC for efficient heat dissipation.<sup>146</sup> Although large area FLBN can be grown by chemical vapor deposition (CVD) now,<sup>147-149</sup> limited study shows the application of FLBN for thermal management of ICs at micro-scale level.

In this chapter, we develop the local thermal management with FLBN as heat spreaders and bulk h-BN as heat sinks and investigate the effectiveness. The developed thermal management introduces an additional heat transporting pathway from the hotspots to the ambient or the substrates.

# 4.2 Methods

#### 4.2.1 Few-layer h-BN transfer.

The h-BN on the Cu foils were first spin-coated with PMMA (4% in anisole) thin films at 3000 r.p.m for 30 s and were baked at 110 °C for 10 min. The Cu substrates were then etched by ammonium persulphate solution. The attained PMMA films were transferred to the chips or other substrates and were baked at 110 °C for 30 min to improve the interaction between the h-BN and the substrates. Finally, after the removal of PMMA in acetone, the chips and other substrates with h-BN were prepared for further process and characterizations.

#### 4.2.2 Characterizations methods.

Raman measurement was conducted using a Horiba Jobin Yvon LabRAM HR System with a laser wavelength of 488 nm. The laser spot size is  $\sim 1 \,\mu\text{m}$  with set power values (5.85 mW, 3.00 mW and 1.64 mW). The Raman spectra were collected by a 100X Olympus objective lens with acquisition time of 1000 s to distinguish weak h-BN Raman peak in the attained spectrum. The raw data were fitted with Lorentz function. The DC performance of HEMTs were characterized using micromanipulator probe station 450PM-B with Keithley 4200-SCS semiconductor parameter analyzer while the RF performance were measured using network analyzer. The AFM images were taken by Bruker NanoScope and the SEM images were from Jeol field emission SEM.

#### 4.2.3 Finite element simulation.

A finite-element model was used to investigate the temperature distribution of HEMTs with/without thermal management. The layers stack from bottom to top were Sapphire, GaN and AlGaN, with corresponding thickness of 300 µm, 500 nm and 30 nm and thermal conductivity of 30 Wm<sup>-1</sup>K<sup>-1</sup>, 160 Wm<sup>-1</sup>K<sup>-1</sup>, 120 Wm<sup>-1</sup>K<sup>-1</sup>. The electrodes were 240 nm thick with 317 Wm<sup>-1</sup>K<sup>-1</sup> thermal conductivity. The heat source was placed in the middle of the channel, on the interface of GaN and AlGaN with 2 µm width. In the models with thermal management, there was a layer of FLBN film of 2 nm thick on the top surface. The thick h-BN was located at the electrodes or channels. The bottom, left and right boundaries of the model are kept at room temperature throughout the entire simulation. The top exterior of the model, is thermally isolated from its surroundings due to the fact that air has negligible thermal conductivity. The numerical

result was obtained by solving a set of linear solver using the above boundary conditions.

## 4.3 Results and discussion

#### 4.3.1Thermal conductivity measurement

Raman thermometry has been widely used to characterize thermal properties of various nanomaterials, including carbon fibers,<sup>150</sup> graphene<sup>5, 141, 151</sup> and MoS<sub>2</sub>.<sup>152</sup> The Raman signals of these nanomaterials are sensitive to the temperature and quantitatively respond to the temperature change. The peak information including frequency, full width at half maximum (FWHM) and intensity can temperature change of the materials.<sup>153</sup> indicate the The frequency-detecting method has the highest sensitivity and is most frequently employed in temperature measurement, while FWHM and intensity will be influenced by the focus level shift caused by thermal expansion effect and lost some sensitivity to temperature change. In this work, we mainly identified the temperature of FLBN through the Raman peak frequency of h-BN.

