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**PHENOMENOLOGICAL
MODELING OF THE MUTUAL
IMPEDANCE AMONG WIRE
ANTENNAS**

GERALD PACABA ARADA

Ph.D

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The Hong Kong Polytechnic University
Department of Electronic & Information Engineering

Phenomenological Modeling of the Mutual Impedance Among Wire Antennas

Gerald Pacaba ARADA

A thesis submitted in partial fulfillment of the requirements
for the degree of Doctor of Philosophy

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CERTIFICATE OF ORIGINALITY

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Gerald Pacaba ARADA

Abstract

This dissertation pioneers the “phenomenological” or “behavioral” approach to model the mutual-impedance matrix between two skewed crossed dipoles. This 2×2 mutual impedance matrix has the following four real-value scalars: the magnitude and the phase of the cross-impedance, the magnitude and the phase of the self-impedance. Simple expressions of the electromagnetic mutual impedance, in simple closed forms are introduced, veering away from lengthy and complicated expressions. The numerical values of the mutual and self-impedance are obtained from the computer electromagnetics simulation software, EMCoS VLab. These VLab data are then least-squares fit to various candidate functions of few degrees-of-freedom, to arrive at a good “phenomenological” model. The phenomenological models are expressed in terms of the dipoles’ skew angle, separation, and common length. The three significant contributions of this dissertation are: (1) obtain the phenomenological models that best represent the VLab data, (2) interpret the models in terms of electromagnetic considerations and (3) illustrate the usefulness of the obtained phenomenological models in estimating an incident source’s direction-of-arrival.

List of Publications

Journal paper:

1. “How two crossed dipoles’ self/mutual impedance varies with their non-orthogonality, length & separation,” submitted to *IEEE Transactions on Antennas and Propagation*.

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1. “Mis-modeling and mis-correction of mutual coupling in an antenna array - A case study in the context of direction finding using a linear array of identical dipoles,” *IEEE International Conference on Signal and Image Processing*, 2016.
2. “Electromagnetic coupling matrix modeling and ESPRIT-based direction finding – A case study using a uniform linear array of identical dipoles,” *2nd IET International Conference on Intelligent Signal Processing*, 2015.

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Chapter 1

Introduction

Introduction

This chapter introduces the motivations and the objectives of the research. While mutual coupling among antennas within an array is oftentimes overlooked, an attempt is made here to model this phenomenon for the special case of a pair of skewed crossed dipoles, in simple tractable closed forms.

1.1 Motivations

Cross dipoles have been popularly used in many applications such as in beamforming [81, 99], direction-of-arrival and polarization estimation [28, 86, 115, 118, 123, 126]. The crossed dipoles' differently polarized elements can discriminate incident sources based on their different polarizations, aside from their different frequency spectra and their different directions-of-arrival. When two dipoles are mounted at right angles with each other, electromagnetic coupling would not exist between them.

One unique Cartesian element of the incident electric-field vector is measured by each dipole. The determination of the polarization and azimuth direction-of-arrival of the electromagnetic wavefront can be achieved by only one pair of crossed dipoles. For the relevant literature, please refer to [103].

In a real-world scenario, the orthogonality of crossed dipoles may not always be actualized or maintained, so that mutual coupling results between the two dipoles.

Please refer to Figure 1.1, which defines the skew angle φ (i.e. the angular deviation from perpendicularity) between two identical dipoles - one on the z -axis and the other on the y' - z' plane.

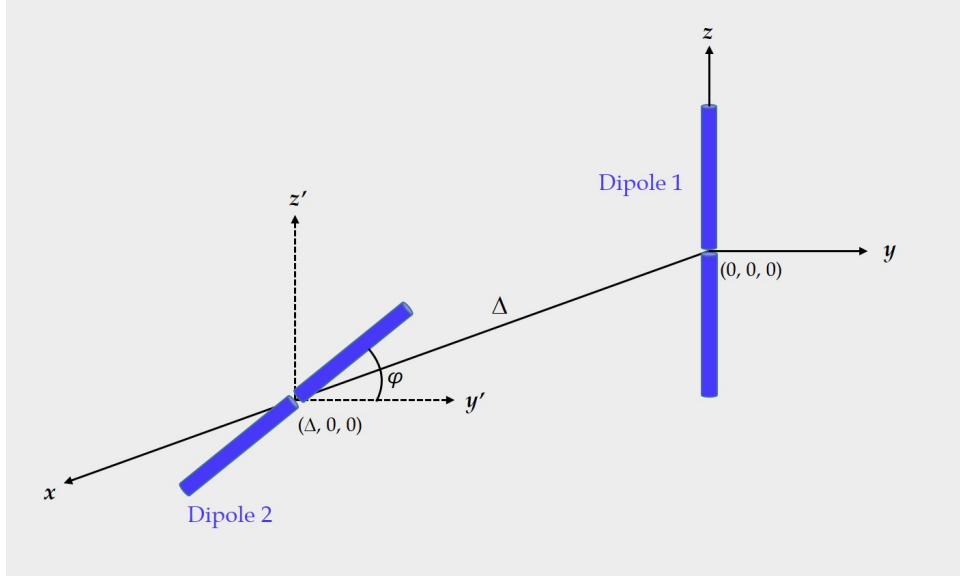


Figure 1.1: The spatial geometry between two crossed dipoles of non-orthogonal orientation. Here, the inter-dipole separation Δ is greatly exaggerated for visual clarity.

For a pair of skewed dipoles, there had been various studies on the mutual impedance, but they all introduced very highly complicated mathematical expressions for the mutual impedance. Some of them introduced very long and complicated equations as in [4], complex unsolved exponential integral equations [1, 5, 8, 12, 104, 113], and nested summations [7, 32, 105, 113]. While [5, 7, 8, 11, 47, 51, 95, 104, 105] opted to only plotting the mutual impedance.

This dissertation introduces a new and different approach – “phenomenological” or “behavioral” modeling in mutual coupling. Phenomenological modeling, being new on mutual coupling, has been used in wireless propagation fading and in nonlinear amplifier’s input/output relationship. These phenomenological models on mutual impedance would feature simple and tractable expressions as a result of least squares data fitting. These expressions involve three independent variables: the dipoles’ skew angle (φ), the wavelength-normalized inter-dipole separation ($\frac{\Delta}{\lambda}$), and the wavelength-normalized dipole length ($\frac{L}{\lambda}$).

1.2 Objectives

The main objective of this dissertation is to obtain phenomenological models of mutual impedance for skewed crossed dipoles.

The investigation's specific steps are:

1. to use computer electromagnetics simulation software (i.e. EMCoS VLab) to calculate the mutual impedance between skewed crossed dipoles.
2. to propose models with unknown coefficients to model the VLab simulation data of the mutual impedance matrix.
3. to least-squares fit the VLab simulation data to the above simple phenomenological models with the goal of obtaining the optimized value of the unknown coefficients.
4. to select the best model fit among the proposed functions using coefficient of determination, R^2 , as goodness-of-fit criterion.
5. to interpret the obtained phenomenological models through electromagnetic considerations.
6. to use the phenomenological models in estimating an incident emitter's direction-of-arrival

Summary

The adoption of phenomenological modeling to model the mutual coupling or any other phenomena have not been explored in the field of antenna array signal processing. Hence, this concept is unprecedented and adds to the body of knowledge in the field of antenna array signal processing. As the motivations and the objectives of this dissertation have been clearly established, it is time to discuss the key concepts and main theories that will aid in satisfying the research objectives. This will be done in the next chapter.

Chapter 2

Theoretical Framework

Introduction

This chapter discusses the concept of “phenomenological modeling” and basic theories of a dipole antenna and crossed dipoles. The concepts of mutual coupling and the “method of moments” are also briefly discussed. The last section of the chapter discusses direction-of-arrival estimation, a critical component of this dissertation as it validates if the modeling has been successful.

2.1 Phenomenological Modeling

Scientific modeling like “phenomenological” or “behavioral” approach involves empirical relationship among phenomena. Phenomenological modeling merely describes the occurrence which is consistent with fundamental theories but not really derived from first principles. Some phenomenological models even goes beyond and contradict fundamental theories. The mathematical tractability of phenomenological models is its advantage over theories.

Phenomenological modeling has found applications in the wireless communication, fiber optics, and amplifiers. In the area of wireless communication, [130] used phenomenological modeling to model the *in vivo* wireless channel. The data were obtained using the ANSYS High Frequency Electromagnetic Solvers (HFSS) simulation software. In fiber optics, [36] carried out phenomenological modeling of the

output characteristics of the integrated multifrequency laser (MFL), in which resulting model has found usage in performance monitoring, device evaluation and improved transmitter design.

2.2 Wire Antennas

Wire antennas (like dipoles and loops) are simple to construct, hence widely used. In this research, it is assumed that the cross-sectional radius of the wire is much smaller than the wavelength of the antenna. The reason for this assumption is to have only one component for the current. The assumed wire's current distribution is the major parameter to facilitate computation of the electric and magnetic fields along the antenna.

The most popular type of wire antennas, which is the dipole antenna, is discussed in detail on the succeeding subsections below.

2.2.1 Dipole Antenna

A dipole (a.k.a. doublet) is a conductive rod, split into two equal halves by a “feeding gap” where electric current enters the rod. Figure 2.1 illustrates the geometry of a center-fed dipole with length L .

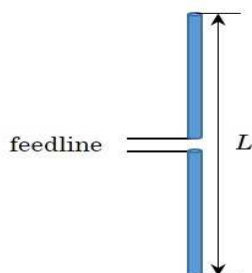


Figure 2.1: The geometry of a dipole with length L . The feeding gap is at the center.

One of the applications of dipole antenna is in radio direction finding which takes advantage of its “figure 8” radiation pattern that introduces maximum gain perpendicular to the dipole and zero gain along its axis (see Figure 2.2).

The electric and magnetic far-field radiation of a dipole with finite length, L , is

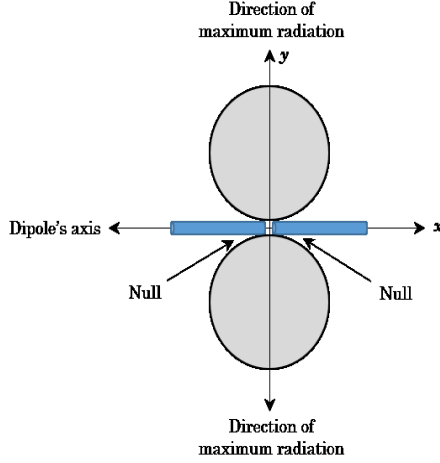


Figure 2.2: The “figure 8” radiation pattern of a horizontal dipole’s axis. Maximum radiation is perpendicular to the dipole’s axis, while “null” radiation is along its axis.

given by the following equations: [34]

$$E_{\theta} \simeq j\eta \frac{I_0 e^{-j\beta r}}{2\pi r} \left[\frac{\cos\left(\frac{\beta L}{2} \cos\theta\right) - \cos\left(\frac{\beta L}{2}\right)}{\sin\theta} \right] \quad (2.1)$$

$$H_{\phi} = \frac{E_{\theta}}{\eta} \simeq j \frac{I_0 e^{-j\beta r}}{2\pi r} \left[\frac{\cos\left(\frac{\beta L}{2} \cos\theta\right) - \cos\left(\frac{\beta L}{2}\right)}{\sin\theta} \right], \quad (2.2)$$

where η denotes the intrinsic impedance (120π or 377 ohms in free-space), I_0 denotes the maximum current flowing into the antenna, r is the far-field distance, $\beta (= \frac{2\pi}{\lambda})$ denotes the wave number, and θ denotes the inclination angle.

A “short dipole” has length that is less than half-wavelength. This minimum length makes the dipole resonant at the operating frequency. The “short dipole” is used in cases where the full half-wave dipole would require considerable length and becomes unwieldy.

Half-wave dipole (i.e. dipole with $L = \lambda/2$) is widely used in many applications, such as receiving antenna in TV and FM broadcasting and base station antenna in cellular telephony, because of its omnidirectional radiation pattern in the H -plane.

At $L = \frac{\lambda}{2}$, Equations 2.1 and 2.2 become

$$E_{\theta} \simeq j\eta \frac{I_0 e^{-j\beta r}}{2\pi r} \left[\frac{\cos\left(\frac{\pi}{2} \cos\theta\right)}{\sin\theta} \right] \quad (2.3)$$

$$H_{\phi} = \frac{E_{\theta}}{\eta} \simeq j \frac{I_0 e^{-j\beta r}}{2\pi r} \left[\frac{\cos\left(\frac{\pi}{2} \cos\theta\right)}{\sin\theta} \right]. \quad (2.4)$$

Figure 2.3 shows the current and voltage distributions of a center-fed half-wave dipole. The current vanishes at the end points (i.e. maximum at the center and minimum at both ends), while the voltage vanishes at the center and maximum at both ends.

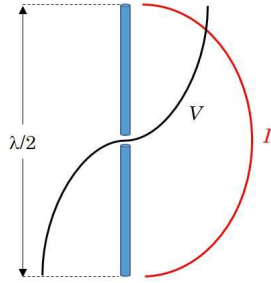


Figure 2.3: The voltage and current distributions along a half-wave dipole. Current is maximum at the center and minimum at both ends.

Common applications of a dipole as a single antenna are: television indoor antenna (i.e. “rabbit ears” antenna), FM broadcast receiving antennas, HF shortwave communications (i.e. in the form of a horizontal dipole), and a mast radiator for medium-frequency and low-frequency transmissions.

Multiple dipoles can form different types of antenna array. The collinear antenna array [3] which is composed of stack vertical dipoles end-to-end that is fed in phase to form an omnidirectional pattern used in very high frequency (VHF) and ultra high frequency (UHF) bands. Broadside array, end-fire array and parasitic array [73] are other remarkable dipole antenna arrays used as radiating systems.

2.2.2 Crossed Dipoles

Known at first to be a “turnstile” antenna, invented by Brown [2] in the early 1930s, crossed dipoles are composed of two dipoles orthogonally aligned to each other (See Fig. 2.4).

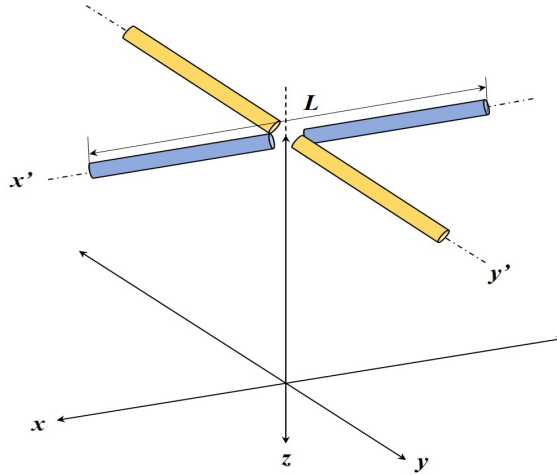


Figure 2.4: Crossed dipoles with common length L , elevated on the $x' - y'$ plane.

A crossed-dipole antenna can be configured to provide omnidirectional [89, 139] or isotropic radiation with dual [85] or circular polarization [117, 124], by varying its feeding structure. It can also be used for single-band, multiband [128] and broadband operations [91] when combined with additional antenna elements.

The crossed dipoles, which are orthogonal and co-centered, introduce zero mutual coupling as there is no radial component of the near electric field from the dipole in the normal plane through the dipole center [92].

Crossed dipoles are nowadays a popular choice for applications such as TV and FM broadcasting, mobile and satellite communications, RFID, and wireless LANs [127].

Crossed dipoles will be investigated in this dissertation at various values of the skew angles, of the separation between the two dipoles, and of the lengths of the dipoles.

2.3 Mutual Coupling

Given the many advantages of using antenna arrays, one drawback is the presence of mutual coupling. Mutual coupling is the electromagnetic interaction among antenna elements in an antenna array.

The current flowing into one antenna element creates an electromagnetic field (EM) field. If nearby antenna element/s is/are exposed to EM field from the excited antenna element, then current is induced to the exposed elements thereby causing mutual coupling.

2.3.1 Mutual Coupling Effect

According to IEEE Standard Definitions and Terms for Antennas, mutual coupling effect is defined as follows: “2.244. mutual coupling effect (A) (on the radiation pattern of an array antenna) change in antenna pattern from the case when a particular feeding structure is attached to the array and mutual impedances among elements are ignored in deducing the excitation to the case when the same feeding structure is attached to the array and mutual impedances among elements are included in deducing the excitation. (B) (on input impedance of an array element). For array antennas, the change in input impedance of an array element from the case when all other elements are present but open-circuited to the case when all other elements are present and excited.” [24]

2.3.2 Mutual Coupling in Antenna Arrays

The explanation for the transmit and receive modes of the antennas arrays are taken from [34].

Transmit Mode

Antenna n is excited, generates energy traveling toward the antenna (0) and radiates the EM field (1) into space. Antenna m is exposed to the radiated field (2) and reradiates the intercepted field (3) while allowing some of the energy towards the source (4) and the remaining energy sent toward antenna n (5). This continues

indefinitely. The same action applies if antenna m is powered while antenna n is the parasitic element. If antennas m and n are both excited at the same time, the total field is the vector sum of the radiated and reradiated fields by and from each antenna.

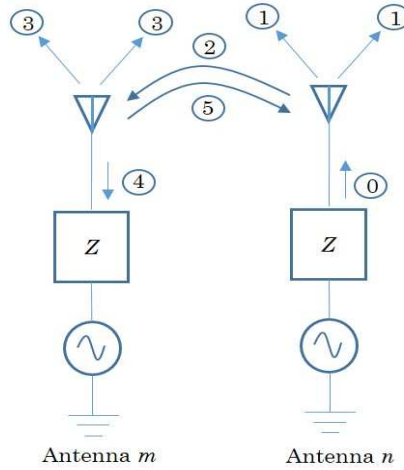


Figure 2.5: The mutual coupling in transmit mode [34]. Here, antenna n is excited and antenna m is the induced antenna.

Receive Mode

The incident wave (0) first directly arrives at antenna m where current is induced. There will be reradiation into space (2), and part will be intercepted by antenna n (3) where it will be vectorially summed together with the incident wave (0), and part also travels toward the feed point (1). A reflected wave (4) can also occur.

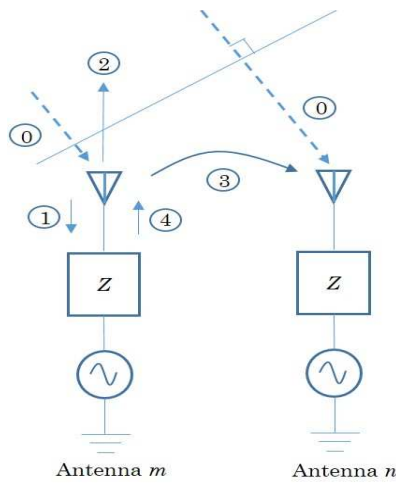


Figure 2.6: The mutual coupling in the receive mode [34]. Antenna m first receives the incident wave.

2.4 Mutual Impedance

In this dissertation, we characterize mutual coupling through mutual impedance. Mutual impedance, according to IEEE Standard Definitions and Terms for Antennas is, “any two terminal pairs in a multielement array antenna is equal to the open-circuit voltage produced at the first terminal pair divided by the current supplied to the second when all other terminal pairs are open-circuited [24].”

Consider an K -element array represented as K -port network. The voltage across each element is given by, [74]

$$\left. \begin{aligned} V_1 &= Z_{1,1}I_1 + Z_{1,2}I_2 + \dots + Z_{1,K}I_K \\ V_2 &= Z_{2,1}I_1 + Z_{2,2}I_2 + \dots + Z_{2,K}I_K \\ &\vdots \\ V_K &= Z_{K,1}I_1 + Z_{K,2}I_2 + \dots + Z_{K,K}I_K \end{aligned} \right\}. \quad (2.5)$$

I_n denotes the current the k th element, $k = 1, 2, \dots, K$.

$$Z_{k,k} = \frac{V_k}{I_k}, \quad (2.6)$$

denotes the k th element’s self-impedance when the rest of the elements are in open-circuit.

$$Z_{k,p} = \frac{V_p}{I_k}, \quad (2.7)$$

denotes mutual impedance between two elements p and k . [74]

The new input impedance or the driving point impedance (a.k.a. active impedance) of each element in the array caused by mutual coupling is [74]

$$Z_{kd} = \frac{V_k}{I_k} = Z_{1,1} \frac{I_1}{I_k} + Z_{1,2} \frac{I_2}{I_k} + \dots + Z_{1,K} \frac{I_K}{I_k}. \quad (2.8)$$

Consider $K=2$ and a two-port network system in Figure 2.7. The voltages across the two elements are: [34]

$$\left. \begin{aligned} V_1 &= Z_{1,1}I_1 + Z_{1,2}I_2 \\ V_2 &= Z_{2,1}I_1 + Z_{2,2}I_2 \end{aligned} \right\}, \quad (2.9)$$

where

$$Z_{1,1} = \left. \frac{V_1}{I_1} \right|_{I_2=0}. \quad (2.10)$$

$Z_{1,1}$ denotes the input impedance at port 1 (port 2, open circuit). When I_1 is set to

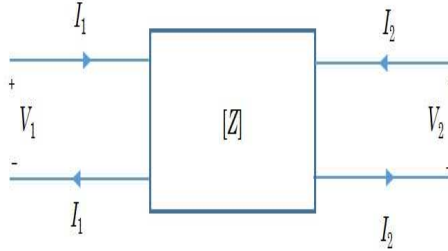


Figure 2.7: Two-port network system. The mutual impedance between ports 1 and 2 are derived here.

zero, $Z_{1,2}$, is

$$Z_{1,2} = \left. \frac{V_1}{I_2} \right|_{I_1=0}. \quad (2.11)$$

$Z_{1,2}$ is the mutual impedance at port 1 when it is open-circuited and port 2 is excited.

Likewise, when I_2 is set to zero, $Z_{2,1}$, is

$$Z_{2,1} = \left. \frac{V_2}{I_1} \right|_{I_2=0}. \quad (2.12)$$

$Z_{2,1}$ is the mutual impedance at port 2 when it is open-circuited and port 1 is excited.

And,

$$Z_{2,2} = \left. \frac{V_2}{I_2} \right|_{I_1=0}. \quad (2.13)$$

$Z_{2,2}$ denotes the input impedance at port 2 (port 1, open-circuit). Note that for a network that is reciprocal, $Z_{1,2} = Z_{2,1}$. When each of the antennas 1 and 2 is radiating in an unbounded medium, the input impedances are $Z_{1,1}$ and $Z_{2,2}$,

respectively. But, the presence of an obstacle or another element in close proximity with the other would alter the input impedance. This alteration would depend on the type of antenna, the relative positioning of the elements and the feed type to excite the elements. With this consideration, (2.9) can be rewritten as, [34]

$$\left. \begin{aligned} Z_{1d} &= \frac{V_1}{I_1} = Z_{1,1} + Z_{1,2} \left(\frac{I_2}{I_1} \right) \\ Z_{2d} &= \frac{V_2}{I_2} = Z_{2,2} + Z_{2,1} \left(\frac{I_1}{I_2} \right) \end{aligned} \right\}. \quad (2.14)$$

Z_{1d} and Z_{2d} denote the driving point impedances of antennas 1 and 2, respectively. Therefore, in matching antennas, it is the driving point impedance that should be matched.

Dealing with the effect of mutual coupling would improve the performance of an antenna. However, we would also have to deal with the complexity of the underlying computational analysis associated with it.

2.5 Method of Moments

The “Method of Moments” (MoM), for the last 50 years, has been providing solutions to large number of electromagnetic problems. Harrington’s book [6] is the first to explore MoM as numerical solution to electromagnetic field problems. Since then, MoM has evolved to be the most popular computational technique in many researches on antennas and electromagnetics. [9, 10, 35, 40, 42, 50, 55, 132] are some MoM based studies particularly on dipoles and loops .

As part of the field of “computational electromagnetics” (CEM), which involves the digital computer in solving electromagnetic problems, MoM is most applicable in solving integral-equation-based frequency-domain problems [93].

There are two most popular techniques used in the computation of self and mutual impedances, which are integral form based induced currents and voltages at the antenna terminals, namely, the induced electromotive (EMF) method and integral equation-method of method (IE-MoM) . The IE-MoM is preferred over induced EMF because induced EMF does not accurately take into consideration the wire’s

radius and the feeding gap. Moreover, IE-MoM is the more appropriate solution to dipoles with larger radii and complex antenna arrays including arrays with skewed arrangements [111]. Hence, the MoM is the right computational technique to be used in obtaining the numerical values of the mutual impedance on the antenna array investigated on this dissertation.

2.6 Computer Simulation Software

Computer simulation tools are needed in dealing with very long mathematical formulations and complex programming. Modeling, such as phenomenological modeling, has been a very successful area in research because of the advent of supercomputers which can provide good numerical data suitable for regression analysis.

The EMCoS “VLab” is an antenna simulation software for antenna parameter calculations. For the calculation of mutual impedance values, the “VLab” uses the MOM technique through their program core module called “TriD”. “TriD” is capable of solving electromagnetic problems for the complicated metal and dielectric structures either in free space or over infinite ground plane. It is based on Method of Moments scheme by Poggio-Miller-Chang-Harrington-Wu (PMCHW) coupled with Rao-Wilton-Glisson’s (RWG) triangle and improved wire and wire to surface basis functions.

Unlike any other simulation tools, “VLab” features full-functional MOM based 3D EM solver with CAD interface. You can control and set your parameters through its graphical user interface (GUI) in the Geometry, Model and Mesh modes.

2.7 Direction-of-Arrival Estimation

Direction-of-arrival (DOA) estimation has been a popular and extensively studied area in array signal processing. Many algorithms have been developed in order to accurately localize the sources of incident signals. Subspace-based algorithms such as Multiple Signal Classification (MUSIC) [16] is often an appropriate methods if the estimation requires high-resolution multiple uncorrelated narrowband signal

sources.

The performance of the estimation is usually gauged by the root-mean-square error (RMSE) versus the signal-to-noise ratio (SNR). Obviously, the RMSE should be decreasing with increasing SNR.

Summary

The significant necessary concepts and essential components of this dissertation have already been discussed veering away from presenting too much mathematical equations.

The mutual impedance has been chosen to characterize mutual coupling due to the availability of the computer electromagnetics simulation software, EMCoS VLab, in which its core calculation program is based on method of moments.

Chapter 3

Review of Related Literature

Introduction

As mentioned in Section 1, one of the objectives of this dissertation is to obtain simple and low-dimensional phenomenological models unlike previous papers which presented very complicated equations for the mutual impedance of different configurations for the pair of dipoles. The purpose of this chapter is to show the complexity of the derived mathematical equations from different journals and conference papers already published.

3.1 Related studies with derived expressions involving unsolved integral equations.

Baker and Lagrone [5] introduced equations for the computation of complex mutual impedance between thin linear antennas shown in Figure 3.1. The primary antenna with length L_1 is drawn along the z -axis, while the secondary antenna with length L_2 is drawn on the $y' - z'$ and displaced in the y and z directions by distances of y_0 and z_0 wavelengths, respectively. The polar angle θ and azimuthal angle ϕ of the secondary antenna correspond to the spherical coordinate system.

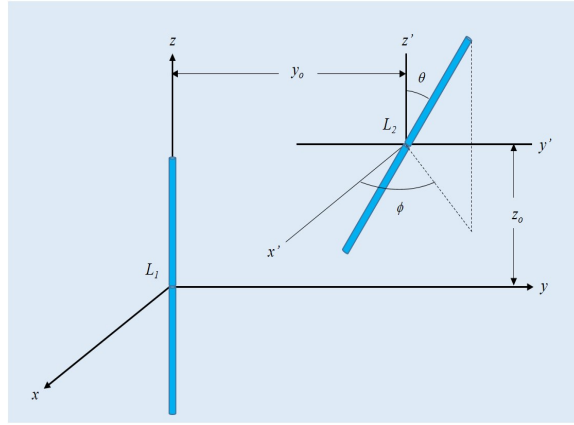


Figure 3.1: The six independent variables $L_1, L_2, y_0, z_0, \theta$ and ϕ [5].

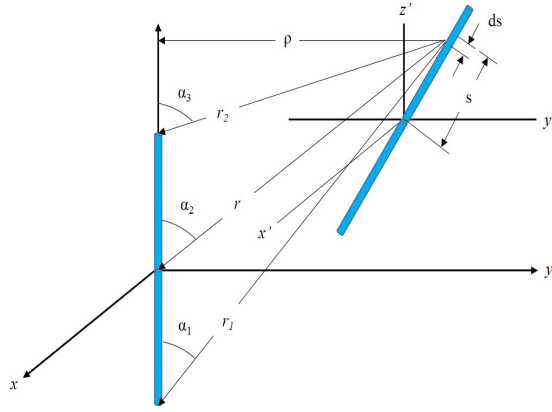


Figure 3.2: Relationships among $\alpha, \alpha_1, \alpha_2, \rho, r, r_1, r_2$ and s [5].

Given values of $L_1, L_2, y_0, z_0, \theta, \phi$ and s , the following trigonometric relations exist:

$$\begin{aligned}
 s_z &= s(\cos \theta) \\
 s_y &= s(\sin \theta)(\sin \phi) \\
 s_x &= s(\sin \theta)(\cos \phi) \\
 \rho &= \sqrt{s_x^2 + (y_0 + s_y)^2} \\
 r &= \sqrt{\rho^2 + (z_0 + s_z)^2} \\
 r_1 &= \sqrt{\rho^2 + \left(z_0 + s_z + \frac{L_1}{2}\right)^2} \\
 r_2 &= \sqrt{\rho^2 + \left(z_0 + s_z - \frac{L_1}{2}\right)^2}
 \end{aligned}$$

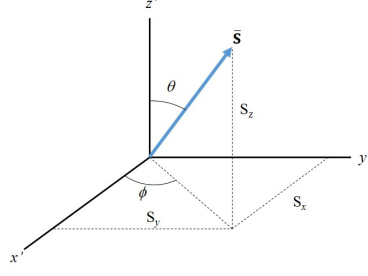


Figure 3.3: Cartesian components of the vector \bar{s} [5].

For the sake of brevity, the derived mathematical expression for the real (i.e. R_{21}) and imaginary (i.e. X_{21}) parts of the mutual impedance are presented in Equations (3.1) and (3.2).

$$\begin{aligned}
R_{21} = & -30 \int_{s=-\frac{L_2}{2}}^{s=\frac{L_2}{2}} \left\{ \left[\frac{1}{\rho^2} \left([\sin(2\pi r_1)] \left[\frac{s_z + z_0 + \frac{L_1}{2}}{r_1} \right] + [\sin(2\pi r_2)] \left[\frac{s_z + z_0 - \frac{L_1}{2}}{r_2} \right] \right. \right. \right. \\
& \left. \left. \left. - 2[\cos(\pi L_1)][\sin(2\pi r)] \left[\frac{s_z + z_0}{r_1} \right] \right) (s_x^2 + y_0 s_y^2 + s_y^2) \right] \right. \\
& \left. + \left[\left(2 \frac{(\sin(2\pi r))(\cos(2\pi L_1))}{r} - \frac{\sin(2\pi r_1)}{r_1} - \frac{\sin(2\pi r_2)}{r_2} \right) s_z \right] \right\} \left\{ \frac{\sin [2\pi (\frac{L_2}{2} - |s|)]}{s} \right\} ds,
\end{aligned} \tag{3.1}$$

$$\begin{aligned}
X_{21} = & -30 \int_{s=-\frac{L_2}{2}}^{s=\frac{L_2}{2}} \left\{ \left[\frac{1}{\rho^2} \left([\cos(2\pi r_1)] \left[\frac{s_z + z_0 + \frac{L_1}{2}}{r_1} \right] + [\cos(2\pi r_2)] \left[\frac{s_z + z_0 - \frac{L_1}{2}}{r_2} \right] \right. \right. \right. \\
& \left. \left. \left. - 2[\cos(\pi L_1)][\cos(2\pi r)] \left[\frac{s_z + z_0}{r_1} \right] \right) (s_x^2 + y_0 s_y^2 + s_y^2) \right] \right. \\
& \left. + \left[\left(2 \frac{(\cos(2\pi r))(\cos(2\pi L_1))}{r} - \frac{\cos(2\pi r_1)}{r_1} - \frac{\cos(2\pi r_2)}{r_2} \right) s_z \right] \right\} \left\{ \frac{\sin [2\pi (\frac{L_2}{2} - |s|)]}{s} \right\} ds.
\end{aligned} \tag{3.2}$$

Equations (3.1) and (3.2) contain unsolved integrals. Due to the complex nature of these two equations which are very difficult to solve analytically, the authors resorted to using digital computer to solve the equations involving integrals. The written code involves over 1000 two-address instructions which was very tedious.

Han and Myung [104] studied coplanar-skew dipoles using effective length vectors. The analysis of the mutual impedance involves getting the product of the radiated E-fields from the transmitting dipole and the receiving dipole's current distribution. The mutual impedance is then computed by taking the sum of two integrals, one along the z' -axis and another along the y' -axis. From Figure 3.4,

$$\begin{aligned} l_{2ez} &= l_2 \cos(\alpha) \\ l_{2ey} &= -l_2 \sin(\alpha) \end{aligned} \quad (3.3)$$

where α denotes the slant angle and l_2 denotes receiving dipole's length.

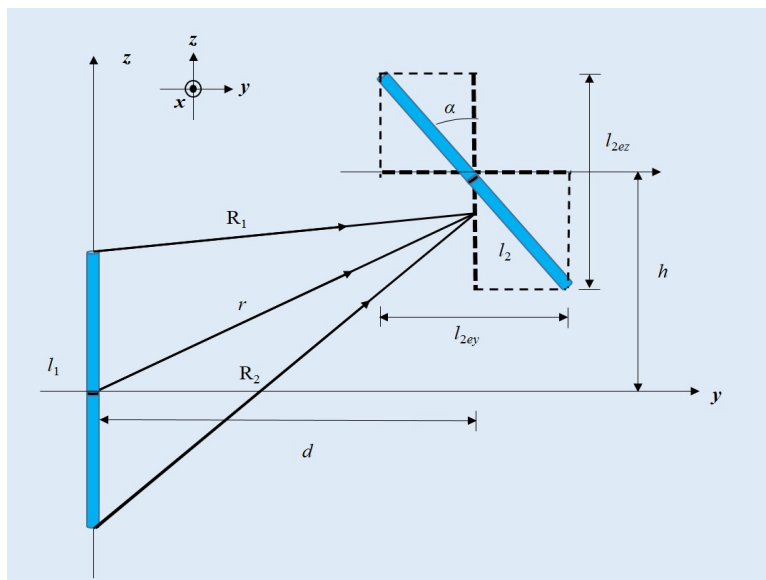


Figure 3.4: Geometry of coplanar-skew dipoles [104]. The effective length vectors method is used here.