The relationship between the peak frequency and the temperature was first determined by carrying out a series of

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temperature-dependent Raman spectra measurement. At room temperature, the in-plane  $E_{2g}$  phonon mode, the characteristic Raman peak of h-BN, is located at 1368.6 cm<sup>-1</sup>, presented in the Figure 4.2A. Figure 4.1A shows the temperature-dependent Raman spectra of FLBN, ranging from 303 K to 393 K. The peak frequency exhibited a red-shift as the temperature increases, following similar trend as other materials (silicon, germanium and tin) reported in previous work.<sup>154-155</sup> The frequency shift marks the changed phonon energy affected by the temperature, which is primarily because of the thermal expansion of the lattice.<sup>156</sup> The shift can be described as a linear function between Raman frequency and temperature in the range near the room temperature.<sup>5, 151-152</sup> The function is presented in Figure 4.1B with a slope of  $-0.022 \pm 0.003$  cm<sup>-1</sup>K<sup>-1</sup>, which is referred as the first-order temperature coefficient.<sup>157</sup> When measuring the thermal conductivity, we first suspended the FLBN above the trenches with a depth of  $\sim 200$  nm, which were fabricated by standard photolithography and inductively coupled plasma etching on 300 nm SiO<sub>2</sub>/Si substrates. As shown in schematic figure in Figure 4.1C, the laser is focused on the suspended FLBN and increase the temperature in the sample. The temperature profile T(r) within the suspended BN is governed by the following heat conduction equation:<sup>158</sup>

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$$\kappa \frac{1}{r} \frac{d}{dr} \left[ r \frac{dT(r)}{dr} \right] + q(r) = 0$$
(4.1)

where  $\kappa$  is the thermal conductivity, r is radial distance from the center of laser, q(r) is the volumetric heating expressed as followed:

$$q(r) = \frac{PA}{\pi r_0^2 h} \exp(-\frac{r^2}{r_0^2})$$
(4.2)

where *A* is absorbed fraction of heating power by the suspended BN, *h* is the thickness of the h-BN,  $r_0$  and *P* are the radius and power of laser spot, respectively. After the temperature distribution T(r) is solved from equation (1), the average temperature within the suspended region is approximated as:<sup>158</sup>

$$T_m \approx \frac{\int_0^R T(r) exp\left(-\frac{r^2}{r_0^2}\right) r dr}{\int_0^R exp\left(-\frac{r^2}{r_0^2}\right) r dr}$$
(4.3)

where *R* is the size of the trenches. This local average temperature can be also determined from the temperature-dependent peak frequency of Raman spectra we attained in Figure 4.1B. Therefore, we can extract the thermal conductivity of FLBN using the below expression solved from above equations:<sup>157</sup>

$$\kappa = \frac{1}{2\pi h} \frac{\partial \omega / \partial T}{\partial \omega / \partial P} A \tag{4.4}$$

Figure 4.2B shows the absorption of FLBN at 488 nm light is about

0.03. It is noteworthy that most transmitted laser through the sample will be reflected from the bottom of the trenches. Thus, we assume that the absorbed fraction of laser power is 0.06 in the subsequent calculation. Figure 4.1D shows the function between the Raman frequency and the laser power. As expected, the frequency was red-shifted, while the laser power was increased from 1.64 mW to 5.85 mW. The relationship can be linearly fitted,<sup>157</sup> with a slope of  $-0.45\pm0.01 \text{ cm}^{-1}\text{mW}^{-1}$ . The thickness value was measured from AFM image and corresponding height profile presented in Figure 4.3A and B. According to equation (4), the thermal conductivity of FLBN is calculated to be 222.3 Wm<sup>-1</sup>K<sup>-1</sup>.



**Figure 4.1** (A) Raman spectra of FLBN at different temperatures. (B) Relationship between Raman frequency of FLBN and the temperature. (C) Schematic of thermal conductivity measurement. (D) Relationship between Raman frequency of FLBN and the laser power.



**Figure 4.2** (A) Raman spectrum of FLBN at room temperature. (B) Absorption of FLBN at 400 nm to 800 nm wavelength.


Figure 4.3 (A) and (B) AFM image of FLBN and corresponding height profile.