For the sake of succintness, the derived formula for the mutual impedance is,

$$\begin{aligned}
Z_{21} = & \frac{-30}{\sin\left(\frac{kl_1}{2}\right)\sin\left(\frac{kl_{2ez}}{2}\right)} \\
& \int_{h-\frac{l_{2ez}}{2}}^{h+\frac{l_{2ez}}{2}} \sin\left[k\left(-|z-h|+\frac{l_{2ez}}{2}\right)\right] \left\{ \frac{-je^{-jkR_{1z}}}{R_{1z}} + \frac{-je^{-jkR_{2z}}}{R_{2z}} + j2\cos\left(\frac{kl_{2ez}}{2}\right)\frac{e^{-jkr_z}}{r_z} \right\} dz \\
& + \frac{-30}{\sin\left(\frac{kl_1}{2}\right)\sin\left(\frac{kl_{2ey}}{2}\right)} \\
& \int_{d-\frac{l_{2ey}}{2}}^{d+\frac{l_{2ey}}{2}} \sin\left[k\left(-|y-d|+\frac{l_{2ey}}{2}\right)\right] \left\{ \frac{-je^{-jkR_{1y}}}{R_{1y}} + \frac{-je^{-jkR_{2y}}}{R_{2y}} - j2h\cos\left(\frac{kl_{2ey}}{2}\right)\frac{e^{-jkr_y}}{r_y} \right\} dy
\end{aligned} \tag{3.4}$$

where k denotes the wave number, and

$$\begin{aligned}
r_z &= \sqrt{d^2 + z^2} \\
R_{1z} &= \sqrt{d^2 + \left(z - \frac{l_1}{2}\right)^2} \\
R_{2z} &= \sqrt{d^2 + \left(z + \frac{l_1}{2}\right)^2}
\end{aligned} \tag{3.5}$$

for z -axis.

$$\begin{aligned}
r_y &= \sqrt{h^2 + y^2} \\
R_{1y} &= \sqrt{h^2 + \left(y - \frac{l_1}{2}\right)^2} \\
R_{2y} &= \sqrt{h^2 + \left(y + \frac{l_1}{2}\right)^2}
\end{aligned} \tag{3.6}$$

for y -axis.

The formulas obtained were verified to be in agreement with the simulations results from high frequency structural simulator (HFSS), which is finite element method solver for electromagnetic structures. However, this paper contains complex unsolved trigonometric and exponential integral functions which is still difficult to decipher.

3.2 Related studies with derived expressions involving nested summations.

Richmond and Geary [7] studied coplanar-skew dipoles (see Figure 3.5) using induced EMF method as a solution to obtain the mutual impedance. The goal of this paper was to derive a closed-form expression for the mutual impedance between coplanar-skew dipoles.

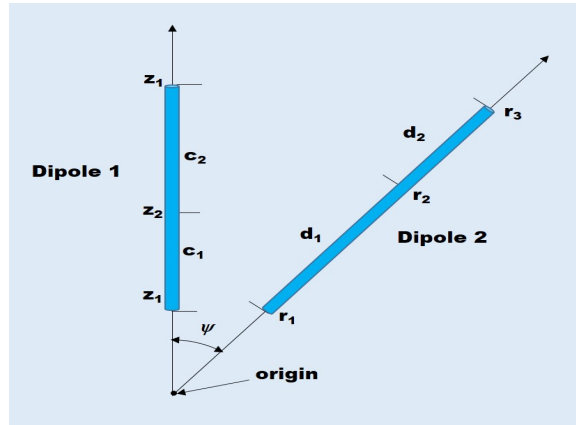


Figure 3.5: The geometry of coplanar-skew dipoles [7].

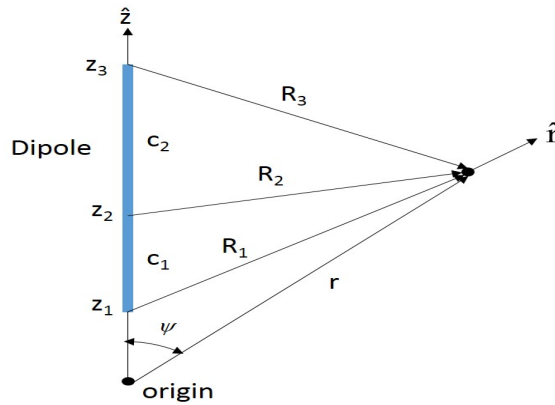


Figure 3.6: The linear dipole in cylindrical coordinate system [7].

Avoiding the rigorous derivation part, the derived closed-form formula for the mutual impedance in Figure 3.5 is

$$Z_{1,2} = -15 \sum_{m=1}^3 \sum_{n=1}^3 C_m D_n \sum_{p=-1}^1 \sum_{q=-1}^1 pq \exp[jk(pz_m + qr_n)] E(kR_{mn} + kpz_m + kqr_n) \quad (3.7)$$

where

$$k = \frac{2\pi}{\lambda},$$

$$p, q = -1, 1,$$

R_{mn} denotes the distance from point z_m on dipole 1 to point r_m on dipole 2,

$$R_{mn} = (z_m^2 + r_n^2 - 2z_m r_n \cos \psi)^{\frac{1}{2}},$$

$E(x) = Ci(|x|) - jSi(x)$, where

$$Ci(|x|) = -\int_x^\infty \cos(x) dx/x, \quad Si(|x|) = \int_x^\infty \sin(x) dx/x,$$

$$C_1 = \frac{1}{\sin(kc_1)}$$

$$C_2 = \frac{-\sin(kc)}{\sin(kc_1) \sin(kc_2)}$$

$$C_3 = \frac{1}{\sin(kd_1)}$$

$$D_1 = \frac{1}{\sin(kd_1)}$$

$$D_2 = \frac{-\sin(kd)}{\sin(kd_1) \sin(kd_2)}$$

$$D_3 = \frac{1}{\sin(kd_1)}.$$

Other variables are also defined as follows:

ψ denotes the skew angle between the dipoles,

c, c_1 and c_2 are lengths referring to Dipole 1,

d, d_1 and d_2 are lengths referring to Dipole 2,

R_1, R_2 and R_3 are the distances defined in Figure 3.6,

z_1 and z_3 denote Dipole 1's endpoints, and z_2 , the terminals shown in

Figure 3.5,

r_1 and r_3 denote Dipole 2's endpoints, and r_2 , the terminals shown in

Figure 3.5.

The calculation of mutual impedance lies along the r -direction from the origin. The derivation process involved integration that needs an additional axis for the radial distances from the transmitting dipole and variable transformations are also required. The derived closed-form expression in (3.7) involved complicated equations involving nested summations and exponential integration. It is only applicable to closely spaced dipoles.

Schmidt [32] introduced an expression for mutual impedance for nonplanar skew dipoles. The type of configuration investigated is shown in Figure 3.8.

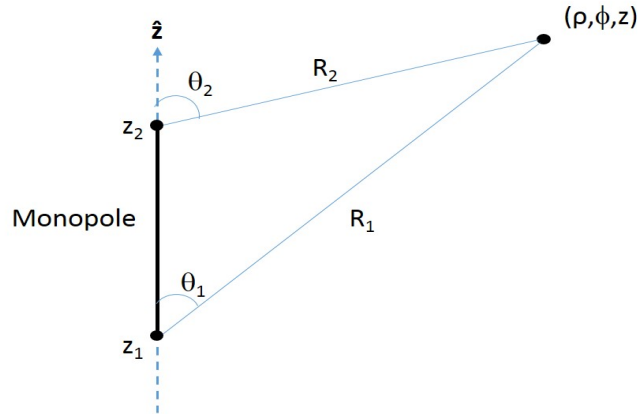


Figure 3.7: The monopole and its coordinate system .

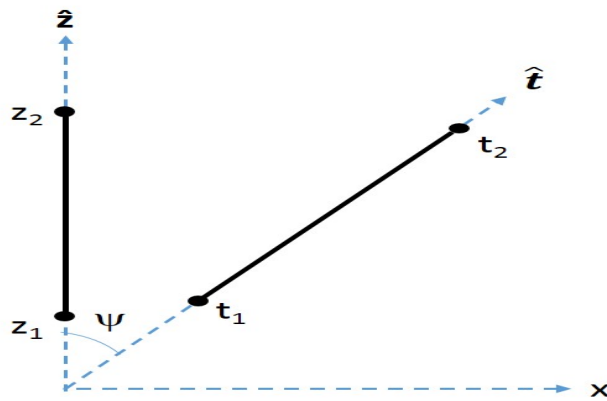


Figure 3.8: The two monopoles on z and t axes, parallel to the xz plane with distance d from each other and with skew angle ψ .

The derived mutual impedance is,

$$Z = \frac{\sqrt{\mu\epsilon}}{4\pi\epsilon} \left\{ \frac{\exp(-\gamma R_{22})}{\gamma R_{22}} + \frac{1}{4 \sinh(\gamma d_1) \sinh(\gamma d_2)} \sum_{s_t, s_z, s_b = \pm 1} s_t s_z \exp[\gamma(s_t t_1 + s_z z_1)] \right. \\ \left. \sum_{i=1,2} (-1)^i E[\gamma(R_i + s_t t + s_z z_i + j s_b \beta)] \right\} \quad (3.8)$$

where

$$\beta = d \frac{\cos(\psi) + s_z s_t}{\sin(\psi)},$$

$$\gamma = j\omega\sqrt{\mu\epsilon},$$

$$d_1 = z_2 - z_1,$$

$$d_2 = t_2 - t_1,$$

Here, $s_t, s_z, s_b = \pm 1$, μ and ϵ denote permeability and permittivity, respectively. R_{22} was not defined in the article.

The derived expression involves exponential integral, $E(\cdot)$ and nested summations which make it more complicated.

3.3 Related study with derived expressions involving unsolved integral equations and nested summations.

Han, Song, Oh and Myung [113] studied nonplanar slanted dipoles using the effective length vector. The final derived equation in (3.9) contains the characteristics for two slanted dipoles that are arbitrarily located along the $y - z$ and $y' - z'$ planes.

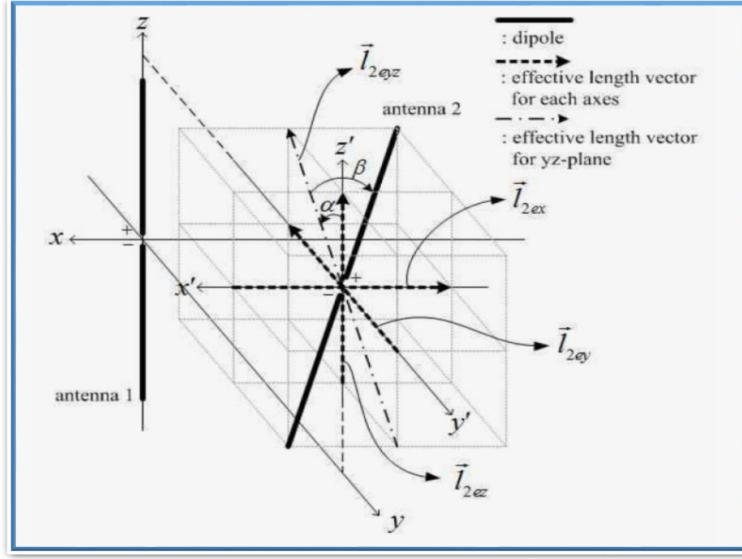


Figure 3.9: Geometry of nonplanar slanted dipoles [113].

Without the lengthy derivations,

$$\begin{aligned}
Z_{21} &= Z_{21z} + Z_{21y} \\
&= \frac{-30}{\sin\left(\frac{kl_1}{2}\right) \sin\left(\frac{kl_{2ez}}{2}\right)} \int_{h+\frac{l_{2ez}}{2}}^{h-\frac{l_{2ez}}{2}} \sin\left[k\left(\frac{l_{2ez}}{2} - |z-h|\right)\right] \\
&\quad \left\{ \frac{-je^{-jkR_1(z)}}{R_1(z)} + \frac{-je^{-jkR_2(z)}}{R_2(z)} + j2 \cos\left(\frac{kl_1}{2}\right) \frac{-je^{-jkr(z)}}{r(z)} \right\} dz \\
&+ \frac{-30}{\sin\left(\frac{kl_1}{2}\right) \sin\left(\frac{kl_{2ey}}{2}\right)} \int_{d+\frac{l_{2ey}}{2}}^{d-\frac{l_{2ey}}{2}} \sin\left[k\left(\frac{l_{2ey}}{2} - |y-d|\right)\right] \\
&\quad \left\{ \left(h - \frac{l_1}{2}\right) \frac{je^{-jkR_1(y)}}{R_1(y)} + \left(h + \frac{l_1}{2}\right) \frac{-je^{-jkR_2(y)}}{R_2(y)} + j2h \cos\left(\frac{kl_1}{2}\right) \frac{-je^{-jkr(y)}}{r(y)} \right\} \frac{dy}{y}
\end{aligned} \tag{3.9}$$

where

$$\begin{aligned}
r(z) &= \sqrt{d^2 + z^2}, & r(y) &= \sqrt{h^2 + y^2} \\
R_1(z) &= \sqrt{d^2 + (z - l_1/2)^2}, & R_1(y) &= \sqrt{(h - l_1/2)^2 + y^2} \\
R_2(z) &= \sqrt{d^2 + (z + l_1/2)^2}, & R_2(y) &= \sqrt{(h + l_1/2)^2 + y^2}.
\end{aligned}$$

In order to facilitate mathematical integration, the Z_{21z} and Z_{21y} terms in (3.9)

which involve integration can be transformed into nested summations resulting to Equations (3.10) and (3.11), respectively. Although, the sine and cosine integrals are still inside the summation symbols.

$$\begin{aligned}
Z_{21z} &= \frac{-30}{\sin\left(\frac{kl_1}{2}\right) \sin\left(\frac{kl_{2ez}}{2}\right)} \\
&\left[\left\{ 1 + 2 \cos\left(\frac{kl_1}{2}\right) \right\} \delta_t - 1 \right] \cdot \\
&\frac{1}{2} \sum_{t=-1}^1 \sum_{n=-1}^1 \sum_{s=0}^1 \left[\cos(kq_{n,t}) \left\{ ci\left(k\sqrt{d^2 + q_{n,t}^2} + (-1)^s kq_{n,t}\right) - ci\left(k\sqrt{d^2 + q_{0,t}^2} + (-1)^s kq_{0,t}\right) \right\} \right. \\
&\left. + (-1)^s \sin(kq_{n,t}) \left\{ si\left(k\sqrt{d^2 + q_{n,t}^2} + (-1)^s kq_{n,t}\right) - si\left(k\sqrt{d^2 + q_{0,t}^2} + (-1)^s kq_{0,t}\right) \right\} \right] \\
&\left[\left\{ 1 + 2 \cos\left(\frac{kl_1}{2}\right) \right\} \delta_t - 1 \right] \cdot \\
&-j \frac{1}{2} \sum_{t=-1}^1 \sum_{n=-1}^1 \sum_{s=0}^1 \left[\cos(kq_{n,t}) \left\{ si\left(k\sqrt{d^2 + q_{n,t}^2} + (-1)^s kq_{n,t}\right) - si\left(k\sqrt{d^2 + q_{0,t}^2} + (-1)^s kq_{0,t}\right) \right\} \right. \\
&\left. - (-1)^s \sin(kq_{n,t}) \left\{ ci\left(k\sqrt{d^2 + q_{n,t}^2} + (-1)^s kq_{n,t}\right) - ci\left(k\sqrt{d^2 + q_{0,t}^2} + (-1)^s kq_{0,t}\right) \right\} \right]
\end{aligned} \tag{3.10}$$

where δ_t denotes the Kronecker's delta function, and $q_{n,t} = h + n \frac{l_{2ez}}{2} + t \frac{l_1}{2}$.

$$\begin{aligned}
Z_{21y} = & \frac{-30}{\sin\left(\frac{kl_1}{2}\right) \sin\left(\frac{kl_{2ey}}{2}\right)} \\
& \left\{ -1 + \left[1 + 2 \cos\left(\frac{kl_1}{2}\right) \right] \delta_t \right\} \times \\
& \frac{1}{2} \sum_{t=-1}^1 \sum_{m=-1}^1 \sum_{u=0}^1 \sum_{s=0}^1 \left\{ (-1)^u (-1)^s \cos(kp_{m,0} + (-1)^u (-1)^s kq_{0,t}) \right. \\
& \left[ci\left(k\sqrt{q_{0,t}^2 + p_{m,0}^2} + (-1)^u kp_{m,0} + (-1)^s kq_{0,t}\right) - ci\left(k\sqrt{q_{0,t}^2 + p_{0,0}^2} + (-1)^u kp_{0,0} + (-1)^s kq_{0,t}\right) \right] \\
& + (-1)^s \sin(kp_{m,0} + (-1)^u (-1)^s kq_{0,t}) \left[si\left(k\sqrt{q_{0,t}^2 + p_{m,0}^2} + (-1)^u kp_{m,0} + (-1)^s kq_{0,t}\right) \right. \\
& \left. \left. - si\left(k\sqrt{q_{0,t}^2 + p_{0,0}^2} + (-1)^u kp_{0,0} + (-1)^s kq_{0,t}\right) \right] \right\} \\
& \left\{ -1 + \left[1 + 2 \cos\left(\frac{kl_1}{2}\right) \right] \delta_t \right\} \times \\
& + j \sum_{t=-1}^1 \sum_{m=-1}^1 \sum_{u=0}^1 \sum_{s=0}^1 \left\{ (-1)^u (-1)^s \cos(kp_{m,0} + (-1)^u (-1)^s kq_{0,t}) \right. \\
& \left[si\left(k\sqrt{q_{0,t}^2 + p_{m,0}^2} + (-1)^u kp_{m,0} + (-1)^s kq_{0,t}\right) - si\left(k\sqrt{q_{0,t}^2 + p_{0,0}^2} + (-1)^u kp_{0,0} + (-1)^s kq_{0,t}\right) \right] \\
& + (-1)^s \sin(kp_{m,0} + (-1)^u (-1)^s kq_{0,t}) \left[ci\left(k\sqrt{q_{0,t}^2 + p_{m,0}^2} + (-1)^u kp_{m,0} + (-1)^s kq_{0,t}\right) \right. \\
& \left. \left. - ci\left(k\sqrt{q_{0,t}^2 + p_{0,0}^2} + (-1)^u kp_{0,0} + (-1)^s kq_{0,t}\right) \right] \right\}
\end{aligned} \tag{3.11}$$

where $p_{m,t} = d + m \frac{l_{2ey}}{2} + t \frac{l_1}{2}$.

The obtained equations here are all complicated involving unsolved integration in Equation (3.9) and nested summations in Equations (3.10) and (3.11).

Summary

This chapter presented related studies which clearly established the very reason why this dissertation is quite significant. The analyses introduced very intricate and intractable expressions and difficult to understand derivations. Some of the papers derived equations using analytical methods first and then verified their results through computer electromagnetics simulation software. Conversely, this dissertation will first use method of moments based antenna simulation software to obtain the numerical values of the mutual impedance, then obtain phenomenologically the

expressions which model the obtained mutual impedance from VLab.

Chapter 4

Research Methodology

Introduction

For the investigation on the skewed crossed dipoles, the research process in Figure 4.1 will be carried out. Each of the flow chart's block is discussed in the succeeding subsections.

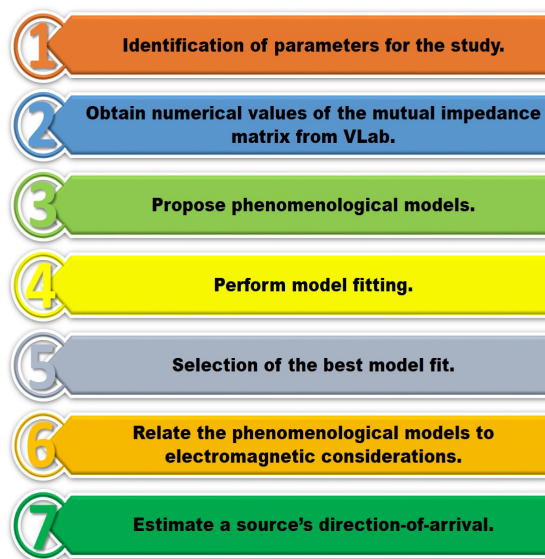


Figure 4.1: The step-by-step research process of the dissertation.

4.1 Identification of parameters for the study.

The crossed dipoles configuration in Figure 4.2 is the basis of this dissertation.

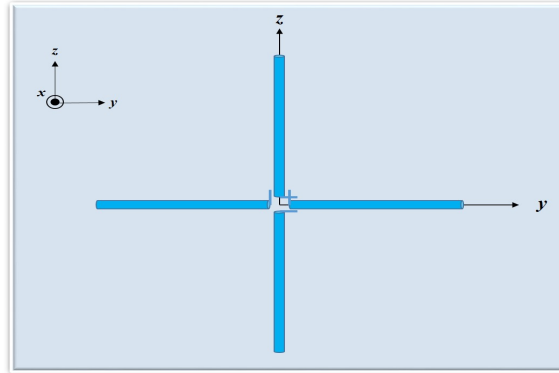


Figure 4.2: The coplanar and coterminous crossed dipoles. Here, there is no mutual coupling.

If the horizontal dipole is moved at a perpendicular distance as in Figure 4.3, as long as the orthogonality between the dipoles is maintained, then there will be no mutual coupling.

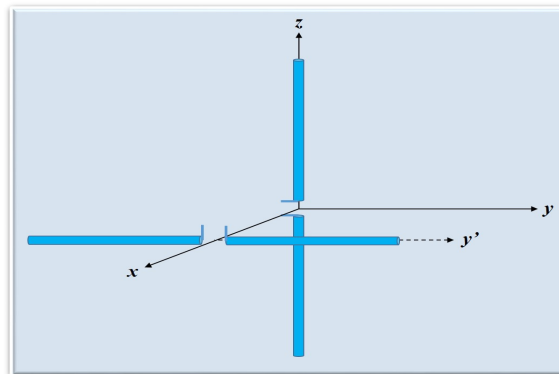


Figure 4.3: The nonplanar and coterminous crossed dipoles. Here, still there is no mutual coupling.

Now, given the scenario in Figure 4.4, the horizontal dipole will now be skewed, then there will be mutual coupling.

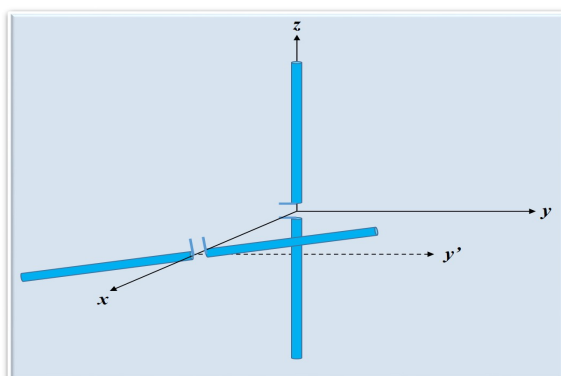


Figure 4.4: The skewed nonplanar and cocentered crossed dipoles. Here, there is now mutual coupling.

Consider a center-fed dipole with length L , named as Dipole 1, located along the z -axis and its feedpoint centered at the origin (See Figure 4.5). Another center-fed dipole with length L , named as Dipole 2, with its feedpoint centered along the x -axis, is located in the $y' - z'$ plane and skewed at an angle φ .

In order to make the results independent of frequency, L and Δ will be in terms of wavelengths. The three independent variables, namely, the dipoles' length L , the skew angle φ , and the inter-dipole separation, Δ will be varied in the simulation. The phenomenological models, therefore, are expected to be functions of the trivariate $(\frac{L}{\lambda}, \varphi, \frac{\Delta}{\lambda})$.

4.2 Obtain numerical values of the mutual impedance matrix from VLab

VLab has “Pre-processing” and “Post-processing” stages. In the pre-processing stage, there are three modes to undergo before computing the mutual impedance. These modes are the “Geometry Mode”, “Model Mode” and “Mesh Mode”.

In the “Geometry Mode” the skewed crossed dipoles are constructed. The screenshot of the geometry view in VLab is shown in Figure 4.6.

Assigning physical parameters is done in the “Model Mode”. In model mode, cables based on curves structure are created and feed segment is defined. Here, the operating frequency of the antenna array and the calculation parameters (i.e.

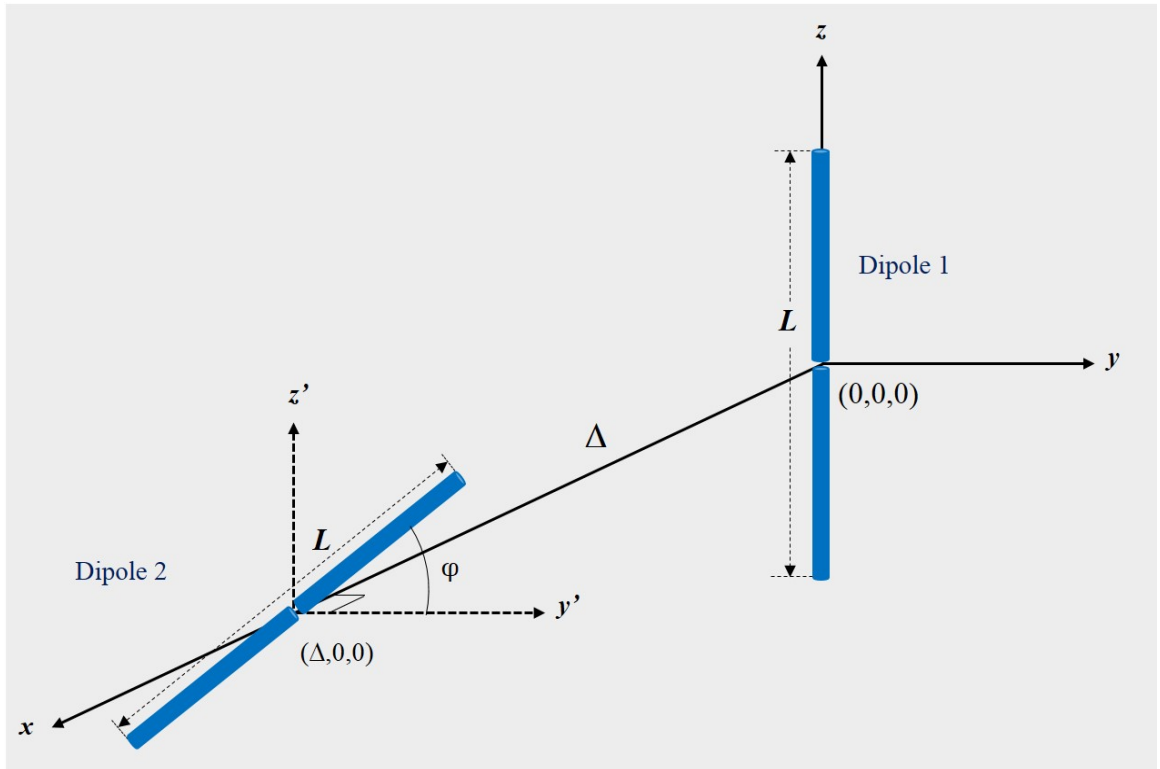


Figure 4.5: The geometry of the skewed crossed dipoles under study. The independent variables are: the dipoles' common length L , the skew angle φ , and the inter-dipole separation Δ .

Impedance, Z) are set, and the size of segmentation can be manually indicated. Dipole feed segment's termination device is also created in the model mode. The model view is shown in Figure 4.7.

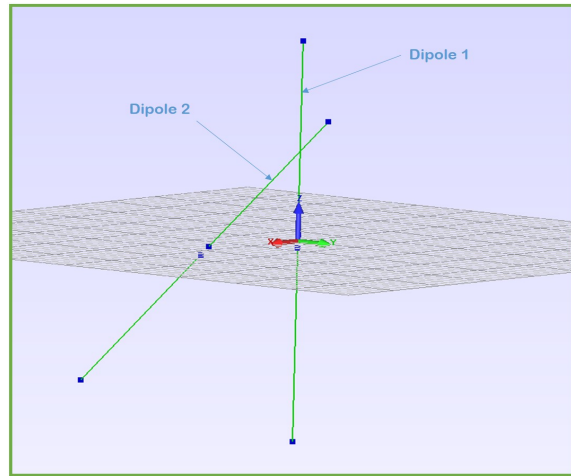


Figure 4.6: Geometry view of the skewed crossed dipoles in VLab. Here, the skewed crossed dipoles is constructed based on set variables.

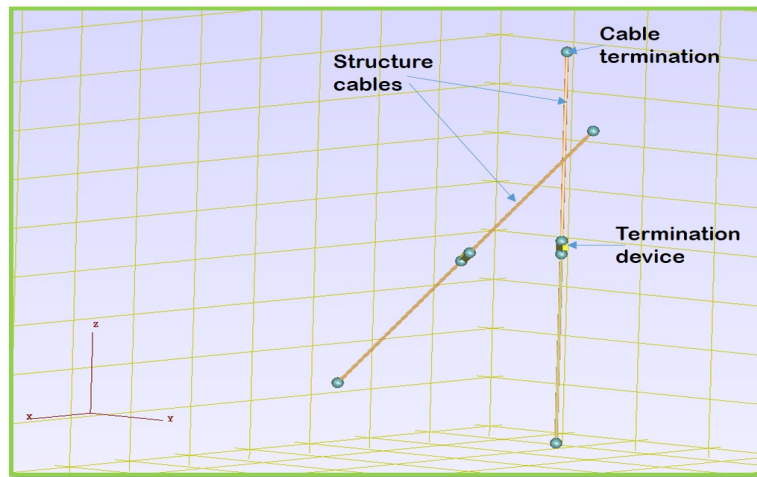


Figure 4.7: Model view of the skewed crossed dipoles in VLab. Cables definition based on curves structure and devices modeling is set here.

Discretization of obtained model (i.e. from the Model mode not the phenomenological model) for calculation is done in the “Mesh Mode”. The wire segments and their segmentation size that were defined in model mode will be generated in the mesh mode. Ports for measurement must be created in order to generate an output.

The result of the calculation or output is part of the post-processing stage. Viewing and extraction of data for analyzing the results is done on this stage. The mesh view is shown in Figure 4.8.

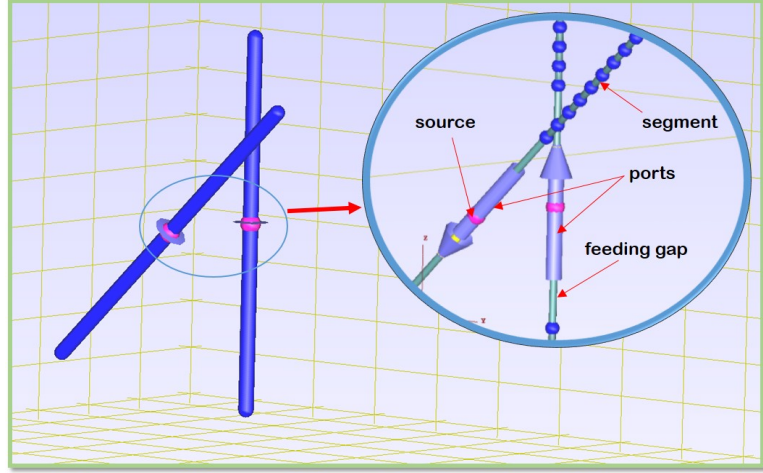


Figure 4.8: Mesh view of the skewed crossed dipoles in VLab. Model conversion to discrete elements (i.e. wire segments) for calculation is done on mesh mode.

All throughout the simulations: each dipole's diameter is maintained at 0.02λ millimeters; each dipole's feeding gap equals $\frac{\lambda}{50}$; and the voltage source's internal impedance is always matched to a half-wavelength dipole, regardless of the actual value of $\frac{L}{\lambda}$. The dipoles, placed in free-space, will be center-fed with a 1-V source and a 50-ohm load impedance.

The skewed dipole-pair were simulated at these values:

- 1) a skewed angle $\varphi \in \{1^\circ, 45^\circ\}$,
- 2) each dipole's electric length $\frac{L}{\lambda} \in \{0.1, 1.0\}$,
- 3) spatial separation between the two dipoles' feeding center $\frac{\Delta}{\lambda} \in \{0.01, 2.0\}$.

For each combination of $(\frac{L}{\lambda}, \varphi, \frac{\Delta}{\lambda})$, the mutual impedance value will be computed.

The mutual impedance matrix to be used in the DOA estimation will be of the form,

$$\mathbf{Z} = \begin{bmatrix} Z_{1,1} & Z_{1,2} \\ Z_{2,1} & Z_{2,2} \end{bmatrix}$$

For a skewed crossed dipoles configuration, $Z_{1,2} = Z_{2,1}$ and $Z_{1,1} = Z_{2,2}$, which follows a bisymmetric matrix.

Choice of Real-Value Entities to be Model-Fit

To be model-fit are the real-value entities: $|Z_{1,2}|$, $\angle Z_{1,2}$, $|Z_{2,1}|$, $\angle Z_{2,1}$, $|Z_{1,1}|$, $\angle Z_{1,1}$, $|Z_{2,2}|$, $\angle Z_{2,2}$. $|\cdot|$ and $\angle \cdot$ denote the magnitude and phase of the complex-value entity, respectively.

4.3 Proposed phenomenological models

At this stage, the functions that model the mutual impedance from the VLab data will be proposed. Various candidate models will be model-fit. All possible perspectives of the trivariate function (i.e. $f\{\frac{L}{\lambda}, \varphi, \frac{\Delta}{\lambda}\}$) for each real value entity will be plotted and carefully observed.

4.4 Perform model fitting

The model fitting can be summarized into three major steps.

1. **Form the objective function.**

In general,

$$SSE = \sum_{n=1}^N \left| Z_n - \hat{Z}_n \right|^2, \quad (4.1)$$

where SSE denotes the “sum-of-squares error”, which measures how far the data are from the model’s predicted values. Z denotes the impedance value from VLab, \hat{Z} denotes the estimated impedance predicted by the model and N denotes the number of observations.

The sum-of-squares error (SSE) will be the error function to be minimized.

2. **Find the unknown parameters to be optimized.**

Symbolically,

$$\{c_1, c_2, \dots, c_q\} = \arg \min_c \sum_{n=1}^N \left| Z_n - \hat{Z}_n \right|^2, \quad (4.2)$$

where c_1, c_2, \dots, c_q are the unknown parameters, and q denotes the number of parameters to be optimized.

3. Test the goodness-of-fit.

To determine if the model fitting is good, the “coefficient of determination”, R^2 , will be used. R^2 can be computed as,

$$R^2 = 1 - \frac{SSE}{SST}, \quad (4.3)$$

where SST denotes the sum-of-squares-total, which measures how far the data are from the mean. Symbolically,

$$SST = \sum_{n=1}^N |Z_n - \bar{Z}|^2, \quad (4.4)$$

where

$$\bar{Z} = \sum_{n=1}^N Z_n, \quad (4.5)$$

and \bar{Z} denotes the mean of the VLab data.

R^2 , which is a value between 0 and 1, measures how successful the fit is in explaining the variation between the VLab’s data and the proposed model’s data. An R^2 value of 0 means that the proposed model explains none of the variability. While, R^2 value of 1 means the model is a perfect fit or the model explains all variability in the VLab’s data. The higher the R^2 , the better is the model fitting.

A MATLAB code will be written for this purpose. The code will perform four-dimensional model fitting, e.g. $|Z_{1,2}|, \frac{I}{\lambda}, \varphi$, and $\frac{\Delta}{\lambda}$, to generate the optimized parameters and the corresponding R^2 .

4.5 Selection of the best model fit

The criteria for choosing the best model will be: (1) whichever model that gives the highest value of R^2 , and (2) whichever model that has fewer number of the degrees of freedom in the model. The selected model must return the estimated data sets as close as those from VLab. Just as important as the two criteria, the models should also conform with the existing electromagnetic theories and principles.

4.6 Relate the phenomenological models to electromagnetic considerations

Having chosen the best model for each real value entity, the results should conform to existing electromagnetic concepts. Not only that the resulting phenomenological models relate to existing electromagnetic principles. Since this dissertation pioneers phenomenological modeling of the mutual impedance of the mutual coupling, it is also aimed that new insights be introduced. At this stage, results are backed-up with pertinent graphs and illustrations in order to give clearer understanding of the concepts being discussed.

4.7 Estimate a source's direction-of-arrival

To show the usefulness of the proposed phenomenological models, direction-of-arrival estimation will be performed. On this stage, the validation whether the modeling is successful or not will be determined.

Summary

The research process that this dissertation will carry out has been established. This phenomenological method considers getting first the numerical values of mutual impedance and then model fit these VLab data to simple expressions. The criteria for selecting the best model fit have also been set. The results and analysis will be presented in the next chapter.

Chapter 5

Data, Results and Analyses

Introduction

At this point, the simulations' results from VLab and the proposed phenomenological models for the cross-impedance and self-impedance are now presented. The analysis part is based on existing electromagnetic principles and considerations.

5.1 Simulation Results from VLab

Since we are dealing with four variables and showing 4D plots will be difficult, the phenomenological expressions are proposed based on the following three perspectives: (1) $|Z|$ or $\angle Z$ vs. $\{\frac{\Delta}{\lambda}, \varphi\}$ for a given $\frac{L}{\lambda}$, (2) $|Z|$ or $\angle Z$ vs. $\{\frac{\Delta}{\lambda}, \frac{L}{\lambda}\}$ for a given φ , and (3) $|Z|$ or $\angle Z$ vs. $\{\varphi, \frac{L}{\lambda}\}$ for a given $\frac{\Delta}{\lambda}$. Figures 5.1 - 5.6 are sample plots from VLab. By carefully observing the behavior of the plots in three perspectives, several models for each of the dependent variables (i.e. $|Z_{1,2}| = |Z_{2,1}|$, $\angle Z_{1,2} = \angle Z_{2,1}$, $|Z_{1,1}| = |Z_{2,2}|$ and $\angle Z_{1,1} = \angle Z_{2,2}$) are proposed.

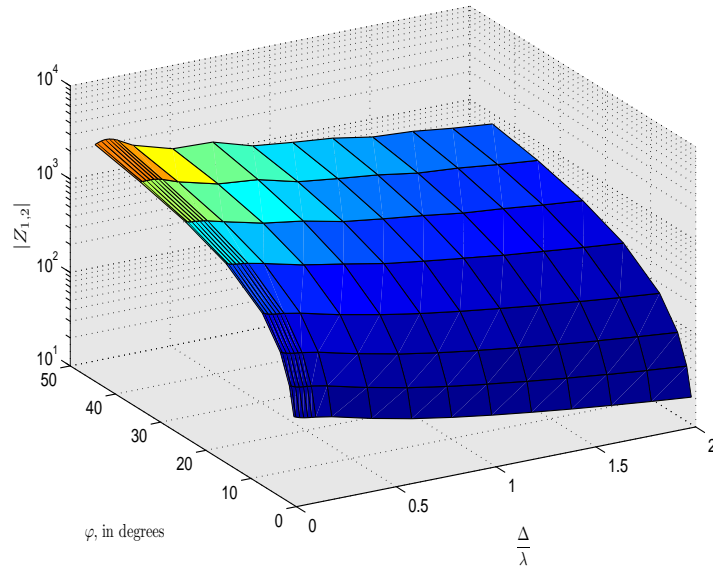


Figure 5.1: The 3D plot of $|Z_{1,2}|$ at $\frac{L}{\lambda} = 1.00$, $\forall\{\frac{\Delta}{\lambda}, \varphi\}$ from VLab. Here, $|Z_{1,2}|$ increases with increasing φ , and $|Z_{1,2}|$ decreases with increasing $\frac{\Delta}{\lambda}$.

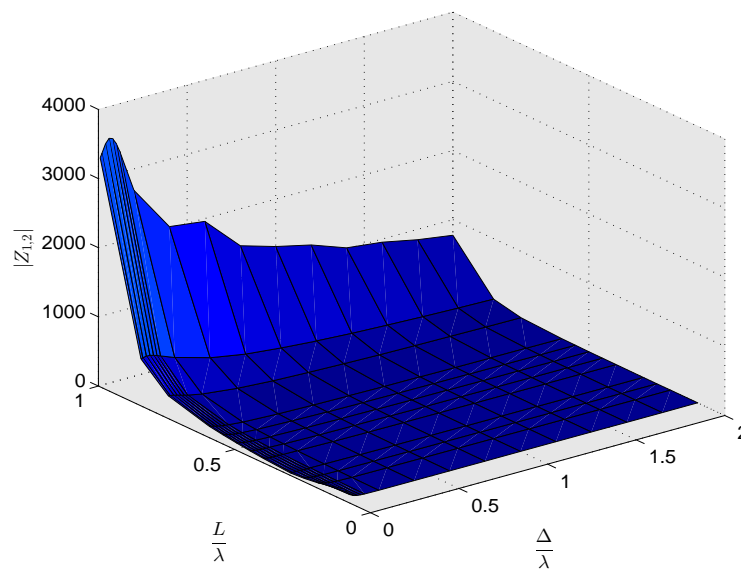


Figure 5.2: The 3D plot of $|Z_{1,2}|$ at $\varphi = 45^\circ$, $\forall\{\frac{\Delta}{\lambda}, \frac{L}{\lambda}\}$ from VLab. $|Z_{1,2}|$ also increases with increasing $\frac{L}{\lambda}$.

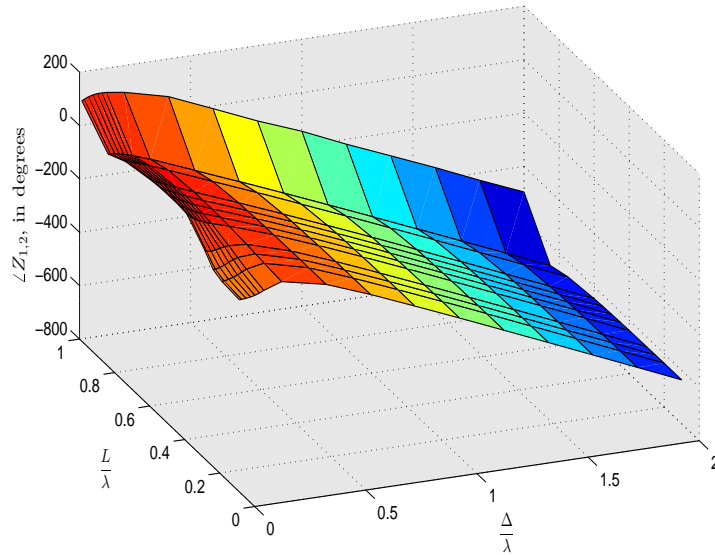


Figure 5.3: The 3D plot of the unwrapped $\angle Z_{1,2}$ at $\varphi = 45^\circ$, $\forall \{\frac{\Delta}{\lambda}, \frac{L}{\lambda}\}$ from VLab. The $\angle Z_{1,2}$ is almost linear with $\frac{\Delta}{\lambda}$ and $\frac{L}{\lambda}$. $\angle Z_{1,2}$ is also independent of the skew angle φ .

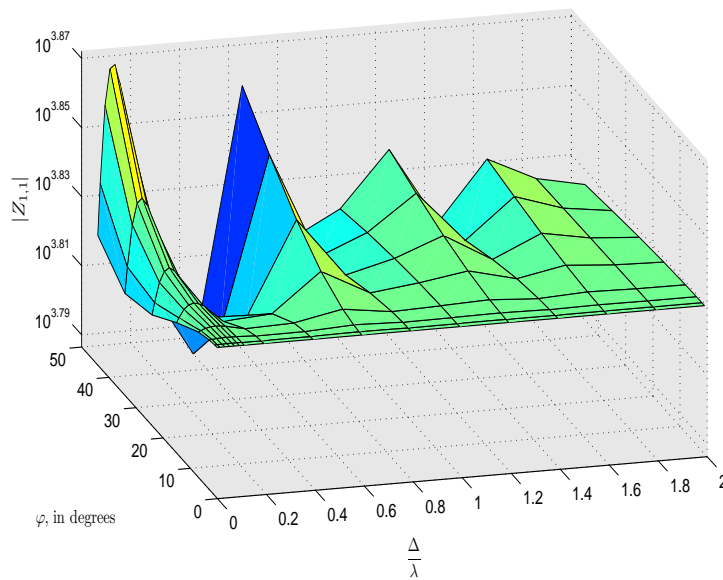


Figure 5.4: The 3D plot of $|Z_{1,1}|$ at $\frac{L}{\lambda} = 1.00$, $\forall \{\frac{\Delta}{\lambda}, \varphi\}$ from VLab. The behavior of $|Z_{1,1}|$ with respect to $\frac{\Delta}{\lambda}$ is like a dampened sinusoid.

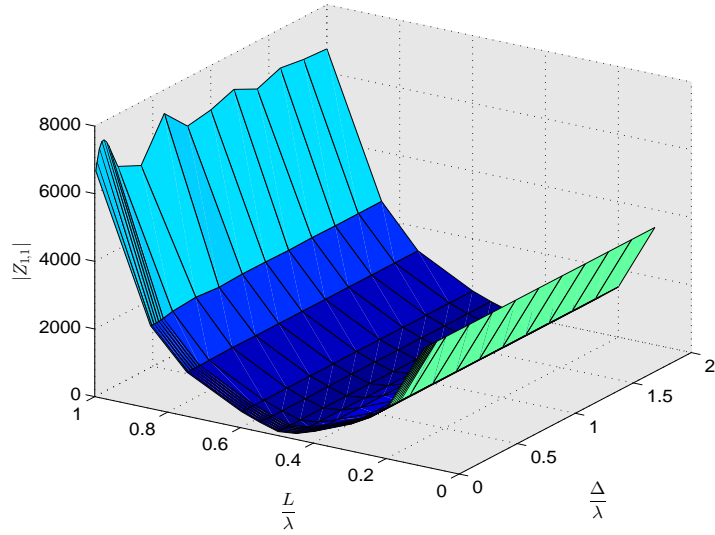


Figure 5.5: The 3D plot of $|Z_{1,1}|$ at $\varphi = 45^\circ$, $\forall \{\frac{\Delta}{\lambda}, \frac{L}{\lambda}\}$ from VLab. The minimum value of $|Z_{1,1}|$ is near $\frac{L}{\lambda} = 0.50$.

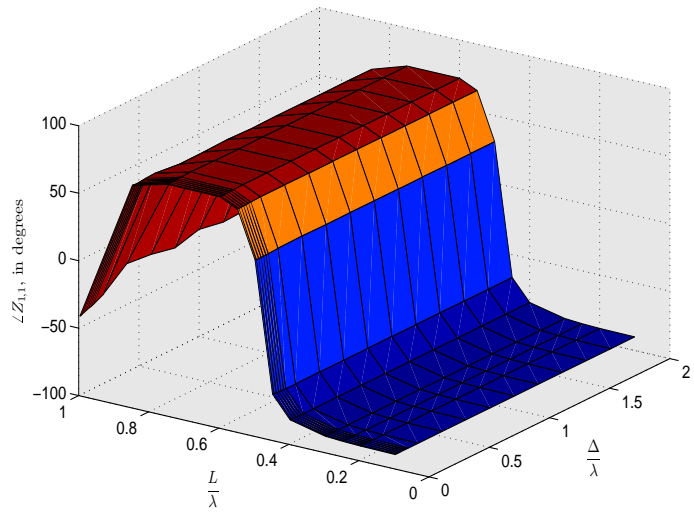


Figure 5.6: The 3D plot of $\angle Z_{1,1}$ at $\varphi = 45^\circ$, $\forall \{\frac{\Delta}{\lambda}, \frac{L}{\lambda}\}$ from VLab. The data for $\angle Z_{1,1}$ shows a sinuoidal relationship with $\frac{L}{\lambda}$.

5.2 Model Fitting of the Mutual Impedances, $Z_{1,2}$ and $Z_{2,1}$

This section shows how the phenomenological models for $Z_{1,2}$ and $Z_{2,1}$ are obtained. These phenomenological models will then be related to electromagnetic considerations at the end part of each subsection.

In all the VLab simulations, $Z_{1,2} = Z_{2,1}$, $\forall \{\frac{\Delta}{\lambda}, \frac{L}{\lambda}, \varphi\}$. Consequently, $|Z_{1,2}| = |Z_{2,1}|$ and $\angle Z_{1,2} = \angle Z_{2,1}$.

5.2.1 Magnitude of $Z_{1,2}$ and $Z_{2,1}$

Note that all candidate models are presented in Appendix A. The best model is

$$|Z_{1,2}| = |Z_{2,1}| \approx 10^{a_1} \left(\frac{\Delta}{\lambda}\right)^{-a_2} \left(\frac{L}{\lambda}\right)^{a_3} |\sin(\varphi)|, \quad (5.1)$$

where

$$a_1 := 2.3018,$$

$$a_2 := 0.5564,$$

$$a_3 := 2.6230.$$

The goodness-of-fit R^2 equals 0.86 for (5.1), i.e. only 14% of the VLab numerical data cannot be explained by the above model.

The R^2 is evaluated on

$$\begin{aligned} \log_{10} |Z_{1,2}| &= \log_{10} |Z_{2,1}| \\ &\approx a_1 - a_2 \log_{10} \left| \frac{\Delta}{\lambda} \right| + a_3 \log_{10} \left| \frac{L}{\lambda} \right| + \log_{10} |\sin(\varphi)| \end{aligned} \quad (5.2)$$

instead of (5.1). This is because $|Z_{1,2}| = |Z_{2,1}|$ has values over several orders of magnitude. Hence, the latter would overweight those support regions of $\{\varphi, \frac{L}{\lambda}, \frac{\Delta}{\lambda}\}$ where $|Z_{1,2}| = |Z_{2,1}|$ is very large, thereby poorly fitting other regions of $\{\varphi, \frac{L}{\lambda}, \frac{\Delta}{\lambda}\}$ where $|Z_{1,2}| = |Z_{2,1}|$ is small. Specifically, the would-be-underweighted support

region is where $\frac{L}{\lambda}$ increases toward unity and where $\frac{\Delta}{\lambda}$ decreases toward zero.

$|Z_{1,2}|$ relies on length of the dipoles, on the separation between the dipoles and on the skew angle.

The negative power of $\frac{\Delta}{\lambda}$ in the above phenomenological model suggests that $|Z_{1,2}| = |Z_{2,1}|$ decreases monotonically with an increasing inter-dipole separation $\frac{\Delta}{\lambda}$. Indeed, as $\frac{\Delta}{\lambda} \rightarrow \infty$, the model gives $|Z_{1,2}| = |Z_{2,1}| \rightarrow 0$. These trends are reasonable in terms of electromagnetics, because $Z_{1,2} = Z_{2,1}$ is proportional to the induced electric field, whose magnitude is inversely related to the distance between the driving dipole and the induced dipole.

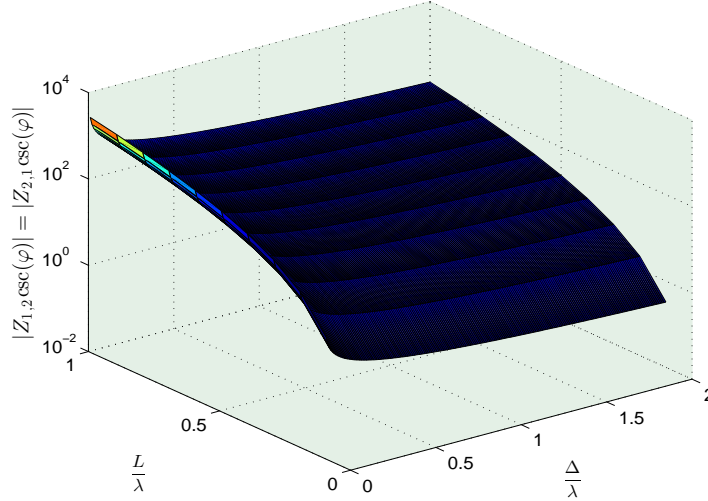


Figure 5.7: How $|Z_{1,2} \csc(\varphi)| = |Z_{2,1} \csc(\varphi)|$ of (5.1) varies with $\frac{\Delta}{\lambda}$ and $\frac{L}{\lambda}$.

The non-negative factor, $|\sin \varphi|$, in the model of (5.1) suggests that $|Z_{1,2}| = |Z_{2,1}|$ would increase monotonically, as the two dipoles become less perpendicular. This is reasonable in terms of electromagnetics, because as the skew angle $|\varphi|$ increases from 0 toward 90° , the two dipoles would become more parallel, hence more mutual coupling between these two skewed dipoles. This $|\sin \varphi|$ factor arises from the projection of the driving dipole's electric field on the induced dipole, which is skewed from the former dipole by a rotational angle of φ . Under the special case where the two dipoles are perfectly orthogonal (i.e. $\varphi = 0$), $|Z_{1,2}| = |Z_{2,1}| = 0$ in (5.1), as expected.

As the dipole gets longer, the electromagnetic field along each dipole gets larger. This will in turn produce more current and therefore the dipoles would radiate greater amount of power. Consequently, as clearly indicated in (5.1) , $|Z_{1,2}|$ exponentially increases with $\frac{L}{\lambda}$. Figure 5.7 agrees with the above claim.

5.2.2 Phase of $Z_{1,2}$ and $Z_{2,1}$

The candidate models for $\angle Z_{1,2} = \angle Z_{2,1}$ are presented in Appendix B. The best phenomenological model fit for the $\angle Z_{1,2}$ and $\angle Z_{2,1}$ is

$$\begin{aligned}\angle Z_{1,2} &= \angle Z_{2,1} \\ &\approx b_1 \frac{\Delta}{\lambda} + b_2 \frac{L}{\lambda} + b_3,\end{aligned}\tag{5.3}$$

where

$$\begin{aligned}b_1 &:= -5.5920 = -1.78\pi, \\ b_2 &:= 0.5048\pi, \\ b_3 &:= -0.2952 = -0.0940\pi.\end{aligned}$$

The goodness-of-fit R^2 equals 0.96, i.e. only 4% of the VLab numerical data cannot be explained by the above model.

This model of $\angle Z_{1,2} = \angle Z_{2,1}$ is independent of the inter-dipole skew angle φ . This is reasonable in terms of electromagnetics: The phase $\angle Z_{1,2} = \angle Z_{2,1}$ depends on the electric field at the induced dipole. If the induced dipole is rotated with respect to its feed center, that electric field's phase would remain the same. Hence, the inter-dipole skew angle φ has no effect on $\angle Z_{1,2}$.

This model of $\angle Z_{1,2} = \angle Z_{2,1}$ varies linearly with the inter-dipole separation $\frac{\Delta}{\lambda}$. This is reasonable in terms of electromagnetics: As the radiation propagates outward from the driving dipole, its phase would change linearly with the distance traversed. This model of $\angle Z_{1,2} = \angle Z_{2,1}$ increases linearly with the dipoles' electric length $\frac{L}{\lambda}$. This is reasonable in terms of electromagnetics: The radiation is emitted from

driving dipole along that dipole's entire length, and is received by the induced dipole along the induced dipole's entire length. The average of such distances increases linearly with the two dipoles' length. Hence, the phase would change also linearly with $\frac{L}{\lambda}$. Figure 5.8 shows the 3D plot of the model in (5.3).

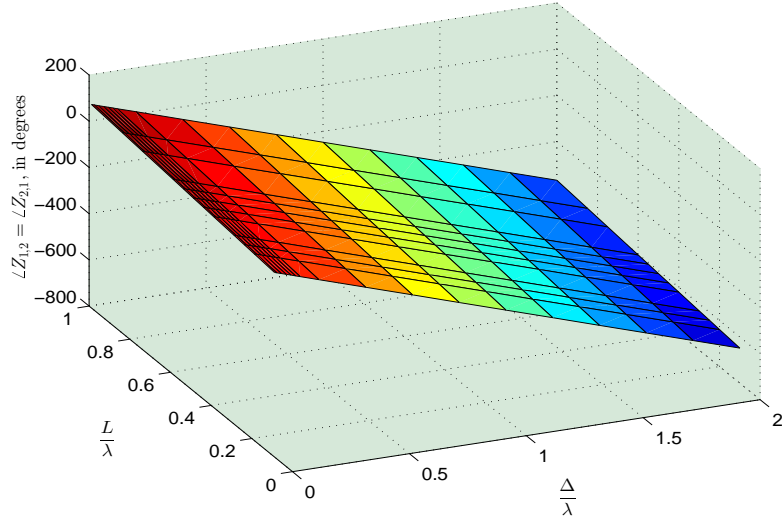


Figure 5.8: How $\angle Z_{1,2} = \angle Z_{2,1}$ of (5.3) varies with $\frac{\Delta}{\lambda}$ and $\frac{L}{\lambda}$. The $\angle Z_{1,2} = \angle Z_{2,1}$ varies linearly with $\frac{\Delta}{\lambda}$ and $\frac{L}{\lambda}$.

5.3 Model Fitting of the Self-Impedances, $Z_{1,1}$ and $Z_{2,2}$

This section shows how the phenomenological models for $Z_{1,1}$ and $Z_{2,2}$ are obtained. The analysis of the obtained phenomenological models will be based on existing theories and principles of antenna electromagnetics at the end part of each subsection.

In all the VLab simulations, $Z_{1,1} = Z_{2,2}$, $\forall \{\frac{\Delta}{\lambda}, \frac{L}{\lambda}, \varphi\}$. Hence, $|Z_{1,1}| = |Z_{2,2}|$ and $\angle Z_{1,1} = \angle Z_{2,2}$.

5.3.1 Magnitude of $Z_{1,1}$ and $Z_{2,2}$

All candidate models are shown in Appendix C. The best-fitting phenomenological model is

$$\begin{aligned}
 |Z_{1,1}| &= |Z_{2,2}| \\
 &\approx \underbrace{\left[\underbrace{p_1 + p_2 \cos\left(p_3 \frac{\Delta}{\lambda} + p_4\right)}_{P_2\left(\frac{\Delta}{\lambda}\right) :=} e^{-p_5 \frac{\Delta}{\lambda}} \sin^2(\varphi) \right]}_{P_1\left(\frac{\Delta}{\lambda}, \varphi\right) :=} \\
 &\quad \underbrace{\left[\left(\frac{L}{\lambda} - p_6 \right)^2 + p_7 \right]}_{P_3\left(\frac{L}{\lambda}\right) :=}. \tag{5.4}
 \end{aligned}$$

where

$$\begin{aligned}
 p_1 &:= 20415.4041, \\
 p_2 &:= 98.3895, \\
 p_3 &:= 4.0412\pi, \\
 p_4 &:= 3.4539\pi, \\
 p_5 &:= 0.2782, \\
 p_6 &:= 0.4838, \\
 p_7 &:= 0.0057.
 \end{aligned}$$

The goodness-of-fit R^2 equals 0.98, i.e. only 2% of the VLab's numerical data cannot be explained by the above model.

The R^2 is evaluated on

$$\begin{aligned} \log_{10} |Z_{1,1}| &= \log_{10} |Z_{2,2}| \\ &\approx \log_{10} \left| p_1 + p_2 \cos \left(p_3 \frac{\Delta}{\lambda} + p_4 \right) e^{-p_5 \frac{\Delta}{\lambda}} \sin^2(\varphi) \right| \\ &\quad + \log_{10} \left| \left(\frac{L}{\lambda} - p_6 \right)^2 + p_7 \right|. \end{aligned} \quad (5.5)$$

instead of (5.4). This is because $|Z_{1,1}| = |Z_{2,2}|$ takes on values over several orders of magnitude. Hence, any R^2 computation based on (5.4) would overweight those support subregions of $\{\varphi, \frac{L}{\lambda}, \frac{\Delta}{\lambda}\}$ where $|Z_{1,1}| = |Z_{2,2}|$ is very large, thereby poorly fitting other regions of $\{\varphi, \frac{L}{\lambda}, \frac{\Delta}{\lambda}\}$ where $|Z_{1,1}| = |Z_{2,2}|$ is small. More explicitly, the would-be-overweighted region is where $\frac{L}{\lambda}$ increases toward unity and where $\frac{\Delta}{\lambda}$ decreases toward zero.

The two dipoles' separation $\frac{\Delta}{\lambda}$ affects $|Z_{1,1}| = |Z_{2,2}|$ only through $P_1(\frac{\Delta}{\lambda}, \varphi)$. If and only if the two dipoles are very far apart (i.e. as $\frac{\Delta}{\lambda} \rightarrow \infty$): $P_1(\frac{\Delta}{\lambda}, \varphi) \rightarrow p_1$; and the second term inside $|\cdot|$ approaches zero.

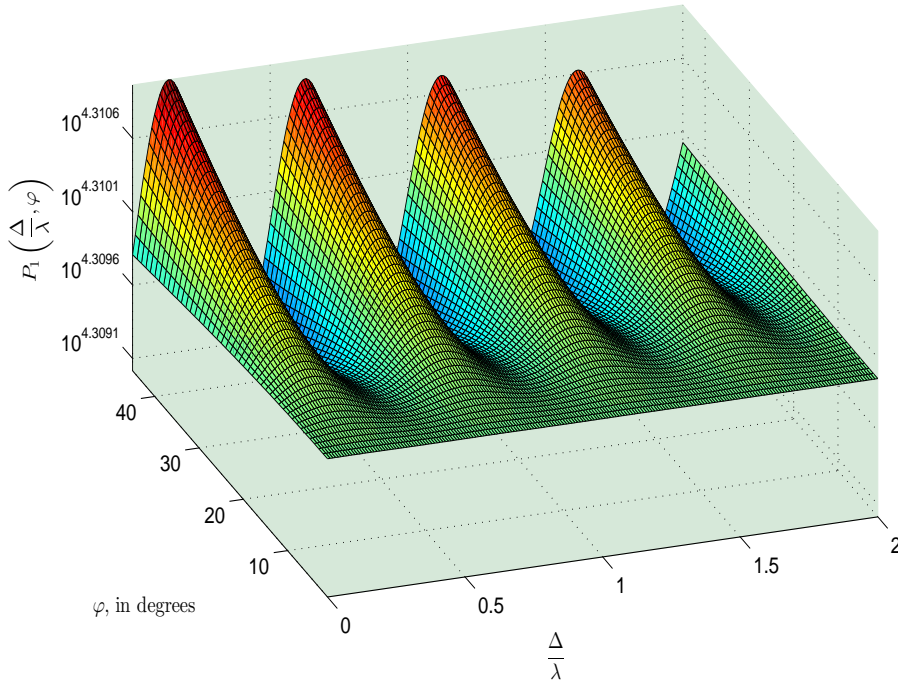


Figure 5.9: How $P_1(\varphi, \frac{\Delta}{\lambda})$ varies with φ and $\frac{\Delta}{\lambda}$.

The two dipoles' skew angle φ affects $|Z_{1,1}| = |Z_{2,2}|$ only through $\sin^2(\varphi)$. This $\sin^2(\varphi)$ multiplicative factor may be interpreted to arise from the round-trip propagation of the radiated electric field, from the excited dipole, to the induced dipole, then back to the excited dipole. Recalling that these two dipoles are skewed with respect to each other by φ , this induced electric field (as mentioned in Section 5.2.1) is proportional to $|\sin(\varphi)|$ for each one-way propagation. When the two dipoles are orthogonal (i.e. $\varphi = 0$), the second term inside $|\cdot|$ equals zero. The variation of $P_1(\varphi, \frac{\Delta}{\lambda})$ with φ and $\frac{\Delta}{\lambda}$ is illustrated in Figure 5.9.

The two preceding paragraphs point out that the second term inside $|\cdot|$ approaches zero, if and only if either the two dipoles are orthogonal (i.e. $\varphi = 0$) or very far apart ($\frac{\Delta}{\lambda} \rightarrow \infty$), when the driving dipole would become effectively isolated from the induced dipole. Hence, that second term could be interpreted to correspond to re-radiation from the induced dipoles. In other words, the driving dipole's self-impedance $Z_{1,1}$ is partly due to the dipole's isolated self-impedance and partly due to the electric field induced back to it by the induced dipole. The former effect, however, is at least $\frac{p_1}{p_2} \approx 207$ times more significant than the latter effect. This is reasonable in terms of antenna electromagnetics: The inter-dipole coupling's aforementioned round-trip effect (i.e. round trip from the driving dipole to the induced dipole, then back to the driving dipole) is small relative to the driving dipole's own isolated self-impedance.

When either the two dipoles are very widely separated or are orthogonally oriented, the model in (5.4) degenerates to the mathematical form of $p_1 P_3(\frac{L}{\lambda})$, which is a reasonable representation of an isolated dipole's self-impedance. The dipoles' length $\frac{L}{\lambda}$ affects $|Z_{1,1}| = |Z_{2,2}|$ only through the multiplicative factor $P_3(\frac{L}{\lambda})$. Figure 5.10 plots $P_3(\frac{L}{\lambda})$ alongside $\frac{L}{\lambda}$. This term is the dominating factor in (5.4).

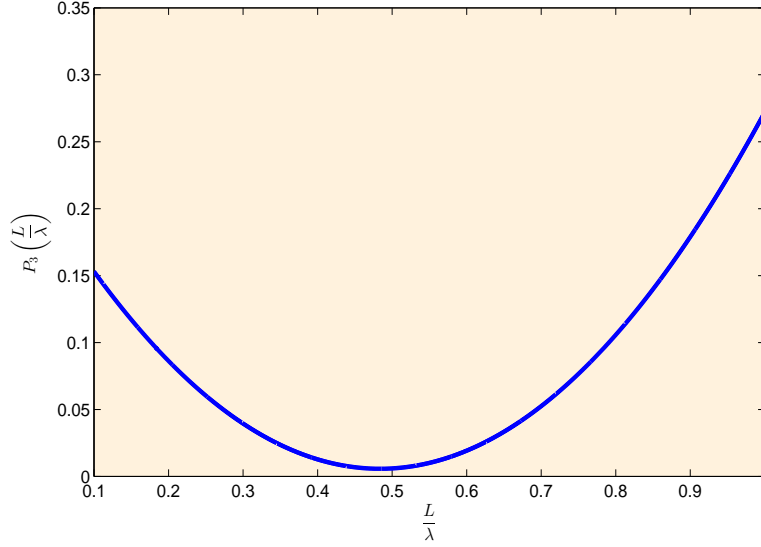


Figure 5.10: How $P_3\left(\frac{L}{\lambda}\right)$ varies with $\frac{L}{\lambda}$. Observe that resonance is near $\frac{L}{\lambda} = 0.50$. This minimum value is exactly at $\frac{L}{\lambda} = 0.48$.

Analysis of $P_2\left(\frac{\Delta}{\lambda}\right)$

The model in (5.4) varies with $\frac{\Delta}{\lambda}$ as a dampened sinusoid, with an inter-peak gap and an inter-null gap of roughly $\frac{\Delta}{\lambda} \approx \frac{1}{2}$. Figure 5.11 shows how $P_2\left(\frac{\Delta}{\lambda}\right)$ varies with $\frac{\Delta}{\lambda}$. As $\frac{\Delta}{\lambda} \rightarrow \infty$, the factor $P_2\left(\frac{\Delta}{\lambda}\right) \rightarrow 0$. This is also reasonable in terms of electromagnetics, because the two skewed dipoles (being very far apart) would become electromagnetically isolated from each other. $|Z_{1,1}| = |Z_{2,2}|$ is a damped sinusoid of the inter-dipole separation $\frac{\Delta}{\lambda}$. This is reasonable in terms of antenna electromagnetics: As $\frac{\Delta}{\lambda}$ widens, the induced electric field decreases in magnitude, hence the exponentially decaying factor of $e^{-p_5 \frac{\Delta}{\lambda}}$.

The $\cos(\cdot)$ arises due to the summation (at the driving dipole) between the driving electric field and the scattered electric field. This summation could be constructive or destructive or in between, depending on the two electric fields' phases. The summation would be perfectly constructive if the two dipoles are separated by an integer number of $\frac{\lambda}{2}$, which would correspond to an inter-dipole round-trip distance 2Δ of an integer multiple of λ .

Note that $p_3 \approx 4\pi$, that would give a period of $\Delta = \frac{\lambda}{2}$ in the $\cos(\cdot)$ factor. That period corresponds to a round-trip of $2\Delta = \lambda$ between the 2 dipoles. However, p_3 is

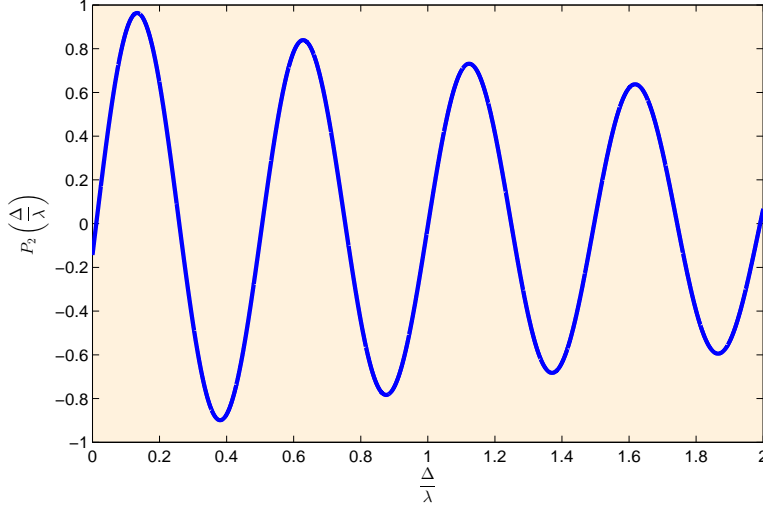


Figure 5.11: How $P_2\left(\frac{\Delta}{\lambda}\right)$ varies with $\frac{\Delta}{\lambda}$. Here, there are 4 peaks and nulls. The absolute maximum is at $\frac{\Delta}{\lambda} = 0.13$ with an amplitude of 0.96, while the absolute minimum is at $\frac{\Delta}{\lambda} = 0.38$ with an amplitude of -0.90 .

not exactly 4π , because the aforementioned induced field effects involve the entire length of each dipole; hence, the above-mentioned inter-dipole separation considerations involve not just the separation between the two dipoles' feeding points.