#### **4.3.2 Device performances**

Figure 4.4A is the optical image of the commercial HEMT showing the channel length is around 10  $\mu$ m and the width is 100  $\mu$ m. Previous simulation works have shown that the hotspots in the HEMT are located in the channel at the gate electrode edge near the drain side, where is indicated by the red line in Figure 4.4A.<sup>88-89, 92</sup> As schematically shown in Figure 4.4B, we first transferred the CVD-grown h-BN onto the power devices serving as heat spreaders. The liquid-exfoliated h-BN suspended in tripropylamine (TPA) solution was then dropped on the chip and formed thick h-BN as heat sink. The transferred FLBN with a modest thermal conductivity provide an additional pathway for thermal transport. The heat flux generated from the hotspots in the channel will be withdrawn to the thick h-BN, which can tolerate intensive heat flux from FLBN and dissipate to the ambient without affecting the devices. As h-BN is

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highly electrical insulating, the h-BN can cover any part of the device without causing short circuit. Different from chip-level thermal management, our developed practice targets the heat dissipation at the microscale hotspots in the channel.



**Figure 4.4** (A) Optical image of the HEMT on commercial chips. (B) Schematic of the developed thermal management.

The self-heating effect is a local temperature increase due to the high-density Joule electric power dissipated in the junction. When HEMTs are operated under high bias voltage, most of voltage drop occurs on the drain side, where large amount of heat is generated and hotspots are located.<sup>89</sup> The elevated temperature increases the phonon scattering, which can decrease the carrier mobility and give rise to negative differential output conductance (NDC). We first compared the DC performance of HEMTs with and without the use of h-BN. Figure 4.5A shows the transfer characteristics of the devices. As the devices were not operated at a high  $V_d$  when the transfer curves were

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measured, the currents were unchanged. However, in the output curves shown in Figure 4.5B, the saturation current at different  $V_g$  all exhibited improvement with the use of h-BN for thermal management. The output curves of the HEMT with and without h-BN thermal management are also compared in Figure 4.5C. The saturation current was increased from ~ 0.077 A to ~ 0.080 A with h-BN thermal management. The onset voltage of NDC region was also advanced as the arrow indicates in the Figure 4.5C.

Without thermal management, the generated heat cannot be completely transport outside when the devices are operated at high power. The heat trapped in the channel increases the phonon scattering and degrades the DC performance. Our method provides an additional heat transporting pathway, which allows the channel dissipate the heat to the upper FLBN heat spreaders layer. The heat can be withdrawn to the thick h-BN and dissipated to the substrate or the ambient without effect to the channel. However, the heat flux dissipated by the FLBN is limited when the operation voltage is high. When measuring the output characteristic, we deliberately changed the voltage sweep rates. When the sweep rate is lower, the measure time will be increased, indicating more heat is generated from the channel. As shown in Figure 4.5D, the saturation current exhibited



3.3% improvement with h-BN thermal management to 4.5% regardless of measurement time. Although both conditions show current decrease when the measurement time was lengthened, the reduction rate of devices with thermal management was slower than devices without thermal that of management. The current improvement was increased when more heat was generated, indicating the significance of thermal management when devices are operated at high power in a long time.



**Figure 4.5** (A) Transfer characteristics of HEMTs with and without thermal management. (B) and (C) Output characteristics and detailed curve of HEMTs with (solid line) and without (dash line) thermal management. (D) Saturation current improvement of HEMTs with thermal management.

The effects of heat dissipation on the device performance can be also evaluated through the high-frequency characterization. It is reported that the elevated temperature induced by the hotspots will degrade the RF performance.<sup>85-86</sup> Figure 4.6 shows the Smith charts of S parameter from the device with and without h-BN heat spreader/sink. Figure 4.7A presents short circuit current gain  $|h_{21}|$  of the HEMTs. The transit frequency  $f_T$  was increased by ~ 6% from 5.05 GHz to 5.86 GHz after the adoption of thermal management. Figure 4.7B shows the maximum available gain as a function of frequency. The maximum frequency of oscillation  $f_{max}$ , which can be extracted from Figure 4.7B, also increased from 13.13 GHz to 13.45 GHz. This indicates that the FLBN as thermal management helps to reduce the channel temperature and enhance the RF performance



Figure 4.6 (A) and (B) Smith charts of HEMTs without and with thermal management.



**Figure 4.7** (A) and (B) Short circuit current gain and maximum available gain of HEMTs with and without thermal management.