To locate peaks and nulls of $P_2\left(\frac{\Delta}{\lambda}\right)$ in (5.4) within $\frac{\Delta}{\lambda} = [0, 2]$, get the first derivative of $P_2\left(\frac{\Delta}{\lambda}\right)$.

$$P_2'\left(\frac{\Delta}{\lambda}\right) = e^{-p_5 \frac{\Delta}{\lambda}} \left\{ -p_5 \cos\left(p_3 \frac{\Delta}{\lambda} + p_4\right) - p_3 \sin\left(p_3 \frac{\Delta}{\lambda} + p_4\right) \right\} \quad (5.6)$$

To obtain the critical points of P_2 , set (5.6) to zero.

$$0 = e^{-p_5 \frac{\Delta}{\lambda}} \left\{ -p_5 \cos\left(p_3 \frac{\Delta}{\lambda} + p_4\right) - p_3 \sin\left(p_3 \frac{\Delta}{\lambda} + p_4\right) \right\}.$$

Within the interval $[0, 2]$, the zeros of $P_2'(\cdot)$ are

$$\frac{\Delta}{\lambda} = \frac{\tan^{-1}\left(\frac{-p_5}{p_3}\right) + n\pi - p_4}{p_3}. \quad (5.7)$$

The tangent function has a period of π , hence $n\pi$ is added in equation (5.7). At $n = 4, 5, 6, 7, 8, 9, 10, 11$, (5.7) respectively gives the local maxima or minima of $\frac{\Delta}{\lambda} = 0.1334, 0.3809, 0.6283, 0.8758, 1.1232, 1.3707, 1.6181, 1.8656$, with these corresponding peak/null values from (5.4)

$$\begin{aligned}
P_1(0.1334) &:= 0.9633, \\
P_1(0.3809) &:= -0.8992, \\
P_1(0.6283) &:= 0.8394, \\
P_1(0.8758) &:= -0.7836, \\
P_1(1.1232) &:= 0.7314, \\
P_1(1.3707) &:= -0.6828, \\
P_1(1.6181) &:= 0.6374, \\
P_1(1.8656) &:= -0.5950.
\end{aligned}$$

As $P_2(0.1334) := 0.9633$ is the maximum value for $\frac{\Delta}{\lambda} = [0, 2]$, the absolute maximum point is at $\frac{\Delta}{\lambda} = 0.1334$. While the absolute minimum is at $\frac{\Delta}{\lambda} = 0.3809$. The four peaks occur at $\frac{\Delta}{\lambda} = 0.1334, 0.6283, 1.1232, 1.6181$, whereas the four nulls occur at $\frac{\Delta}{\lambda} = 0.3809, 0.8758, 1.3707, 1.8656$.

$$|Z_{1,1}| = |Z_{2,2}| \text{ at “resonance” near } \frac{L}{\lambda} = 0.5.$$

According to electromagnetics, the $|Z_{1,1}|$ and $|Z_{2,2}|$ should go through a minimum at near $\frac{L}{\lambda} = 0.5$. This is half-wave dipole's first resonance.

Substituting the values of p_1, p_2, \dots, p_7 into (5.4),

$$\begin{aligned}
|Z_{1,1}| &= \left\{ 20415.4041 + 98.3895 \cos \left(4.0412\pi \frac{\Delta}{\lambda} + 3.4539\pi \right) e^{-0.2782 \frac{\Delta}{\lambda}} \sin^2(\varphi) \right\} \\
&\quad \left\{ \left(\frac{L}{\lambda} - 0.4838 \right)^2 + 0.0057 \right\} \tag{5.8}
\end{aligned}$$

The use of built-in function ‘fminsearch’ from MATLAB for $\frac{\Delta}{\lambda} = [0.01, 2.0]$, and $\varphi = [1^\circ, 45^\circ]$ in (5.8) results to $\frac{L}{\lambda} = 0.4838$.

Another way is to use Calculus.

Finding the partial derivative of $|Z_{1,1}|$ with respect to $\frac{L}{\lambda}$,

$$\frac{\partial(|Z_{1,1}|)}{\partial \frac{L}{\lambda}} = \left\{ 20415.4041 + 98.3895 \cos \left(4.0412\pi \frac{\Delta}{\lambda} + 3.4539\pi \right) e^{-0.2782 \frac{\Delta}{\lambda}} \sin^2(\varphi) \right\} \left\{ 2 \left(\frac{L}{\lambda} - 0.4838 \right) \right\} \quad (5.9)$$

Setting (5.9) to zero and solving for $\frac{L}{\lambda}$, for $\frac{\Delta}{\lambda} = [0.01, 2.0]$, $\varphi = [1^\circ, 45^\circ]$ results to $\frac{L}{\lambda} = 0.4838$.

Now, to determine if the $\frac{L}{\lambda} = 0.4838$ is indeed minimum, we now get the second derivative.

$$\frac{\partial^2(|Z_{1,1}|)}{\partial \frac{L}{\lambda}^2} = \left\{ 20415.4041 + 98.3895 \cos \left(4.0412\pi \frac{\Delta}{\lambda} + 3.4539\pi \right) e^{-0.2782 \frac{\Delta}{\lambda}} \sin^2(\varphi) \right\} \{2\} \quad (5.10)$$

For $\frac{\Delta}{\lambda} = [0.01, 2.0]$ and $\varphi = [1^\circ, 45^\circ]$, $\frac{\partial^2(|Z_{1,1}|)}{\partial \frac{L}{\lambda}^2} > 0..$

Since, the values of the second derivative are all greater than zero for all $\frac{\Delta}{\lambda}$'s and φ 's, therefore $\frac{L}{\lambda} = 0.4838$, through the second derivative test, is indeed a minimum.

Hence, we can conclude that at $L \approx 0.48\lambda$, the first resonance of the half-wave dipole according to electromagnetics has been achieved.

5.3.2 Phase of $Z_{1,1}$ and $Z_{2,2}$

All candidate models are presented in Appendix D. The best-fitting model is

$$\angle Z_{1,1} = \angle Z_{2,2} \approx \left\{ q_1 + \overbrace{q_2 \sin \left(q_3 \frac{\Delta}{\lambda} \right) e^{-q_4 \frac{\Delta}{\lambda}}}^{Q\left(\frac{\Delta}{\lambda}\right) :=} \right\} \sin \left(q_5 \frac{L}{\lambda} \right), \quad (5.11)$$

where

$$\begin{aligned} q_1 &:= 1.7648, \\ q_2 &:= 0.0103, \\ q_3 &:= 0.7091\pi, \\ q_4 &:= 5.0565, \\ q_5 &:= -2.0758\pi. \end{aligned}$$

The goodness-of-fit R^2 equals 0.90; hence, only 10% of the VLab's numerical data cannot be explained by the above model.

Like $|Z_{1,1}| = |Z_{2,2}|$ in Section 5.3.1, the phenomenological model here for $\angle Z_{1,1} = \angle Z_{2,2}$ has two terms inside the curly brackets. The first term corresponds to each dipole's isolated self-impedance, whereas the second term, $Q\left(\frac{\Delta}{\lambda}\right)$, arises due to the re-radiation from the induced dipole back to the driving dipole. The first term dominates the second term (by a ratio of $\frac{q_1}{q_2} \approx 171$ multiples), as would be expected and as explained in Section 5.3.1. Figure 5.12 shows how $Q\left(\frac{\Delta}{\lambda}\right)$ varies with $\frac{\Delta}{\lambda}$.

Like $\angle Z_{1,2} = \angle Z_{2,1}$ in Section 5.2.2, the phenomenological model here for $\angle Z_{1,1} = \angle Z_{2,2}$ is independent of φ , for reasons already explained in Section 5.2.2. The plot of the phenomenological model for $\angle Z_{1,1}$ against $\frac{L}{\lambda}$ and $\frac{\Delta}{\lambda}$ is shown in Figure 5.13.

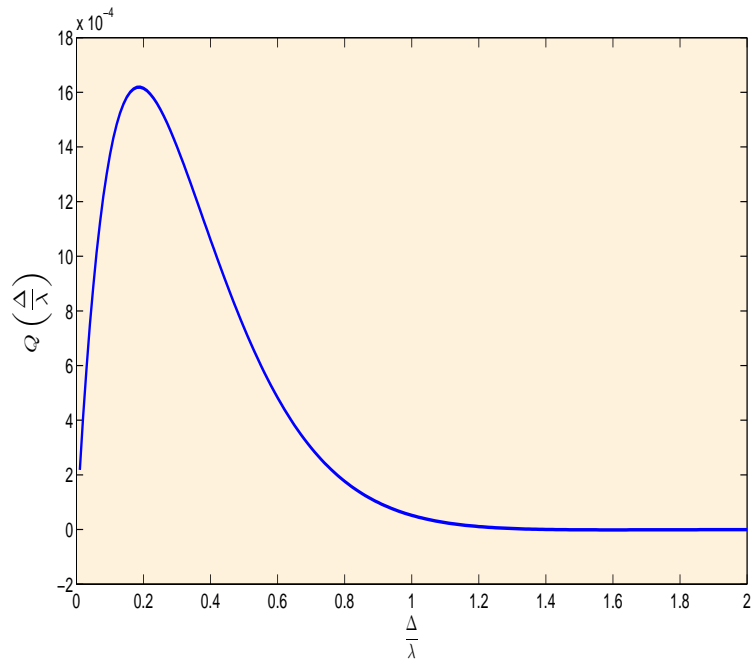


Figure 5.12: How $Q\left(\frac{\Delta}{\lambda}\right)$ varies with $\frac{\Delta}{\lambda}$

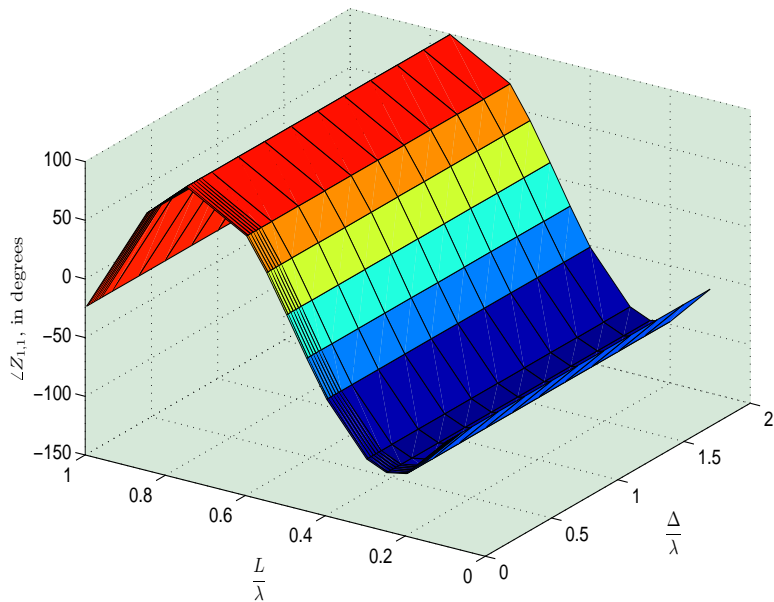


Figure 5.13: How $\angle Z_{1,1}$ varies with $\frac{\Delta}{\lambda}$ and $\frac{L}{\lambda}$.

Summary

As earlier promised, the obtained phenomenological models with simple and tractable expressions of the mutual and self-impedance, in simple closed forms, are now obtained and introduced.

Table 5.1 summarizes the phenomenological models and the optimized parameters for $|Z_{1,2}| = |Z_{2,1}|$, $\angle Z_{1,2} = \angle Z_{2,1}$, $|Z_{1,1}| = |Z_{2,2}|$, $\angle Z_{1,1} = \angle Z_{2,2}$.

Table 5.1: Summary of the Obtained Phenomenological Models for Skewed Cross Dipoles

Entity	Phenomenological Model
$ Z_{1,2} = Z_{2,1} $	$10^{a_1} \left(\frac{\Delta}{\lambda}\right)^{-a_2} \left(\frac{L}{\lambda}\right)^{a_3} (\sin(\varphi))$ <p>where $a_1 = 2.3018$, $a_2 = 0.5564$, $a_3 = 2.6230$.</p>
$\angle Z_{1,2} = \angle Z_{2,1}$	$b_1 \frac{\Delta}{\lambda} + b_2 \frac{L}{\lambda} + b_3$ <p>where $b_1 = -5.5920$, $b_2 = 1.5858$, $b_3 = -0.2952$.</p>
$ Z_{1,1} = Z_{2,2} $	$\left p_1 + p_2 \cos\left(p_3 \frac{\Delta}{\lambda} + p_4\right) e^{-p_5 \frac{\Delta}{\lambda}} \sin^2(\varphi) \right \left\{ \left(\frac{L}{\lambda} - p_6\right)^2 + p_7 \right\}$ <p>where $p_1 = 20415.4041$, $p_2 = 98.3895$, $p_3 = 4.0412\pi$, $p_4 = 3.4539\pi$,</p> <p>$p_5 = 0.2782$, $p_6 = 0.4838$, $p_7 = 0.0057$.</p>
$\angle Z_{1,1} = \angle Z_{2,2}$	$\left\{ q_1 + q_2 \sin\left(q_3 \frac{\Delta}{\lambda}\right) e^{-q_4 \frac{\Delta}{\lambda}} \right\} \sin\left(q_5 \frac{L}{\lambda}\right)$ <p>where $q_1 = 1.7648$, $q_2 = 0.0103$, $q_3 = 0.7091\pi$,</p> <p>$q_4 = 5.0565$, $q_5 = -2.0758\pi$.</p>

Chapter 6

Direction-of-Arrival Estimation to Demonstrate the Usefulness of the Proposed Models

Introduction

To demonstrate the usefulness of the phenomenological models in (5.1), (5.3), (5.4), (5.11) — these new models are utilized below in the estimation of an incident source's azimuth-elevation direction-of-arrival (DOA).

6.1 The Skewed Dipole-Pair's Electromagnetic Measurement Model

The first dipole is aligned along the z -axis and is centered at the Cartesian origin. The second dipole lies on the x - y plane and is centered at the Cartesian point of $(\Delta, 0, 0)$, as shown in Figure 4.5. The second dipole's location incurs a spatial phase factor of $e^{j2\pi\frac{\Delta}{\lambda}\sin(\theta)\cos(\phi)}$, where $\theta \in [0^\circ, 180^\circ]$ symbolizes the incident source's polar angle of arrival, and $\phi \in [0^\circ, 360^\circ)$ denotes the azimuth angle of arrival measured from the positive x -axis. The second dipole's skewed orientation on the y' - z' plane implies that its voltage is affected by the incident electromagnetic wave's y -component and z -component.

If these dipoles are of wavelength-normalized lengths greater than 0.10 (i.e. with $\frac{L}{\lambda} > \frac{1}{10}$), the skewed-dipoles would have this 2×1 array manifold [103, 141]:

$$\begin{aligned}
& \mathbf{a}_{\text{pair}}(\theta, \phi, \gamma, \eta) \\
= & \mathbf{C} \begin{bmatrix} 1 & 0 \\ 0 & e^{j2\pi\frac{\Delta}{\lambda} \sin(\theta) \cos(\phi)} \end{bmatrix} \begin{bmatrix} 0 & 1 \\ \cos(\varphi) & \sin(\varphi) \end{bmatrix} \begin{bmatrix} -\sin(\theta) & 0 \\ \cos(\theta) \sin(\phi) & \cos(\phi) \end{bmatrix} \\
& \begin{bmatrix} e^{j\eta} \sin \gamma \\ \cos \gamma \end{bmatrix} \circ \begin{bmatrix} \ell_{\theta}^{(L)} \\ \ell_{\psi}^{(L)} \end{bmatrix} \circ \begin{bmatrix} \csc(\theta) \\ \csc(\psi) \end{bmatrix}. \tag{6.1}
\end{aligned}$$

where

$$\begin{aligned}
\ell_{\theta}^{(L)} &= -\frac{\lambda}{\pi} \frac{1}{\sin\left(\frac{L}{\lambda}\right)} \frac{\cos\left(\frac{L}{\lambda} \cos(\theta)\right) - \cos\left(\frac{L}{\lambda}\right)}{\sin(\theta)}, \\
\ell_{\psi}^{(L)} &= -\frac{\lambda}{\pi} \frac{1}{\sin\left(\frac{L}{\lambda}\right)} \frac{\cos\left(\frac{L}{\lambda} \cos(\psi)\right) - \cos\left(\frac{L}{\lambda}\right)}{\sin(\psi)}, \\
\cos(\psi) &= \sin(\theta) \sin(\phi) \cos(\varphi) + \cos(\theta) \sin(\varphi), \\
\sin(\psi) &= \sqrt{\sin^2(\theta) \sin^2(\varphi) + \cos^2(\theta) \cos^2(\varphi) + \sin^2(\theta) \cos^2(\phi) \cos^2(\varphi) - 2 \sin(2\theta) \sin(2\varphi) \sin(\phi)}
\end{aligned}$$

In the above, $\gamma \in [0, \frac{\pi}{2}]$ denotes the auxiliary polarization angle, $\eta \in [-\pi, \pi]$ refers to the polarization phase difference, $\ell_{\theta}^{(L)}$ and $\ell_{\psi}^{(L)}$ denote the effective lengths of dipoles, ψ refers to the angle made between the slanted dipole and the unit vector along the direction of propagation and \mathbf{C} symbolizes the skewed-dipoles' 2×2 electromagnetic coupling matrix. \circ denotes element-wise multiplication.

This coupling matrix is related to the impedance matrix \mathbf{Z} as follows: [14, 26, 38, 41, 58, 59, 66, 109, 116]

$$\mathbf{C} = \left(\frac{\mathbf{Z}}{Z_0} + \mathbf{I} \right)^{-1}, \quad (6.2)$$

where $Z_0 = 50 \Omega$ and \mathbf{I} is an identity matrix.

The subsequent direction-finding study would consider three cases:

- (A) The actual impedance matrix is exactly known to the direction-of-arrival estimation algorithm. Here, \mathbf{Z} would equal the VLab output values. This case corresponds to the dotted black curve on the subsequent graphs.
- (B) The actual impedance matrix is *unknown* to the direction-of-arrival estimation algorithm. Instead, the phenomenological models of (5.1), (5.3), (5.4), (5.11) are used to form \mathbf{Z} for use in the estimation algorithm. This case corresponds to the solid red curve on the subsequent graphs.
- (C) Mutual coupling is presumed erroneously by the direction-of-arrival estimation algorithm to be nonexistent. Here, \mathbf{Z} equals a 2×2 matrix of all zeros. This case corresponds to the dash-dot blue curve on the subsequent graphs.

6.2 The Data's Statistical Model

Let the receiver be equipped with a square array of four identical pairs of skewed dipoles, each of which is as described above in Section 6.1 (see Figure 6.1).

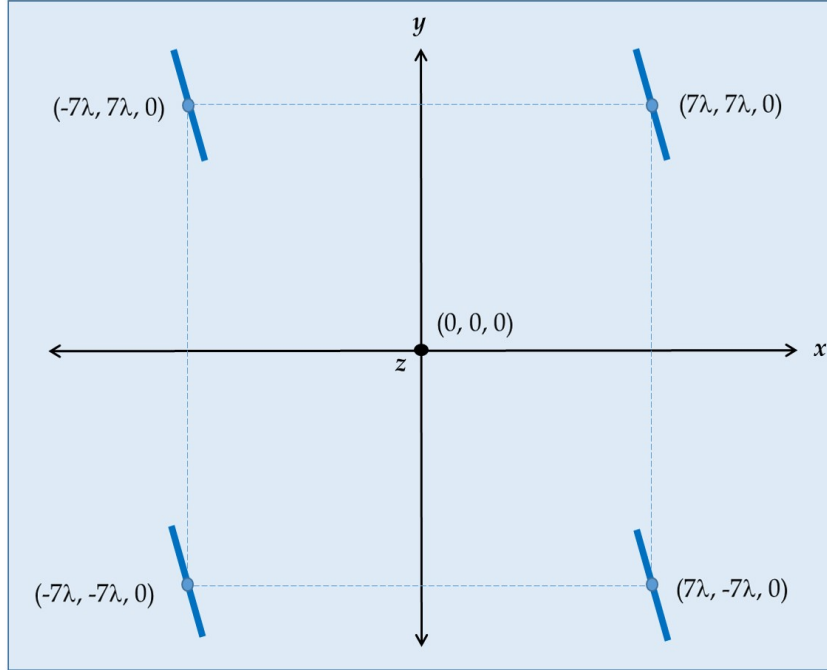


Figure 6.1: The square array of crossed dipoles separated by a distance of 14λ . The inter-pair coupling is negligible at this distance.

This square array's each side is 14λ in length – a separation long enough to render any inter-pair coupling to be negligible. This array's 8×1 array manifold may be represented as

$$\mathbf{a}_{\text{array}} = \mathbf{a}_{\text{pair}} \otimes \begin{bmatrix} \exp\{j7\pi \sin(\theta)[+\sin(\phi) + \cos(\phi)]\} \\ \exp\{j7\pi \sin(\theta)[+\sin(\phi) - \cos(\phi)]\} \\ \exp\{j7\pi \sin(\theta)[- \sin(\phi) + \cos(\phi)]\} \\ \exp\{j7\pi \sin(\theta)[- \sin(\phi) - \cos(\phi)]\} \end{bmatrix}, \quad (6.3)$$

where \otimes symbolizes the Kronecker product.

To focus on the electromagnetic coupling among the dipoles and on the proposed phenomenological models, an admittedly simple statistical model will be used below for the incident signal and the noise. Suppose a pure tone signal $s(t) = \exp[j(\omega t + \varphi)]$ impinges on aforementioned receiver. At the m th time-instant, the collected data may be modeled as an 8×1 vector of

$$\mathbf{x}(m) = \mathbf{a}(\theta, \phi, \gamma, \eta, \mathbf{C}) s(m) + \mathbf{n}(m). \quad (6.4)$$

In the above, $\mathbf{n}(m)$ denotes an 8×1 vector of additive noise, modeled here as Gaussian, zero in mean, statistically uncorrelated over the time-instants and uncorrelated across all eight dipoles.

With M number of time samples, form an $8 \times M$ data matrix of

$$\mathbf{X} := [\mathbf{x}(1), \mathbf{x}(2), \dots, \mathbf{x}(M)], \quad (6.5)$$

Each subsequent Monte Carlo simulation has $M = 50$ number of time-samples.

6.3 *MUSIC*-Based Direction Finding

The direction finding problem is to estimate the incident source's incident direction-of-arrival (θ, ϕ) , based on the observations of \mathbf{X} .

The estimation algorithm has prior knowledge of the numerical values of $\frac{L}{\lambda}$, $\frac{\Delta}{\lambda}$, φ .

MUSIC [32] is a popular parameter estimator, based on a eigen-decomposition of the data correlation matrix, $\mathbf{R} := \mathbf{X}^H \mathbf{X}$. Eigen-decompose this 8×8 matrix to obtain its null space, \mathbf{U}_{null} .

$$\mathbf{R} = [\mathbf{U}_s, \mathbf{U}_n]^H \mathbf{\Lambda} [\mathbf{U}_s, \mathbf{U}_n]. \quad (6.6)$$

Then, the direction-of-arrival estimates and the polarization estimates are given by

$$(\hat{\theta}, \hat{\phi}, \hat{\gamma}, \hat{\eta}) := \arg \max_{(\theta, \phi, \gamma, \eta)} \frac{1}{\|\mathbf{U}_n^H \mathbf{a}(\theta, \phi, \gamma, \eta, \mathbf{C})\|^2}, \quad (6.7)$$

where $\|\cdot\|$ represents the Frobenius norm of the entity inside.

For a fair comparison across the three impedance cases (A)-(C) in Section 6.1, the signal-to-noise power ratio (SNR) plotted in the simulation figures: The coupling matrix's norm, $\|\mathbf{C}\|_2$, affects the "effective" signal-to-noise power ratio in (6.4). Hence, to fairly compare across the three settings above, the array manifold in (6.1) is normalized by $\|\mathbf{C}\|_2$.

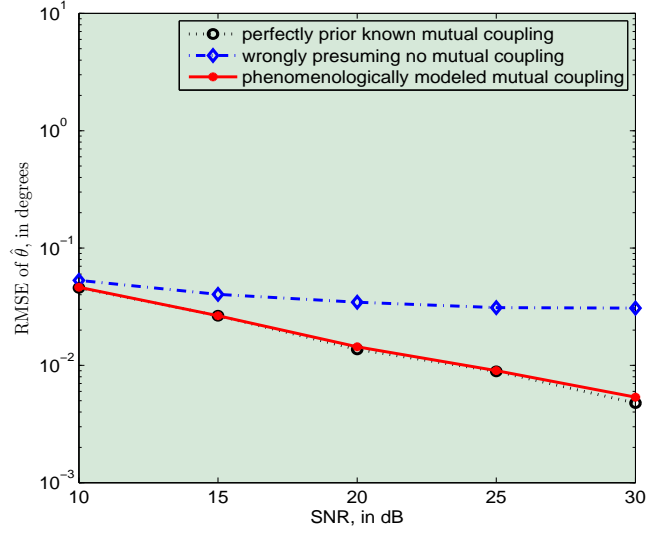
6.4 DOA Estimation Plots

Figures 6.2 - 6.24 show the estimation root-mean-square error (RMSE) of $\hat{\theta}$ and $\hat{\phi}$, versus the SNR. Each icon in Figures 6.2 to 6.24 represents 100 independent Monte Carlo trials. These figures verify the usefulness of the proposed phenomenological models — that these models offer estimation precisions almost as good as if the exact impedance were known, whereas ignoring mutual coupling causes a degradation that can be several orders of magnitude.

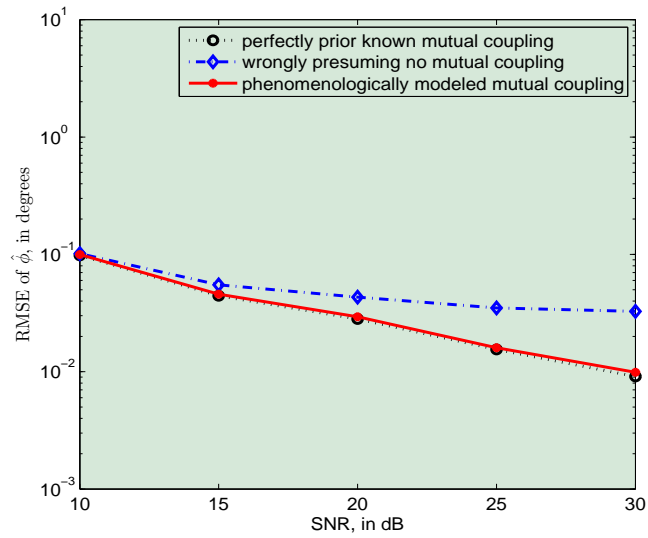
The root-mean-square error values are all expressed in degrees. From all the plots presented here, the average root-mean-square error values at SNR = 10 dB for $\hat{\theta}$ equals 0.057° . At SNR = 10 dB, the minimum and maximum values for all $\hat{\theta}$'s are 0.044° and 0.097° , respectively. At SNR = 30 dB, the average of all the root-mean-square errors for all $\hat{\theta}$'s equals 0.006° . The minimum and maximum values for all $\hat{\theta}$'s are 0.004° and 0.010° , respectively.

The average root-mean-square values of $\hat{\phi}$'s at SNR = 10 dB and SNR = 30 dB are 0.098° and 0.010° , respectively. At SNR = 10 dB, the minimum and maximum values for all $\hat{\phi}$'s are 0.044° and 0.270° , respectively. While at SNR = 30 dB, the minimum and maximum values for all $\hat{\phi}$'s are 0.025° and 0.005° , respectively.

The performance of the MUSIC algorithm is generally better in estimating the zenith angle, θ than the azimuthal angle, ϕ . Nonetheless, examining the recorded RMSE values for the direction-of-arrival estimation, the obtained results are overall significant.

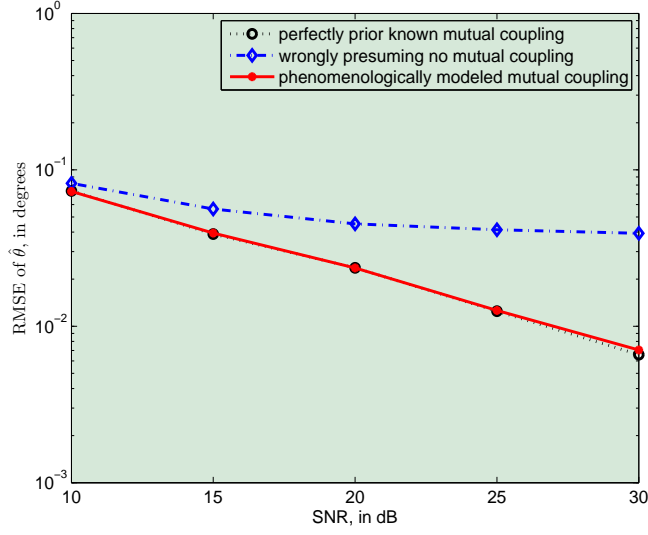


(a)

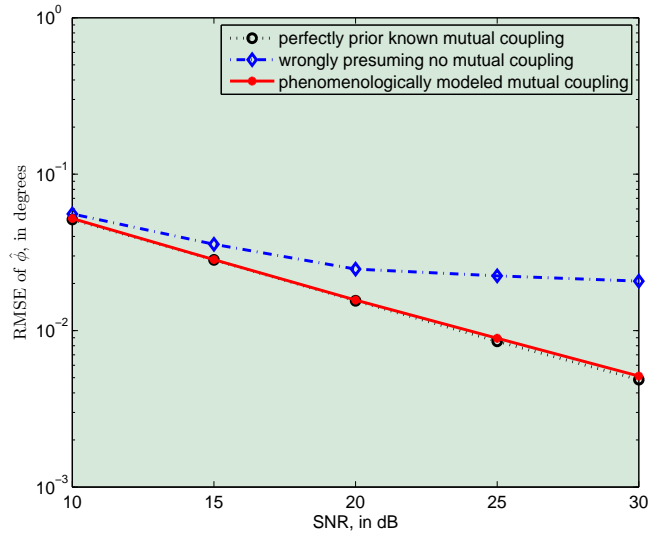


(b)

Figure 6.2: How the RMSE of (a) $\hat{\theta}$ and (b) $\hat{\phi}$ varies with the SNR. Here, $\frac{L}{\lambda} = 0.45$, $\varphi = 45^\circ$, $\frac{\Delta}{\lambda} = 0.04$, $\theta = 26^\circ$, $\phi = 12^\circ$, $\gamma = 44^\circ$, $\eta = -20^\circ$.

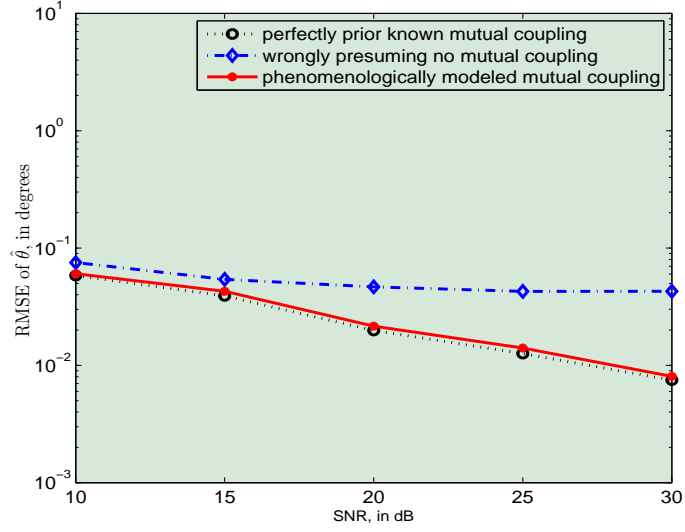


(a)

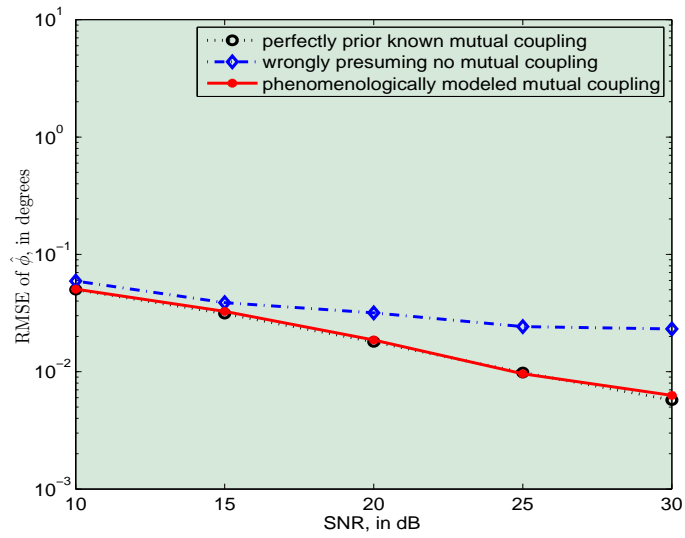


(b)

Figure 6.3: How the RMSE of (a) $\hat{\theta}$ and (b) $\hat{\phi}$ varies with the SNR. Here, $\frac{L}{\lambda} = 0.45$, $\varphi = 45^\circ$, $\frac{\Delta}{\lambda} = 0.04$, $\theta = 55^\circ$, $\phi = 53^\circ$, $\gamma = 19^\circ$, $\eta = 32^\circ$.

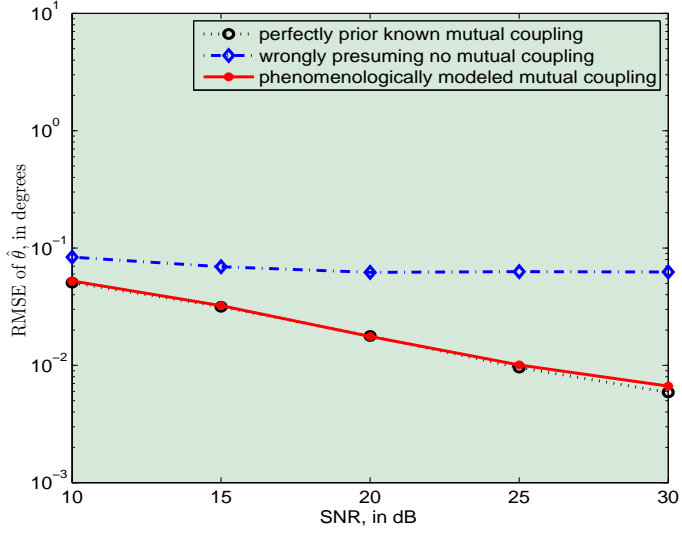


(a)

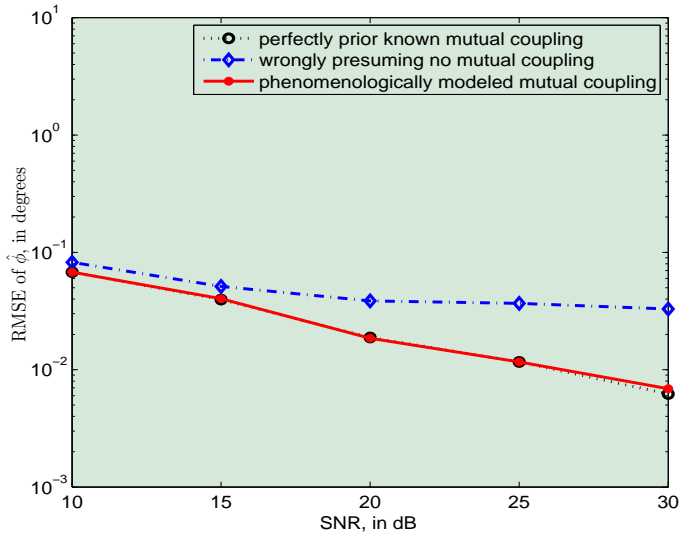


(b)

Figure 6.4: How the RMSE of (a) $\hat{\theta}$ and (b) $\hat{\phi}$ varies with the SNR. Here, $\frac{L}{\lambda} = 0.50$, $\varphi = 45^\circ$, $\frac{\Delta}{\lambda} = 0.01$, $\theta = 50^\circ$, $\phi = 36^\circ$, $\gamma = 15^\circ$, $\eta = 40^\circ$.

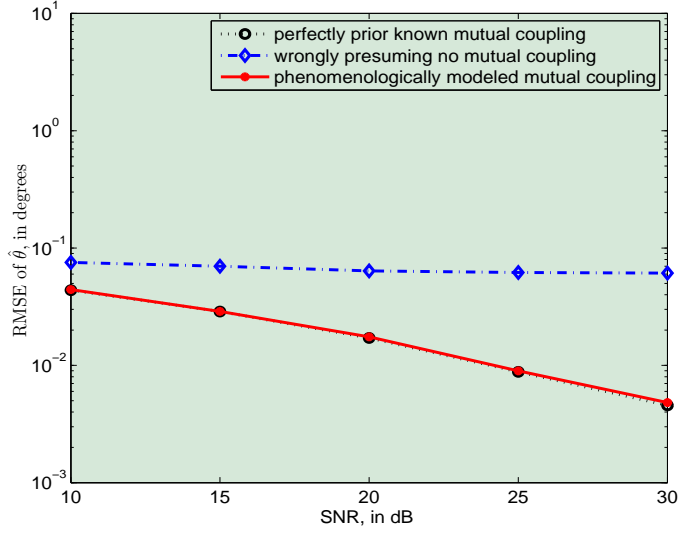


(a)

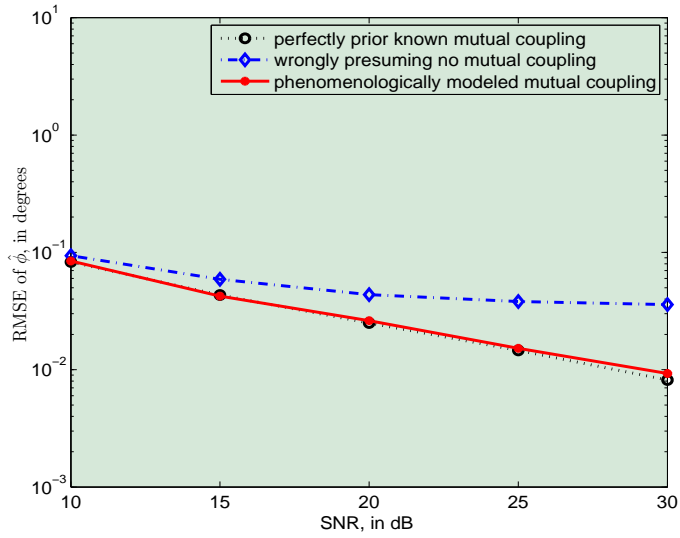


(b)

Figure 6.5: How the RMSE of (a) $\hat{\theta}$ and (b) $\hat{\phi}$ varies with the SNR. Here, $\frac{L}{\lambda} = 0.50$, $\varphi = 45^\circ$, $\frac{\Delta}{\lambda} = 0.01$, $\theta = 40^\circ$, $\phi = 65^\circ$, $\gamma = 33^\circ$, $\eta = -23^\circ$.

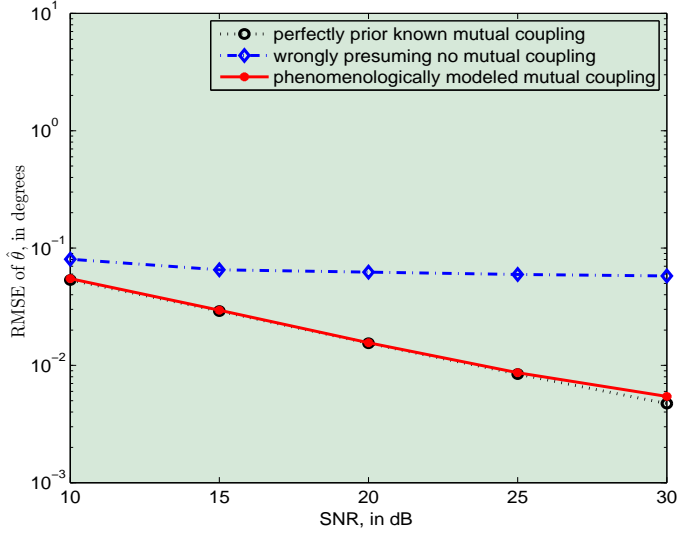


(a)

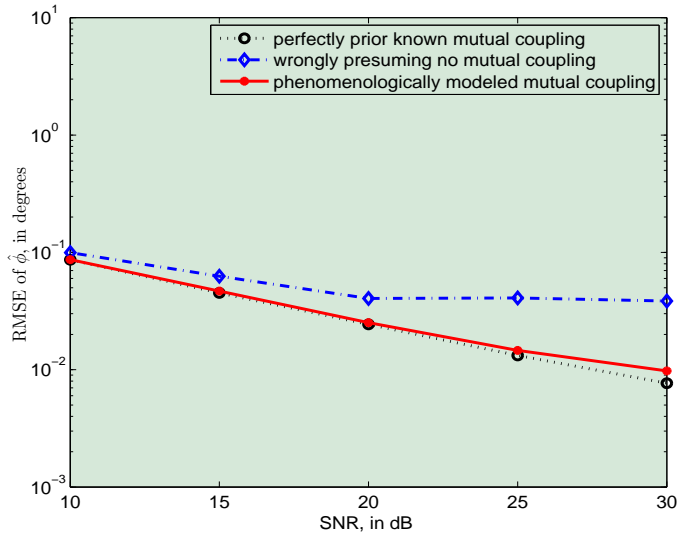


(b)

Figure 6.6: How the RMSE of (a) $\hat{\theta}$ and (b) $\hat{\phi}$ varies with the SNR. Here, $\frac{L}{\lambda} = 0.50$, $\varphi = 45^\circ$, $\frac{\Delta}{\lambda} = 0.01$, $\theta = 32^\circ$, $\phi = 28^\circ$, $\gamma = 52^\circ$, $\eta = -28^\circ$.

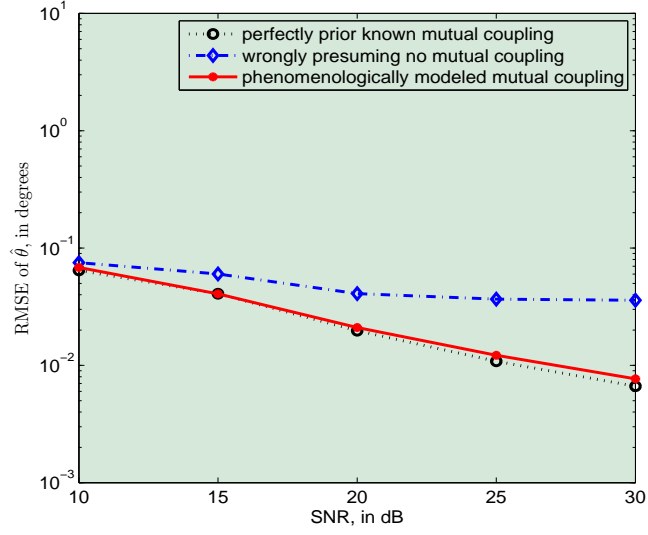


(a)

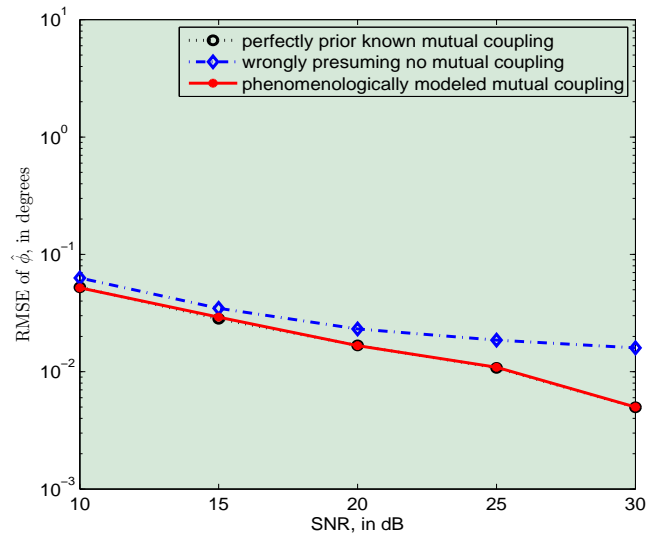


(b)

Figure 6.7: How the RMSE of (a) $\hat{\theta}$ and (b) $\hat{\phi}$ varies with the SNR. Here, $\frac{L}{\lambda} = 0.50$, $\varphi = 45^\circ$, $\frac{\Delta}{\lambda} = 0.01$, $\theta = 32^\circ$, $\phi = 28^\circ$, $\gamma = 50^\circ$, $\eta = -32^\circ$.

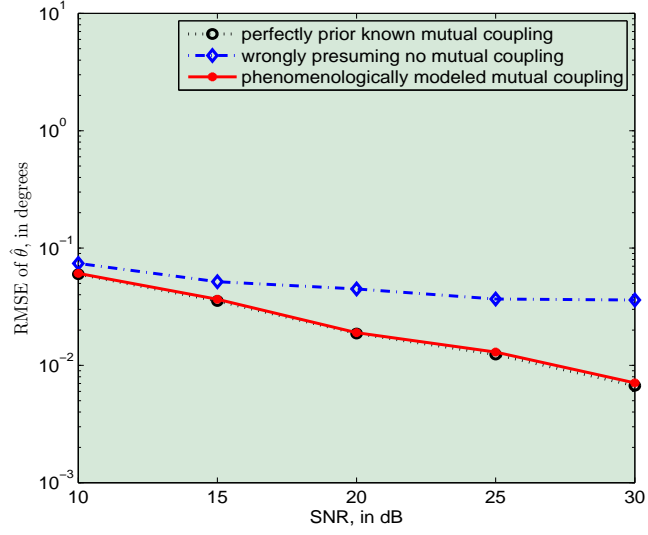


(a)

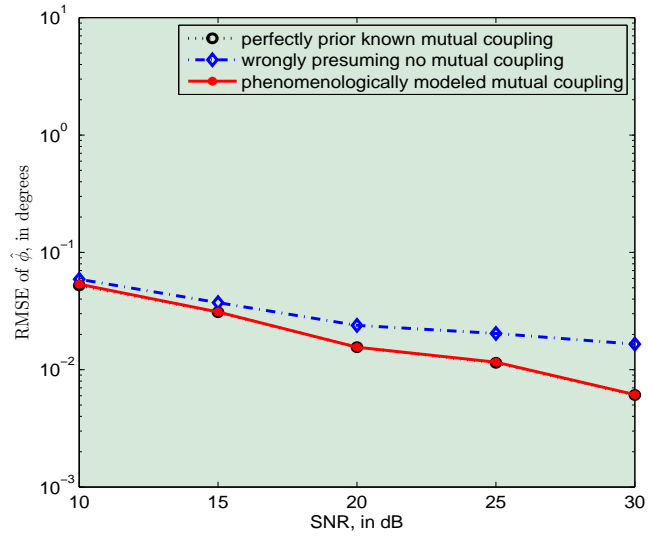


(b)

Figure 6.8: How the RMSE of (a) $\hat{\theta}$ and (b) $\hat{\phi}$ varies with the SNR. Here, $\frac{L}{\lambda} = 0.50$, $\varphi = 45^\circ$, $\frac{\Delta}{\lambda} = 0.02$, $\theta = 53^\circ$, $\phi = 30^\circ$, $\gamma = 15^\circ$, $\eta = 45^\circ$.

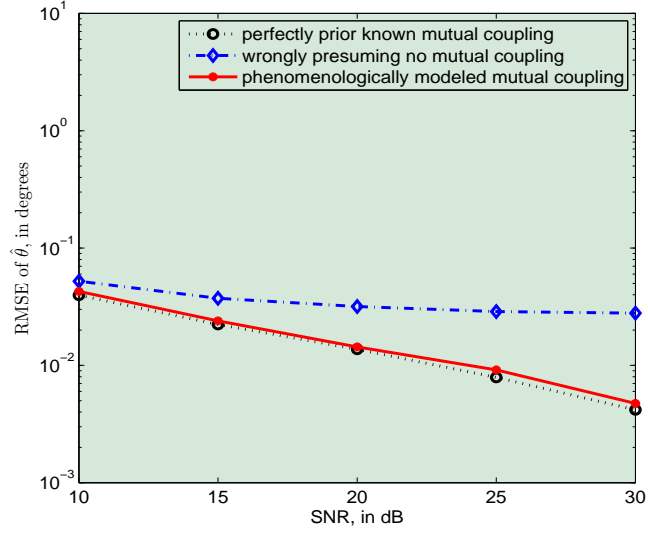


(a)

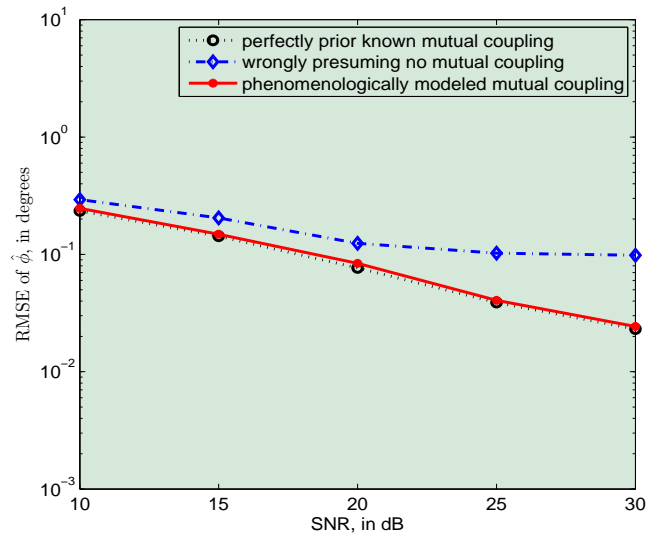


(b)

Figure 6.9: How the RMSE of (a) $\hat{\theta}$ and (b) $\hat{\phi}$ varies with the SNR. Here, $\frac{L}{\lambda} = 0.50$, $\varphi = 45^\circ$, $\frac{\Delta}{\lambda} = 0.02$, $\theta = 48^\circ$, $\phi = 28^\circ$, $\gamma = 16^\circ$, $\eta = 40^\circ$.

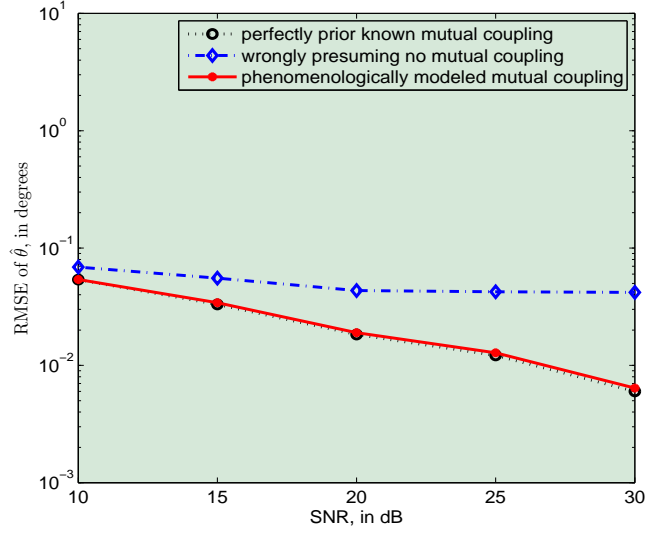


(a)

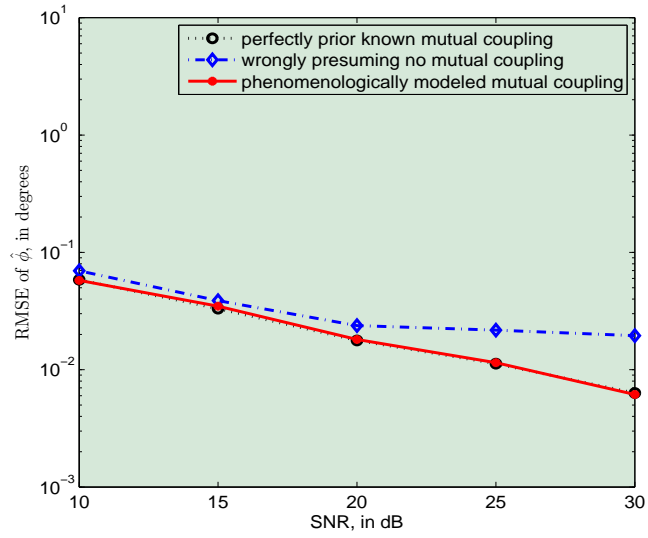


(b)

Figure 6.10: How the RMSE of (a) $\hat{\theta}$ and (b) $\hat{\phi}$ varies with the SNR. Here, $\frac{L}{\lambda} = 0.50$, $\varphi = 45^\circ$, $\frac{\Delta}{\lambda} = 0.02$, $\theta = 10^\circ$, $\phi = 36^\circ$, $\gamma = 20^\circ$, $\eta = 54^\circ$.

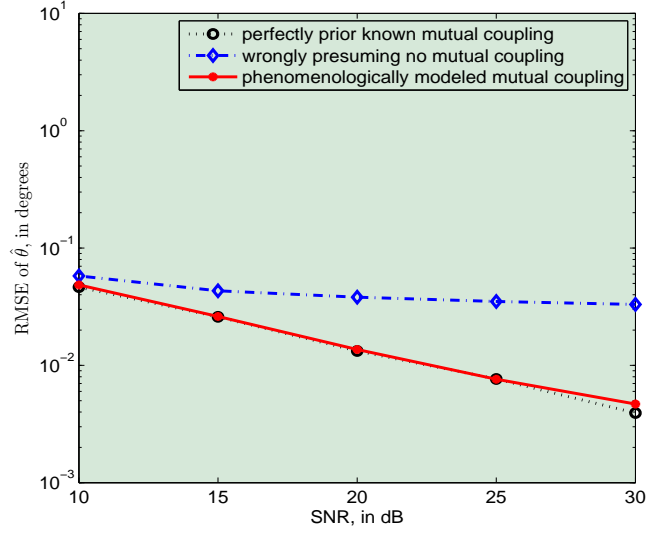


(a)

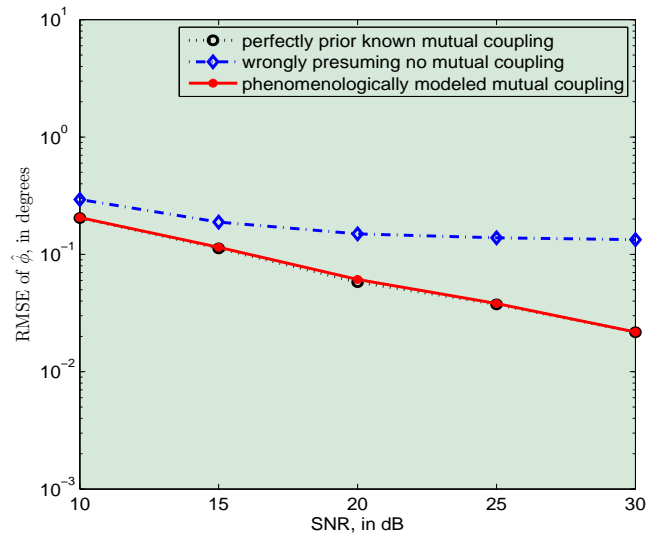


(b)

Figure 6.11: How the RMSE of (a) $\hat{\theta}$ and (b) $\hat{\phi}$ varies with the SNR. Here, $\frac{L}{\lambda} = 0.50$, $\varphi = 45^\circ$, $\frac{\Delta}{\lambda} = 0.02$, $\theta = 48^\circ$, $\phi = 34^\circ$, $\gamma = 14^\circ$, $\eta = 22^\circ$.

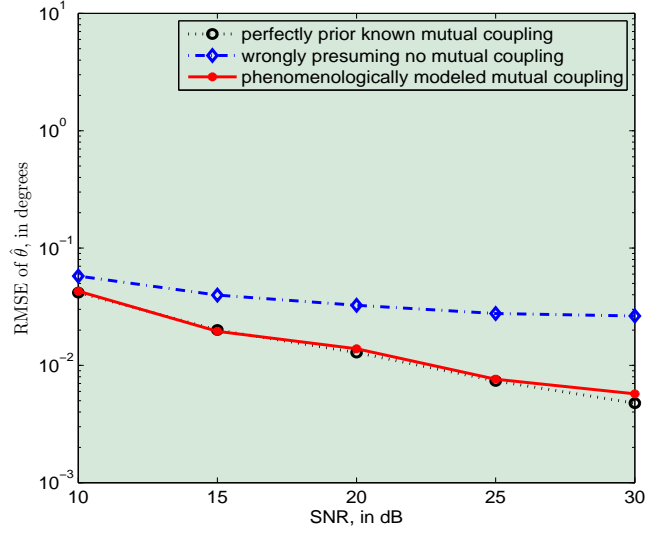


(a)

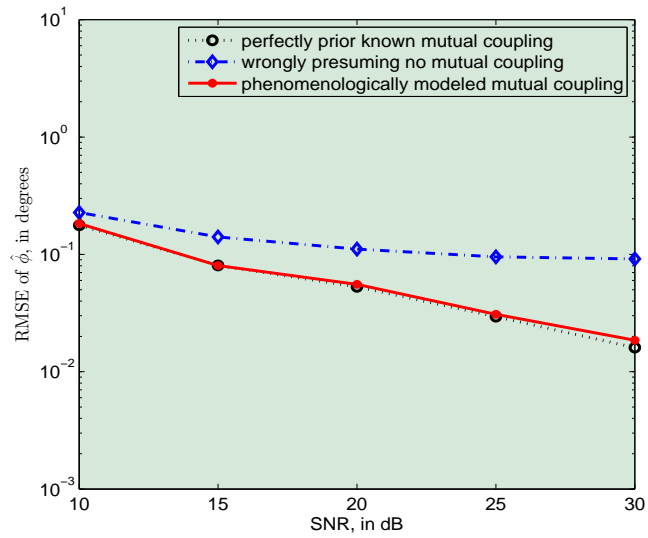


(b)

Figure 6.12: How the RMSE of (a) $\hat{\theta}$ and (b) $\hat{\phi}$ varies with the SNR. Here, $\frac{L}{\lambda} = 0.50$, $\varphi = 45^\circ$, $\frac{\Delta}{\lambda} = 0.02$, $\theta = 12^\circ$, $\phi = 48^\circ$, $\gamma = 16^\circ$, $\eta = 52^\circ$.

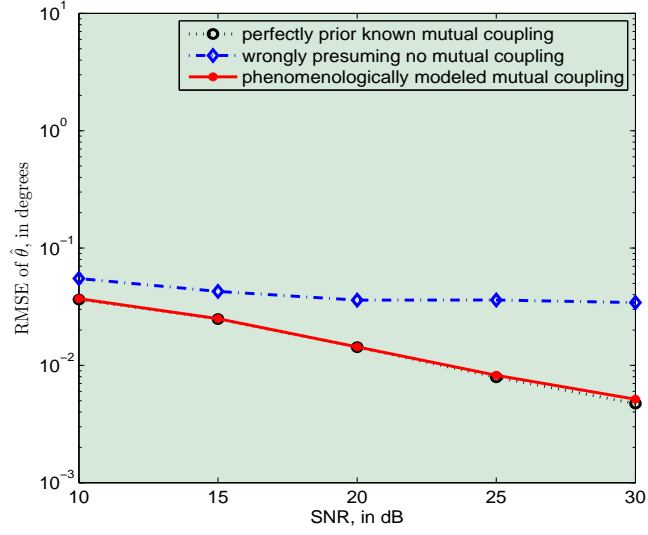


(a)

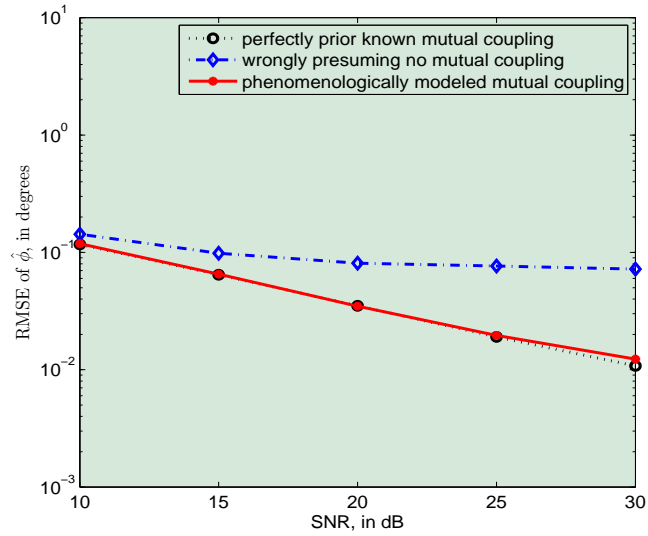


(b)

Figure 6.13: How the RMSE of (a) $\hat{\theta}$ and (b) $\hat{\phi}$ varies with the SNR. Here, $\frac{L}{\lambda} = 0.50$, $\varphi = 45^\circ$, $\frac{\Delta}{\lambda} = 0.02$, $\theta = 14^\circ$, $\phi = 44^\circ$, $\gamma = 14^\circ$, $\eta = 56^\circ$.

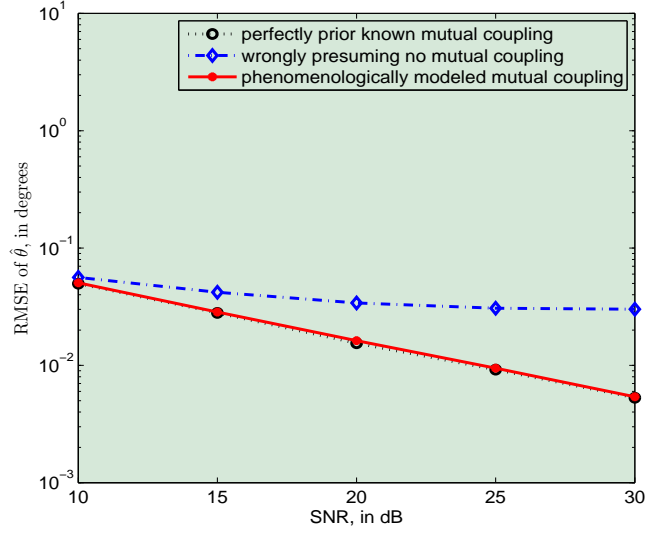


(a)

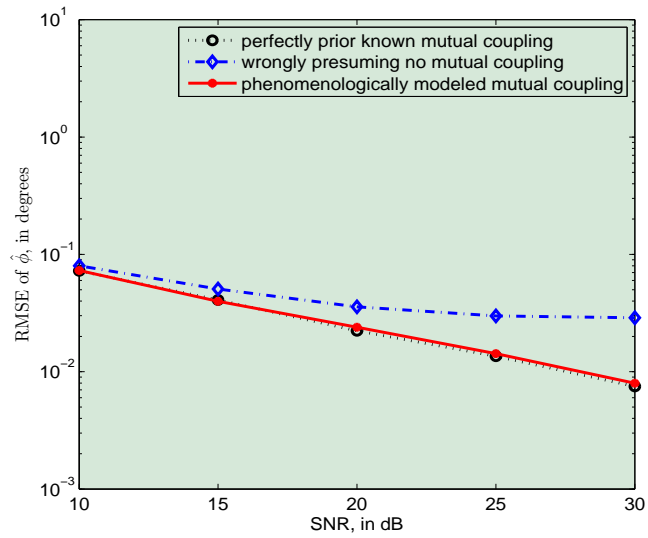


(b)

Figure 6.14: How the RMSE of (a) $\hat{\theta}$ and (b) $\hat{\phi}$ varies with the SNR. Here, $\frac{L}{\lambda} = 0.50$, $\varphi = 45^\circ$, $\frac{\Delta}{\lambda} = 0.04$, $\theta = 22^\circ$, $\phi = 42^\circ$, $\gamma = 28^\circ$, $\eta = 60^\circ$.

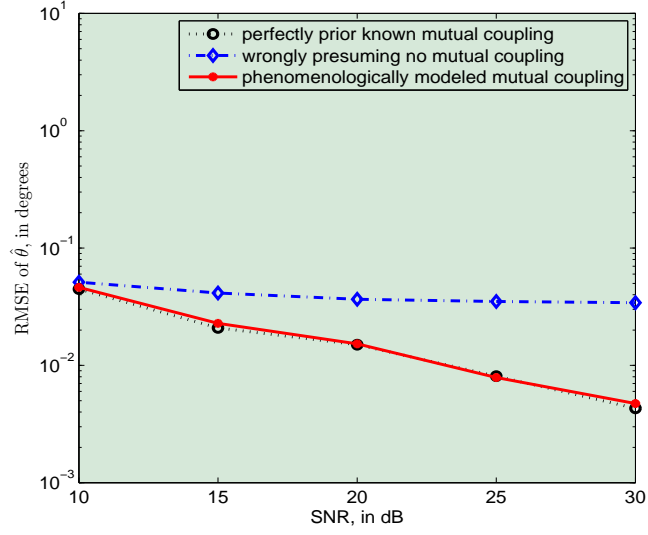


(a)

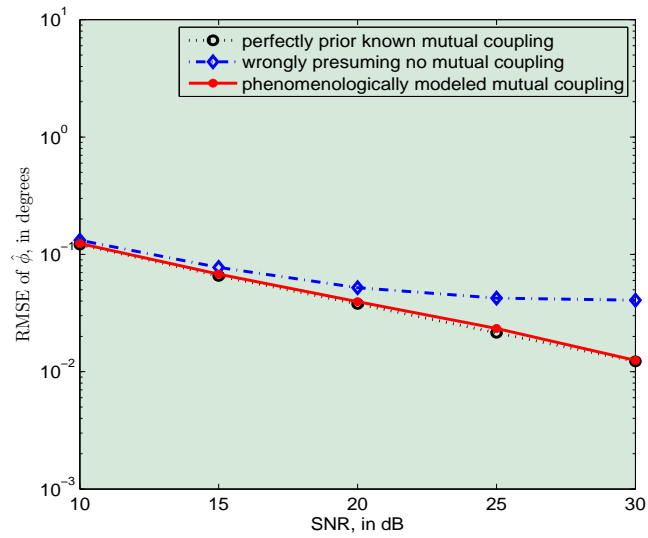


(b)

Figure 6.15: How the RMSE of (a) $\hat{\theta}$ and (b) $\hat{\phi}$ varies with the SNR. Here, $\frac{L}{\lambda} = 0.55$, $\varphi = 45^\circ$, $\frac{\Delta}{\lambda} = 0.02$, $\theta = 34^\circ$, $\phi = 46^\circ$, $\gamma = 16^\circ$, $\eta = -8^\circ$.

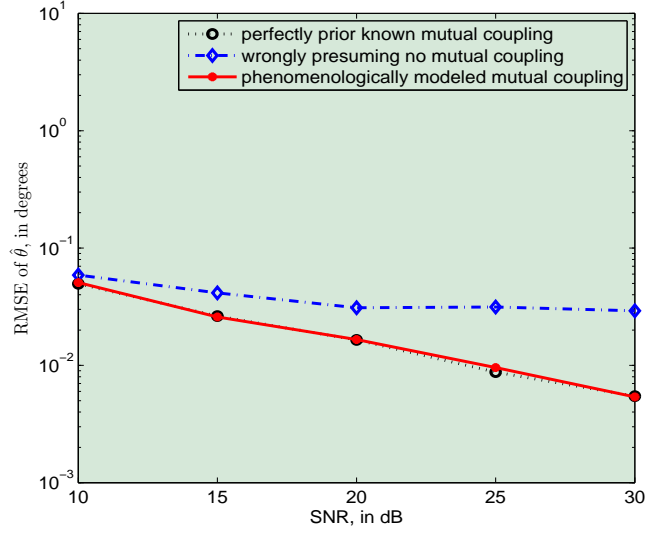


(a)

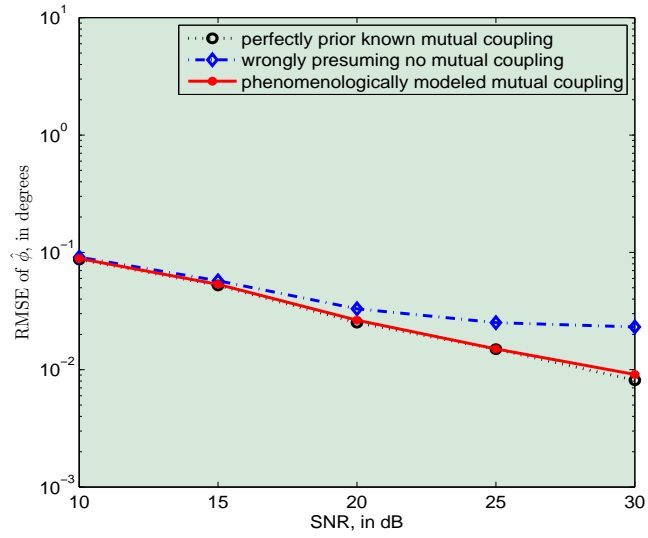


(b)

Figure 6.16: How the RMSE of (a) $\hat{\theta}$ and (b) $\hat{\phi}$ varies with the SNR. Here, $\frac{L}{\lambda} = 0.55$, $\varphi = 45^\circ$, $\frac{\Delta}{\lambda} = 0.02$, $\theta = 21^\circ$, $\phi = 46^\circ$, $\gamma = 27^\circ$, $\eta = -14^\circ$.

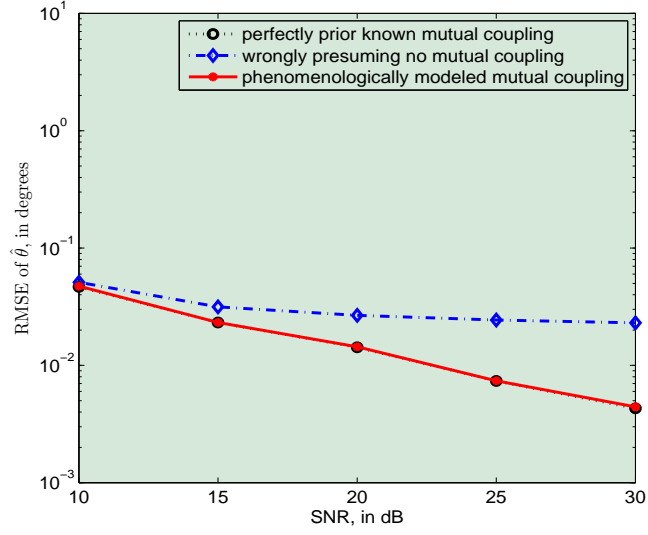


(a)

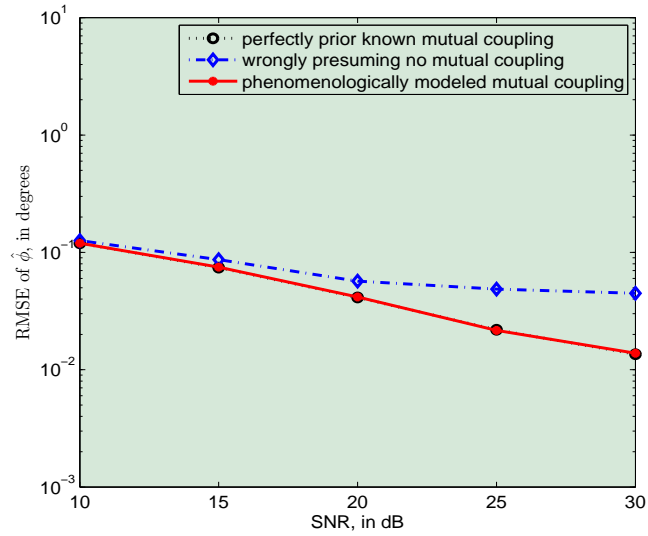


(b)

Figure 6.17: How the RMSE of (a) $\hat{\theta}$ and (b) $\hat{\phi}$ varies with the SNR. Here, $\frac{L}{\lambda} = 0.60$, $\varphi = 45^\circ$, $\frac{\Delta}{\lambda} = 0.01$, $\theta = 30^\circ$, $\phi = 45^\circ$, $\gamma = 24^\circ$, $\eta = -8^\circ$.