#### 4.3.3 Finite element simulation

The finite element simulation (see details in the Methods) was conducted to estimate the improvements in heat dissipation of HEMTs. The hotspot was assumed to be located within the channel, in agreement with previous works.<sup>88-89, 92</sup> Figure 4.8A shows the temperature distribution of a typical HEMT without thermal management on sapphire substrates with the power density of 4 W/mm. The maximum temperature reached ~ 185 °C at the hotspot. The heat flux generated from the hotspot is mainly dissipated to the bottom substrates. Sapphire is a conventional substrate for HEMTs, but with a low thermal conductivity (~30 Wm<sup>-1</sup>K<sup>-1</sup>). The inefficient heat dissipation is attributed to the high temperature in the channel, which consequently degrades the performance of HEMTs. Figure 4.8B and C present the temperature profile of devices with two kinds of thermal management utilizing h-BN. In both simulations, FLBN served as the heat spreader dissipating the heat from the hotspot to other parts of the device. As FLBN is electrically insulating, it can cover the whole chip without causing short circuit and dissipate the heat in all directions. When the heat sink, thick h-BN, was located beyond the channel region and covered part of drain electrode as shown in Figure 4.8B, the maximum temperature was reduced by ~ 8  $^{\circ}$ C compared to devices without thermal management. The FLBN introduces an additional thermal transporting pathway allowing the heat at the hotspot spread to the rest part. Although FLBN can rapidly dissipate the heat form the hotspot, its heat capacity is poor considering its ultrathin thickness. Thick h-BN, serving as heat sinks, can tolerate intensive heat flux from FLBN and dissipate to the ambient without affecting the HEMTs. The h-BN heat sink can be replaced by the other commercial sinks or be connected to the bottom heat sinks in the geometry of thermal management in Figure 4.8B. Different from other heat sinks including graphite used in Zhong's work,92 the h-BN heat sink can be even placed within the channel region without delicate fabrication. This will shorten the thermal transporting distance from FLBN to heat sinks. The maximum temperature was substantially decreased by 40 °C at the



hotspot of HEMTs with thermal management shown in Figure 4.8C.

The temperature reduction of devices operated at various power is summarized in Figure 4.8D. It is reasonable to see temperature increase when the devices were operated at higher power in all situations. The thermal management with both geometries shown in Figure 4.8B and C decreased the maximum temperature and alleviated the hotspot problems. The reduction was more significant when the thermal management has a h-BN heat sink at the channel. It benefits from the high electrical insulating h-BN which makes controlling the location of heat spreaders and sinks non-essential and facilitates the application in the industrial process.



**Figure 4.8** (A) Temperature distribution of HEMTs without the thermal management at the dissipated power 4 W/mm. (B) and (C) Temperature distribution of HEMTs with the thermal management (with different thick BN locations) at the dissipated power 4 W/mm. (D) Temperature reduction of HEMTs operated at different dissipated power.

### 4.4 Summary

In this chapter, we developed the thermal management at microscale utilizing FLBN as heat spreaders and thick h-BN as heat sinks, both of which are electrical insulating. Our Raman thermometry shows that the FLBN possesses high thermal conductivity of approximately 222.3 Wm<sup>-1</sup>K<sup>-1</sup>. The FLBN fully covered the device and rapidly dissipated the heat from the hotspot to other parts of the

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device, while thick h-BN stored the intensive heat flux from FLBN and withdrew to the ambient without affecting the HEMTs. The electrical insulating h-BN simplifies the preparation process. With the developed thermal management, the performance of HEMTs in terms of DC and RF were enhanced. The finite element simulations indicates ~40 °C temperature reduction when the HEMT was assumed to be operated at 4 W/mm on sapphire substrates after the application of the thermal management. The developed heat dissipation is highly potentially applicable in thermal management of power devices and IC because of the characteristics of thermal-conducting and electrical-insulating of h-BN.

### **Chapter 5 Conclusions and Outlooks**

### **5.1 Conclusions**

In summary, we first grow MoS<sub>2</sub> using CVD method. By tuning the distance between source and growing substrate, we investigate the evolution of monolayer  $MoS_2$  from triangle islands to continuous thin films. we then present a facile transfer process using thermal release tape (TRT) to avoid the polymeric residue and wrinkles generated in the PMMA-mediated transfer method. Our method allows us to transfer MoS<sub>2</sub> from the growing substrate onto arbitrary substrates without observable polymeric residues or wrinkles. We introduce a Cu thin film between monolayer  $MoS_2$  and TRT, preventing the  $MoS_2$ from direct exposure to the glue and giving rise to the resist-free MoS<sub>2</sub> surface. The tape and Cu thin film also provide mechanically robust supports for monolayer MoS<sub>2</sub> thin film, reducing the wrinkles generated during the transfer process. Without the resist residues and wrinkles, the transferred MoS<sub>2</sub> can maintain high quality compared to the as-grown samples. Although we focus on  $MoS_2$  in this work, this technique can be extended to the transfer of other 2D layered TMD materials.

to track the crystallization trajectory of MoS<sub>2</sub> and MXene on an amorphous substrate. We first identify a novel two-step growth mechanism for  $MoS_2$  growth, containing a low-temperature metastable thin-film stage and a successive high-temperature nanoflakes stage. The mechanism includes a sequence of intricate processes, which are the initial formation of vertically aligned layers, followed by a grain rotation process towards a horizontally layered structure before precipitation and growth of nanocrystals, and finally, formation of hexagonal MoS<sub>2</sub> nanoflakes after facet development. We then investigate the *in-situ* growth of Mo<sub>x</sub>C. By adjusting the carbon concentration in the precursor, we attained MoC and Mo<sub>2</sub>C in different phases. MoC with large domain size is grown in carbon-poor condition. The MoC flakes are enlarged by attachment to another flakes without orientation match. In contrast, Mo<sub>2</sub>C is grown in carbon-rich condition. The carbon film surrounding the Mo<sub>2</sub>C flakes hinder the diffusion of flakes. The flakes growth relies on the slow orientation attachment between flakes. The developed growth mechanisms shade light on the controllable growth of 2D materials.

Finally, we develop the local thermal management with few-layer h-BN as heat spreaders and bulk h-BN as heat sinks, which introduces an additional heat transporting pathway from the hotspots to the

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ambient or the substrates. Our Raman thermometry characterizations show that the few-layer h-BN possesses high thermal conductivity, which allows to effectively spread the heat from hotspots and reduce the temperature in the channel. With the developed thermal management method, the maximum drain current of HEMTs is increased, and the transit frequency and maximum frequency of oscillation of HEMTs are improved. Our finite element simulations show that the temperature at the hotspots is reduced by  $\sim 40$  °C at the power density of 4 W/mm by using h-BN heat spreader and heat sink. The improved thermal management targets the heat dissipation of non-uniform hotspots at device level, supplementing the chip-level and package-level thermal management for high-power device. The characteristics of thermal-conducting and electrical-insulating of h-BN make it more easily applicable in thermal management of power devices and IC.

### **5.2 Outlooks**

Due to the unique structures and extraordinary properties, 2D materials have attracted intensive research interest. Even though the distinguishing properties, the feasible preparation methods and the practical applications have been extensively explored in recent years,

the research on 2D material is still on the early stage, especially for the new-emerging 2D material including MXene, BP, and silicene. Although the properties of these new-emerging 2D materials may have been studied, the preparation for these materials still remains a challenge, which subsequently limits the applications. 2D materials are a big family of unique materials. Except making the beat of the discovered materials, exploring for new 2D materials is more challenging and requires more research efforts. With many available 2D material, the fabrication of various kinds of 2D heterostructures with designed structures and desired properties also need further investigation.

This study investigated controllable growth of 2D  $MoS_2$  and developed a microscopical understanding on the growth mechanism using *in-situ* TEM observation. The potential of *in-situ* TEM in research on growth mechanism of low-dimensional materials has been shown in this study. The mechanism developed from the nanoscale observation of growth behavior is of great importance on the controllable growth of 2D materials.

With intensive research efforts, 2D materials will be further developed and numerous promising applications will be proposed.

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