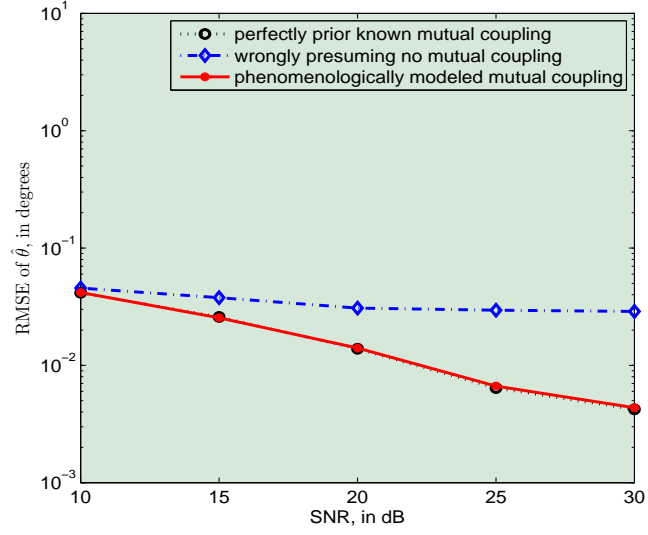


(a)

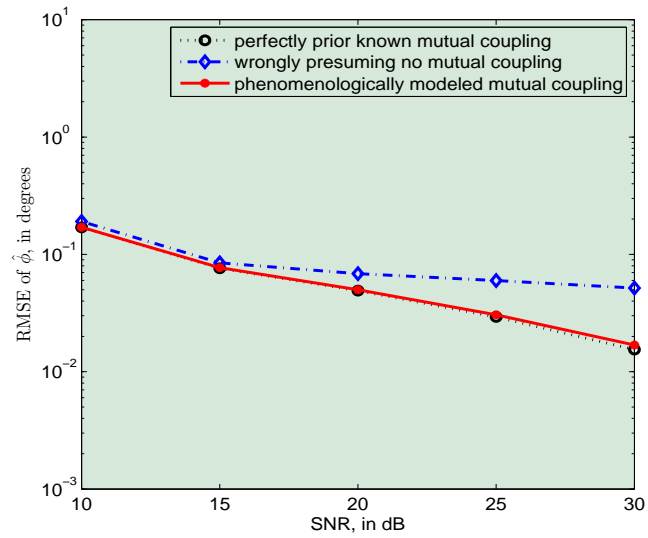


(b)

Figure 6.18: How the RMSE of (a) $\hat{\theta}$ and (b) $\hat{\phi}$ varies with the SNR. Here, $\frac{L}{\lambda} = 0.60$, $\varphi = 45^\circ$, $\frac{\Delta}{\lambda} = 0.02$, $\theta = 19^\circ$, $\phi = 84^\circ$, $\gamma = 56^\circ$, $\eta = 41^\circ$.

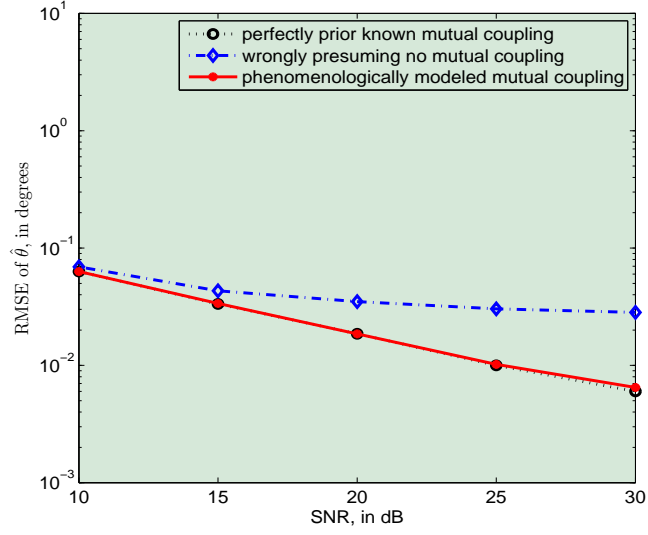


(a)

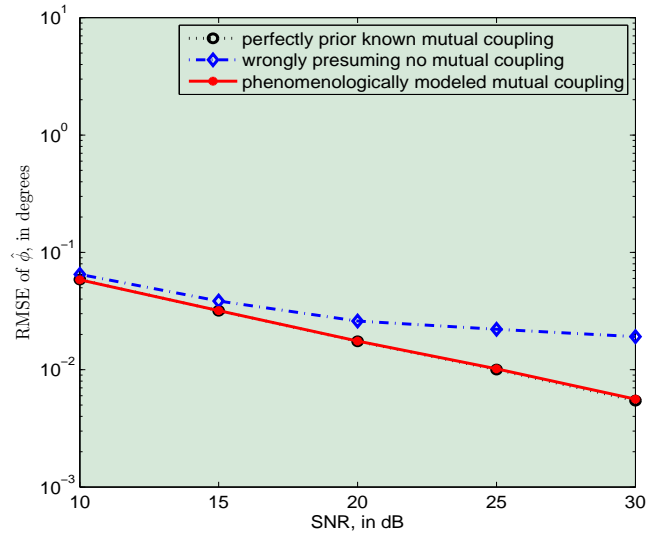


(b)

Figure 6.19: How the RMSE of (a) $\hat{\theta}$ and (b) $\hat{\phi}$ varies with the SNR. Here, $\frac{L}{\lambda} = 0.60$, $\varphi = 45^\circ$, $\frac{\Delta}{\lambda} = 0.02$, $\theta = 15^\circ$, $\phi = 53^\circ$, $\gamma = 83^\circ$, $\eta = 45^\circ$.

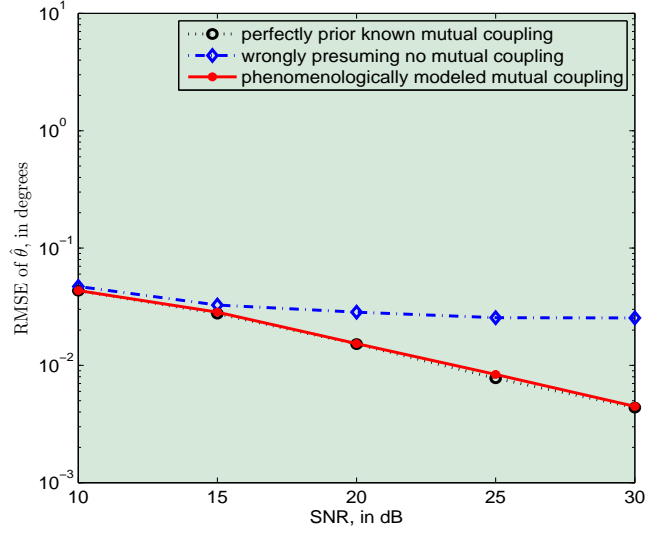


(a)

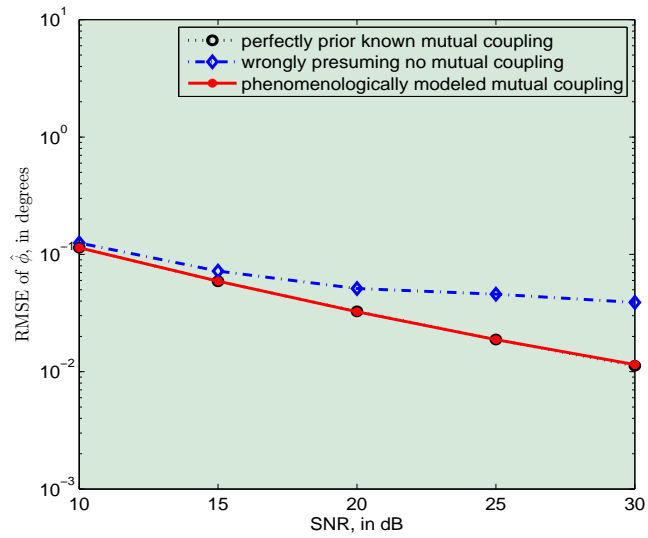


(b)

Figure 6.20: How the RMSE of (a) $\hat{\theta}$ and (b) $\hat{\phi}$ varies with the SNR. Here, $\frac{L}{\lambda} = 0.75$, $\varphi = 45^\circ$, $\frac{\Delta}{\lambda} = 0.04$, $\theta = 48^\circ$, $\phi = 56^\circ$, $\gamma = 14^\circ$, $\eta = -14^\circ$.

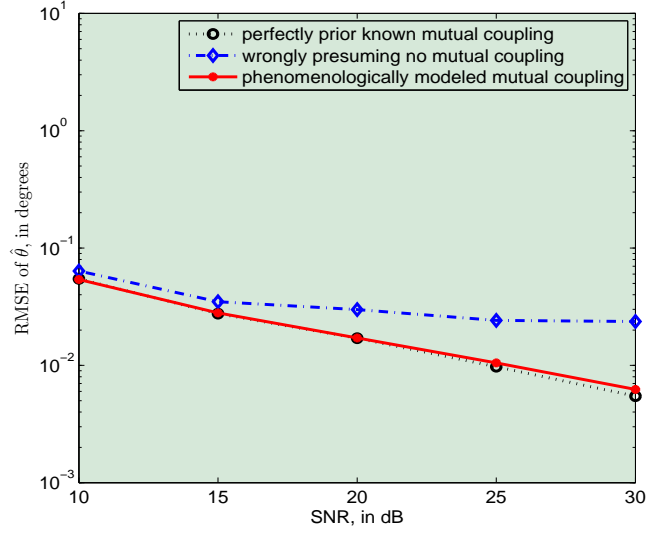


(a)

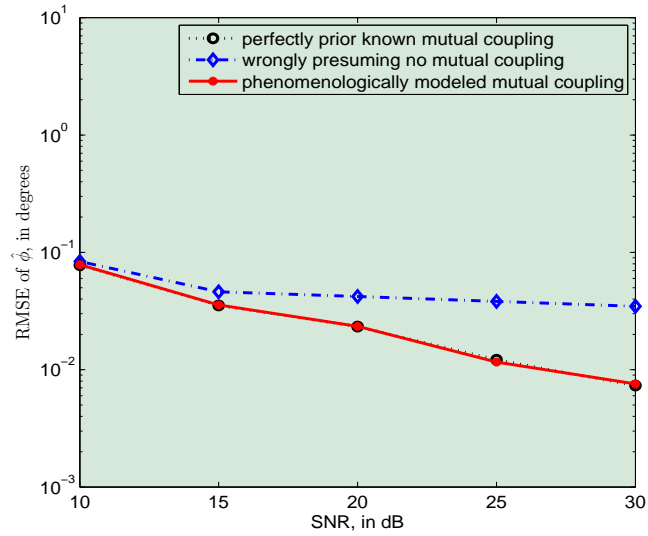


(b)

Figure 6.21: How the RMSE of (a) $\hat{\theta}$ and (b) $\hat{\phi}$ varies with the SNR. Here, $\frac{L}{\lambda} = 0.75$, $\varphi = 45^\circ$, $\frac{\Delta}{\lambda} = 0.04$, $\theta = 22^\circ$, $\phi = 40^\circ$, $\gamma = 24^\circ$, $\eta = -58^\circ$.

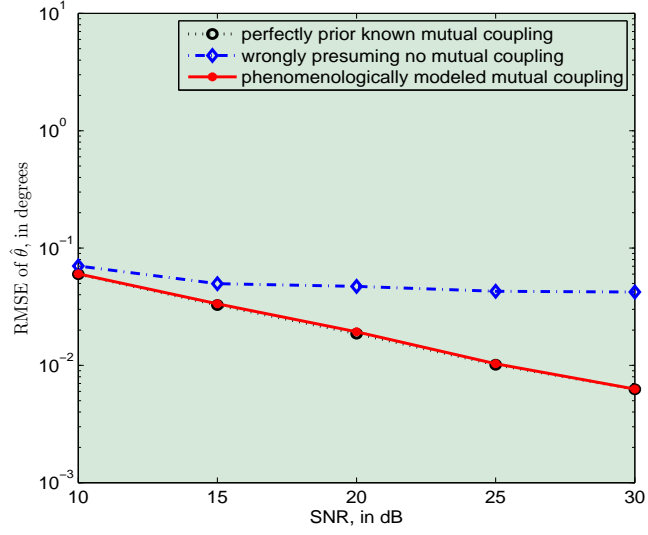


(a)

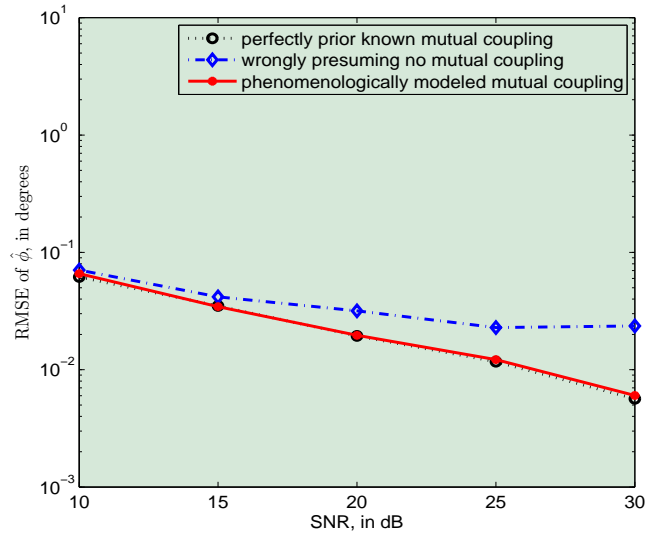


(b)

Figure 6.22: How the RMSE of (a) $\hat{\theta}$ and (b) $\hat{\phi}$ varies with the SNR. Here, $\frac{L}{\lambda} = 0.75$, $\varphi = 45^\circ$, $\frac{\Delta}{\lambda} = 0.04$, $\theta = 36^\circ$, $\phi = 48^\circ$, $\gamma = 14^\circ$, $\eta = -68^\circ$.

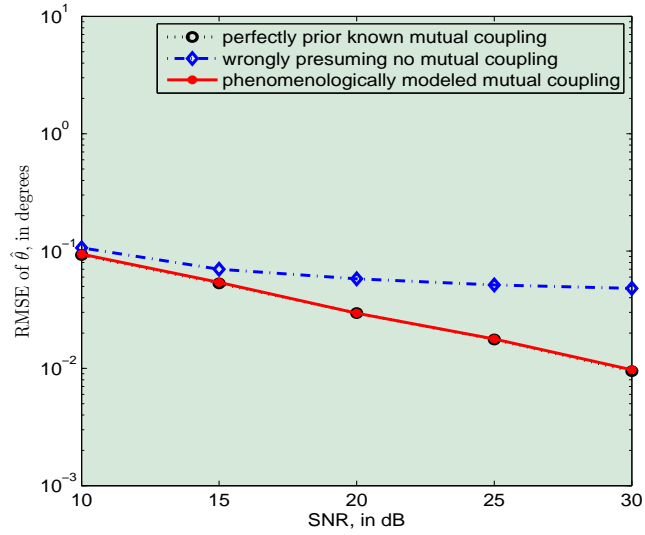


(a)

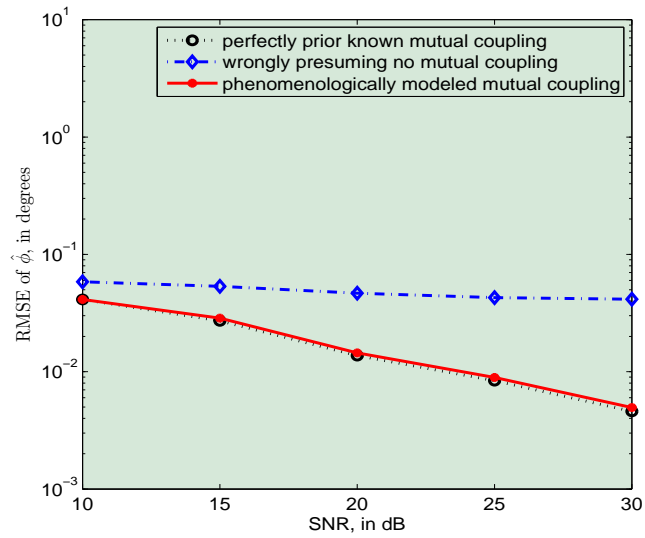


(b)

Figure 6.23: How the RMSE of (a) $\hat{\theta}$ and (b) $\hat{\phi}$ varies with the SNR. Here, $\frac{L}{\lambda} = 0.85$, $\varphi = 45^\circ$, $\frac{\Delta}{\lambda} = 0.01$, $\theta = 46^\circ$, $\phi = 36^\circ$, $\gamma = 18^\circ$, $\eta = -24^\circ$.



(a)



(b)

Figure 6.24: How the RMSE of (a) $\hat{\theta}$ and (b) $\hat{\phi}$ varies with the SNR. Here, $\frac{L}{\lambda} = 1.00$, $\varphi = 45^\circ$, $\frac{\Delta}{\lambda} = 0.01$, $\theta = 65^\circ$, $\phi = 38^\circ$, $\gamma = 13^\circ$, $\eta = -10^\circ$.

Summary

The 23 pairs of $\hat{\theta}$ and $\hat{\phi}$ graphs shown here are just some of the many good graphs that were produced for the different settings of $\frac{L}{\lambda}$, φ and $\frac{\Delta}{\lambda}$. These graphs clearly show that the phenomenological models obtained can be used to estimate an incident source's direction-of-arrival, hence, attesting to the success of the phenomenological modeling.

Chapter 7

Conclusions and Future Work

The open literature's earlier analysis of dipole electromagnetics has produced equations of such intractable complexity, that little intuitive rule-of-thumb qualitative insights are obtained on how the mutual impedance magnitude of a pair of skewed co-centered cross-dipoles of equal length would vary with the dipoles' skew angle, the dipoles' common length, and the dipoles' separation. This work takes a "phenomenological" or "behavioral" approach of modeling, to least-squares-fit mutual impedance values to low-dimensional models. These new models are found useful in direction finding, despite these models' few degrees of freedom.

This dissertation was not only successful in obtaining the low-dimensional phenomenological models described above but having to demonstrate the usefulness of these models in estimating the source's direction-of-arrival is quite an accomplishment. The contribution of this research in pioneering phenomenological modeling approach in antenna array signal processing will be helpful in carrying out researches for other antenna array configurations in the future. This dissertation serves as an important reference for similar studies in modeling the mutual coupling or any other phenomena in antenna arrays.

For future work, the investigation on one dipole and one loop, collocated but perpendicular, labeled as "Cocentered Orthogonal Loop and Dipole" (COLD) array will be done. The "perpendicularity" here is between the dipole axis and the loop plane, *not* between the dipole axis and the loop axis. Hence, the electric dipole

moment and the magnetic loop moment are aligned; and the COLD antenna pair retains omni-directionality on any plane perpendicular to the dipole axis.

Consider a co-centered pair of wire antennas, consisting of (i) an infinitesimally thin circular loop of radius R lying on the x - y Cartesian plane and a very thin, and (ii) a center-fed dipole of length L , skewed from the z -axis by a polar angle (a.k.a. zenith angle) of φ denotes the skew angle, and at an azimuth angle of β from the loop's feeding gap. Please refer to Figure 7.1.

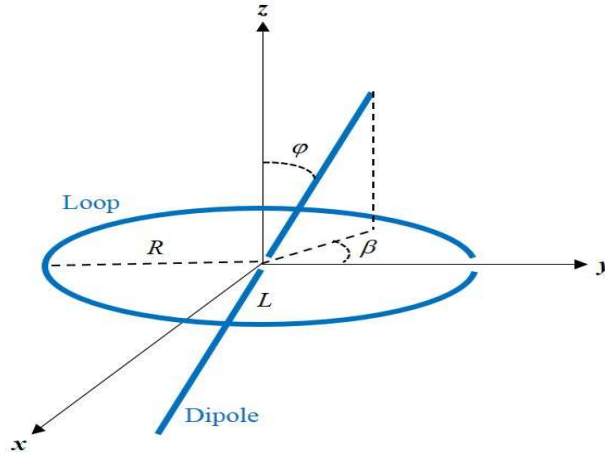


Figure 7.1: The spatial geometry of cocentered non-orthogonal loop and dipole.

The COLD antenna pair has been used to estimate the arrival-angles and/or the polarization in [31, 56, 70, 80]. One reason for the COLD antenna pair's popularity is the absence of any mutual coupling between the dipole and the loop, *if* perpendicularity is maintained. Also, electrically small dipoles and magnetically small loops can be useful where the platform allows limited space, e.g. on a missile. In transmission the COLD array's overall polarization may be easily switched between circular polarization and linear polarization by adjusting the two feeding currents.

This work will characterize the mutual coupling resulting from a skewness away from perpendicularity between the dipole axis and the loop plane — how the 2×2 mutual impedance matrix's entries would vary with the dipole's length ($\frac{L}{\lambda}$), the loop's circumference ($\frac{C}{\lambda}$), the skew angle, etc.

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Appendix A

Candidate Models for

$$|Z_{1,2}| = |Z_{2,1}|$$

A.1 Model 1

$$\begin{aligned} |Z_{1,2}| &= |Z_{2,1}| \\ &\approx \left(a_1 + a_2 e^{\left(-\frac{\Delta}{\lambda} + a_3 \frac{L}{\lambda}\right)} \right) |\varphi|, \end{aligned} \tag{A.1}$$

where

$$\begin{aligned} a_1 &:= 31.9218 \\ a_2 &:= 0.0789 \\ a_3 &:= 10.9603. \end{aligned}$$

$|Z_{1,2}| = |Z_{2,1}|$ has values over several orders of magnitude. Hence, the latter would overweight those support regions of $\{\varphi, \frac{L}{\lambda}, \frac{\Delta}{\lambda}\}$ where $|Z_{1,2}| = |Z_{2,1}|$ is very large, thereby poorly fitting other regions of $\{\varphi, \frac{L}{\lambda}, \frac{\Delta}{\lambda}\}$ where $|Z_{1,2}| = |Z_{2,1}|$ is small. Specifically, the would-be-underweighted support region is where $\frac{L}{\lambda}$ increases toward unity and where $\frac{\Delta}{\lambda}$ decreases toward zero. Also, $|Z_{1,2}| = a_1 \neq 0$ even when $\frac{\Delta}{\lambda} \rightarrow \infty$. Hence, this model is unacceptable.

A.2 Model 2

$$\begin{aligned} |Z_{1,2}| &= |Z_{2,1}| \\ &\approx \left(a_1 + a_2 e^{-\frac{\Delta}{\lambda}} \left(\frac{L}{\lambda} \right)^{a_3} \right) |\sin \varphi|, \end{aligned} \quad (\text{A.2})$$

where

$$a_1 := 42.1133$$

$$a_2 := 4870.5$$

$$a_3 := 10.0884.$$

This model was not chosen due to the same reasons as in the candidate model (A.1).

A.3 Model 3

$$\begin{aligned} |Z_{1,2}| &= |Z_{2,1}| \\ &\approx \left(a_1 + a_2 e^{-\frac{\Delta}{\lambda}} \left(\frac{L}{\lambda} \right)^{a_3} \right) |\varphi|, \end{aligned} \quad (\text{A.3})$$

$$a_1 := 39.0876$$

$$a_2 := 4527.5$$

$$a_3 := 10.0887.$$

This model was not chosen due to the same reasons as in the candidate model (A.1).

A.4 Model 4

$$\begin{aligned}
 |Z_{1,2}| &= |Z_{2,1}| \\
 &\approx a_1 e^{-a_2 \frac{\Delta}{\lambda}} \left(\frac{L}{\lambda} \right)^{a_3} |\sin \varphi|,
 \end{aligned} \tag{A.4}$$

where

$$a_1 := 4824.8035,$$

$$a_2 := 0.8941,$$

$$a_3 := 9.6869.$$

$|Z_{1,2}| = |Z_{2,1}|$ has values over several orders of magnitude. Hence, the latter would overweight those support regions of $\{\varphi, \frac{L}{\lambda}, \frac{\Delta}{\lambda}\}$ where $|Z_{1,2}| = |Z_{2,1}|$ is very large, thereby poorly fitting other regions of $\{\varphi, \frac{L}{\lambda}, \frac{\Delta}{\lambda}\}$ where $|Z_{1,2}| = |Z_{2,1}|$ is small. Specifically, the would-be-underweighted support region is where $\frac{L}{\lambda}$ increases toward unity and where $\frac{\Delta}{\lambda}$ decreases toward zero. Hence, this model was not chosen.

A.5 Model 5

$$\begin{aligned}
 |Z_{1,2}| &= |Z_{2,1}| \\
 &\approx \left(a_1 + a_2 e^{-\frac{\Delta}{\lambda}} \left(\frac{L}{\lambda} \right)^{a_3} \right) |\sin \varphi|,
 \end{aligned} \tag{A.5}$$

where

$$a_1 := 42.1133$$

$$a_2 := 4870.5$$

$$a_3 := 10.0884.$$

This model was not chosen due to the same reasons as in the candidate model (A.1).

A.6 Model 6

$$\begin{aligned} |Z_{1,2}| &= |Z_{2,1}| \\ &\approx \left(a_1 + a_2 e^{\left(-\frac{\Delta}{\lambda} + a_3 \frac{L}{\lambda}\right)} \right) |\sin \varphi|, \end{aligned} \quad (\text{A.6})$$

where

$$\begin{aligned} a_1 &:= 34.4054 \\ a_2 &:= 0.0848 \\ a_3 &:= 10.9601. \end{aligned}$$

This model was not chosen due to the same reasons as in the candidate model (A.1).

A.7 Model 7

$$\begin{aligned} |Z_{1,2}| &= |Z_{2,1}| \\ &\approx a_1 \left(\frac{\Delta}{\lambda} \right)^{-a_2} \left(\frac{L}{\lambda} \right)^{a_3} |\sin \varphi|, \end{aligned} \quad (\text{A.7})$$

where

$$\begin{aligned} a_1 &:= 2225.4020, \\ a_2 &:= 0.2129, \\ a_3 &:= 9.7105. \end{aligned}$$

This model was not chosen due to the same reasons as in the candidate model (A.1).

A.8 Model 8

$$\begin{aligned}
 |Z_{1,2}| &= |Z_{2,1}| \\
 &\approx a_1 e^{(-a_2 \frac{\Delta}{\lambda} + a_3 \frac{L}{\lambda})} |\sin \varphi|,
 \end{aligned} \tag{A.8}$$

where

$$\begin{aligned}
 a_1 &:= 0.1257, \\
 a_2 &:= 0.8946, \\
 a_3 &:= 10.5557.
 \end{aligned}$$

This model was not chosen due to the same reasons as in the candidate model (A.1).

A.9 Model 9

$$\begin{aligned}
 |Z_{1,2}| &= |Z_{2,1}| \\
 &\approx a_1 \left(\frac{\Delta}{\lambda}\right)^{-1} \left(\frac{L}{\lambda}\right)^{a_2} |\sin \varphi|,
 \end{aligned} \tag{A.9}$$

where

$$\begin{aligned}
 a_1 &:= 78.1382, \\
 a_2 &:= 9.4613.
 \end{aligned}$$

$|Z_{1,2}| = |Z_{2,1}|$ has values over several orders of magnitude. Hence, the latter would overweight those support regions of $\{\varphi, \frac{L}{\lambda}, \frac{\Delta}{\lambda}\}$ where $|Z_{1,2}| = |Z_{2,1}|$ is very large, thereby poorly fitting other regions of $\{\varphi, \frac{L}{\lambda}, \frac{\Delta}{\lambda}\}$ where $|Z_{1,2}| = |Z_{2,1}|$ is small. Specifically, the would-be-underweighted support region is where $\frac{L}{\lambda}$ increases toward unity and where $\frac{\Delta}{\lambda}$ decreases toward zero. Hence, this model was not chosen.

A.10 Model 10

$$\begin{aligned}\log |Z_{1,2}| &= \log |Z_{2,1}| \\ &\approx a_1 - \log \left| \frac{\Delta}{\lambda} \right| \\ &\quad + a_2 \log \left| \frac{L}{\lambda} \right| + \log |\sin(\varphi)|,\end{aligned}\tag{A.10}$$

where

$$a_1 := 2.0469,$$

$$a_2 := 2.6230.$$

This model was not chosen due to the low R^2 of 0.7723.

A.11 Model 11

$$\begin{aligned}\log |Z_{1,2}| &= \log |Z_{2,1}| \\ &\approx a_1 - a_2 \log \left| \frac{\Delta}{\lambda} \right| \\ &\quad + a_3 \log \left| \frac{L}{\lambda} \right| + a_4 \log |\sin(\varphi)|,\end{aligned}\tag{A.11}$$

where

$$a_1 := 2.3066,$$

$$a_2 := 0.5564,$$

$$a_3 := 2.6230$$

$$a_4 := 1.0059.$$

This model was not selected because it has 4 degrees-of-freedom while the best fit model in (5.1) has fewer degrees-of-freedom of 3.

A.12 Model 12

$$\begin{aligned}\log |Z_{1,2}| &= \log |Z_{2,1}| \\ &\approx a_1 + a_2 \log e^{\left(-\frac{\Delta}{\lambda} + a_3 \frac{L}{\lambda}\right)} \\ &\quad + \log |\sin(\varphi)|,\end{aligned}\tag{A.12}$$

where

$$\begin{aligned}a_1 &:= 0.5060, \\ a_2 &:= 1.3268, \\ a_3 &:= 5.2816 \\ a_4 &:= 1.0059.\end{aligned}$$

This model was not selected because it has 4 degrees-of-freedom while the best fit model in (5.1) has only 3 degrees-of-freedom.

NOTE: For Models 13 to 16, the model fitting was done in two steps:

1. A proposed model, which is a function of the bivariate $\left\{\frac{\Delta}{\lambda}, \frac{L}{\lambda}\right\}$, is fitted through the MATLAB built-in function “cftool”. Here, the φ is set to a fixed value during fitting.
2. The obtained optimized coefficients (i.e. c_1, c_2 and c_3) for all φ 's are then averaged.

Noe that Models 13 to 16 were not chosen due to the incorrect method of generating the optimized coefficients.

A.13 Model 13

$$\begin{aligned}
 |Z_{1,2}| &= |Z_{2,1}| \\
 &\approx \left(c_1 + c_2 e^{\left(-\frac{\Delta}{\lambda} + c_3 \frac{L}{\lambda}\right)} \right) |\varphi|,
 \end{aligned}
 \tag{A.13}$$

where

$$c_1 := [35.92 \ 35.92 \ 35.91 \ 35.84 \ 35.54 \ 36 \ 36 \ 36]$$

$$\approx 35.8192,$$

$$c_2 := [0.06779 \ 0.06787 \ 0.0682 \ 0.06951 \ 0.07433 \ \dots]$$

$$0.07995 \ 0.08168 \ 0.06941]$$

$$\approx 0.0723,$$

$$c_3 := [11.18 \ 11.17 \ 11.17 \ 11.14 \ 11.06 \ 10.96 \ \dots]$$

$$10.91 \ 11.08]$$

$$\approx 11.0838.$$

The values of c_1 , c_2 , and c_3 are the averages of all c_1 's, c_2 's and c_3 's for all φ 's.

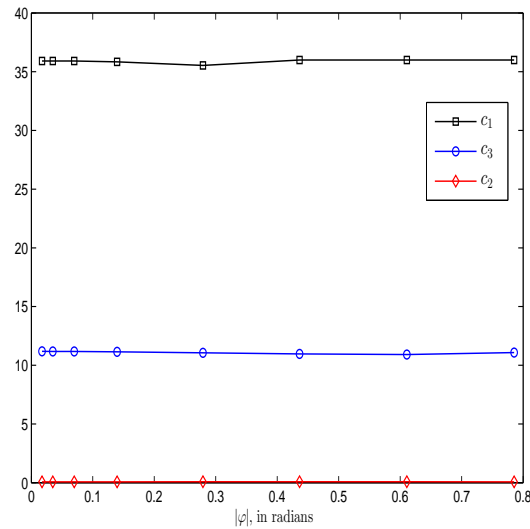


Figure A.1: How φ affects the coefficients of the 3-DoF model in (A.13).

A.14 Model 14

$$\begin{aligned}
 |Z_{1,2}| &= |Z_{2,1}| \\
 &\approx \left(c_1 + c_2 e^{-\frac{\Delta}{\lambda}} \left(\frac{L}{\lambda} \right)^{c_3} \right) |\sin \varphi|,
 \end{aligned} \tag{A.14}$$

where

$$\begin{aligned}
 c_1 &:= [43.03 \ 43.04 \ 43.05 \ 43.11 \ 43.28 \ 43.35 \ 42.84 \ 40.96] \\
 &\approx 42.8325 \\
 c_2 &:= [4827 \ 4826 \ 4823 \ 4811 \ 4772 \ 4735 \ 4771 \ 5002] \\
 &\approx 4820.9 \\
 c_3 &:= [10.29 \ 10.29 \ 10.28 \ 10.26 \ 10.18 \ 10.07 \ 10.00 \ 10.13] \\
 &\approx 10.1875
 \end{aligned}$$

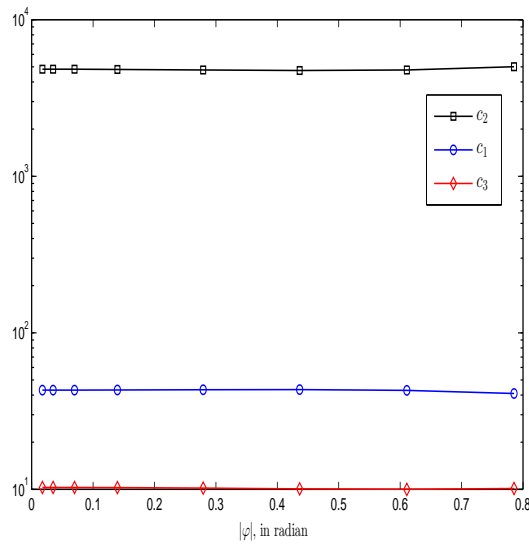


Figure A.2: How φ affects the coefficients of the 3-DoF model in (A.14).

A.15 Model 15

$$\begin{aligned}
 |Z_{1,2}| &= |Z_{2,1}| \\
 &\approx \left(c_1 + c_2 e^{\left(-\frac{\Delta}{\lambda} + c_3 \frac{L}{\lambda}\right)} \right) |\sin \varphi|, \tag{A.15}
 \end{aligned}$$

where

$$\begin{aligned}
 c_1 &:= [35.93 \ 35.93 \ 35.94 \ 35.96 \ 36 \ 35.87 \ 35.11 \ 33.09] \\
 &\approx 35.4788, \\
 c_2 &:= [0.06779 \ 0.06788 \ 0.06826 \ 0.06974 \ 0.07531 \ \dots \\
 &\quad 0.08394 \ 0.09062 \ 0.08395] \\
 &\approx 0.0759, \\
 c_3 &:= [11.18 \ 11.17 \ 11.17 \ 11.14 \ 11.06 \ 10.94 \ \dots \\
 &\quad 10.87 \ 11] \\
 &\approx 11.0663.
 \end{aligned}$$

The values of c_1 , c_2 , and c_3 are the averages of all c_1 's, c_2 's and c_3 's for all φ 's.

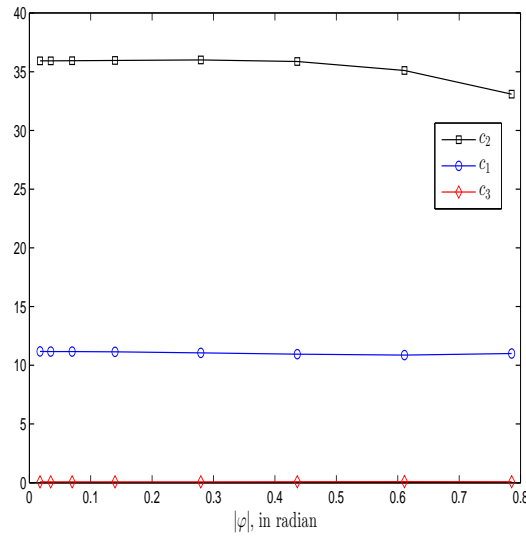


Figure A.3: How φ affects the coefficients of the 3-DoF model in (A.15).

A.16 Model 16

$$\begin{aligned}
 |Z_{1,2}| &= |Z_{2,1}| \\
 &\approx \left(c_1 + c_2 e^{-\frac{\Delta}{\lambda}} \left(\frac{L}{\lambda} \right)^{c_3} \right) |\varphi|,
 \end{aligned} \tag{A.16}$$

where

$$\begin{aligned}
 c_1 &:= [43.03 \ 43.03 \ 43.02 \ 42.97 \ 42.72 \ 41.99 \ 40.23 \ 36.88] \\
 &\approx 41.7338, \\
 c_2 &:= [4827 \ 4825 \ 4819 \ 4795 \ 4710 \ 4586 \ 4480 \ 4503] \\
 &\approx 4693.1, \\
 c_3 &:= [10.29 \ 10.29 \ 10.28 \ 10.26 \ 10.18 \ 10.07 \ 10 \ 10.13] \\
 &\approx 10.1875.
 \end{aligned}$$

The values of c_1 , c_2 , and c_3 are the averages of all c_1 's, c_2 's and c_3 's for all φ 's.

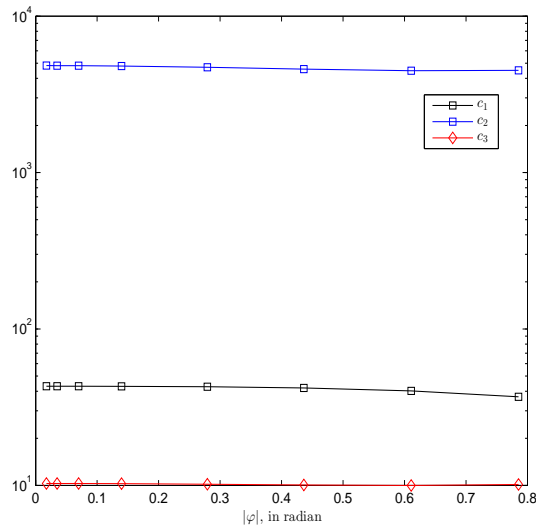


Figure A.4: How φ affects the coefficients of the 3-DoF model in (A.16).

A.17 Model 17

$$\begin{aligned}
 |Z_{1,2}| &= |Z_{2,1}| \\
 &\approx c_0 + c_1 e^{(-c_2 \frac{\Delta}{\lambda})} \left(\frac{L}{\lambda}\right)^{c_3}
 \end{aligned}
 \tag{A.17}$$

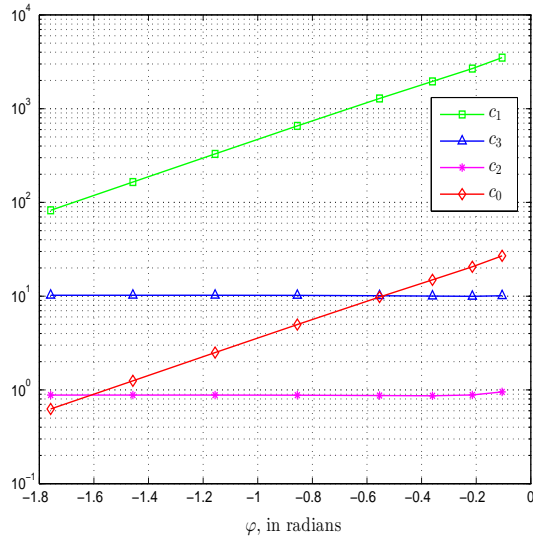


Figure A.5: How φ affects the coefficients of the 4-DoF model in (A.17).

From Figure A.5,

$$c_0 \approx 0.7372 + 0.5954 \log |\varphi|$$

$$c_1 \approx 2.855 + 0.5931 \log |\varphi|$$

$$c_2 \approx 0.8866$$

$$c_3 \approx 10.1359$$

A.18 Model 18

$$\begin{aligned}
 |Z_{1,2}| &= |Z_{2,1}| \\
 &\approx c_0 + c_1 e^{(-\frac{\Delta}{\lambda} + c_2 \frac{L}{\lambda})}
 \end{aligned} \tag{A.18}$$

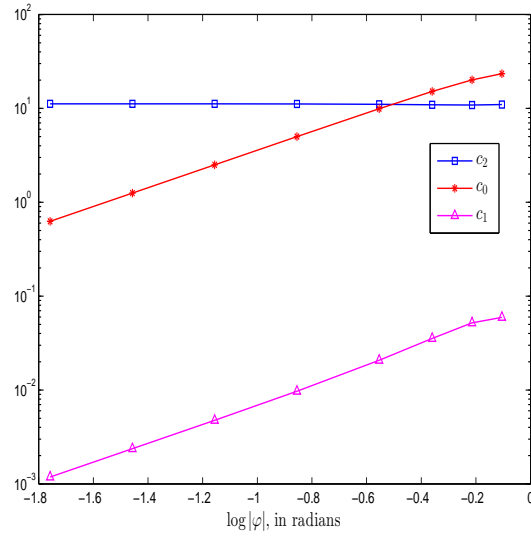


Figure A.6: How φ affects the coefficients of the 3-DoF model in (A.18).

From Figure A.6,

$$\log c_0 \approx 1.511 + 0.9661 \log |\varphi|$$

$$c_0 \approx 10^{(1.511 + 0.9661 \log |\varphi|)}$$

$$\log c_1 \approx -1.091 + 1.053 \log |\varphi|$$

$$c_1 \approx 10^{(-1.091 + 1.053 \log |\varphi|)}$$

$$c_2 \approx 11.0663$$

A.19 Model 19

$$\begin{aligned}
 \log |Z_{1,2}| &= \log |Z_{2,1}| \\
 &\approx p_{0,0} + p_{0,1} \log \left(\frac{L}{\lambda} \right) + p_{0,2} \log^2 \left(\frac{L}{\lambda} \right) + p_{1,0} \log \left(\frac{\Delta}{\lambda} \right) \\
 &\quad + p_{1,2} \log \left(\frac{\Delta}{\lambda} \right) \log^2 \left(\frac{L}{\lambda} \right). \tag{A.19}
 \end{aligned}$$

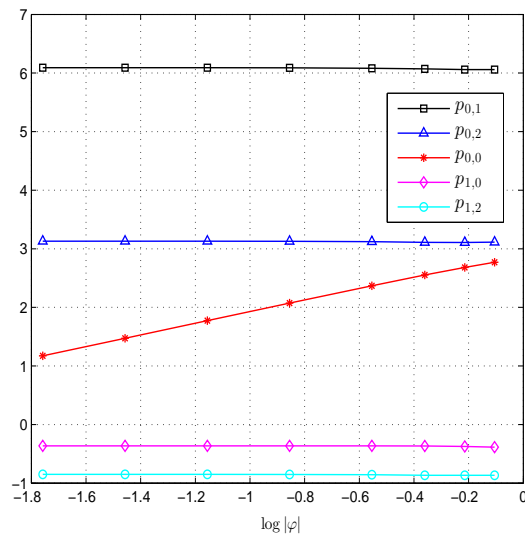


Figure A.7: How φ affects the coefficients of the 5-DoF model in (A.19).

From Figure A.7,

$$p_{0,0} \approx 2.108 + 0.5881 \log |\varphi|$$

$$p_{0,1} \approx 6.0789$$

$$p_{0,2} \approx 3.1213$$

$$p_{1,0} \approx -0.3685$$

$$p_{1,2} \approx -0.8572$$

Models 17 to 19 were not selected due to the two-step process which is the incorrect way of getting the optimized coefficients.

Appendix B

Candidate Models for

$$\angle Z_{1,2} = \angle Z_{2,1}$$

B.1 Model 1

$$\begin{aligned}\angle Z_{1,2} &= \angle Z_{1,2} \\ &\approx b_1 \frac{\Delta}{\lambda} + b_2\end{aligned}\tag{B.1}$$

here

$$b_1 := -5.5920$$

$$b_2 := 0.4910$$

This model failed to consider the effect of $\frac{L}{\lambda}$. The best fit phenomenological model in (5.3) is only independent of φ not with $\frac{L}{\lambda}$, hence, this model was not selected.

B.2 Model 2

For $\frac{\Delta}{\lambda} \leq 0.40$,

$$\begin{aligned} \angle Z_{1,2} &= \angle Z_{1,2} \\ &\approx b_1 \frac{L}{\lambda} + b_2 \left(\frac{L}{\lambda}\right)^2 + b_3 \frac{\Delta}{\lambda} \left(\frac{L}{\lambda}\right)^2 + b_4 \left(\frac{L}{\lambda}\right)^3 + b_5 \frac{\Delta}{\lambda} \left(\frac{L}{\lambda}\right)^3 \\ &\quad + b_6 \left(\frac{L}{\lambda}\right)^4, \end{aligned} \tag{B.2}$$

where

$$\begin{aligned} b_1 &:= -23.0477 \\ b_2 &:= 124.3852 \\ b_3 &:= -39.8265 \\ b_4 &:= -199.5342 \\ b_5 &:= 38.2241 \\ b_6 &:= 100.1033 \end{aligned}$$

For $\frac{\Delta}{\lambda} > 0.40$,

$$\begin{aligned} \angle Z_{1,2} &= \angle Z_{1,2} \\ &\approx \begin{cases} b_7(x + 0.6) + b_8 & \text{if } x \in [0, 0.2], \\ b_9(x + 0.6) + b_{10} & \text{if } x \in [0.2, 1.0], \end{cases} \end{aligned} \tag{B.3}$$

where

$$x \triangleq \left(\frac{\Delta}{\lambda} + b_{11} e^{(b_{12} \frac{L}{\lambda})} - 0.6 \right) \bmod 1$$

where

$$b_7 := 19.1744$$

$$b_8 := -14.0835$$

$$b_9 := -6.2797$$

$$b_{10} := 7.6338$$

$$b_{11} := 8.3065 \times 10^{-6}$$

$$b_{12} := 11.2036.$$

The model was not selected for the reason that it is complicated and fewer degrees-of-freedom is preferred. The chosen phenomenological model for $\angle Z_{1,2}$ has only 3 degrees-of-freedom.

B.3 Model 3

$$\begin{aligned}\angle Z_{1,2} &= \angle Z_{1,2} \\ &\approx \left\{ b_1 + b_2 \frac{L}{\lambda} + b_3 \left(\frac{L}{\lambda} \right)^2 + b_4 \left(\frac{L}{\lambda} \right)^3 + b_5 \left(\frac{L}{\lambda} \right)^4 \right\} \\ &\quad \left\{ b_6 \sin \left(b_7 \frac{\Delta}{\lambda} + b_8 \right) + b_9 \sin \left(b_{10} \frac{\Delta}{\lambda} + b_{11} \right) \right\}\end{aligned}\tag{B.4}$$

where

$$b_1 := 2.2413$$

$$b_2 := 1.9464$$

$$b_3 := -0.0254$$

$$b_4 := -4.2087$$

$$b_5 := 2.2045$$

$$b_6 := 9.5608$$

$$b_7 := 0.4453$$

$$b_8 := 2.3251$$

$$b_9 := 8.9874$$

$$b_{10} := 0.2373$$

$$b_{11} := 2.2733$$

The model was not selected for the reason that it is complicated and fewer degrees-of-freedom is preferred. The chosen phenomenological model for $\angle Z_{1,2}$ has only 3 degrees-of-freedom.

B.4 Model 4

This model involves two parts: (1) $\frac{\Delta}{\lambda} \leq 0.40$, and (2) $\frac{\Delta}{\lambda} > 0.40$.

For $\frac{\Delta}{\lambda} \leq 0.40$,

$$\begin{aligned}
 \angle Z_{1,2} &= \angle Z_{1,2} \\
 &\approx c_1 \frac{L}{\lambda} + c_2 \left(\frac{L}{\lambda}\right)^2 + c_3 \frac{\Delta}{\lambda} \left(\frac{L}{\lambda}\right)^2 \\
 &+ c_4 \left(\frac{L}{\lambda}\right)^3 + c_5 \frac{\Delta}{\lambda} \left(\frac{L}{\lambda}\right)^3 \\
 &+ c_6 \left(\frac{L}{\lambda}\right)^4.
 \end{aligned} \tag{B.5}$$

For each φ ,

$$c_1 := [-22.13 \ -22.13 \ -22.13 \ -22.14 \ -22.18 \ -22.25 \ -22.35 \ -22.49]$$

$$\approx -22.2250$$

$$c_2 := [120.1 \ 120.1 \ 120.1 \ 120.1 \ 119.9 \ 119.7 \ 119.4 \ 118.8]$$

$$\approx 119.7750$$

$$c_3 := [-39.83 \ -39.83 \ -39.84 \ -39.88 \ -40.01 \ -40.23 \ -40.46 \ -40.56]$$

$$\approx -40.0800$$

$$c_4 := [-193.4 \ -193.4 \ -193.3 \ -193.2 \ -192.6 \ -191.5 \ -189.7 \ -187.4]$$

$$\approx -191.8125$$

$$c_5 := [38.16 \ 38.17 \ 38.18 \ 38.23 \ 38.42 \ 38.73 \ 39.04 \ 39.17]$$

$$\approx 38.5125$$

$$c_6 := [97.3 \ 97.29 \ 97.26 \ 97.15 \ 96.69 \ 95.85 \ 94.54 \ 92.89]$$

$$\approx 96.1212$$

The values of $c_1 - c_6$ are the averages of all c_1 's to c_6 's for all φ 's.

For $\frac{\Delta}{\lambda} > 0.40$,

$$\begin{aligned} \angle Z_{1,2} &= \angle Z_{1,2} \\ &\approx \begin{cases} c_7(x + 0.6) + c_8 & \text{if } x \in [0, 0.2], \\ c_9(x + 0.6) + c_{10} & \text{if } x \in [0.2, 1.0], \end{cases} \end{aligned}$$

$$x \triangleq \left(\frac{\Delta}{\lambda} + c_{11}e^{(c_{12}\frac{L}{\lambda})} - 0.6 \right) \bmod 1$$

For each φ ,

$$c_7 := [27.8162 \ 27.6606 \ 27.6593 \ 27.6346 \ 27.5995 \ 27.5493 \ 27.4948 \ 27.6543]$$

$$\approx 27.6336$$

$$c_8 := [-19.6240 \ -19.5271 \ -19.5262 \ -19.5229 \ -19.5097 \ -19.4862 \ -19.4525 \ -19.4155]$$

$$\approx -19.5080$$

$$c_9 := [-6.2046 \ -6.2046 \ -6.2046 \ -6.2045 \ -6.2044 \ -6.2041 \ -6.2038 \ -6.2035]$$

$$\approx -6.2043$$

$$c_{10} := [7.5924 \ 7.5925 \ 7.5925 \ 7.5925 \ 7.5928 \ 7.5933 \ 7.5940 \ 7.5949]$$

$$\approx 7.5931$$

$$c_{11} := [0.00006 \ 0.00006 \ 0.00006 \ 0.00006 \ 0.00006 \ 0.00006 \ 0.00006 \ 0.00006]$$

$$\approx 6 \times 10^{-5}$$

$$c_{12} := [9.20 \ 9.20 \ 9.20 \ 9.20 \ 9.20 \ 9.20 \ 9.20 \ 9.20]$$

$$\approx 9.2000$$

The values of $c_7 - c_{12}$ are the averages of all c_7 's to c_{12} 's for all φ 's.

Model 4 applied the two-step process, which is an incorrect way of optimizing the unknown coefficients. Hence, it was selected.

Appendix C

Candidate Models for

$$|Z_{1,1}| = |Z_{2,2}|$$

C.1 Model 1

$$\begin{aligned} |Z_{1,1}| &= |Z_{2,2}| \\ &\approx \left\{ p_1 + p_2 \cos \left(p_3 \frac{\Delta}{\lambda} + p_4 \right) e^{-p_5 \frac{\Delta}{\lambda}} e^{p_6 \varphi} \right\} \\ &\quad \left\{ \left(\frac{L}{\lambda} - p_7 \right)^2 + p_8 \right\}, \end{aligned} \tag{C.1}$$

where

$$p_1 := 23422.3889,$$

$$p_2 := 53.9352,$$

$$p_3 := 3.9029\pi,$$

$$p_4 := 5.5776\pi,$$

$$p_5 := 0.5118,$$

$$p_6 := 3.8075,$$

$$p_7 := 0.4811,$$

$$p_8 := -0.0018.$$

This model is not recommended since $|Z_{1,1}| = |Z_{2,2}|$ takes on values over a large range. $|Z_{1,1}| = |Z_{2,2}|$ takes on values over several orders of magnitude. Hence, any R^2 computation based on (C.1) would overweight those support subregions of $\{\varphi, \frac{L}{\lambda}, \frac{\Delta}{\lambda}\}$ where $|Z_{1,1}| = |Z_{2,2}|$ is very large, thereby poorly fitting other regions of $\{\varphi, \frac{L}{\lambda}, \frac{\Delta}{\lambda}\}$ where $|Z_{1,1}| = |Z_{2,2}|$ is small. More explicitly, the would-be-overweighted region is where $\frac{L}{\lambda}$ increases toward unity and where $\frac{\Delta}{\lambda}$ decreases toward zero. Another reason why this model was not chosen because at $\frac{L}{\lambda} = p_7$, $|Z_{1,1}| < 0$, which is illogical.

C.2 Model 2

$$\begin{aligned} |Z_{1,1}| &= |Z_{2,2}| \\ &\approx \left\{ p_1 + p_2 \cos \left(p_3 \frac{\Delta}{\lambda} + p_4 \right) e^{-p_5 \frac{\Delta}{\lambda}} |\varphi| \right\} \\ &\quad \left\{ \left(\frac{L}{\lambda} - p_6 \right)^2 + p_7 \right\}, \end{aligned} \tag{C.2}$$

where

$$p_1 := 23521.2122,$$

$$p_2 := 63.5567,$$

$$p_3 := -6.6653\pi,$$

$$p_4 := 5.0417\pi,$$

$$p_5 := 73.1540,$$

$$p_6 := 0.4811,$$

$$p_7 := -0.0018.$$

The reasons for not choosing this model are the same as in (C.1).

C.3 Model 3

$$\begin{aligned} |Z_{1,1}| &= |Z_{2,2}| \\ &\approx \left\{ p_1 + p_2 \cos \left(p_3 \frac{\Delta}{\lambda} + p_4 \right) e^{-p_5 \frac{\Delta}{\lambda}} |\varphi|^{p_6} \right\} \\ &\quad \left\{ \left(\frac{L}{\lambda} - p_7 \right)^2 + p_8 \right\}, \end{aligned} \tag{C.3}$$

where

$$p_1 := 23520.6470,$$

$$p_2 := 45.6549,$$

$$p_3 := 36.2684\pi,$$

$$p_4 := 6.5309\pi,$$

$$p_5 := 17.7974,$$

$$p_6 := 3.1830,$$

$$p_7 := 0.4811,$$

$$p_8 := -0.0018.$$

The reasons for not choosing this model are the same as in (C.1).

C.4 Model 4

$$\begin{aligned} |Z_{1,1}| &= |Z_{2,2}| \\ &\approx \left\{ p_1 + p_2 \cos \left(p_3 \frac{\Delta}{\lambda} + p_4 \right) e^{-p_5 \frac{\Delta}{\lambda}} |\sin(\varphi)| \right\} \\ &\quad \left\{ \left(\frac{L}{\lambda} - p_6 \right)^2 + p_7 \right\}, \end{aligned} \tag{C.4}$$

where

$$\begin{aligned} p_1 &:= 23506.3520, \\ p_2 &:= 92.8410, \\ p_3 &:= 1.3200\pi, \\ p_4 &:= 6.0051\pi, \\ p_5 &:= 1.3121, \\ p_6 &:= 0.4811, \\ p_7 &:= -0.0018. \end{aligned}$$

The reasons for not choosing this model are the same as in (C.1).

C.5 Model 5

$$\begin{aligned}
 |Z_{1,1}| &= |Z_{2,2}| \\
 &\approx \left\{ \overbrace{p_1 + p_2 \cos\left(p_3 \frac{\Delta}{\lambda} + p_4\right) e^{-p_5 \frac{\Delta}{\lambda}} \sin^2(\varphi)}^{P_1\left(\frac{\Delta}{\lambda}\right) :=} \right\} \\
 &\quad \left\{ \left(\frac{L}{\lambda} - p_6\right)^2 + p_7 \right\}, \tag{C.5}
 \end{aligned}$$

where

$$p_1 := 23439.3128,$$

$$p_2 := 1914.2833,$$

$$p_3 := -3.8994\pi,$$

$$p_4 := 0.4090\pi,$$

$$p_5 := 0.5087,$$

$$p_6 := 0.4812,$$

$$p_7 := -0.0018.$$

The reasons for not choosing this model are the same as in (C.1).

C.6 Model 6

$$\begin{aligned} |Z_{1,1}| &= |Z_{2,2}| \\ &\approx \left\{ p_1 + p_2 \cos \left(p_3 \frac{\Delta}{\lambda} + p_4 \right) e^{-p_5 \frac{\Delta}{\lambda}} |\sin(\varphi)|^{p_6} \right\} \\ &\quad \left\{ \left(\frac{L}{\lambda} - p_7 \right)^2 + p_8 \right\}, \end{aligned} \tag{C.6}$$

where

$$p_1 := 23520.4845,$$

$$p_2 := 112.9325,$$

$$p_3 := 2.7775\pi,$$

$$p_4 := 4.9792\pi,$$

$$p_5 := 178.9852,$$

$$p_6 := 5.5039,$$

$$p_7 := 0.4811,$$

$$p_8 := -0.0018.$$

The reasons for not choosing this model are the same as in (C.1).

C.7 Model 7

$$\begin{aligned}
 |Z_{1,1}| &= |Z_{2,2}| \\
 &\approx \left\{ p_1 + p_2 \cos \left(p_3 \frac{\Delta}{\lambda} + p_4 \right) e^{-p_5 \frac{\Delta}{\lambda}} \sin^2(\varphi) \right\} \\
 &\quad \left\{ \left(\frac{L}{\lambda} - p_6 \right)^2 + p_7 \right\}, \tag{C.7}
 \end{aligned}$$

where

$$\begin{aligned}
 p_1 &:= 23138.1624, \\
 p_2 &:= 1924.3751, \\
 p_3 &:= 3.9229\pi, \\
 p_4 &:= 5.5608\pi, \\
 p_5 &:= 0.4888, \\
 p_6 &:= 0.4804, \\
 p_7 &:= 0.00000025733.
 \end{aligned}$$

This model was not selected since $|Z_{1,1}| = |Z_{2,2}|$ takes on values over a large range. $|Z_{1,1}| = |Z_{2,2}|$ takes on values over several orders of magnitude. Hence, any R^2 computation based on (C.1) would overweight those support subregions of $\{\varphi, \frac{L}{\lambda}, \frac{\Delta}{\lambda}\}$ where $|Z_{1,1}| = |Z_{2,2}|$ is very large, thereby poorly fitting other regions of $\{\varphi, \frac{L}{\lambda}, \frac{\Delta}{\lambda}\}$ where $|Z_{1,1}| = |Z_{2,2}|$ is small. More explicitly, the would-be-overweighted region is where $\frac{L}{\lambda}$ increases toward unity and where $\frac{\Delta}{\lambda}$ decreases toward zero.

C.8 Model 8

$$\begin{aligned} |Z_{1,1}| &= |Z_{2,2}| \\ &\approx \left\{ p_1 + p_2 \cos \left(p_3 \frac{\Delta}{\lambda} + p_4 \right) e^{-p_5 \frac{\Delta}{\lambda}} e^{p_6 \varphi} \right\} \\ &\quad \left\{ p_7 e^{p_8 \frac{L}{\lambda}} + p_9 e^{p_{10} \frac{L}{\lambda}} \right\}, \end{aligned} \tag{C.8}$$

where

$$\begin{aligned} p_1 &:= 4.3710, \\ p_2 &:= -0.0007, \\ p_3 &:= 0.5601, \\ p_4 &:= 3.4014, \\ p_5 &:= 1.6758, \\ p_6 &:= 3.6285, \\ p_7 &:= 0.1622, \\ p_8 &:= 1.6959, \\ p_9 &:= 0.9041, \\ p_{10} &:= -3.2461. \end{aligned}$$

This model was not selected due to the large number of degrees-of-freedom.

C.9 Model 9

$$\begin{aligned}
 |Z_{1,1}| &= |Z_{2,2}| \\
 &\approx \left\{ p_1 + p_2 \cos \left(p_3 \frac{\Delta}{\lambda} + p_4 \right) e^{-p_5 \frac{\Delta}{\lambda}} e^{p_6 \varphi} \right\} \\
 &\quad \left\{ p_7 e^{-\left(\frac{L-p_8}{p_9} \right)^2} + p_{10} e^{-\left(\frac{L-p_{11}}{p_{12}} \right)^2} \right\}, \tag{C.9}
 \end{aligned}$$

where

$$\begin{aligned}
 p_1 &:= 3.9153, \\
 p_2 &:= -0.3183, \\
 p_3 &:= 2.1916, \\
 p_4 &:= 1.3968, \\
 p_5 &:= 4.0320, \\
 p_6 &:= -5.0740, \\
 p_7 &:= -0.4343, \\
 p_8 &:= 0.4983, \\
 p_9 &:= 0.2868, \\
 p_{10} &:= 1.0087, \\
 p_{11} &:= 0.6649, \\
 p_{12} &:= 2.5468.
 \end{aligned}$$

This model was not selected due to its complexity and due to the large number of degrees-of-freedom.

C.10 Model 10

$$\begin{aligned}
|Z_{1,1}| &= |Z_{2,2}| \\
&\approx \left\{ p_1 + p_2 \cos \left(p_3 \frac{\Delta}{\lambda} + p_4 \right) e^{-p_5 \frac{\Delta}{\lambda}} e^{p_6 \varphi} \right\} \\
&\quad \left\{ p_7 \left(\frac{L}{\lambda} \right)^4 + p_8 \left(\frac{L}{\lambda} \right)^3 + p_9 \left(\frac{L}{\lambda} \right)^2 + p_{10} \left(\frac{L}{\lambda} \right) + p_{11} \right\}, \quad (\text{C.10})
\end{aligned}$$

where

$$\begin{aligned}
p_1 &:= -0.2816, \\
p_2 &:= 177.4234, \\
p_3 &:= 0.4715, \\
p_4 &:= 1.5658, \\
p_5 &:= 146.3067, \\
p_6 &:= -127.0248, \\
p_7 &:= 59.9839, \\
p_8 &:= -107.6804, \\
p_9 &:= 32.4132, \\
p_{10} &:= 16.6568, \\
p_{11} &:= -15.0194,
\end{aligned}$$

This model was not selected due to its complexity and due to the large number of degrees-of-freedom.

C.11 Model 11

$$\begin{aligned} |Z_{1,1}| &= |Z_{2,2}| \\ &\approx \left\{ p_1 + p_2 \cos \left(p_3 \frac{\Delta}{\lambda} + p_4 \right) e^{-p_5 \frac{\Delta}{\lambda}} |\varphi|^{p_6} \right\} \\ &\quad \left\{ p_7 e^{p_8 \frac{L}{\lambda}} + p_9 e^{p_{10} \frac{L}{\lambda}} \right\}, \end{aligned} \tag{C.11}$$

where

$$\begin{aligned} p_1 &:= 0.3916, \\ p_2 &:= -0.0671, \\ p_3 &:= 13.8602, \\ p_4 &:= 7.4596, \\ p_5 &:= 9.1662, \\ p_6 &:= 13.8754, \\ p_7 &:= 1.8111, \\ p_8 &:= 1.6958, \\ p_9 &:= 10.0953, \\ p_{10} &:= -3.2461. \end{aligned}$$

This model was not selected due to the large number of degrees-of-freedom.

C.12 Model 12

$$\begin{aligned} |Z_{1,1}| &= |Z_{2,2}| \\ &\approx \left\{ p_1 + p_2 \cos \left(p_3 \frac{\Delta}{\lambda} + p_4 \right) e^{-p_5 \frac{\Delta}{\lambda}} |\sin(\varphi)|^{p_6} \right\} \\ &\quad \left\{ p_7 e^{p_8 \frac{L}{\lambda}} + p_9 e^{p_{10} \frac{L}{\lambda}} \right\}, \end{aligned} \tag{C.12}$$

where

$$\begin{aligned} p_1 &:= 0.6306, \\ p_2 &:= 0.3413, \\ p_3 &:= 8.4951, \\ p_4 &:= 11.7166, \\ p_5 &:= 7.8617, \\ p_6 &:= 13.9790, \\ p_7 &:= 1.1244, \\ p_8 &:= 1.6958, \\ p_9 &:= 6.2680, \\ p_{10} &:= -3.2461. \end{aligned}$$

This model was not selected due to the large number of degrees-of-freedom.

C.13 Model 13

$$\begin{aligned}
 |Z_{1,1}| &= |Z_{2,2}| \\
 &\approx \left\{ p_1 + p_2 \cos \left(p_3 \frac{\Delta}{\lambda} + p_4 \right) e^{-p_5 \frac{\Delta}{\lambda}} |\varphi|^{p_6} \right\} \\
 &\quad \left\{ p_7 e^{-\left(\frac{L-p_8}{p_9} \right)^2} + p_{10} e^{-\left(\frac{L-p_{11}}{p_{12}} \right)^2} \right\}, \tag{C.13}
 \end{aligned}$$

where

$$\begin{aligned}
 p_1 &:= 4.2666, \\
 p_2 &:= 10.1235, \\
 p_3 &:= 1.3079, \\
 p_4 &:= -0.1623, \\
 p_5 &:= 12.9825, \\
 p_6 &:= 25.3620, \\
 p_7 &:= -0.3792, \\
 p_8 &:= 0.4863, \\
 p_9 &:= 0.2910, \\
 p_{10} &:= 0.9046, \\
 p_{11} &:= -0.0311, \\
 p_{12} &:= 26.6629.
 \end{aligned}$$

This model was not selected due to its complexity and due to the large number of degrees-of-freedom.

C.14 Model 14

$$\begin{aligned}
 |Z_{1,1}| &= |Z_{2,2}| \\
 &\approx \left\{ p_1 + p_2 \cos \left(p_3 \frac{\Delta}{\lambda} + p_4 \right) e^{-p_5 \frac{\Delta}{\lambda}} |\sin(\varphi)|^{p_6} \right\} \\
 &\quad \left\{ p_7 e^{-\left(\frac{L-p_8}{p_9} \right)^2} + p_{10} e^{-\left(\frac{L-p_{11}}{p_{12}} \right)^2} \right\}, \tag{C.14}
 \end{aligned}$$

where

$$\begin{aligned}
 p_1 &:= 10.5046, \\
 p_2 &:= 3.5518, \\
 p_3 &:= -0.7215, \\
 p_4 &:= 2.6124, \\
 p_5 &:= 19.6664, \\
 p_6 &:= 16.8376, \\
 p_7 &:= -0.1532, \\
 p_8 &:= 0.4872, \\
 p_9 &:= 0.2893, \\
 p_{10} &:= 0.3669, \\
 p_{11} &:= 1.2465, \\
 p_{12} &:= 22.4539.
 \end{aligned}$$

This model was not selected due to its complexity and due to the large number of degrees-of-freedom.

C.15 Model 15

$$\begin{aligned}
 |Z_{1,1}| &= |Z_{2,2}| \\
 &\approx \left\{ p_1 + p_2 \cos \left(p_3 \frac{\Delta}{\lambda} + p_4 \right) e^{-p_5 \frac{\Delta}{\lambda}} |\varphi|^{p_6} \right\} \\
 &\quad \left\{ p_7 \left(\frac{L}{\lambda} \right)^4 + p_8 \left(\frac{L}{\lambda} \right)^3 + p_9 \left(\frac{L}{\lambda} \right)^2 + p_{10} \left(\frac{L}{\lambda} \right) + p_{11} \right\}, \quad (\text{C.15})
 \end{aligned}$$

where

$$\begin{aligned}
 p_1 &:= 4.6067, \\
 p_2 &:= -8.4630, \\
 p_3 &:= -0.0007, \\
 p_4 &:= 1.5720, \\
 p_5 &:= -0.7125, \\
 p_6 &:= 4.3720, \\
 p_7 &:= -5.0905, \\
 p_8 &:= 9.9604, \\
 p_9 &:= -4.6921, \\
 p_{10} &:= -0.1901, \\
 p_{11} &:= 0.8449,
 \end{aligned}$$

This model was not selected due to its complexity and due to the large number of degrees-of-freedom.

C.16 Model 16

$$\begin{aligned}
 |Z_{1,1}| &= |Z_{2,2}| \\
 &\approx \left\{ p_1 + p_2 \cos \left(p_3 \frac{\Delta}{\lambda} + p_4 \right) e^{-p_5 \frac{\Delta}{\lambda}} |\sin \varphi|^{p_6} \right\} \\
 &\quad \left\{ p_7 \left(\frac{L}{\lambda} \right)^4 + p_8 \left(\frac{L}{\lambda} \right)^3 + p_9 \left(\frac{L}{\lambda} \right)^2 + p_{10} \left(\frac{L}{\lambda} \right) + p_{11} \right\}, \quad (\text{C.16})
 \end{aligned}$$

where

$$\begin{aligned}
 p_1 &:= 2.8236, \\
 p_2 &:= 0.5890, \\
 p_3 &:= -4.1481, \\
 p_4 &:= 1.5905, \\
 p_5 &:= 5.2617, \\
 p_6 &:= 4.5600, \\
 p_7 &:= -12.0029, \\
 p_8 &:= 24.4661, \\
 p_9 &:= -13.7944, \\
 p_{10} &:= 1.4457, \\
 p_{11} &:= 1.2264,
 \end{aligned}$$

This model was not selected due to its complexity and due to the large number of degrees-of-freedom.

NOTE: The model fitting from Models 17-20 is done in two steps:

1. The VLab data for $|Z_{1,1}| = |Z_{2,2}|$ will be fitted to equation (C.17) per $\frac{L}{\lambda}$ value in the MATLAB built-in function, “cftool”.
2. The obtained optimized coefficients per $\frac{L}{\lambda}$ in (1) will then again be fitted to another function, which is again done in “cftool”.

C.17 Model 17

The proposed model is,

$$\begin{aligned}
 |Z_{1,1}| &= |Z_{2,2}| \\
 &\approx \left\{ c_1 + c_2 \cos \left(c_3 \frac{\Delta}{\lambda} + c_4 \right) e^{-c_5 \frac{\Delta}{\lambda}} |\varphi|^{c_6} \right\} \\
 &\quad \left\{ \left(\frac{L}{\lambda} - c_7 \right)^2 + c_8 \right\}
 \end{aligned} \tag{C.17}$$

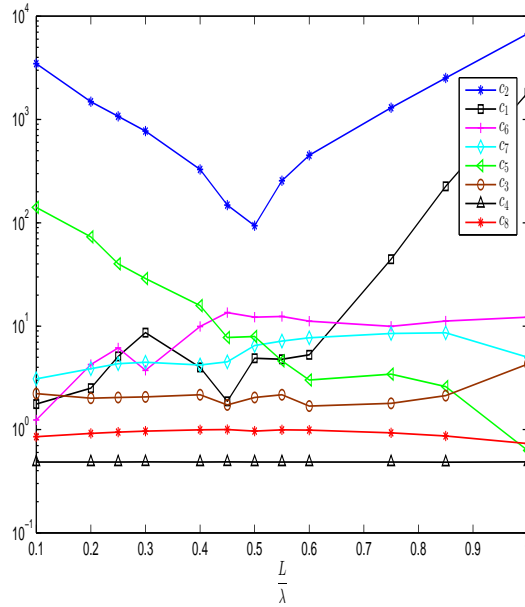


Figure C.1: How $\frac{L}{\lambda}$ affects the coefficients of the 8-DoF model in (C.17).

From Fig. C.1,

$$\begin{aligned}
c_1 &\approx 2474 + 2991 \cos\left(5.677 \frac{L}{\lambda}\right) - 995.7 \sin\left(5.677 \frac{L}{\lambda}\right) \\
&\quad + 1099 \cos\left(11.354 \frac{L}{\lambda}\right) - 671.1 \sin\left(11.354 \frac{L}{\lambda}\right) \\
&\quad + 503.3 \cos\left(17.031 \frac{L}{\lambda}\right) - 429.8 \sin\left(17.031 \frac{L}{\lambda}\right) \\
&\quad + 212.7 \cos\left(22.708 \frac{L}{\lambda}\right) - 199.1 \sin\left(22.708 \frac{L}{\lambda}\right) \\
&\quad + 25.54 \cos\left(28.385 \frac{L}{\lambda}\right) - 120 \sin\left(28.385 \frac{L}{\lambda}\right) \\
c_2 &\approx 0.001378 e^{14.09 \frac{L}{\lambda}} + 2.194 \\
c_3 &\approx \frac{9.177 \left(\frac{L}{\lambda}\right)^3 - 6.949 \left(\frac{L}{\lambda}\right)^2 + 0.7756 \frac{L}{\lambda} + 0.2854}{\left(\frac{L}{\lambda}\right)^2 - 0.8883 \frac{L}{\lambda} + 0.2063} \\
c_4 &\approx 19.89 \sin\left(3.71 \frac{L}{\lambda} - 0.1706\right) \\
&\quad + 14.53 \sin\left(4.653 \frac{L}{\lambda} + 2.715\right) \\
&\quad + 0.5484 \sin\left(18.28 \frac{L}{\lambda} - 2.858\right) \\
&\quad + 0.3028 \sin\left(32.39 \frac{L}{\lambda} - 2.655\right) \\
c_5 &\approx 304 e^{-7.607 \frac{L}{\lambda}} \\
c_6 &\approx 2.1968 \\
c_7 &\approx 0.4850 \\
c_8 &\approx -0.9698 \left(\frac{L}{\lambda} - 0.4814\right)^2 + 0.994
\end{aligned}$$

This model fits the data using the Fourier series at the $\frac{L}{\lambda}$ values where VLab simulations are done, hence the many cosine functions. However, the coefficient values depend on the Fourier series grid, so this model is not chosen.

C.18 Model 18

$$|Z_{1,1}| = |Z_{2,2}| \approx \left\{ c_1 + c_2 \cos \left(c_3 \frac{\Delta}{\lambda} + c_4 \right) e^{(-c_5 \frac{\Delta}{\lambda} + c_6 \varphi)} \right\} \left\{ \left(\frac{L}{\lambda} - c_7 \right)^2 + c_8 \right\} \quad (\text{C.18})$$

From Fig. C.2,

$$\begin{aligned} c_1 &\approx 137200 e^{-\left(\frac{\frac{L}{\lambda}-0.4483}{0.04192}\right)^2} + 33430 \\ c_2 &\approx 99.5 e^{-\left(\frac{\frac{L}{\lambda}-0.4771}{0.05298}\right)^2} + 17.87 \\ c_3 &\approx 1477000 e^{-101.2 \frac{L}{\lambda}} + 9.58 \\ c_4 &\approx -23.49 e^{-2.606 \frac{L}{\lambda}} + 18.6 \\ c_5 &\approx 417.4 e^{-8.613 \frac{L}{\lambda}} \\ c_6 &\approx 3.9800 \\ c_7 &\approx 0.4786 \\ c_8 &\approx -0.0045 \end{aligned}$$

The functions c_1 , c_2 , c_3 , c_4 and c_5 are derived from the 2D curve fitting in Matlab's "cftool". While the values of c_6 , c_7 and c_8 are averages for all $\frac{L}{\lambda}$'s.

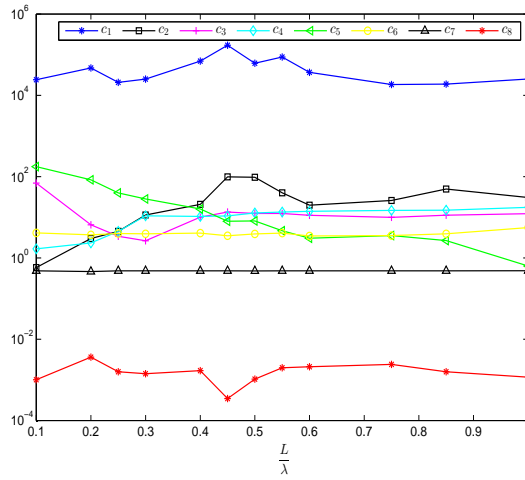


Figure C.2: How $\frac{L}{\lambda}$ affects the coefficients of the 8-DoF model in (C.18).

C.19 Model 19

$$\begin{aligned}
 |Z_{1,1}| &= |Z_{2,2}| \\
 &\approx \left\{ c_1 + c_2 \cos \left(c_3 \frac{\Delta}{\lambda} + c_4 \right) e^{(-c_5 \frac{\Delta}{\lambda})} \left(\left(\frac{L}{\lambda} - c_7 \right)^2 + c_8 \right) \right\} e^{(c_6 \varphi)}
 \end{aligned} \tag{C.19}$$

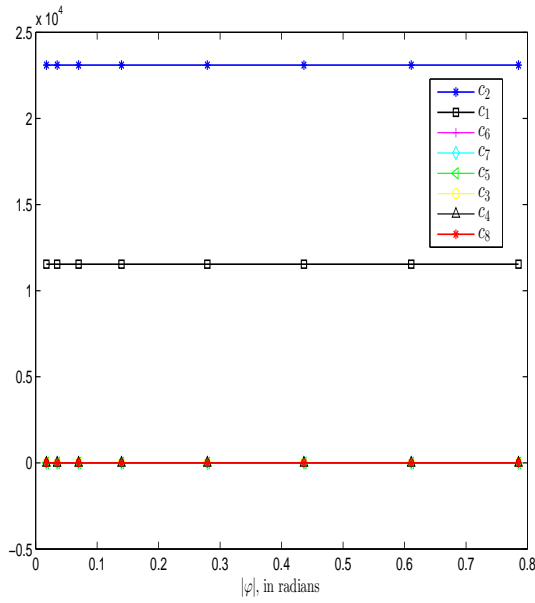


Figure C.3: How φ affects the coefficients of the 8-DoF model in (C.19).

From Fig. C.3,

$$c_1 \approx 11540$$

$$c_2 \approx 23100$$

$$c_3 \approx 2.7608 \times 10^{-5}$$

$$c_4 \approx 1.787 \times 10^{-4}$$

$$c_5 \approx 1.2792 \times 10^{-4}$$

$$c_6 \approx 0.2507$$

$$c_7 \approx 0.4811$$

$$c_8 \approx -0.5014$$

C.20 Model 20

$$\begin{aligned}
 |Z_{1,1}| &= |Z_{2,2}| \\
 &\approx \left\{ c_1 + c_2 \cos \left(c_3 \frac{\Delta}{\lambda} + c_4 \right) e^{-c_5 \frac{\Delta}{\lambda}} |\varphi|^{c_6} \right\} \\
 &\quad \left\{ \left(\frac{L}{\lambda} - c_7 \right)^2 + c_8 \right\}
 \end{aligned} \tag{C.20}$$

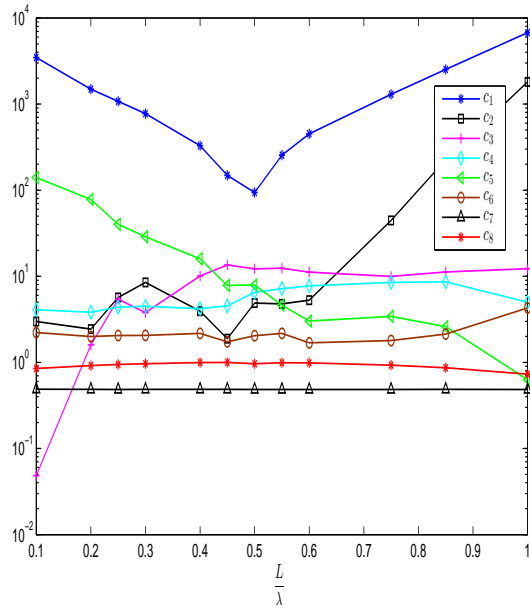


Figure C.4: How $\frac{L}{\lambda}$ affects the coefficients of the 8-DoF model in (C.20).

$$\begin{aligned}
c_1 &\approx 2.324 \times 10^4 \left(\frac{L}{\lambda} - 0.4803 \right)^2 \\
c_2 &\approx 0.001366 e^{14.1 \frac{L}{\lambda}} + 2.361 \\
c_3 &\approx \frac{2814 \left(\frac{L}{\lambda} \right)^4 - 147.6 \left(\frac{L}{\lambda} \right)^3 + 704.7 \left(\frac{L}{\lambda} \right)^2 + 13.84 \frac{L}{\lambda} + 190.6}{\left(\frac{L}{\lambda} \right)^4 - 924.6 \left(\frac{L}{\lambda} \right)^3 + 2472 \left(\frac{L}{\lambda} \right)^2 - 1600 \frac{L}{\lambda} + 338.7} \\
c_4 &\approx \frac{-4.299 \left(\frac{L}{\lambda} \right)^3 + 8.567 \left(\frac{L}{\lambda} \right)^2 - 5.125 \frac{L}{\lambda} + 0.9699}{\left(\frac{L}{\lambda} \right)^4 - 3.083 \left(\frac{L}{\lambda} \right)^3 + 3.448 \left(\frac{L}{\lambda} \right)^2 - 1.61 \frac{L}{\lambda} + 0.2679} \\
c_5 &\approx 299.8 e^{-7.444 \frac{L}{\lambda}} \\
c_6 &\approx 2.1951 \\
c_7 &\approx 0.4853 \\
c_8 &\approx 0.9281
\end{aligned}$$

C.21 Model 21

$$\begin{aligned}
 |Z_{1,1}| &= |Z_{2,2}| \\
 &\approx c_0 + c_1 e^{(c_2 \frac{L}{\lambda})} + c_3 e^{(-c_4 \frac{L}{\lambda})}
 \end{aligned} \tag{C.21}$$

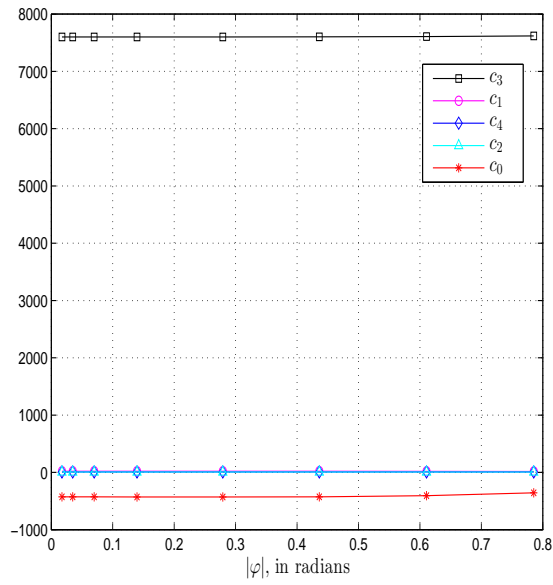


Figure C.5: How φ affects the coefficients of the 5-DoF model in (C.21).

From Fig. C.5,

$$c_0 \approx -416.2750$$

$$c_1 \approx 19.20$$

$$c_2 \approx 5.9253$$

$$c_3 \approx 7604.9$$

$$c_4 \approx 6.8407$$

C.22 Model 22

$$\begin{aligned} \log |Z_{1,1}| &= \log |Z_{2,2}| \\ &\approx p_{0,0} + p_{0,2} \log^2 \left(\frac{L}{\lambda} \right) + p_{0,3} \log^3 \left(\frac{L}{\lambda} \right) \\ &\quad + p_{0,4} \log^4 \left(\frac{L}{\lambda} \right) + p_{0,5} \log^5 \left(\frac{L}{\lambda} \right) \end{aligned} \quad (\text{C.22})$$

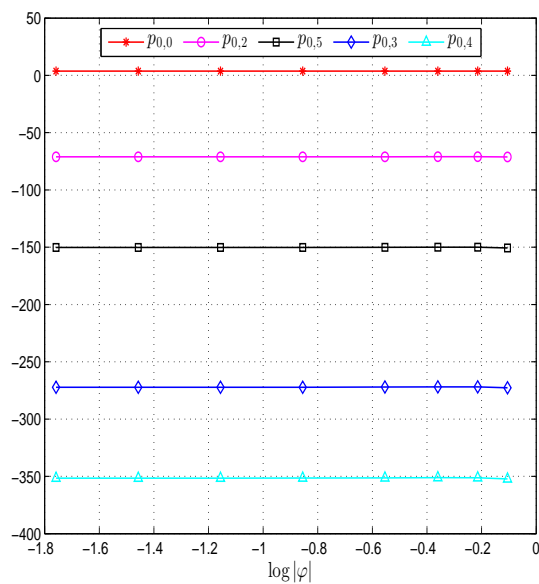


Figure C.6: How φ affects the coefficients of the 5-DoF model in (C.22).

From Fig. C.6,

$$p_{0,0} \approx 3.7734$$

$$p_{0,2} \approx -71.0738$$

$$p_{0,3} \approx -272.20$$

$$p_{0,4} \approx -351.5250$$

$$p_{0,5} \approx -150.1875$$

Appendix D

Candidate Models for

$$\angle Z_{1,1} = \angle Z_{2,2}$$

D.1 Model 1

$$\begin{aligned} \angle Z_{1,1} &= \angle Z_{2,2} \\ &\approx \left\{ q_1 + q_2 \cos \left(q_3 \frac{\Delta}{\lambda} + q_4 \right) e^{(-q_5 \frac{\Delta}{\lambda})} \right\} \left\{ \cos \left(q_7 \frac{L}{\lambda} + q_8 \right) \right\} \end{aligned} \tag{D.1}$$

where

$$\begin{aligned} q_1 &:= 1.7649 \\ q_2 &:= 6.6980 \\ q_3 &:= 0.0096 \\ q_4 &:= 4.7128 \\ q_5 &:= 9.3626 \\ q_6 &:= 6.5207 \\ q_7 &:= -4.7122 \end{aligned}$$

This model was not chosen due to the number of degrees-of-freedom of 7. The best

fit model in (5.11) has only 5 degrees-of-freedom.

D.2 Model 2

$$\begin{aligned} \angle Z_{1,1} &= \angle Z_{1,1} \\ &\approx \left\{ q_1 + q_2 \cos \left(q_3 \frac{\Delta}{\lambda} + q_4 \right) e^{(-q_5 \frac{\Delta}{\lambda})} \right\} f\left(\frac{L}{\lambda}\right) \end{aligned} \tag{D.2}$$

where

$$f\left(\frac{L}{\lambda}\right) = \begin{cases} q_6, & \text{if } \text{mod} \left(\frac{L}{\lambda}, 1 \right) < q_9 \\ \cos \left(q_7 \text{ mod} \left(\frac{L}{\lambda}, 1 \right) + q_8 \right) & \text{if } \text{mod} \left(\frac{L}{\lambda}, 1 \right) > q_9 \end{cases}$$

$$q_1 := 1.4580$$

$$q_2 := 2.2817$$

$$q_3 := 5.8308$$

$$q_4 := -1.6890$$

$$q_5 := 25.4661$$

$$q_6 := -0.8891$$

$$q_7 := 5.5635$$

$$q_8 := 8.6596$$

$$q_9 := 0.4996$$

This model was not chosen due to the number of degrees-of-freedom of 9 and due to its complex form. The chosen model in (5.11) has only 5 degrees-of-freedom.

D.3 Model 3

$$\begin{aligned}
 \angle Z_{1,1} &= \angle Z_{2,2} \\
 &\approx \left\{ \overbrace{q_1 + q_2 \sin\left(q_3 \frac{\Delta}{\lambda}\right) e^{-q_4 \frac{\Delta}{\lambda}} |\sin(\varphi)|}^{Q\left(\frac{\Delta}{\lambda}\right) :=} \right\} \\
 &\quad \sin\left(q_5 \frac{L}{\lambda}\right), \tag{D.3}
 \end{aligned}$$

where

$$\begin{aligned}
 q_1 &:= 1.7648, \\
 q_2 &:= 0.0971, \\
 q_3 &:= 1.5977\pi, \\
 q_4 &:= 10.5309, \\
 q_5 &:= -2.0758\pi.
 \end{aligned}$$

This model was not chosen due to the little dependence of φ in the $\angle Z_{1,1}$ and $\angle Z_{2,2}$.

D.4 Model 4

$$\begin{aligned}\angle Z_{1,1} &= \angle Z_{2,2} \\ &\approx \left\{ q_1 + q_2 \sin\left(q_3 \frac{\Delta}{\lambda}\right) e^{-q_4 \frac{\Delta}{\lambda}} |\sin(\varphi)|^2 \right\} \\ &\quad \sin\left(q_5 \frac{L}{\lambda}\right),\end{aligned}\tag{D.4}$$

where

$$q_1 := 1.7659,$$

$$q_2 := 0.0994,$$

$$q_3 := 1.6161\pi,$$

$$q_4 := 16.0681,$$

$$q_5 := -2.0758\pi.$$

This model was not chosen due to the little dependence of φ in the $\angle Z_{1,1}$ and $\angle Z_{2,2}$.

D.5 Model 5

$$\begin{aligned}\angle Z_{1,1} &= \angle Z_{2,2} \\ &\approx \left\{ q_1 + q_2 \sin\left(q_3 \frac{\Delta}{\lambda}\right) e^{-q_4 \frac{\Delta}{\lambda}} |\sin(\varphi)|^{q_5} \right\} \\ &\quad \sin\left(q_6 \frac{L}{\lambda}\right),\end{aligned}\tag{D.5}$$

where

$$\begin{aligned}q_1 &:= 1.7664, \\ q_2 &:= 0.0098, \\ q_3 &:= 2.1483\pi, \\ q_4 &:= 6.8185, \\ q_5 &:= 3.1243, \\ q_6 &:= -2.0758\pi.\end{aligned}$$

This model was not chosen due to the little dependence of φ in the $\angle Z_{1,1}$ and $\angle Z_{2,2}$.

D.6 Model 6

$$\begin{aligned}\angle Z_{1,1} &= \angle Z_{2,2} \\ &\approx \left\{ q_1 + q_2 \sin\left(q_3 \frac{\Delta}{\lambda}\right) e^{-q_4 \frac{\Delta}{\lambda}} |\varphi| \right\} \\ &\quad \sin\left(q_5 \frac{L}{\lambda}\right),\end{aligned}\tag{D.6}$$

where

$$q_1 := 1.7649,$$

$$q_2 := 0.4952,$$

$$q_3 := 0.3245\pi,$$

$$q_4 := 12.7485,$$

$$q_5 := -2.0758\pi.$$

This model was not chosen due to the little dependence of φ in the $\angle Z_{1,1}$ and $\angle Z_{2,2}$.

D.7 Model 7

$$\begin{aligned}\angle Z_{1,1} &= \angle Z_{2,2} \\ &\approx \left\{ q_1 + q_2 \sin\left(q_3 \frac{\Delta}{\lambda}\right) e^{-q_4 \frac{\Delta}{\lambda}} |\varphi|^{q_5} \right\} \\ &\quad \sin\left(q_6 \frac{L}{\lambda}\right),\end{aligned}\tag{D.7}$$

where

$$\begin{aligned}q_1 &:= 1.7650, \\ q_2 &:= 0.0943, \\ q_3 &:= 1.1830\pi, \\ q_4 &:= 8.4140, \\ q_5 &:= 1.0945, \\ q_6 &:= -2.0758\pi.\end{aligned}$$

This model was not chosen due to the little dependence of φ in the $\angle Z_{1,1}$ and $\angle Z_{2,2}$.

D.8 Model 8

$$\begin{aligned} \angle Z_{1,1} &= \angle Z_{2,2} \\ &\approx \left\{ q_1 + q_2 \cos \left(q_3 \frac{\Delta}{\lambda} + q_4 \right) e^{-q_5 \frac{\Delta}{\lambda}} \right\} \\ &\quad \left\{ \frac{q_6 \frac{L^2}{\lambda} + q_7 \frac{L}{\lambda} + q_8}{\frac{L^2}{\lambda} + q_9 \frac{L}{\lambda} + q_{10}} \right\}, \end{aligned} \tag{D.8}$$

where

$$\begin{aligned} q_1 &:= 0.7811, \\ q_2 &:= 0.0007, \\ q_3 &:= 7.4286\pi, \\ q_4 &:= 8.5522, \\ q_5 &:= 0.7675, \\ q_6 &:= -0.9786, \\ q_7 &:= 1.5903, \\ q_8 &:= -0.5463, \\ q_9 &:= -1.0524, \\ q_{10} &:= 0.2992. \end{aligned}$$

The $\frac{\Delta}{\lambda}$ term inside the first curly bracket was first fitted in “cftool”, a built-in function in MATLAB. The “rational” function involving $\frac{L}{\lambda}$ inside the second curly bracket was fitted separately in “cftool”. After getting good fitting for both terms in “cftool”, it was then fitted altogether in 4D using the code written in MATLAB. Aside from the complex nature of the above expression, this model was not chosen due to the large number of degrees-of-freedom.

D.9 Model 9

$$\begin{aligned}
\angle Z_{1,1} &= \angle Z_{2,2} \\
&\approx \left\{ q_1 + q_2 \cos \left(q_3 \frac{\Delta}{\lambda} + q_4 \right) e^{-q_5 \frac{\Delta}{\lambda}} \right\} \\
&\quad \left\{ q_6 e^{-\left(\frac{\frac{L}{\lambda} - q_7}{q_8} \right)^2} + q_9 e^{-\left(\frac{\frac{L}{\lambda} - q_{10}}{q_{11}} \right)^2} \right\}, \tag{D.9}
\end{aligned}$$

where

$$\begin{aligned}
q_1 &:= 1.3726, \\
q_2 &:= 0.0039, \\
q_3 &:= 1.2443, \\
q_4 &:= 1.5995, \\
q_5 &:= 1.3905, \\
q_6 &:= 2.7508, \\
q_7 &:= 0.6657, \\
q_8 &:= 0.2374, \\
q_9 &:= -1.6424, \\
q_{10} &:= 0.4658, \\
q_{11} &:= 0.5343.
\end{aligned}$$

Like the candidate model in (D.8), the same procedure was carried out. The term involving $\frac{L}{\lambda}$ is now a ‘‘Gaussian’’ function. Again, it’s complex and difficult to explain in terms of electromagnetics. Also, this model was not chosen due to the large number of degrees-of-freedom.

D.10 Model 10

$$\begin{aligned} \angle Z_{1,1} &= \angle Z_{2,2} \\ &\approx \left\{ q_1 + q_2 \cos \left(q_3 \frac{\Delta}{\lambda} + q_4 \right) e^{-q_5 \frac{\Delta}{\lambda}} \right\} \\ &\quad \left\{ q_6 \left(\frac{L}{\lambda} \right)^5 + q_7 \left(\frac{L}{\lambda} \right)^4 + q_8 \left(\frac{L}{\lambda} \right)^3 + q_9 \left(\frac{L}{\lambda} \right)^2 + q_{10} \frac{L}{\lambda} + q_{11} \right\} \end{aligned} \quad (\text{D.10})$$

where

$$\begin{aligned} q_1 &:= 0.9909, \\ q_2 &:= 0.0039, \\ q_3 &:= 0.8370, \\ q_4 &:= 1.1806, \\ q_5 &:= 1.3791, \\ q_6 &:= 346.1105, \\ q_7 &:= -912.0844, \\ q_8 &:= 832.9370, \\ q_9 &:= -311.1516, \\ q_{10} &:= 47.7609, \\ q_{11} &:= -3.9708. \end{aligned}$$

Like the candidate model in (D.8), the same procedure was implemented. The term involving $\frac{L}{\lambda}$ is now a “polynomial” function. Again, it’s complex and difficult to explain in terms of electromagnetics. Besides, this model was not chosen due to the large number of degrees-of-freedom.

D.11 Model 11

$$\begin{aligned}
 \angle Z_{1,1} &= \angle Z_{2,2} \\
 &\approx \left\{ q_1 + q_2 \cos \left(q_3 \frac{\Delta}{\lambda} + q_4 \right) e^{-q_5 \frac{\Delta}{\lambda}} \right\} \\
 &\quad \left\{ q_6 \sin \left(q_7 \frac{L}{\lambda} + q_8 \right) + q_9 \sin \left(c_{10} \frac{L}{\lambda} + q_{11} \right) \right\}, \quad (\text{D.11})
 \end{aligned}$$

where

$$\begin{aligned}
 q_1 &:= 1.5891, \\
 q_2 &:= 0.9794, \\
 q_3 &:= 0.0061, \\
 q_4 &:= 1.5684, \\
 q_5 &:= 1.3266, \\
 q_6 &:= 1.1532, \\
 q_7 &:= 6.6205, \\
 q_8 &:= 3.0688, \\
 q_9 &:= 0.2671, \\
 q_{10} &:= 18.5921, \\
 q_{11} &:= 3.2727.
 \end{aligned}$$

Like the candidate model in (D.8), the same procedure was employed. The term involving $\frac{L}{\lambda}$ is now a “sum of sine” function. The complexity of the expression and the large number of degrees-of-freedom were the reasons for not choosing this model.

D.12 Model 12

$$\begin{aligned} \angle Z_{1,1} &= \angle Z_{2,2} \\ &\approx \left\{ c_1 + c_2 \cos \left(c_3 \frac{\Delta}{\lambda} + c_4 \right) e^{(-c_5 \frac{\Delta}{\lambda})} \cos \left(c_7 \frac{L}{\lambda} + c_8 \right) \right\} e^{(c_6 \varphi)} \quad (\text{D.12}) \end{aligned}$$

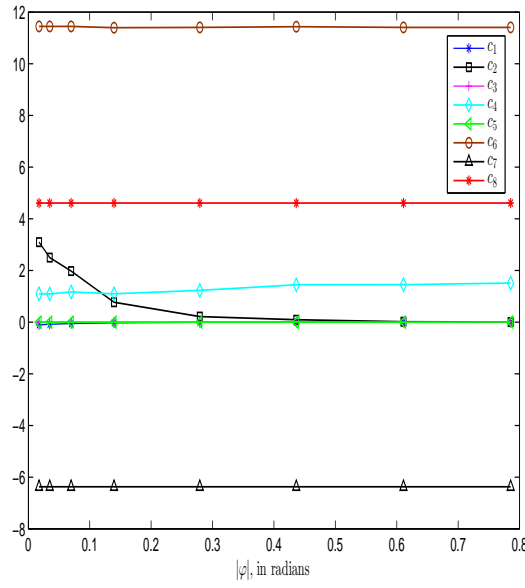


Figure D.1: How φ affects the coefficients of the 8-DoF model in (D.12).

From Fig. D.1,

$$c_1 \approx -0.1282 e^{-11.40|\varphi|}$$

$$c_2 \approx 3.694 e^{-10.21|\varphi|}$$

$$c_3 \approx 5.9724 \times 10^{-6}$$

$$c_4 \approx 1.2619$$

$$c_5 \approx 0.0010$$

$$c_6 \approx 11.4275$$

$$c_7 \approx -6.373$$

$$c_8 \approx 4.609$$

This model is not chosen because the fitting error is artificially low because of dominance by the VLab data at $\frac{L}{\lambda} \approx 1.0$.

D.13 Model 13

$$\begin{aligned} \angle Z_{1,1} &= \angle Z_{2,2} \\ &\approx \left\{ c_1 + c_2 \cos \left(c_3 \frac{\Delta}{\lambda} + c_4 \right) e^{(-c_5 \frac{\Delta}{\lambda} + c_6 \varphi)} \right\} \\ &\quad \left\{ \cos \left(c_7 \frac{L}{\lambda} + c_8 \right) \right\} \end{aligned} \tag{D.13}$$

From Fig. D.2,

$$\begin{aligned} c_1 &\approx 5.082 e^{-\left(\frac{\frac{L}{\lambda} - 0.4542}{0.04627}\right)^2} + 1.813 \\ c_2 &\approx 8.546 \times 10^{-9} e^{16.63 \frac{L}{\lambda}} \\ c_3 &\approx 1258 e^{-18.64 \frac{L}{\lambda}} + 9.929 \\ c_4 &\approx 4.8472 \\ c_5 &\approx \frac{-0.06303 \frac{L}{\lambda} + 0.7038}{\left(\frac{L}{\lambda}\right)^2 - 0.4114 \frac{L}{\lambda} + 0.06139} \\ c_6 &\approx 3.6788 \\ c_7 &\approx -6.3728 \\ c_8 &\approx 4.6093 \end{aligned}$$

The functions c_1 , c_2 , c_3 and c_5 are derived from the 2D curve fitting in Matlab's "cftool". While the values of c_4 , c_6 , c_7 and c_8 are their averages for all $\frac{L}{\lambda}$'s.

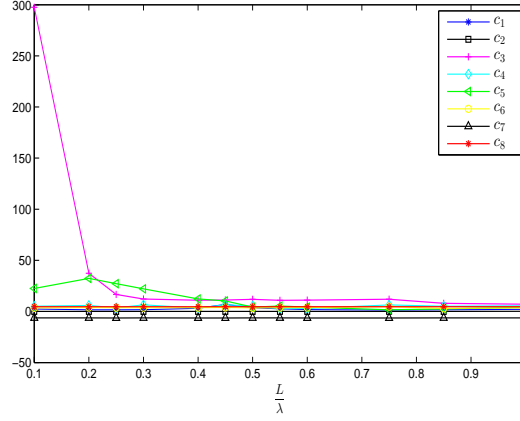


Figure D.2: How $\frac{L}{\lambda}$ affects the coefficients of the 8-DoF model in (D.13).

D.14 Model 14

$$\begin{aligned}
 \angle Z_{1,1} &= \angle Z_{2,2} \\
 &\approx \left\{ c_1 + c_2 \cos \left(c_3 \frac{\Delta}{\lambda} + c_4 \right) e^{(-c_5 \frac{\Delta}{\lambda})} |\varphi|^{c_6} \right\} \\
 &\quad \left\{ \cos \left(c_7 \frac{L}{\lambda} + c_8 \right) \right\}
 \end{aligned} \tag{D.14}$$

From Fig. D.3,

$$\begin{aligned}
 c_1 &\approx 5.061 e^{-\left(\frac{\frac{L}{\lambda}-0.4542}{0.04625}\right)^2} + 1.816 \\
 c_2 &\approx 4.322 \times 10^{-10} e^{22.78 \frac{L}{\lambda}} \\
 c_3 &\approx 2813 e^{-22.97 \frac{L}{\lambda}} + 10.16 \\
 c_4 &\approx 4.8490 \\
 c_5 &\approx \frac{-0.3016 \frac{L}{\lambda} + 0.7147}{\left(\frac{L}{\lambda}\right)^2 - 0.4675 \frac{L}{\lambda} + 0.07276} \\
 c_6 &\approx 1.9116 \\
 c_7 &\approx -6.3727 \\
 c_8 &\approx 4.6093
 \end{aligned}$$

The functions c_1 , c_2 , c_3 and c_5 are derived from the 2D curve fitting in Matlab's

“cftool”. While the values of c_4 , c_6 , c_7 and c_8 are their averages for all $\frac{L}{\lambda}$'s.

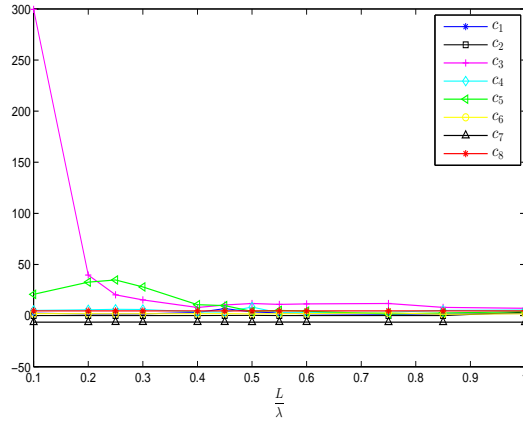


Figure D.3: How $\frac{L}{\lambda}$ affects the coefficients of the 8-DoF model in (D.14).

D.15 Model 15

$$\begin{aligned} \angle Z_{1,1} &= \angle Z_{2,2} \\ &\approx \left\{ c_1 + c_2 \cos \left(c_3 \frac{\Delta}{\lambda} + c_4 \right) e^{(-c_5 \frac{\Delta}{\lambda} + c_6 \varphi)} \right\} \\ &\quad \left(e^{-\left(\frac{L-c_7}{c_8} \right)^2} + c_9 \right) \end{aligned}$$

(D.15)

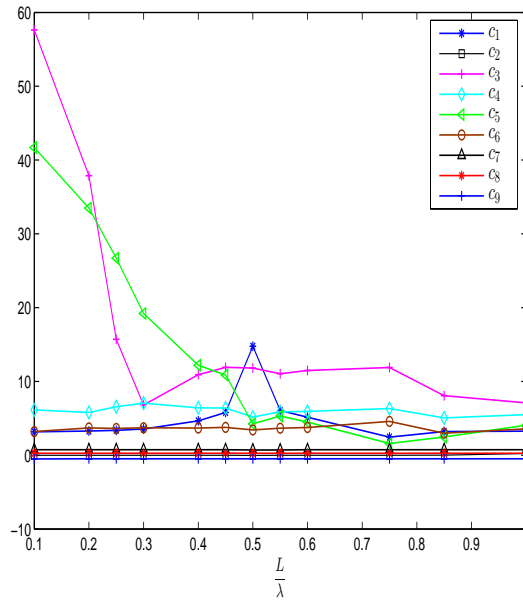


Figure D.4: How $\frac{L}{\lambda}$ affects the coefficients of the 9-DoF model in (D.15).

From Fig. D.4,

$$c_1 \approx 11.21 e^{-\left(\frac{\frac{L}{\lambda} - 0.5009}{0.04042}\right)^2} + 3.553$$

$$c_2 \approx 8.785 \times 10^{-9} e^{17.07 \frac{L}{\lambda}} - 0.004687$$

$$c_3 \approx 135.2 e^{-9.939 \frac{L}{\lambda}} + 9.125$$

$$c_4 \approx 6.0231$$

$$c_5 \approx 67.65 e^{-4.165 \frac{L}{\lambda}}$$

$$c_6 \approx 3.6412$$

$$c_7 \approx 0.7354$$

$$c_8 \approx 0.2600$$

$$c_9 \approx -0.4908$$